

1 Use of Artificial Illumination to Reduce Pacific Halibut Bycatch in a U.S. West Coast
2 Groundfish Bottom Trawl

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16 Keywords: LEDs, bycatch reduction, flatfishes, roundfishes, catch comparison, catch efficiency,
17 Pacific halibut physiological parameters
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This is the authors' version of a paper with reference: Mark J.M.Lomelia, W. Waldo Wakefield, Bent Herrmann, Claude L.Dykstra, Anna Simeon, Dana M.Rudy, Josep V.Planas (2020) Use of artificial illumination to reduce Pacific halibut bycatch in a U.S. West Coast groundfish Bottom trawl - Fisheries research, Volume 233, January 2021 - 105737 The version of record is available at: <https://doi.org/10.1016/j.fishres.2020.105737>

22 **Abstract**

23 In the U.S. West Coast groundfish bottom trawl fishery, Pacific halibut (*Hippoglossus*
24 *stenolepis*) bycatch can impact some fishers' ability to fully utilize their quota shares of
25 groundfishes. In this study, we compared the catch efficiency for Pacific halibut and four
26 commercially important groundfish species between an illuminated and non-illuminated trawl.
27 The illuminated trawl caught significantly fewer Pacific halibut and sablefish than the non-
28 illuminated trawl. For Dover sole (*Microstomus pacificus*), petrale sole (*Eopsetta jordani*), and
29 lingcod (*Ophiodon elongatus*), the illuminated trawl caught fewer individuals than the non-
30 illuminated trawl. However, this catch difference was not statistically significant. Physiological
31 data collected on Pacific halibut caught in illuminated and non-illuminated trawl show blood levels
32 of cortisol, a stress hormone, were significantly higher in fish caught in the illuminated trawl than
33 in the non-illuminated trawl in the absence of differences in condition factor or fat content. While
34 our results have obvious implications for the West Coast groundfish bottom trawl fishery, our
35 findings could also have potential applications in Alaska and British Columbia, Canada trawl
36 fisheries where Pacific halibut bycatch occurs.

37

38 **Introduction**

39 The directed commercial fishery for Pacific halibut (*Hippoglossus stenolepis*) is longline
40 based in United States and Canadian waters from northern California to the Bering Sea. Pacific
41 halibut are managed by the International Pacific Halibut Commission (IPHC) in collaboration with
42 federal fisheries agencies and regional councils. Pacific halibut are a prohibited species in trawl
43 fisheries and cannot be retained for commercial sale.

44 In the U.S. West Coast groundfish bottom trawl fishery, which is managed under a catch
45 share program, fishers are allocated individual bycatch quota (IBQ) of Pacific halibut. If fishers
46 reach their Pacific halibut IBQ, they are prohibited from fishing unless additional bycatch quota is
47 obtained from another permit holder. However, obtaining additional bycatch quota can be
48 challenging given the amount of quota needed, time of season, and cost of quota leases. As
49 relatively limited bycatch quota is available to the groundfish bottom trawl fishery, Pacific halibut
50 bycatch can affect the harvest of groundfishes such as sablefish (*Anoplopoma fimbria*), Dover sole
51 (*Microstomus pacificus*), petrale sole (*Eopsetta jordani*), and lingcod (*Ophiodon elongatus*). For
52 example, the 2019 West Coast trawl annual catch limit for sablefish, Dover sole, petrale sole, and
53 lingcod was 4,571 MT, 50,000 MT, 2,908 MT, and 5,910 MT, respectively, compared to ca. 50
54 MT of Pacific halibut bycatch quota. Further, as the Pacific halibut stock is projected to gradually
55 decrease between 2020 and 2023 due to low recruitment (IPHC, 2020), their bycatch is likely to
56 continue to impact utilization of groundfish stocks as bycatch quota in the fishery (IPHC regulatory
57 area 2A) is not anticipated to increase above current levels.

58 Sorting-grid bycatch reduction devices (BRDs) are capable of significantly reducing
59 catches of larger Pacific halibut (>75 cm in total length) in the West Coast groundfish bottom trawl
60 fishery (Lomeli and Wakefield, 2013, 2015, 2016; Lomeli et al., 2017). However, the devices are
61 less effective at reducing catches of Pacific halibut that are similar in size to the target species.
62 Therefore, reducing Pacific halibut bycatch of all sizes would depend on exploiting behavioral
63 differences between Pacific halibut and other species during the capture process.

64 Vision plays a significant role in how fishes respond to trawls (Glass and Wardle, 1989;
65 Olla et al., 1997; Kim and Wardle, 1998, 2003; Ryer et al., 2010). Under conditions where light
66 levels are adequate for vision, studies have shown that fishes most often react actively to the gear

67 with responses such as herding, orientation to the trawl, or maintaining their swimming position
68 forward of or within the trawl (Rose, 1996; Olla et al., 2000; Ryer and Barnett, 2006; Ryer, 2008).
69 However, under dark conditions where the visual capability of fish becomes limited or absent,
70 their response to trawl gear becomes diminished. For BRDs that rely upon fish to use their visual
71 system to direct their way through escape areas, the amount of available light could have a
72 considerable impact on the gear performance.

73 Trawling often occurs at depths or times of day (i.e., night time, polar night) where fishes’
74 visual capability to detect trawl gear is affected by light availability. In response, studies have
75 begun exploring the effects of artificial illumination as a technique to enhance fishes’ ability to
76 escape (Nguyen and Winger, 2019). Examples include placing artificial illumination along open
77 escape windows (Lomeli and Wakefield, 2019), on or near escape areas associated with sorting-
78 grids (Hannah et al., 2015; Larsen et al., 2017, 2018), on the leading edge of a codend separator
79 panel (Melli et al., 2018), and along the fishing line or headrope of trawls (Hannah et al., 2015;
80 Lomeli et al., 2018ab, 2020; O’Neill and Summerbell, 2019). In the presence of artificial
81 illumination, results from the above studies have ranged from increased (Hannah et al., 2015),
82 status quo (Larsen et al., 2017, 2018), to reduced (Hannah et al., 2015; Lomeli and Wakefield,
83 2019) bycatch rates.

84 Research has suggested that Pacific halibut bycatch in the West Coast groundfish bottom
85 trawl fishery could potentially be reduced using artificial illumination (Lomeli et al., 2018a). In a
86 study investigating whether illuminating the headrope of a selective flatfish trawl could reduce
87 bycatch of rockfishes (*Sebastes* spp.), results showed the illuminated trawl caught on average 57%
88 less Pacific halibut than the non-illuminated trawl (Lomeli et al., 2018a). This result, however, was
89 not significant likely due to the small sample size of Pacific halibut caught. For groundfish catches,

90 results showed no significant difference in the catch efficiency between the non-illuminated and
91 illuminated trawls for rockfishes, lingcod, English sole (*Parophrys vetulus*), and petrale sole. A
92 significant difference in the catch efficiency was noted for sablefish and Dover sole with the
93 illuminated trawl catching fewer fish on average. In the same study, light levels were measured at
94 the center of the headrope and belly in the illuminated and non-illuminated trawls. Light levels at
95 the altitude of the headrope showed the trawl groundgear often created mud clouds that rose above
96 the headrope, which would likely affect fishes' ability to detect and respond to the illumination if
97 the mud cloud duration was considerable. These results suggest there may be potential to reduce
98 Pacific halibut bycatch using artificial illumination, but placement of lights on the trawl in areas
99 that are less impacted by mud clouds (i.e., leading edge of doors, upper bridles, wing tips) could
100 be a factor in their potential efficacy, necessitating further research.

101 The objective of this study is to determine whether artificial illumination can reduce Pacific
102 halibut bycatch while maintaining or increasing groundfish catches and its relationship with the
103 physiological condition and/or stress levels of captured Pacific halibut.

104

105 **Materials and Methods**

106 *Trawl, Gear trials, and Sampling*

107 We used an Eastern 400 trawl for this study (Fig. 1). The headrope was 40.3 m in length.
108 The 31.2 m chain footrope was covered with rubber discs 20.3 cm in diameter, and outfitted with
109 rubber rockhopper discs 35.6 cm in diameter placed approximately every 58 cm along the footrope
110 length. By design, the trawl headrope runs behind the footrope (e.g., cutback headrope) and fishes
111 approximately 1.3 m above the seafloor. The wingspread of this trawl is approximately 20.8 m.
112 The low-rise and cutback headrope features of this trawl are designed to reduce bycatch of

113 benthopelagic rockfishes when targeting flatfishes and other demersal groundfishes (King et al.,
114 2004; Hannah et al., 2005). In the West Coast groundfish bottom trawl fishery, this trawl design
115 is termed a selective flatfish trawl (King et al., 2004; PFMC, 2019). The design and dimensions of
116 this trawl are typical of selective flatfish trawls used in the groundfish fishery. The upper bridles
117 consisted of 25.4 mm Spectra™ rope and were 2.4 m in length, whereas the lower bridles consisted
118 of chain covered with rubber discs 20.3 cm in diameter (Fig. 1). The sweeps were combination
119 wire 91.4 m in length and were outfitted with ten 17.8 cm diameter disc clusters spaced at 8.2 m
120 intervals along their entire length (Lomeli et al., 2019). The trawl was spread using Thyborøn type-
121 11 doors. A T90 mesh codend (127 mm nominal mesh size, 6.0 mm double twine, 88 meshes in
122 circumference and 100 meshes in length) was used.

123 We used a single trawl with artificial illumination as the only experimental treatment. The
124 trawl was fished along bottom depth contours with and without illumination in an alternating order
125 to create paired tows. Green LED fishing lights (Lindgren-Pitman Electralume®, centered on 519
126 nm [Nguyen et al., 2017]) were used to illuminate the upper bridles and wing tips of the trawl. As
127 Lomeli et al. (2018a) found the trawl groundgear often created mud clouds that rose above the
128 trawl headrope, we selected to illuminate the trawls upper bridles and wing tips as these gear
129 components are typically less effected by mud clouds. Green LEDs were selected for the following
130 reasons: (1) they allow for a comparison of results with Lomeli et al. (2018a), (2) blue-green light
131 is the predominant spectral component of coastal waters (Jerlov 1976; Bowmaker 1990; Britt
132 2009), and (3) use of green lights in the ocean shrimp (*Pandalus jordani*) trawl fishery has shown
133 to reduced fish bycatch (Hannah et al., 2015; Lomeli et al., 2018b, 2020). For the illuminated trawl,
134 the fishing lights were grouped into clusters of three using twine to connect each light end to end.
135 Three LED clusters were attached along each of the wing tips and upper bridles (Fig. 1). In total,

136 six LED clusters were attached to each the port and starboard side. The lights were attached to the
137 trawl before deployment and then removed following retrieval to avoid damaging them when
138 winding the gear onto the net reel. Attachment points on the trawl were marked with shock cord
139 and orange twine to assure that the tow-to-tow attachment point of each LED cluster was consistent
140 across tows. Light levels and water temperatures at the trawl's breastline were measured using a
141 Wildlife Computers TDR-MK9 archival tag. The MK9 tag was positioned in the same location on
142 the port breastline, with the light sensor facing forward towards the bridles. The MK9 tag was not
143 used on tows 1 and 2 as we accidentally forgot to deploy the tag. The relative light units for the
144 calibrated MK9 tag were converted to irradiance units (e.g., $\mu\text{mol photons m}^{-2} \text{s}^{-1}$) using the
145 calibration function presented in Lomeli et al. (2018a). Collecting these data is recommended by
146 the International Council for the Exploration of the Sea to improve comparability of results
147 between light studies (ICES, 2018).

148 Gear trials occurred off Oregon (Fig. 2) in August aboard the F/V *Last Straw* (a 23.2 m
149 long, 540-hp trawler). Towing occurred during daylight hours from 0630 to 1900 at bottom fishing
150 depths from 97 to 238 m. The average bottom fishing depth was 168 m (SE \pm 6.3). Towing speed
151 over ground ranged from 1.1 to 1.3 m/s (2.2 to 2.6 knots). Target tow duration was 60 minutes,
152 and time on bottom was measured once deployment of the trawl warps stopped. However, due to
153 time constraints and anticipated large catches some paired tows were of 30 and 45 min. in duration.
154 Within each pair, the tow duration was kept consistent.

155 Our study site (Fig. 2) was selected based on known groundfish and Pacific halibut
156 abundances. After each tow, all fishes were sorted to species and weighed using a Marel M1100
157 motion compensated marine platform scale that was calibrated before each sampling event. Fishes
158 were measured to the nearest cm using total length for flatfishes and lingcod, and fork length for

159 sablefish. Subsampling was avoided when possible; however, time constraints and relatively large
160 catches required subsampling at times. For Pacific halibut, data on fish condition and somatic fat
161 content was collected on all individuals caught. Fish condition from all Pacific halibut caught in
162 illuminated and non-illuminated trawls was estimated using Fulton's Condition Factor (K) (Le
163 Cren, 1951), which describes the relationship between fish total length (L) measured in cm, weight
164 (W) measured in grams, and a scaling factor (c ; representing the reciprocal of the average value of
165 K) to approximate K to 1, as described by Eq. 1:

$$166 \quad K = c * \frac{W}{L^3} \quad (1).$$

167 Somatic fat content from all Pacific halibut caught in illuminated and non-illuminated trawls was
168 estimated using a Distell Fish Fatmeter (Model FFM 692), using Distell's Sea Bass II standard
169 calibration. The Fatmeter is a non-invasive tool that utilizes low-power microwave emission to
170 estimate subdermal lipid content based on the water content of tissues (Kent, 1990). Two readings
171 were obtained from both sides (eyed and blind sides) of each fish, the first one taken inside the
172 arch of the lateral line (slightly posterior from the pectoral fin) and the second one taken at a
173 position anterior to the caudal peduncle (coinciding with the end of the dorsal fin) and above the
174 lateral line. The two readings from each site were averaged and the grand mean of the averaged
175 readings from each of the four sites (e.g., two sites per side) were applied to a fat calibration curve
176 developed for Pacific halibut (unpublished results). To investigate if the physiological condition
177 of Pacific halibut differs between fish caught in the illuminated and non-illuminated trawls, we
178 measured the levels of physiological stress indicators in their blood. Blood samples were collected
179 from tows 17-34 on the vessel back deck by caudal puncture and then centrifuged for 15 minutes
180 at 3,000 rpm in a temporary lab space configured in the vessel's galley. The resulting plasma
181 samples were stored at -20°C until use. The levels of glucose, lactate, and cortisol were measured

182 directly in the plasma using commercial kits (glucose, EIAGLUC, Invitrogen; lactate, MET-5012,
 183 Cell Biolabs; cortisol, ELISA 500360, Cayman). Statistical differences between mean values of
 184 physiological parameters from the illuminated and non-illuminated trawls were analyzed by
 185 unpaired two-sample T-test using R Studio package (version 1.2.5033) for R (version 3.6.2).
 186 Results are expressed as mean \pm standard deviation and considered to be statistically significant at
 187 $p < 0.05$.

188 To capture the behavior of Pacific halibut and target groundfishes as they encountered the
 189 illuminated trawl, we placed a video camera (GoPro Hero 4) aft of the trawl's port breastline
 190 (looking toward the port bridles) on four tows, and a DIDSON (Dual-frequency Identification
 191 SONar) imaging sonar near the trawl footrope (looking towards the port wing tip and bridles) on
 192 five tows. The only source of illumination for the video were the experimental LED clusters.

193

194 *Estimating relative catch efficiency between illuminated and non-illuminated trawls*

195 We conducted length-dependent catch comparison and catch ratio analyses (Sistiaga et al.,
 196 2015; Lomeli et al., 2018a, 2019) to determine whether there was a difference in catch efficiency
 197 and/or fish length between the illuminated and non-illuminated trawl. To assess the relative length-
 198 dependent catch comparison proportion (CC_l) of changing from non-illuminated to illuminated
 199 trawl, we used Eq. 2:

$$200 \quad CC_l = \frac{\sum_{j=1}^m \left\{ \frac{nt_{lj}}{qt_j} \right\}}{\sum_{j=1}^m \left\{ \frac{nt_{lj}}{qt_j} + \frac{nc_{lj}}{qc_j} \right\}} \quad (2)$$

201 where nt_{lj} and nc_{lj} are the number n of fish measured per length class l for the illuminated (t) and
 202 non-illuminated (c) trawl, respectively, in pair j of the alternated tows. Terms qt_j and qc_j are the
 203 subsampling ratios. Parameter m is the number of tows made with the illuminated and non-

204 illuminated trawl. The functional form of the catch comparison proportion $CC(l, \mathbf{v})$ expressed by
 205 Eq. 2 was attained using maximum likelihood estimation by minimizing Eq. 3:

$$206 \quad - \sum_l \left\{ \sum_{j=1}^m \left\{ \frac{nc_{lj}}{qc_j} \times \ln[1.0 - CC(l, \mathbf{v})] \right\} + \sum_{j=1}^m \left\{ \frac{nt_{lj}}{qt_j} \times \ln[CC(l, \mathbf{v})] \right\} \right\} \quad (3)$$

207 where \mathbf{v} represents the parameters describing the catch comparison curve defined by $CC(l, \mathbf{v})$.
 208 Equation 3 is similar in structure to the SELECT model (Millar, 1992) for data pooled over hauls
 209 which is often applied in analysis of fishing gear size selectivity (Wileman et al., 1996).
 210 Minimizing Eq. 3 is equivalent to maximizing the likelihood for the observed data based on a
 211 formulation of the negative log likelihood for binominal data. When the catch efficiency of the
 212 two trawls are equal, the catch comparison proportion would be 0.5. A catch comparison
 213 proportion value with 95% confidence intervals (CIs) below 0.5 would imply there is a significant
 214 catch effect with fewer fish on average caught in the illuminated trawl, and vice versa for a catch
 215 comparison proportion above 0.5. The experimental CC_l was modeled by the function $CC(l, \mathbf{v})$
 216 using Eq. 4:

$$217 \quad CC(l, \mathbf{v}) = \frac{\exp[f(l, v_0, \dots, v_k)]}{1 + \exp[f(l, v_0, \dots, v_k)]} \quad (4)$$

218 where f is a polynomial of order k with coefficients v_0 - v_k , such that $\mathbf{v} = (v_0, \dots, v_k)$. The values of the
 219 parameters \mathbf{v} describing $CC(l, \mathbf{v})$ are estimated by minimizing Eq. 3. We considered f of up to an
 220 order of 4 with parameters v_0 , v_1 , v_2 , v_3 , and v_4 as our experience from prior studies (Krag et al.,
 221 2015; Santos et al, 2016; Sistiaga et al., 2018) have demonstrated that this provides a model that
 222 can sufficiently describe the catch comparison curves between two fishing gears. Leaving out one
 223 or more of the parameters v_0 ... v_4 , at a time resulted in 31 additional candidate models for the catch
 224 comparison function $CC(l, \mathbf{v})$. Among these models, the catch comparison proportion was
 225 estimated using multi-model inference to obtain a combined model (Burnham and Anderson, 2002;
 226 Herrmann et al., 2017; Harrison et al., 2018). Specifically, the models were ranked and weighted

227 in the estimation according to their AICc values (Burnham and Anderson, 2002). The AICc is
 228 calculated as the AIC (Akaike, 1974), but it includes a correction for finite sample sizes in the
 229 data. Models that resulted in AICc values within +10 of the value of the model with lowest AICc
 230 value ($AICc_{min}$) were considered for the estimation of $CC(l, \nu)$ following the procedure described
 231 in Katsanevakis (2006) and in Herrmann et al. (2015). We use the name combined model for the
 232 result of this multi-model averaging and calculated it using Eq. 5:

$$\begin{aligned}
 &CC(l, \nu) = \sum_i w_i \times CC(l, \nu_i) \\
 &\quad \text{with} \\
 233 \quad w_i &= \frac{\exp(0.5 \times (AICc_i - AICc_{min}))}{\sum_j \exp(0.5 \times (AICc_j - AICc_{min}))} \quad (5)
 \end{aligned}$$

234 where the summations are over the models with an AICc value within +10 of $AICc_{min}$. The ability
 235 of the combined model to explain the observed data was based on the p -value, which is calculated
 236 based on the model deviance and degrees of freedom (Wileman et al., 1996; Herrmann et al.,
 237 2017). Thus, suitable fit statistics for the combined model to describe the observed data sufficiently
 238 well should be a p -value >0.05 and a deviance value within approximately two times the degrees
 239 of freedom.

240 To provide a direct relative value of the catch efficiency between fishing with and without
 241 illumination, the following catch ratio $CR(l, \nu)$ equation was used:

$$242 \quad CR(l, \nu) = \frac{CC(l, \nu)}{[1 - CC(l, \nu)]} \quad (6).$$

243 Thus, if the catch efficiency of both trawls is equal, the $CR(l, \nu)$ will be 1.0.

244 We used a double bootstrapping method to estimate the CIs for the catch comparison and
 245 catch ratio curves. This technique accounts for uncertainty due to between tow variation by
 246 selecting m tows with replacement from the m tows available during each bootstrap repetition.
 247 Within each resampled tow, the data for each length class are resampled in an inner bootstrap to
 248 account for the uncertainty in the tow due to a finite number of fish being caught and length

249 measured in the tow. We performed 1,000 bootstrap repetitions and calculated the Efron 95% CIs
250 (Efron, 1982).

251 We estimated directly from the observed catch data an overall value for the catch ratio
252 using Eq. 7:

$$253 \quad CR_{average} = \frac{\sum_l \sum_{j=1}^m \left\{ \frac{nt_{lj}}{qt_j} \right\}}{\sum_l \sum_{j=1}^m \left\{ \frac{nc_{lj}}{qc_{ij}} \right\}} \quad (7).$$

254 Based on Eq. 7, we then estimated the percent improvement in average catch efficiency between
255 fishing with and without illumination using Eq. 8:

$$256 \quad \Delta CR_{average} = 100 \times (CR_{average} - 1.0) \quad (8).$$

257 We used Eq. 8 to provide an overall value for the effect of changing from non-illuminated to
258 illuminated trawl on the catch efficiency. If the illuminated trawl has an increase in catch
259 efficiency, then the $\Delta CR_{average}$ value will be above zero. On the contrary, if the illuminated trawl
260 has a decrease in catch efficiency, then the $\Delta CR_{average}$ value will be below zero.

261 The analyses described above were performed using the software SELNET (Sistiaga et
262 al., 2010; Herrmann et al., 2012, 2016).

263

264 **Results**

265 *Sampling conditions*

266 Fishing occurred over three consecutive fishing trips each of four days in length. The
267 duration between each fishing trip was two days. We completed 34 tows representing 17
268 consecutive pairs each with catch data from one illuminated and one non-illuminated trawl. The
269 mean distance between paired tow lines was 1.3 km (SE \pm 0.2). The mean natural light level
270 measured in the non-illuminated trawl was $2.6e^{-05}$ ($\pm 3.2e^{-06}$) $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$. In the

271 illuminated trawl, the mean light level measured increased to $1.4e^{-02} (\pm 1.6e^{-03}) \mu\text{mol photons m}^{-2}$
272 s^{-1} . Mean light levels per tow for the non-illuminated and illuminated trawl are shown in Figure
273 3. The mean water temperature was $7.4^{\circ}\text{C} (\pm 0.005)$ and ranged from $7.0\text{-}7.9^{\circ}\text{C}$.

274

275 *Fit statistics*

276 The species caught in sufficient numbers for use in the catch efficiency analyses were
277 Pacific halibut, Dover sole, petrale sole, sablefish, and lingcod (Table 1). The combined $CC(l, \nu)$
278 models described the observed data well for Dover sole, petrale sole, and sablefish as shown by
279 their fit statistics (Table 2). For Pacific halibut and lingcod, which had a fit statistic p -value < 0.05 ,
280 inspecting the fit between the observed catch comparison data and the modeled mean curve showed
281 that the poor fit statistics were probably due to overdispersion of the data as opposed to the model's
282 inability to sufficiently describe the data.

283

284 *Pacific halibut bycatch and biological data*

285 Bycaught Pacific halibut ranged from 58-127 cm in length and 2.0 to 25.7 kg in weight.
286 When examining if an increase in average catch efficiency occurred, results show the illuminated
287 trawl caught on average 58.7% less Pacific halibut than the non-illuminated trawl (Fig. 4). This
288 difference in catch efficiency was statistically significant. The analyses also detected a significant
289 length-dependent catch efficiency effect for Pacific halibut 62-126 cm in length with the
290 illuminated trawl catching on average only 36.8% of the number of Pacific halibut compared to
291 the non-illuminated trawl (Figs. 5 and 6).

292 Condition factor and fat content, two measures indicative of the physiological condition of
293 fish, did not differ significantly for Pacific halibut caught between the illuminated and non-

294 illuminated trawl (Table 3). Among the physiological stress indicators measured in their blood,
295 the levels of the metabolites lactate and glucose did not differ significantly for fish caught between
296 the illuminated and non-illuminated trawl. However, the blood levels of cortisol, a stress hormone,
297 were significantly higher (two-sample T-test [$t=2.24$, $df=41$, $p<0.05$]) in Pacific halibut caught in
298 the illuminated trawl than in the non-illuminated trawl (205.1 ± 116.1 and 138.4 ± 65.1 ng/ml,
299 respectively; Table 3). No relationship was found between catch weight and tow duration and the
300 various physiological parameters measured, including blood cortisol levels (data not shown).

301

302 *Dover sole, petrale sole, sablefish, and lingcod catches*

303 Our catch ratio analysis shows a significant length-dependent catch effect occurred for
304 Dover sole with the illuminated trawl catching on average fewer individuals 25-29 cm in length
305 and 48-53 cm in length than the non-illuminated trawl. For Dover sole 30-47 cm in length and 54-
306 55 cm in length, no significant length-dependent catch effect occurred between the two trawls
307 (Figs. 5 and 6). When evaluating if an increase in average catch efficiency occurred, results show
308 that the illuminated trawl caught 6.2% less Dover sole than the non-illuminated trawl. However,
309 this result was not significant as shown by the 95% CIs of the mean value extending across the
310 value of zero (Fig. 4). For petrale sole, similar findings occurred with the illuminated trawl
311 catching significantly fewer fish 42-51 cm in length than the non-illuminated trawl (Figs. 5 and 6).
312 While the illuminated trawl caught 26.9% less petrale sole than the non-illuminated trawl, the
313 result was not significant.

314 A significant length-dependent catch effect occurred in sablefish 44-52 cm in length with
315 the illuminated trawl catching on average only 65.1% of the number of sablefish compared to the
316 non-illuminated trawl (Figs. 7 and 8). In terms of increase in average catch efficiency, the

317 illuminated trawl caught significantly fewer sablefish (35.2%) than the non-illuminated trawl (Fig.
318 4). For lingcod, our analyses detected no significant length-dependent effect of changing from
319 non-illuminated to illuminated trawl (Figs. 7 and 8). When examining if an increase in average
320 catch efficiency occurred, our results show that the illuminated trawl caught 19.0% less lingcod
321 than the illuminated trawl; however, this difference was not statistically significant.

322

323 **Discussion**

324 Developing approaches and technologies that can minimize bycatch would be beneficial to
325 fishers, management, and fishery resources. In our study, we showed the ability to significantly
326 reduce Pacific halibut bycatch before trawl capture by placing LEDs along the wing tips and upper
327 bridles of a selective flatfish trawl. These findings contribute new data on the efficacy of artificial
328 illumination to reduce Pacific halibut bycatch, but also their ability to reduce their bycatch before
329 trawl capture. Capture-escape processes can lead to unobserved and unaccounted post-release
330 mortality caused from physiological stress, fatigue, and injuries (Chopin and Arimoto, 1995; Davis
331 and Olla, 2001, 2002; Ryer, 2004; Davis, 2005). Reducing Pacific halibut bycatch before trawl
332 capture would likely have a positive effect on lowering this mortality.

333 Use of BRDs often create economic tradeoffs that fishers need to consider when seeking
334 to reduce bycatch. In our study, sablefish catches were significantly reduced in the illuminated
335 trawl. However, this result was moderate in effect as the mean $\Delta CR_{average}$ upper 95% CI nearly
336 extended to the $\Delta CR_{average}$ ratio value of zero. For Dover sole, petrale sole, and lingcod, the
337 illuminated trawl on average caught fewer individuals than the non-illuminated trawl, but not to a
338 significant level. Under such catch losses, whether nominal or significant in value, a fisher using
339 an illuminated trawl would need to increase their fishing effort to maintain target species catches

340 (Table 4). Their increased fishing effort would result in an increase of Pacific halibut bycatch and
341 fuel consumption, among other considerations. Based on the catch relationships noted in our study
342 (Fig. 4), Table 4 shows catch scenarios for various increased tow durations in the illuminated trawl
343 and its effect on groundfish catches and Pacific halibut bycatch. It is worth noting that the values
344 presented in Table 4 for Dover sole, petrale sole, and lingcod are nominal values. Furthermore,
345 these values do not account for changes in trawl performance that may occur as tow durations
346 increase (e.g., a fishes' herding duration, fatigue, etc.).

347 While the illuminated trawl on average caught fewer sablefish, this reduction in catch may
348 not necessarily be undesirable as fishers targeting Dover sole and petrale sole over the continental
349 shelf often encounter smaller-sized sablefish that are of lesser economic value. Ex-vessel prices
350 for sablefish increase with fish weight classes and can range from \$0.65 to \$9.57 USD/kg, with
351 fish ≥ 3.2 kg (~45 cm in length) exhibiting the highest ex-vessel values (i.e., \$4.25-9.55 USD/kg).
352 It is over the outer continental shelf and upper slope where the trawl allocation of sablefish is
353 primarily utilized in the DTS (Dover sole-thornyhead-sablefish) complex fishery where sablefish
354 are larger-sized (upwards to 95 cm in total length [Lomeli et al., 2017; Haltuch et al., 2019]) and
355 of higher ex-vessel value. Thus, reducing catches of smaller-sized sablefish for fishers trawling
356 over the continental shelf could improve their economic utilization of the sablefish resource by
357 having more quota available to apply to the DTS complex fishery. Further, depending on the
358 amount of Pacific halibut IBQ that a fisher has, and the magnitude of Pacific halibut bycatch
359 reduction needed, use of artificial illumination in the DTS complex fishery may or may not be
360 beneficial and would create economic tradeoffs between catch composition and bycatch reduction.

361 We found that placing illumination along the wing tips and upper bridles of a selective
362 flatfish trawl was an effective technique for reducing Pacific halibut bycatch. This finding supports

363 research by Lomeli et al. (2018a) suggesting that their bycatch could be reduced using artificial
364 illumination. However, the processes resulting in Pacific halibut bycatch reduction in the presence
365 of artificial illumination remain uncertain. The various ways that Pacific halibut (and sablefish)
366 could avoid capture include passing under the footrope, rising over the wings or upper bridles of
367 the trawl, passing over the sweeps forward of the bridles, or out swimming the trawl. We attempted
368 to gain insights on how Pacific halibut and groundfishes interact with the illuminated trawl using
369 video and a DIDSON imaging sonar, but were unsuccessful for the following reasons: 1) the LEDs
370 did not provide sufficient illumination to support suitable imagery, and 2) movement of the fishing
371 line and footrope during the tow created an unstable platform for the DIDSON to capture suitable
372 imagery for identifying fish or making behavioral observations. Further, it remains unknown how
373 Pacific halibut might respond to artificial illumination used on trawls with overhanging headropes
374 and larger wings (King et al., 2004; Hannah et al., 2005). Data on phototaxis and visual cues in
375 Pacific halibut are also lacking. Thus, research investigating the interactions between illumination,
376 trawl design, and Pacific halibut bycatch is needed to better understand the mechanisms
377 contributing to our observed results.

378 In Alaska groundfish bottom trawl fisheries, such as the eastern Bering Sea flatfish and
379 Pacific cod (*Gadus macrocephalus*) fisheries, Pacific halibut bycatch occurs (NPFMC, 2018,
380 2019). While fishers employ excluders to reduce their bycatch and deck sorting methods that
381 reduce discard mortality rates, Pacific halibut bycatch continues to constrain these fisheries
382 (NPFMC, 2018, 2019). Findings from our research could have potential applications in these
383 fisheries to further reduce Pacific halibut bycatch. As fishers and managers seek collaborative
384 efforts to minimize Pacific halibut bycatch (Rose and Gauvin, 2000; NOAA, 2014, 2019), research
385 testing the ability of artificial illumination to reduce Pacific halibut bycatch could result in positive

386 fishery impacts on Alaska groundfish bottom trawl fisheries. However, in Alaska larger vessels
387 deploying larger-sized trawl gear may limit transferability.

388 Examination of the levels of physiological stress indicators in the blood from Pacific
389 halibut in the illuminated trawl revealed significantly higher levels of cortisol, a corticosteroid
390 hormone produced by the interrenal gland of fish as the primary response to a stressor (Schreck et
391 al., 2016). The higher levels of cortisol, combined with the trend towards higher plasma glucose
392 levels in fish in the illuminated trawl (although not statistically significant), suggest higher levels
393 of stress in these fish when compared to those in the non-illuminated trawl. Parallel increases in
394 plasma cortisol and glucose are consistent with the notion that stress-induced cortisol elevates
395 plasma glucose levels through the mobilization of glycogen reserves in order to provide glucose
396 as an energy substrate to meet the higher energy demands imposed by stress in fish (Rodnick and
397 Planas, 2016). These observed differences in physiological stress indicators between illuminated
398 and non-illuminated trawls occur in the absence of differences related to the physiological
399 condition of Pacific halibut, as assessed by condition factor and somatic fat content, or to indicators
400 of physical exhaustion, as assessed by plasma lactate levels. Similarly, the differences were
401 independent of catch volume or tow duration. Therefore, the observed differences in stress levels
402 of Pacific halibut between illuminated and non-illuminated trawls appear to be related to
403 differences in conditions experienced during trawl entrapment and not to differences in the
404 physiological condition of fish before trawl capture. Given that the presence or not of illumination
405 is the major difference between the two experimental set-ups, we hypothesize that the presence of
406 artificial illumination may have been responsible for the higher stress levels in fish in the
407 illuminated trawl. This possibility is supported by a number of studies showing that acute or
408 chronic exposure to artificial illumination of different intensities and wavelengths can affect stress

409 or stress responses in a variety of fish species in a species-specific manner (Owen et al., 2010;
410 Maia and Volpato, 2013; Heydarnejad et al., 2017). As stated above, although the precise
411 behavioral response of Pacific halibut to the type of artificial illumination used in the present study
412 is not known, exposure to artificial illumination during trawl capture may have increased stress in
413 captured Pacific halibut. In view of the significant reduction of Pacific halibut bycatch using
414 artificial illumination, the potential effects on the survivability of captured and discarded Pacific
415 halibut in illuminated versus non-illuminated trawls represent an interesting topic for further
416 investigation.

417 Results from our study and Lomeli et al. (2018a) show similar findings. For sablefish, both
418 studies noted a significant catch reduction in the presence of artificial illumination. For Pacific
419 halibut, Dover sole, and lingcod, the illuminated trawl caught fewer individuals than the non-
420 illuminated trawl. However, this result was only significant for Pacific halibut in the current study
421 and Dover sole in Lomeli et al. (2018a). For petrale sole, we found that the illuminated trawl caught
422 26.9% fewer fish than the non-illuminated trawl while the Lomeli et al. (2018a) study found that
423 the illuminated trawl caught 51.4% more petrale sole than the non-illuminated trawl. However,
424 these catch results were not significant in either study. This comparison between similar studies
425 illustrates the importance of continued research in commercial fisheries to develop a better
426 understanding of how artificial illumination affects Pacific halibut bycatch and groundfish catches,
427 and fishers potential use of illuminated trawls.

428 Studies have demonstrated that fish behavior and catchability can change between light
429 and dark conditions (Hannah et al., 2005; Petrakis et al., 2001; Ryer and Barnett, 2006; Ryer et al.,
430 2010; Lomeli and Wakefield, 2019). In our study, we focused our fishing effort during daylight
431 hours as resources (e.g., available vessel budget, scientific staff) were not available to fish day and

432 night to examine if diel changes in the catchability of Pacific halibut and target groundfishes occurs
433 between illuminated and non-illuminated trawls. As fishers' in the West Coast groundfish bottom
434 trawl fishery trawl under day and night conditions, it should be mentioned that the results we
435 observed may differ under night conditions.

436 In conclusion, this study demonstrated the ability to significantly reduce Pacific halibut
437 bycatch by illuminating the wing tips and upper bridles of a selective flatfish trawl. As Pacific
438 halibut bycatch is likely to continue to constrain some fishers' ability to fully utilize their quotas
439 of healthy groundfish stocks, results from our study provide fishers a simple gear modification that
440 can reduce Pacific halibut bycatch. Further, this study was able to reduce their bycatch before trawl
441 capture potentially reducing unobserved and unaccounted post-release mortality that can occur
442 from capture-escape processes within the trawl. Although these results are positive, it is important
443 to note that fishers would need to consider economic tradeoffs between bycatch reduction and
444 increased fishing effort needed to offset any reduction in catch caused by artificial illumination.
445 Lastly, testing the efficacy of artificial illumination to reduce Pacific halibut bycatch in Alaska and
446 British Columbia, Canada trawl fisheries and under day and night conditions is encouraged.

447

448 **Acknowledgements**

449 We thank the captain and crew of the F/V *Last Straw* for their assistance with this research;
450 the NMFS Northwest Fisheries Science Center for research facility use; Jon McVeigh for at sea
451 staff support, Jason Eibner and Heather Rippman for at sea assistance; Matthew T.O. Blume for
452 creating Fig. 2; and the individuals who reviewed and contributed to this manuscript. Funding for
453 this study was provided by the NOAA NMFS Bycatch Reduction Engineering Program (Award
454 Number NA18NMF4720283).

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Table 1. Number of fish measured for the catch comparison and catch ratio analyses. Values in parentheses are the mean length measurement subsample ratios from the total catch. Values in brackets are the range in length measurement subsample ratios.

Species	No. measured	
	Illuminated trawl	Non-illuminated trawl
Pacific halibut	57 (1.0 [1.0-1.0])	138 (1.0 [1.0-1.0])
Dover sole	1,255 (0.72 [0.23-1.0])	1,348 (0.73 [0.43-1.0])
Petrable sole	1,728 (0.46 [0.21-1.0])	1,627 (0.32 [0.13-1.0])
Sablefish	695 (0.52 [0.22-1.0])	910 (0.43 [0.33-1.0])
Lingcod	668 (0.48 [0.25-1.0])	628 (0.48 [0.29-1.0])

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Table 2. Catch comparison curve fit statistics.

	<i>p</i> -value	Deviance	DF
Pacific halibut	0.0387	55.9	39
Dover sole	0.3540	27.0	25
Petrable sole	0.0587	43.0	30
Sablefish	0.1252	47.0	37
Lingcod	0.0012	103.8	64

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Table 3. Physiological parameters of Pacific halibut caught in the illuminated and non-illuminated trawl. Fulton's Condition Factor is a measure of the relationship between fish total length and weight, with values above and below 1 indicating fish with high and low fitness, respectively. Fat (%) represents the content of fat in the fish skeletal muscle as determined by the Distell Fish Fatmeter. Plasma lactate, glucose, and cortisol represent physiological stress indicators. Values are presented with their standard deviations, with the number of fish per group in parentheses. An asterisk indicates statistically significant differences between the illuminated trawl and non-illuminated trawl groups (unpaired two-sample T-test; $p \leq 0.05$). Units of lactate, and glucose are in milligrams per deciliter of plasma; cortisol units are in nanograms per milliliter of plasma.

Parameters	Illuminated trawl	Non-illuminated trawl
Fulton's Condition Factor (<i>K</i>)	0.99 ± 0.78 (59)	1.00 ± 0.14 (145)
Fat (%)	2.33 ± 0.64 (59)	2.24 ± 0.61 (142)
Plasma lactate (mg/dL)	30.30 ± 17.8 (9)	31.10 ± 18.5 (33)
Plasma glucose (mg/dL)	41.70 ± 29.8 (8)	35.40 ± 22.4 (30)
Plasma cortisol (ng/mL)	205.10 ± 116.1 (9)*	138.40 ± 65.1 (34)*

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Table 4. The effect of illuminated tow durations (above a 60 minute baseline) on catch efficiencies of groundfishes and Pacific halibut bycatch as compared to those of non-illuminated tows. Zero values in the improvement in average catch efficiency (%) refer to equal catch levels between the two trawls.

Increased tow duration		Improvement in average catch efficiency (%)				
%	min.	Dover sole	Lingcod	Petrable sole	Sablefish	Pacific halibut
0	0	-6.2	-19.0	-26.9	-35.2	-58.7
7	4.2	0	-13.3	-21.7	-30.6	-54.6
23	13.8	+15.3	0	-10.1	-20.2	-45.2
37	22.2	+28.5	+11.0	0	-11.2	-36.9
54	32.4	+44.4	+24.7	+12.6	0	-27.0
142	85.2	+133.1	+114.8	+103.5	+91.7	0

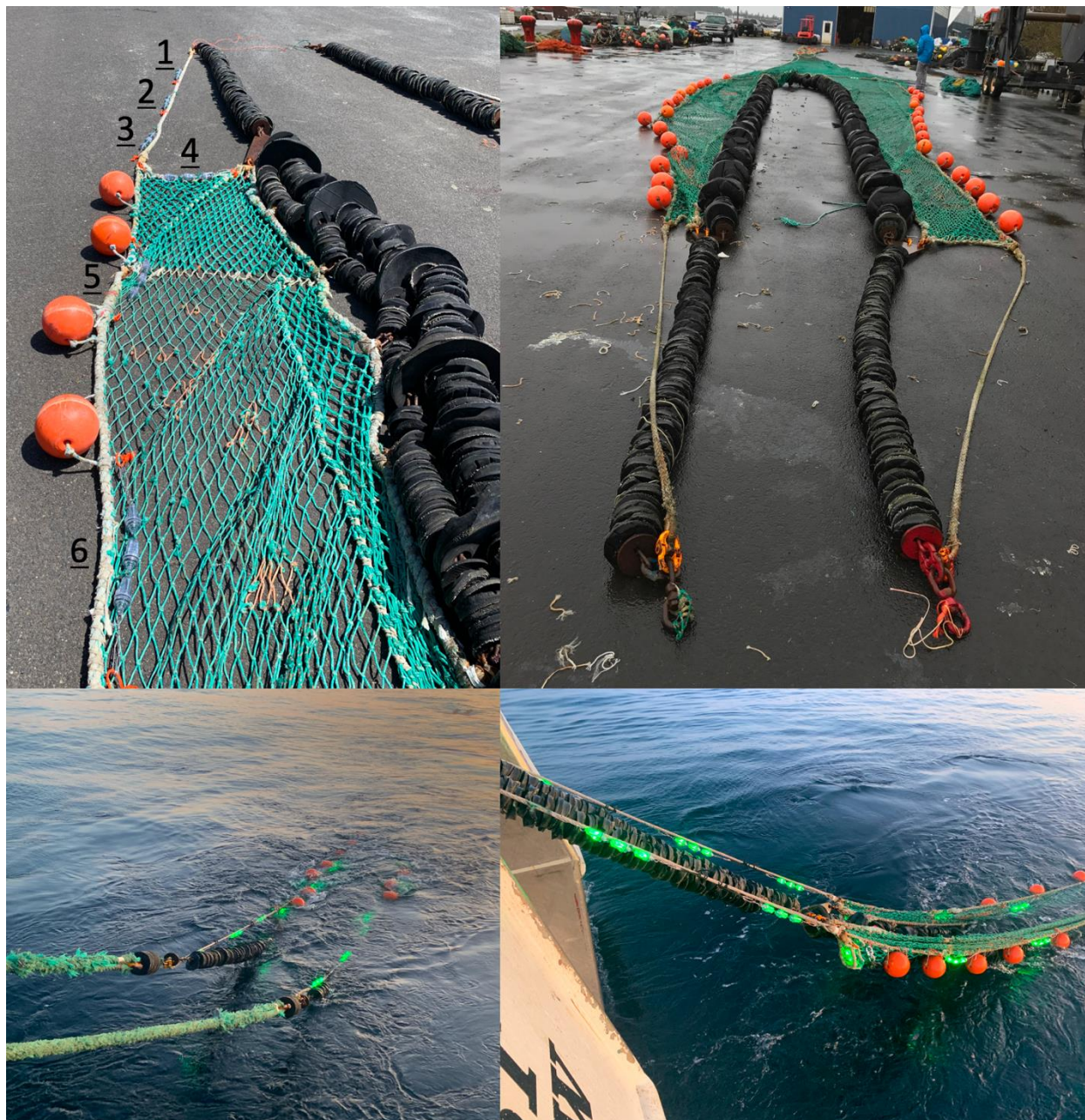


Figure 1. Image of six LED cluster locations (numbered) on the selective flatfish trawl along the port side upper bridle and wing tip (upper left image); image of the selective flatfish trawl without LED clusters along its upper bridles and wing tips (upper right image); image of the selective flatfish trawl being deployed with LED clusters along its upper bridles and wing tips (bottom images).

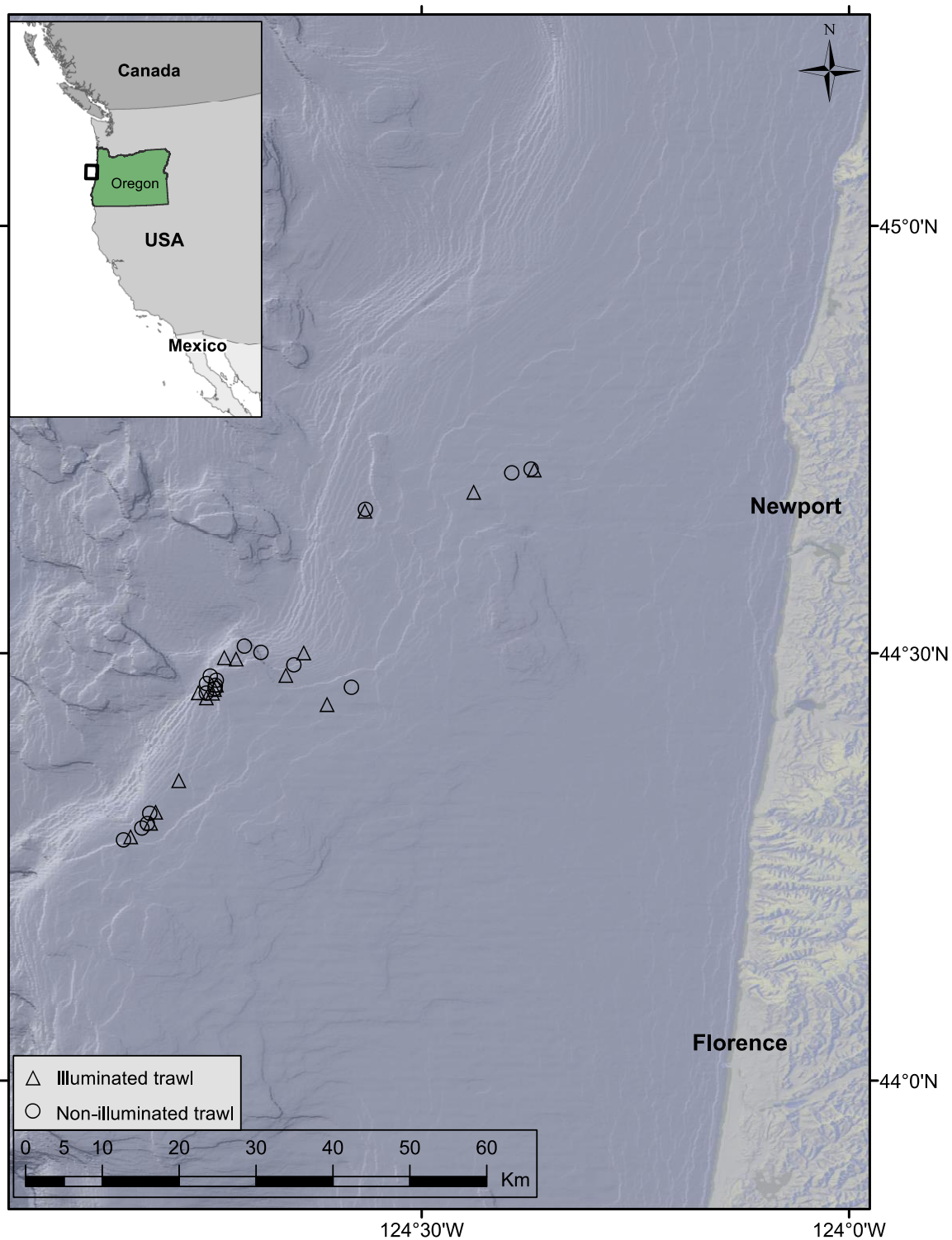


Figure 2. Map of the area off Oregon where sea trials occurred. Symbols represent tow start locations for the illuminated trawl (triangles) and non-illuminated (circles) trawl.

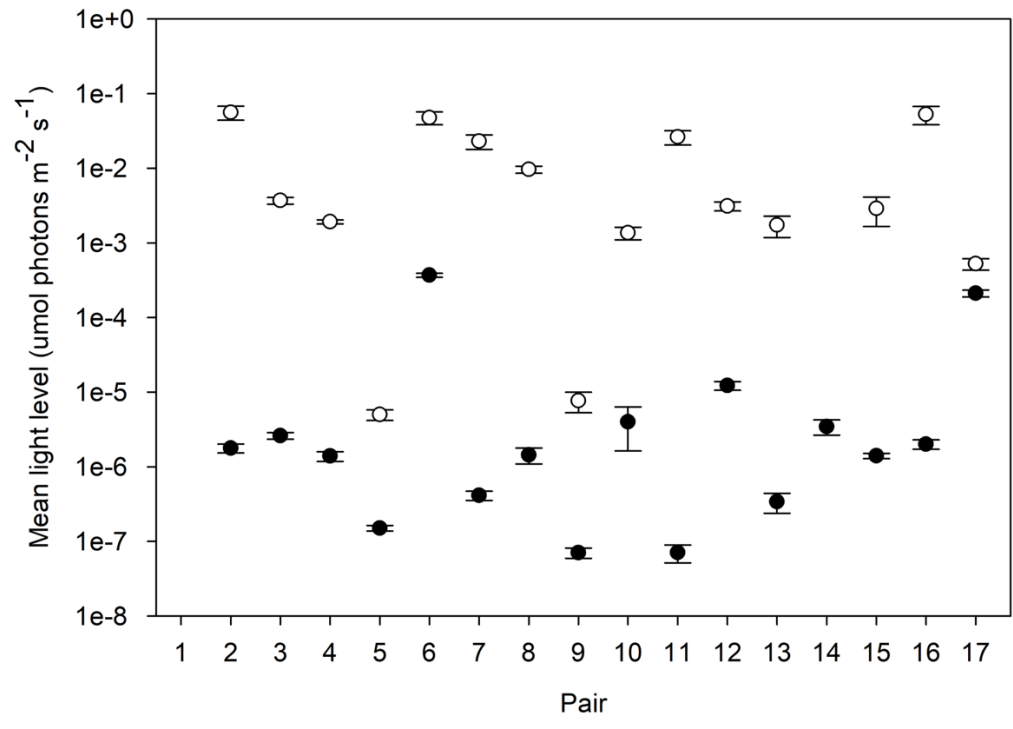


Figure 3. Mean light level measured for the illuminated trawl (open circles) and non-illuminated trawl (closed circles) per tow pair. Bars are standard errors.

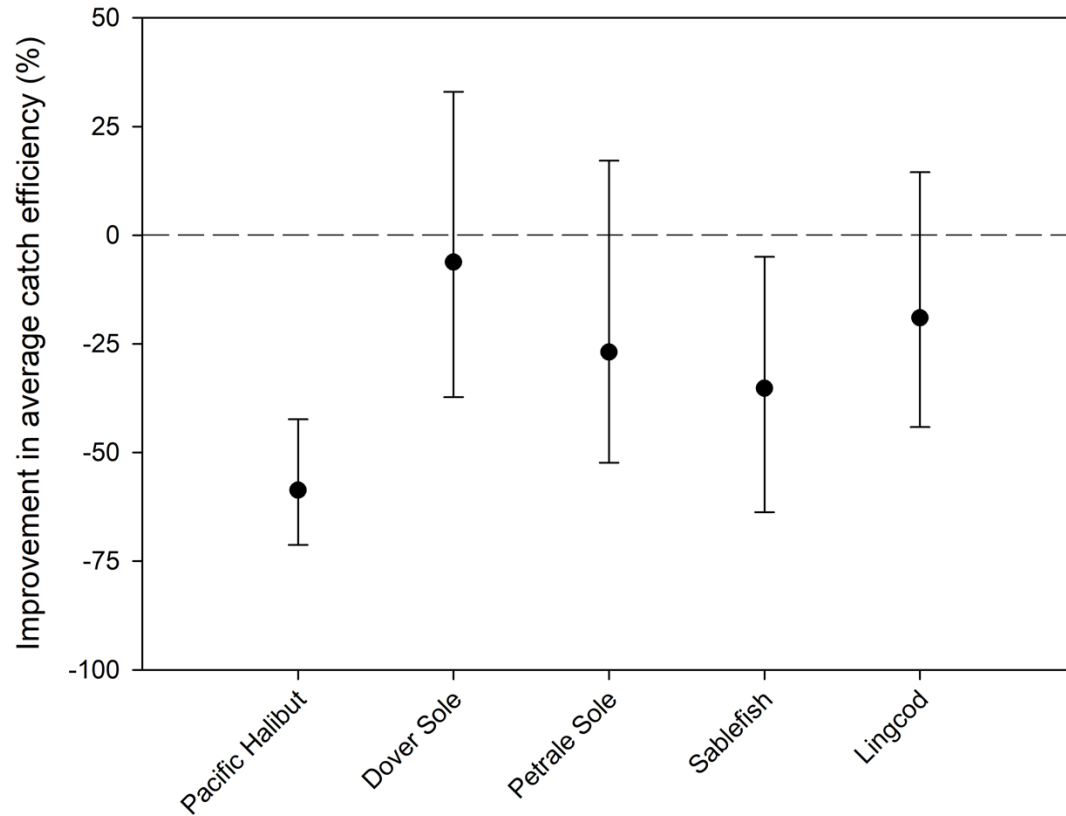


Figure 4. Improvement in average catch efficiency ($\Delta CR_{average}$, see Eq.8) between the illuminated and non-illuminated trawl. The dashed line depicts the baseline catch efficiency value of zero indicating equal catch efficiency between the two trawls. Values below zero indicate the illuminated trawl has a decrease in catch efficiency compared to the non-illuminated trawl. Values above zero indicate the illuminated trawl has an increase in catch efficiency compared to the non-illuminated trawl. Bars are 95% CIs.

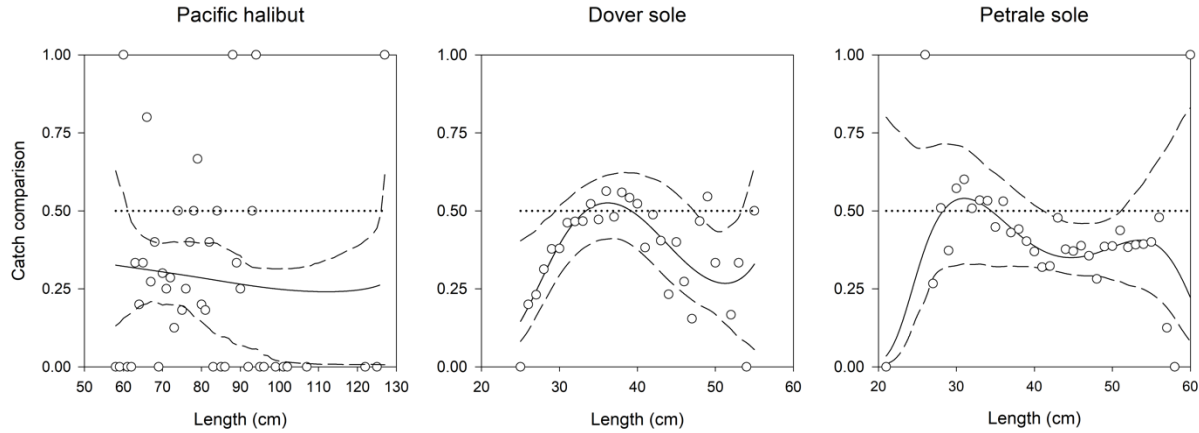


Figure 5. Mean catch comparison curves for Pacific halibut, Dover sole, and petrale sole between the illuminated and non-illuminated trawl. Circles are the observed data; fitted solid lines are the modeled values; dashed lines are 95% CIs; dotted straight lines depict the baseline catch comparison proportion of 0.5 indicating equal catch rates between the two trawls.

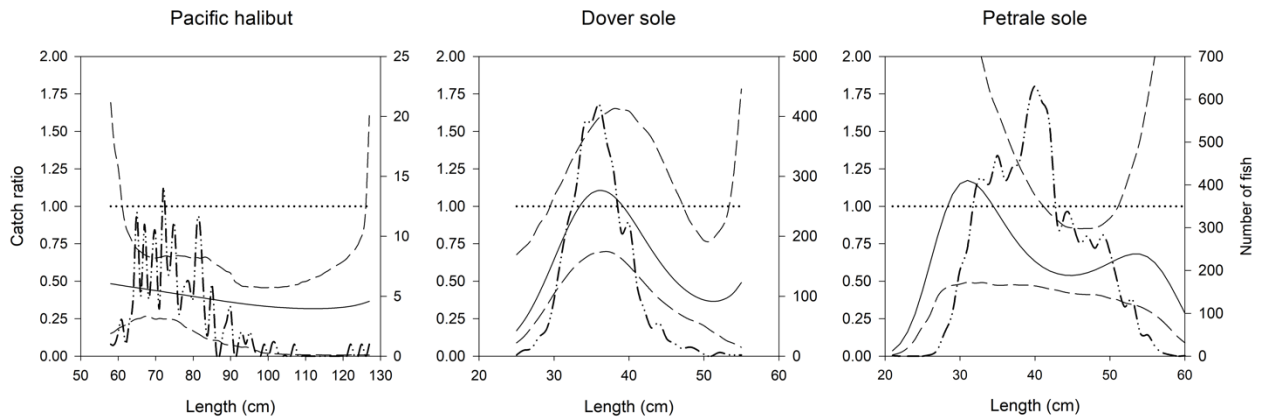


Figure 6. Mean catch ratio curves for Pacific halibut, Dover sole, and petrale sole between the illuminated and non-illuminated trawl. Fitted solid lines are the modeled values; dashed lines are 95% CIs; dash-dot lines are number of fish caught; dotted straight lines depict the baseline catch ratio of 1.0 indicating equal catch efficiencies between the two trawls.

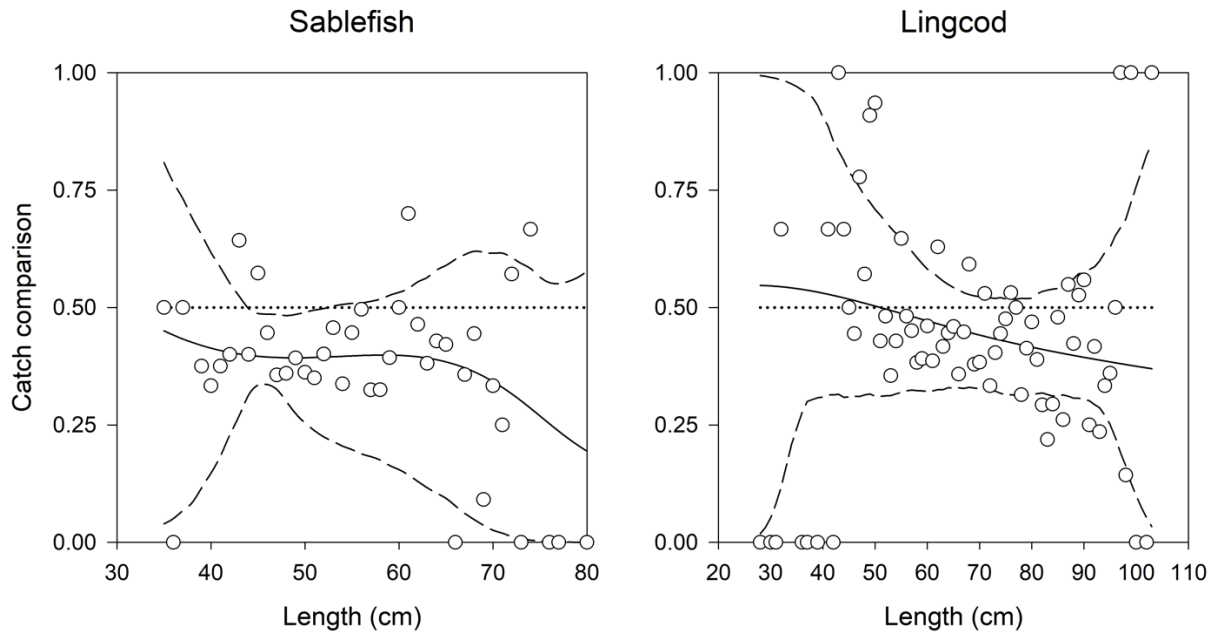


Figure 7. Mean catch comparison curves for sablefish, and lingcod between the illuminated and non-illuminated trawl. Circles are the observed data; fitted solid lines are the modeled values; dashed lines are 95% CIs; dotted straight lines depict the baseline catch comparison proportion of 0.5 indicating equal catch rates between the two trawls.

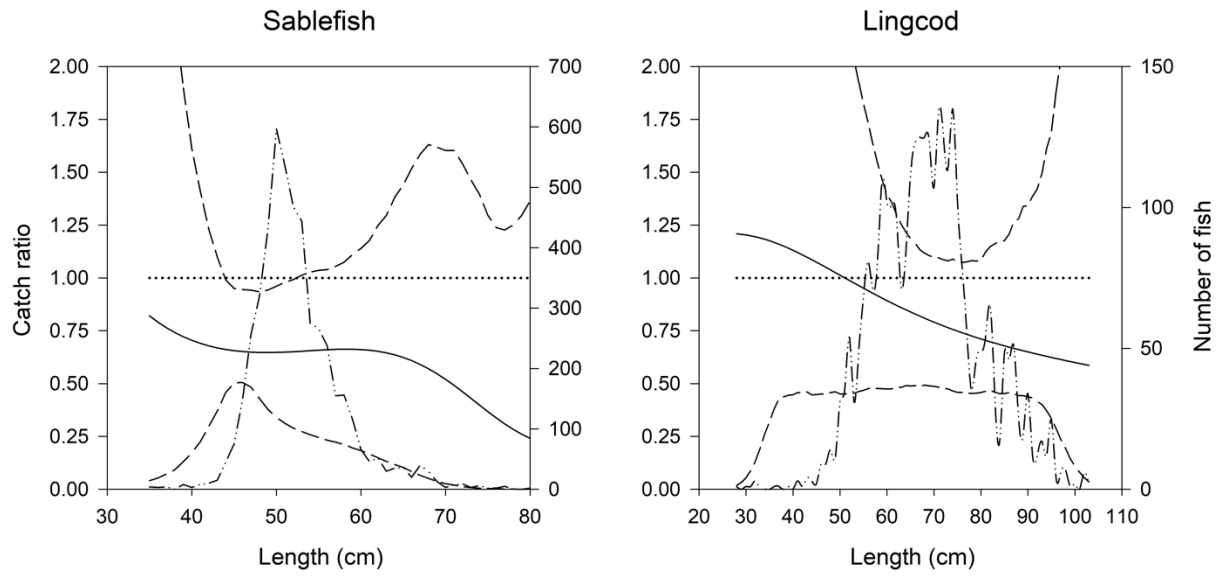


Figure 8. Mean catch ratio curves for sablefish, and lingcod between the illuminated and non-illuminated trawl. Fitted solid lines are the modeled values; dashed lines are 95% CIs; dash-dot lines are number of fish caught; dotted straight lines depict the baseline catch ratio rate of 1.0 indicating equal catch efficiencies between the two trawls.

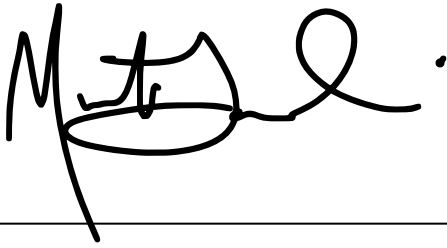
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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Mark J.M. Lomeli

A handwritten signature in black ink, appearing to read 'Mark J.M. Lomeli', enclosed within a rectangular box. The signature is stylized and cursive.