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Root formation and metallurgical challenges in laser beam and laser-arc hybrid welding of thick structural steel

Ivan Bunaziv¹ · Cato Dørum² · Steen Erik Nielsen³ · Pasi Suikkanen⁴ · Xiaobo Ren¹ · Bård Nyhus¹ · Magnus Eriksson¹ · Odd M. Akselsen¹

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Abstract

Single-pass laser beam welding (LBW) of steel components with wall thickness of > 10 mm is of high interest due to enhanced productivity. Deep penetration LBW provides excessive hardness and certain quality issues such as root humping in flat position, which is associated with disability of surface tension to sustain melt dropout. High hardness is associated with fast cooling rates and shortage of filler wire transportation to the root of the fusion zone. Use of laser-arc hybrid welding (LAHW) can promote acicular ferrite by adding filler metal and additional heat input from the arc. However, LAHW may promote humping and adjustment of many parameters is required hindering its application. In this work, a 16 kW disk laser was used in butt welding of 12 mm and 15 mm thick plates with different bevelling geometries. Root humping occurred within a wide range of process parameters providing good quality of root by using zero air gap regardless bevelling geometry. Welding of 15 mm plates was more challenging, and the process was sensitive even with a slight parameter change. Improved results were achieved with application of small air gap. Acceptable hardness in both weld metal and heat affected zone (< 290 HV) was achieved for both plate thicknesses providing good toughness of minimum 27 J at -50° C.

Keywords Laser welding · Hybrid welding · High strength steel · Mechanical properties · Toughness

1 Introduction

Steel plates with thickness of > 10 mm are widely used in a variety of industries like shipbuilding, oil and gas, and construction. Laser beam welding (LBW) and laser-arc hybrid welding (LAHW) are excellent alternative methods to traditional arc welding due to their productivity [1–3]. However, LBW/LAHW may suffer from high hardness [2, 4], root imperfections as humping/sagging due to full penetration mode [5–7], and solidification cracking [8, 9], which may result in

☑ Ivan Bunaziv ivan.bunaziv@sintef.no

¹ SINTEF Industry, P.O. Box 4760, Torgarden, NO-7465 Trondheim, Norway

- ² Norwegian Public Roads Administration, Bridge Section, Hamar, Norway
- ³ FORCE Technology, Park Allé 345, DK-2605 Brøndby, Denmark
- ⁴ SSAB Europe Oy, Rautaruukintie 155, FI-92100 Raahe, Finland

low heat affected zone (HAZ) and weld metal (WM) toughness. Excessive hardness can be mitigated by preheating [2, 10] but it may be expensive and thus should be avoided. Additional heat from the arc as provided in LAHW can assist in high hardness mitigation [2, 11]. Humping or melt dropping at root is challenging in full penetration single-pass welding case due to (i) complex physics of surface tension forces acting on molten metal and balance with counteracting gravity force, (ii) high temperatures and temperature dependent viscosity of molten metal, (iii) fluctuating pressures inside the keyhole [12–14] affecting keyhole geometry fluctuations [15], and (*iv*) high molten metal speeds flowing downwards to keyhole exit [16, 17]. Humping issue in single-pass LBW/ LAHW gained particular interest in recently. Haug et al. [5] demonstrated that after reaching full penetration threshold in 10 mm carbon steel using autogenous LBW, melt dropping occurred and with subsequent increase laser power, good root quality can be obtained only at specific beam power level with 1 kW bandwidth. Further increase of laser power led to molten metal ejection from root side and to cutting-like process. Pan et al. [18] showed similar root formation trend and process

window was expanded by using CO₂ shielding gas instead of Ar+CO₂ mixture providing more favorable melt flows to prevent dropping using LAHW. Later, the same phenomenon was noted by Frostevarg [6] and surface tensional forces were identified as the main factor in redirecting melt flow to prevent humping. Moreover, possible reasons more in-depth were discussed with solutions to these challenges. The negative focal point position and faster welding speeds was found to mitigate humping with stably keyhole conditions in LAHW of 12 mm stainless steel according to Zhang et al. [19]. The recoil pressure, surface tension, and gravity were identified as main forces to be involved in humping development during experimental and numerical studies in single-pass autogenous LBW of 12 mm thick stainless steel [20]. Tang et al. [21] showed that LAHW using leading arc (laser beam is behind) setup provided less humping due to smaller droplet size and geometry of weld pool reducing ability of surface tension to sustain dropout in case of LAHW of 12 mm thick carbon steel. As a result, humping is very intricate phenomenon and still not well understood, especially in LAHW due to many parameters involved. Thus, more studies should be done on this issue, especially for thicker than 10 to 12 mm steel plates.

The present work is a part of the technology qualification for the longest fjord crossing bridge in Norway (called Bjørnafjorden which is 5 km long), the Ferry Free E39 road. First attempts have been made for butt welds without bevelling of plates in the previous work using 12 and 15 mm thick steel [22]. It has been shown that optimized parameters by repeating experiments did not provide the same quality over large lengths. This raises a problem of process robustness which is a critical factor for industry. The main two challenges must be resolved which are acceptable geometry of weld shape and microstructure. These both factors affect mechanical properties. The objective of this work is to make systematic variations in welding parameters for two different plate thicknesses with bevelling angles and establish a robust LAHW which can be applied in the prefabrication of bridge components.

The results confirmed that when parameters are optimized, the toughness of the welds is sufficiently high providing minimum 27 J at low temperature (-50° C). Hardness values were lower than 290 HV for 12 mm thick plates and 310 HV for 15 mm thick plates, respectively. Humping-free welds were much easier to obtain for 12 mm than for 15 mm thick plates where humping was persistent even using small root face (3 mm) with bevelling. Robust process parameters were established with consistent quality over significant length. Application of air gap in case of 15 mm thick was positive in reducing humping as well as larger laser-arc interdistance. As a result, 12 mm thick plates can be successfully joined with the use of LAHW as tandem process with single-pass technique offering improved productivity with good mechanical properties. Fifteen millimeter thick plates still are challenging to join and further optimization of process parameters and groove re-design is required.

2 Methodology

2.1 Materials

The steel plates were 12 and 15 mm in thickness and cut to dimensions of 500 mm × 150 mm. The base metal (BM) is thermomechanically rolled steel with banded ferrite-pearlite microstructure providing toughness of 40 J at -60° C. A commercial 1.2 mm solid wire was selected, Mn-Si-alloyed G4Si1 wire according to ISO 14341 [23]. The steel and filler wire chemical compositions are outlined in Table 1. The base plate P_{cm} carbon equivalent value is 0.25 ($P_{cm} < 0.14$ wt.% C, $P_{cm} = C + Si/30 + (Mn + Cu + Cr)/20 + Ni/60 + Mo/15 + V/10 + 5B)$, while the CE_{IIW} equivalent is 0.41 (CE_{IIW} for low alloy steels, $CE_{IIW} = C + Mn/6 + (Cr + Mo + V)/5 + (Cu + Ni)/15$) representing good weldability.

The base metal has minimum specified yield strength of 420 MPa ($R_{p0.2}$) and ultimate tensile strength of 520–680 MPa (R_m). The solid filler wire provides yield and tensile strength of 420–460 MPa and 500–680 MPa, respectively.

2.2 Equipment and setup

A continuous wave 16 kW disk laser (TruDisk16002 TRUMPF) with random polarization was used with 200 μ m fiber core diameter, 8 mm·mrad beam parameter product (*BPP*), and 1030 nm wavelength. The focal length was 600 mm and the spot size of 300 μ m in diameter (as measured). The welding setup is shown in Fig. 1. The laser beam had inclination angle of 7° from normal to eliminate high back reflections. A gas metal arc welding (GMAW) torch was tilted by 75° from the welding surface. The welds were deposited with an articulated robot. The filler wire stick-out was 20±2 mm, and the shielding gas composition was 80% Ar + 20 % CO₂ for LAHW and pure Ar for LBW with the flow rate of 25 l/min.

Two different Y-shaped bevelling geometries were used as shown in Fig. 2. LBW and LAHW were used for the root, and

 Table 1
 Chemical composition (wt.%) of base metal and wire

Material	С	Si	Mn	Р	S	Fe
Base metal1 Wire2	0.14 0.10	0.50 1.00	1.60 1.70	0.020 0.025	0.015 0.025	Bal. Bal.

 1 Mill certificate values, small amounts of Nb, V, and Ti (<0.05 wt.%) are present

² Nominal values according to manufacturer's datasheet



Fig. 1 Experimental welding setup

filling pass (second upper layer) as made by LAHW using lower beam power. A spray droplet transfer mode (nonpulsed) was chosen. Machined edges were used with fine surface quality, surface roughness (R_a) of 0.8 µm. Run-in and run-out plates were tack welded to the plates to keep the air gap constant. The sidewalls were cleaned with acetone to remove grease prior to welding.

2.3 Process variables

The main variables were laser beam power (P_L), welding speed (v_s), laser-arc distance (D_{LA}), wire feed rate (WFR), focal point position (FPP), air gap, and arc position (AP).

Fig. 2 Bevelling of grooves: **a** 20° bevelling angle in total with 6 mm root face (*1.X* series); **b** 10° bevelling angle in total with 3 mm root face (*2.X* series); **c** 20° bevelling angle in total with 6 mm root face (*3.X* series); and **d** 10° bevelling angle in total with 3 mm root face (*4.X* series)

Calculation formula of heat inputs (Q_L for the laser, Q_A for the arc, Q_H for the LAHW) can be found in [9]. LAHW has a vast amount of welding parameters; thus, performing full factorial experiments is very costly. With each experimental run, one variable was adjusted subsequently. In this way, fewer experiments are needed. The *FPP*, as the main process parameter, was initially changed dynamically over a certain length providing very efficient method to understand its effect.

The employed process parameters for 20° and 10° Ybevelling for 12 mm thick plates are outlined in Tables 2 and 3, respectively. In the case of 15 mm thick plates, parameters for 20° and 10° Y-bevelling are shown in Tables 4 and 5, respectively.

2.4 Mechanical properties testing and metallurgical characterization

The Charpy impact toughness test was performed according to ISO 9016 [24] with standard specimen dimensions of $55 \times 10 \times 10$ mm³ and V-notch type geometry. Three test series were included; (*i*) the notch located at the weld metal (WM) center covering both the arc and laser zones, and (*ii*) the fusion line (FL) of the laser zone (where the fusion line is straight and nearly perpendicular to the plate thickness direction). The samples were cut transverse to the welding direction with the parallel fracture path. All tests were performed at -50 °C, using three parallels for each notch position. The locations of extracted specimens with the V-notch positions are shown in Fig. 3. The placement of the V-notch is challenging in case of FL. Therefore, etching was performed before placement of the notch.

Metallographic studies were performed according to the ISO 17639 [25] standard. Etching was carried out in a 2% nital solution for 5 s. Macrographs were examined with optical microscope for microstructure characterization.



Weld no.	Laser power (kW)	Welding speed v_s (m/min)	D_{LA} (mm)	Arc position	WFR (m/min)	FPP (mm)	Heat input (kJ/mm)		
							Q_L	Q_A	Q_H
1.T1	12	1.0	-	PL	-	$0 \rightarrow +50$	0.50	-	0.50
1.T2	16	1.5	-	PL	-	$0 \rightarrow +50$	0.45	-	0.45
1.1	16	1.5	-	PL	-	+30	0.45	-	0.45
Fill1	3	0.5	15	Trailing	9.0	+30	0.25	0.78	1.03
1.2	16	1.5	15	Trailing	8.0	+30	0.45	0.20	0.64
1.3	16	1.5	30	Trailing	16.0	+30	0.45	0.46	0.91
1.4	16	1.5	30	Trailing	16.0	+30	0.45	0.50	0.95

 Table 2
 Welding parameters for 12 mm thick plates with 20° bevelling angle. PL is laser pulling. Air gap was constant at 0 mm

¹ LAHW was used as second pass for filling material to provide a slight reinforcement on top

Measurements of Vickers microhardness ($HV_{0.5}$) were conducted with a 500 gf load according to the ISO 22826 [26] standard.

3 Results and discussion

3.1 Welding of 12 mm thick plates

3.1.1 Welds with 20° bevelling angle

Preliminary experiments were performed with variation of the focal point position from 0 mm (the smallest spot diameter of 300 µm was located on plate surface) to +50 mm (defocused laser beam with larger spot diameter) by using autogenous LBW (without arc). Initially, a laser beam power of 12 kW and welding speed of 1.0 m/min were chosen (Weld No. 1.T1). The root appearance is shown in Fig. 4. For an FPP value between 0 and 5 mm, there is strong melt ejections due to excessive laser power intensity. Good root quality was achieved at a defocusing distance of 15-25(+) mm. At larger defocusing distances (FPP > 30 mm), the humping and then the lack of penetration (at $FPP \approx 40 \text{ mm}$) occur due to larger spot diameter at the surface, and thus lower power intensity. This trend agrees with other researchers [6, 18, 27]. There is a possible process window, characterized by a smooth root appearance with a slight reinforcement, when there is a balance

between laser power and *FPP* to obtain full penetration and the occurrence of melt ejections. The process physics with explanations is shown in Fig. 5.

To increase productivity, the laser power was increased to 16 kW with welding speed of 1.5 m/min; see Fig. 6 (Weld No. 1.T2). High root quality was produced at a defocused distance (or *FPP*) of 20–30 mm. Considering that it provides 50% increase in productivity, the remaining experiments were performed at +30 mm focal point position using the same welding speed of 1.5 m/min. Large defocusing distance was used due to extensive focal length and small spot diameter of the used disk laser having low *BPP* (see Section 2.2).

The experiment with +30 mm focal position was repeated for welding of 0.5 m long plates and the root appearance is shown in Fig. 7 (Weld No. 1.1). The root had inconsistent quality with strong melt ejections in the beginning of the weld. A good root was formed in the second part of the plate. Such behavior might be related to variation of air gap between the plates or process stabilization issues. Thus, run-in and run-out plates should be used to avoid such conditions.

Single-pass LAHW was applied with the same parameters as Weld No. 1.1, using 16 kW and with 8 m/min *WFR* (wire feed rate) with trailing arc configuration (15 mm D_{LA}) (see Fig. 1 for terminology). A strong humping was observed because of the arc influence on process stability; see Fig. 7 (Weld No. 1.2). This is related to unfavorable effect on melt

 Table 3
 Welding parameters for 12 mm thick plates with 10° bevelling angle. PL is laser pulling

Weld no.	Laser power (kW)	Welding speed v_s (m/min)	D_{LA} (mm)	Arc position	WFR (m/min)	Air gap (mm)	FPP (mm)	Heat input (kJ/mm		J/mm)
								Q_L	Q_A	Q_H
2.T1	16	1.5	-	PL	-	0.0	$0 \rightarrow +50$	0.45	-	0.45
2.T2	16	2.0	-	PL	-	0.4	$0 \rightarrow +50$	0.34	-	0.34
2.1	16	1.5	30	Trailing	16.0	0.0	+30	0.45	0.46	0.91
2.2	16	1.5	30	Trailing	20.0	0.0	+30	0.45	0.53	0.98
2.3	16	1.5	30	Trailing	20.0	0.0	+30	0.45	0.53	0.98

Table 4 Welding parameters for15 mm thick plates with 20°bevelling angle

Weld no.	Laser	Welding	D_{LA}	Arc position	WFR (m/min)	Air	FPP	Heat input (kJ/mm)		
	power (kW)	speed v_s (m/min)	(mm)			gap (mm)	(mm)	Q_L	Q_A	Q_H
3.1	7	1.5	5	Trailing	4.0	0.0	0	0.20	0.09	0.29
3.2	10	1.5	5	Trailing	4.0	0.0	0	0.28	0.09	0.37
3.3	10	1.5	15	Trailing	4.0	0.0	0	0.28	0.09	0.37
3.4	10	1.5	5	Trailing	4.02	0.0	0	0.28	0.09	0.37
3.5	7	1.5	5	Leading	8.0	0.4	0	0.20	0.20	0.40
3.6	7	2.0	5	Leading	10.0	0.4	0	0.15	0.24	0.38
3.7	10	2.0	5	Leading	10.0	0.4	0	0.21	0.24	0.45
Fill1	3	0.5	5	Trailing	10.0	fill	0	0.25	0.95	1.20
3.8	11	2.0	5	Leading	10.0	0.4	0	0.23	0.24	0.47
Fill1	3	0.5	5	Trailing	10.0	fill	0	0.25	0.95	1.20
3.9	16	1.0	15	Trailing	4.0	0.0	+42	0.67	0.14	0.81
3.10	16	1.0	15	Trailing	2.0	0.0	+42	0.67	0.06	0.74
3.11	16	1.2	15	Trailing	2.0	0.0	+42	0.56	0.05	0.61
3.12	16	1.4	15	Trailing	2.0	0.0	+42	0.48	0.05	0.53

¹LAHW was used as second pass for filling material to provide a slight reinforcement on top

² Pulsed arc was used to test its effect on humping

flows providing strong momentum in the root [22] with poor process control due to keyhole instability. The same parameters were repeated for another 0.5 m long plate with significant adjustments in WFR reaching 16 m/min to form larger weld reinforcement. Moreover, larger laser-arc separation distance $(30 \text{ mm } D_{LA})$ was applied to reduce arc and laser beam interaction. As a result, good root quality was produced; see Fig. 7 (Weld No. 1.3). Considering high welding speed (1.5 m/min) in single-pass was applied, this could be a good potential for high productivity enhancement. However, the weld cap had poor quality with spattering due to unstable arc since the arc welding may not work at such high welding speeds. The typical welding speed for GMAW is 0.3-0.5 m/min depending on required WFR. Experiment was repeated and almost identical root quality was achieved (see Fig. 7, Weld No. 1.4) except two sporadic humps occurred in the end of weld. Thus, careful assessment of welds should be performed in production.

3.1.2 Welds with 10° bevelling angle

For Y-bevelling with 10° (20° in total) angle, the same LBW parameters (16 kW power) as Weld No. 1.T2 were applied with dynamic variation in the focal point distance; see Fig. 8 (Weld No. 2.T1). The root had good quality at similar defocusing distance, 25-30(+) mm. Compared to Weld No. 1.T2, high quality root was achieved at longer defocusing distances.

In case of 3.X series, the root face was 3 mm (instead of 6 mm as for 1.X series); thus, less material needs to be penetrated. Therefore, it is reasonable to increase the welding speed even further. A slight air gap was also employed to ensure full penetration depth. The root appearance is shown in Fig. 9 (Weld No. 2.T2). Poor root quality was achieved at any defocusing distance. This indicates that air gap did not provide benefits for autogenous LBW and adversely affected root quality due to instability related to lack of metal.

Table 5	Welding parameters for
15 mm th	ick plates with 10°
bevelling	angle, air gap = 0.0 mm,
and FPP :	= 0 mm as constant
parameter	s

Weld	Laser power	Welding speed v_s (m/min)	D _{LA} (mm)	Arc	WFR (m/min)	Heat input (kJ/mm)			
no.	(KW)			position		Q_L	Q_A	Q_H	
4.1	12	1.5	10	-	-	0.34	-	0.34	
4.2	12	1.5	10	Leading	2.0	0.34	0.04	0.38	
4.3	10	1.5	10	Leading	2.0	0.28	0.04	0.32	
4.4	10	1.5	10	Trailing	2.0	0.28	0.04	0.32	
4.5	10	1.5	5	Trailing	4.0	0.28	0.09	0.37	
4.6	7	1.5	5	Trailing	4.0	0.20	0.09	0.29	

Fig. 3 Extraction of Charpy specimens and V-notch location for **a** 12 mm thick steel and **b** 15 mm thick steel



Since better root quality was provided with zero air gap and slower travel speed (1.5 m/min), the same parameter set was applied for welding full length of plates (0.5 m) with arc having 16 m/min WFR; see Fig. 10 (Weld No. 2.1). Inconsistent root quality was produced with severe melt ejection process in the beginning of weld which is related to the absence of the arc source (trailing arc with 30 mm D_{LA}). Hereafter, good root quality with reinforcement was produced. This might be related to mass balance during transient welding, where higher portion of the molten metal is pushed forward to the end of the weld. This clearly proves that run-in and run-out plates are compulsory in production to eliminate these problems. Still, the weld cap had stability issues with spattering due to nonoptimized arc source parameters. An increase of the WFR may provide more stable condition.

For the next experimental runs, the weld is conducted with the same parameters to test the reproducibility with slightly increased arc *WFR* (to 20 m/min); see Fig. 10 (both Weld No. 2.2 and 2.3). The root quality had better consistency regarding the start and end of the weld, with a slight root reinforcement. Note that a slight *WFR* increase produced less spattering on weld cap.

3.2 Welding of 15 mm thick plates

3.2.1 Welds with 20° bevelling angle

The initial experiments were conducted with lower beam power of 7 kW, 1.5 m/min welding speed, and trailing arc with 4 m/min WFR. Incomplete penetration occurred due to low beam intensity. Full penetration was achieved with 10 kW laser power with some humping; see Fig. 11, Weld No. 3.2. Short laser-arc distance (5 mm) had negative effect on weld pool stability generating high flow velocity promoting humping. Subsequently, laser-arc distance was increased from 5 to 15 mm. Full penetration was achieved with some spattering in the root due to strong melt ejections (Fig. 11, Weld No. 3.3). On top, the wire melting process was unstable resulting in poor quality of weld cap. The same parameters as for Weld No. 3.2 were applied but with pulsed arc, but no improvement in quality was achieved; see Fig. 11 (Weld No. 3.4). It seems that the laser power (10 kW) was too high for the present case with small root face of 3 mm and 0 mm FPP. A reduction in the laser power will inevitably produce humping (see Fig. 5).



Fig. 4 Weld seam appearances of Weld No. 1.T1 with dynamic variation of focal point position. Constant parameters: $P_L = 12$ kW, $v_s = 1.0$ m/min, and 0.0 mm air gap

Fig. 5 Root formation modes (bandwidth) with different laser beam intensity controlled by dynamic focal point position variation



In the next experiments, 0.4 mm air gap between the plates was implemented to mitigate the humping issue by reducing the pressure at the keyhole exit. A leading arc is preferable for air gap setup since extra molten material is required to sustain the keyhole. Otherwise, most of the laser beam will go through the plates and no weld may be formed, especially at large D_{LA} . Application of parameters from Weld No. 3.1 (7 kW at 1.5 m/min welding speed) with leading arc (8 m/ min WFR) provided severe humping; see Fig. 12 (Weld No. 3.5). This clearly indicates a harmful effect of the arc added to laser beam within short D_{LA} . Further, the laser power was increased to 10 kW and full penetration was achieved but some humping generated; see Fig. 12 (Weld No. 3.7). Further, an increase in the laser power to 11 kW provided acceptable root quality (see Weld No. 3.8). Here, a slight increase of laser power was also positive for improved root quality as for autogenous LBW, which may be related to more favorable melt flows.

The next experimental runs were performed with 16 kW laser power with significantly defocused laser beam (+42 mm

FPP) and zero air gap setup. Such large defocusing provides larger laser beam spot diameter (see Fig. 5). High laser power may provide lower hardness due to the wider fusion zone and slower cooling rates. Excessive humping was produced when using 1.0 m/min travel speed, 15 mm D_{LA} , and 4.0 m/min WFR for trailing arc (see Fig. 13, Weld No. 3.9). In a slight reduction in WFR from 4 to 2 m/min, the humping was significantly reduced, Weld No. 3.10. However, the root quality was still not acceptable. Such behavior indicates that even very slight adjustment of the parameters can lead to different results and demonstrates that the LAHW process is highly sensitive. With an increase of welding speed from 1.0 to 1.2 m/min, humping was marginally mitigated; see Weld No. 3.11. A further increase of welding speed to 1.4 m/min resulted in inconsistent root quality with variation in reinforcement height of weld seam; see Weld No. 3.12. At this point, it becomes clear that there is insufficient laser power density to form an acceptable root, as indicated in Fig. 5, and further increase of lase power was not possible. When considering the large width of the root, it is possible that humping occurs



Fig. 6 Weld seam appearances of Weld No. 1.T2 with dynamic variation of focal point position. Constant parameters: $P_L = 16$ kW, $v_s = 1.5$ m/min, and 0.0 mm air gap

Fig. 7 Weld seam appearances of Welds No. 1.1–1.4. Constant parameters: $P_L = 16$ kW, $v_s = 1.5$ m/min, 0.0 mm air gap, and *FPP* + 30 mm



mainly due to the gravity force and low surface tension [1, 28, 29].

3.2.2 Welds with 10° bevelling angle

For initial experimental runs with decreased angle and 3 mm root face, 12 kW laser power at 1.5 m/min welding speed was applied. Such high density of laser beam provided strong melt ejections; see Fig. 14 (Weld No. 4.1). The arc with low *WFR* marginally improved the quality of the root (Weld No. 4.2). Lower laser power of 10 kW (Weld No. 4.3) did not improve the root quality. The use of trailing arc caused weld pool instability which generated poor quality welds (Weld No. 4.4). A reduced laser-arc distance and a slight increase in *WFR* (to 4 m/min) again provided insufficient quality of the

root. This observation strongly supports the process physics phenomenon proposed in Fig. 5 and significant challenges to obtain high quality root for single-pass welding of 15 mm thick steel.

3.3 Discussion on root quality

Based on experimental results provided in subchapters 3.1 and 3.2, the root quality in welding of 12 mm thick plates had higher quality with much wider process window compared to 15 mm thick plates. The bevelling angle had low influence showing high tolerance to preparations of the plates. For 12 mm thick plates, high root quality was achieved with 0.45 kJ/mm laser heat input and > 15 mm laser-arc distance. The humping is decreasing with increase laser-arc distance



Fig. 8 Weld seam appearances of Weld No. 2.T1 with dynamic variation of focal point position. Constant parameters: $P_L = 16$ kW, $v_s = 1.5$ m/min, and 0.0 mm air gap



Fig. 9 Weld seam appearances of Weld No. 2.T2 with dynamic variation of focal point position. Constant parameters: $P_L = 16$ kW, $v_s = 2.0$ m/min, and 0.4 mm air gap

which is related decrease of melt flows velocities [22] and lower negative interaction between the processes [30, 31]. Autogenous LBW provided better keyhole stability compared to LAHW; thus, higher quality of the root may be achieved. Optimized parameters for 12 mm thick plates showed high robustness and can be repeatable for long welds.

In case of 15 mm thick plates, a wide range of heat input in combination with different laser-arc distance, air gap, arc position, and FPP was tested. A leading arc with small air gap provided improved root quality with increased laser beam power. With defocused laser beam (positive FPP), too wide root width and too low beam intensity were observed, with an associated humping since it cannot shift towards melt ejection keyhole mode (see Fig. 5). The width of the root of the fusion zone had low importance, since Weld No. 3.9 had 2-3 times larger width than Weld No. 3.5 due to lower heat (from laser beam) input accordingly. It may be possible that melt flow dynamics, surface tension, and solidification conditions can be more important to redirect melt flows and counteract gravity forces to reduce melt dropout. The process physics of root formation conditions is presented in Fig. 15, including a comparison of the present study with published results. To the authors' knowledge, single-pass keyhole welding of 15 mm thick steel plates cannot be found among publications, especially related to root formation phenomena based on the weld length. Therefore, smaller thickness than 15 mm thick plate was selected for comparison. In fact, due to Y-bevelling, effective thickness of the plates supposed to be slightly reduced and may be compared to 11 to 12 mm thick plates. However, it is important to note that Y-bevelling is not the same as straight I-bevelling since the laser beam may be sensitive to surface conditions [32, 33]. As main observation, after reaching the full penetration, humping or dropout occurred. A further increase in the laser beam power provides smooth root appearance with a slight reinforcement. Subsequent increase in laser power provides melt ejection mode generating underfill/ undercuts in the root area since portion of base metal is expulsed trough keyhole bottom. The present case with carbon steel and 15 mm thickness has a very narrow process window. This may be related to the thickness of the plates where high power is needed to penetrate the whole plate. High power laser is connected to faster downward melt flows at the keyhole front wall [17, 34] as molten humps having a complex topology [16, 35], higher temperatures [36], and more vigorous vapor plume oscillations and velocities [14, 37]. The latter case is very special. It is well known that the keyhole is more



Fig. 10 Weld seam appearances of Weld No. 2.1–2.3. Constant parameters: $P_L = 16$ kW, $v_s = 2.0$ m/min, 0.0 mm air gap, and FPP +30 mm





stable at lower power levels with lower density of the vapor plume, called as weakly ionized plasma [38]. At higher power levels, this ionized plasma is significantly more dense [39], resulting in lower penetration depth. Significantly lesser vapor plume is achieved at reduced ambient pressure conditions [40], resembling electron beam welding in vacuum. As a result, much higher penetration depth can be achieved with significantly improved keyhole stability [41]. Jiang et al. [42] obtained significantly wider process window at 0.1 kPa pressure in single-pass welding of 10 mm thick high-strength steel. Reisgen et al. [3] showed that good root quality can be achieved even in welding of 50 mm thick steel plates with single-pass using only 16 kW disk laser power (in vacuum, pressure at 0.1 kPa) at 0.25 m/min welding speed. Researchers from TRUMPF GmbH published results [43] showing a promising equipment with improved coaxial shielding during LBW providing smooth root quality, while conventional shielding conditions provided humping. In fact, such studies are very rarely published. Hence, the application of LBW/ LAHW under atmospheric conditions requires a proper selection of shielding gas and welding conditions. Moreover, based on results from Fig. 15, the chemical composition of the BM and shielding gas is important. The effect of filler wire should also be considered since it can influence on the physical properties of the molten metal during solidification with surface tension probably being the most influential during root formation [6, 29].

The optimization guidelines for LAHW can be developed and shown in Fig. 16. The dynamic variation in focal point position is an excellent method to find the balance between the laser beam intensity and the welding speed. Then the arc source may be added. However, further optimization of parameters, including arc source parameters, is required. A special attention is required for the air gap which must be constant



Fig. 12 Appearances of Weld No. 3.5, 3.7, and 3.8. Constant parameters: FPP = 0 mm and 0.4 mm air gap

Fig. 13 Appearances of Weld No. 3.9-3.12. Constant parameters: FPP = +42 mm, 0.0 mm air gap and trailing arc position



during production. It may alternate due to distortions and other production errors. Therefore, a proper heavy fixturing system and tack welding are required as well as control of heat input per pass. Our studies indicate that 0 mm air gap is recommended and easier to implement. LBW and LAHW can tolerate some deviation in air gap but it may provide incomplete penetration if it is decreasing or sagging if it is increasing. Some guidelines are provided in literature [44–46]. If the LAHW with close proximity of heat sources fails, a tandem process should be used. The tandem process is viable option since filler from the arc may positively affect the root microstructure. There is a weak interaction between the laser beam





Fig. 15 Comparison of present study case a with published results from b, c TRUMPF GmbH [5, 27] and d, e Osaka University [18]. *IP* is incomplete penetration, *H* is humping mode, *GR* is bandwidth providing good (acceptable) root quality, and *ME* is melt ejection mode. N/A means not reported. Red vertical lines mean approximate location of penetration threshold



and the arc by employing a tandem process. At critically high welding speeds, an unstable arc may occur providing poor quality of welds [47].

3.4 Microstructure and hardness

Macrosections from good quality welds were selected to study the cross-section weld appearance, microstructure, hardness, and Charpy-V toughness (at -50° C). The macrosections are shown in Fig. 17. The welds had sound quality without porosity and cracking. Some porosity was observed for Weld No. 3.8 during filling pass with LAHW. This indicates that the arc welding parameters requires only minor further adjustments by increasing the current or increasing laser power (for filling pass) to have deeper penetration, and voltage for wider arc to melt edges properly to avoid porosity.

Hardness measurements, based on ISO 6507-1 [48], were performed for 12 mm thick plate with high heat input ($Q_H =$ 0.91 kJ/mm) and for 15 mm thick plates with low heat input ($Q_H = 0.47$ kJ/mm for root). The results shown in Fig. 18 reveal that the hardness level in the arc part of the weld metal was very low (~230 HV) which is only slightly higher than the BM which has 180 HV. The HAZ of the arc part has also low hardness of ~260 HV. Moreover, the laser zone had also low hardness for both WM and HAZ (see Weld No. 2.1). This is positive for qualification of single-pass LAHW. The reason for low hardness even for the laser zone is that relatively high heat input was used ($Q_H = 0.91$ kJ/mm) providing prolonged cooling rate. In the case of 15 mm thick plate (Weld No. 3.8), a



Fig. 16 Optimization strategy of process parameters for laser-arc hybrid welding



Fig. 17 Macrosections of selected welds: a 12 mm hick plate Weld No 1.3 with 20° bevelling angle; b 12 mm thick plate Weld No. 2.1 with 10° bevelling angle; and c 15 mm thick plate Weld No. 3.8

maximum hardness of 313 HV (from individual ident) was measured in the HAZ close to the fusion line, while the average hardness was 307 HV. In WM, the hardness was low (< 300 HV). The high maximum hardness here is in agreement with the low heat input employed (0.47 kJ/mm). As a result, the filling pass provided tempering of the HAZ.

In the upper part of the welds with single-pass LAHW (e.g., Weld No. 2.1) or filler pass from the arc (e.g. Weld No. 3.8), a fine-grained acicular ferrite was developed regardless of welding parameters due to low dilution (high amount of added filler metal), as shown in Fig. 19. Weld No 2.1 had some coarse bainitic plates due to lower heat input and faster cooling rate.

In the laser part of the weld, favorable microstructure was developed with mixture of acicular ferrite and upper bainite with coarse platelets in WM in the case of 12 mm plate with single-pass LAHW (Weld No 2.1); see Fig. 20. For the 15 mm plate (Weld No. 3.8), more bainite with finer platelets was developed due to two times lower heat input than Weld No. 2.1 resulting in much faster cooling rates.

The microstructure in the coarse grain heat affected zone (CGHAZ) is shown in Fig. 21 with different magnifications. In the upper part (the arc zone), similar microstructure was developed regardless of welding parameters. However, larger prior austenite grains were formed with two-pass weld (Weld No. 3.8) due to high heat input from filling pass. The microstructure consisted mainly of upper bainite with some lath martensite. In the root area (laser zone), much larger prior austenite grain size was developed with predominantly upper bainite microstructure for higher heat input (Weld No. 2.1). The filling pass for Weld No. 3.8 had no effect on HAZ grain size in root area since most of heat was accumulated on the

Filling pass

 $Q_I = 0.25 \text{ kJ/mm} + Q_A = 0.95 \text{ kJ/mm}$

Fig. 18 Hardness results of a 12 mm thick plate with high heat input (Weld No. 2.1) and b 15 mm thick plates with low heat input (Weld No. 3.8)





Single pass: $Q_A = 0.45$ kJ/mm; $Q_L = 0.46$ kJ/mm Filling pass: $Q_A = 0.95$ kJ/mm; $Q_L = 0.25$ kJ/mm

Fig. 19 Microstructure evolution in weld metal of arc zone in case of 12 mm thick plates Weld No. 2.1 (on the left) and 15 mm thick plates Weld No. 3.8 (on the right)

top. For low heat input (Weld No. 3.8), the austenite grains were smaller containing more martensite due to faster cooling rates.

3.5 Charpy V-notch toughness results

The Charpy test results are shown in Fig. 22. Welds No. 1.3 and 2.1 provided similar toughness since the same heat input was applied. Both WM and FL provided higher toughness than the minimum acceptable 27 J at -50° C. The average toughness was slightly higher for FL than in WM. By inspection of macrophotos of Charpy specimens (see Fig. 23), the fracture did not deviate from FL/BM to adjacent areas in most of the cases. However, high toughness was obtained for FL providing 95 J due to fracture path deviation into the base metal (see Fig. 23). In the case of 15 mm thick plates, higher toughness was observed in WM. However, more unfavorable microstructure was developed in the laser zone (Weld No. 3.8) with higher amount of martensite (see Fig. 21) providing higher hardness (290 HV in WM and 310 in HAZ; see Fig. 18). It is important to note that the V-notch includes both the laser and arc zone (see Fig. 3); thus, the arc zone will also contribute to the total impact energy. Weld No. 3.8 had twopass welding with high heat input from filling pass (1.20 kJ/ mm; see Table 4). Therefore, the root area was tempered due to the subsequent arc heat input (the martensite was subjected to some tempering). This possibly explains the higher toughness observed. Moreover, based on Fig. 20, smaller grains and platelets were produced providing higher toughness compared to single-pass weld (Weld No. 1.3 and 2.1). In addition, the arc zone microstructure for Weld No. 3.8 had much lower amount of coarse bainite (see Fig. 19), which also contributed to higher toughness. In case of FL, all welds regardless of heat inputs had similar toughness.



Single pass: $Q_A = 0.45$ kJ/mm; $Q_L = 0.46$ kJ/mmRoot pass: $Q_A = 0.24$ kJ/mm; $Q_L = 0.23$ kJ/mmFig. 20Microstructure evolution in weld metal of laser zone of 12 mm thick Weld No. 2.1 (on the left) and 15 mm thick Weld No. 3.8 (on the right)





Weld No. 2.1 Q_A = 0.45 kJ/mm; Q_L = 0.46 kJ/mm

Weld No. 3.8 Q_A = 0.24 kJ/mm; Q_L = 0.23 kJ/mm





Fig. 23 Macrophotos of Charpy V-notch specimens after test

4 Conclusions

Based on the experimental work carried out the following conclusions can be drawn:

- Twelve millimeter thick plates were successfully welded with acceptable root quality with single-pass technique providing high production rates. Dynamic focal spot position testing is effective in finding suitable parameters, and optimal parameters are 0 mm *FPP*, 2 m/min welding speed, and 10-11 kW laser beam power.
- Fifteen millimeter thick plate welding with both autogenous LBW and LAHW was challenging with both bevelling geometries. Acceptable root quality was produced by using 11 kW laser beam power, 2.0 m/min welding speed, 5 mm laser-arc interdistance, 0 mm *FPP*, and using leading arc. Application of small air gap (0.4 mm) was beneficial to reduce humping.
- With increase of sheet thickness, more laser power is required to penetrate the plate. Therefore, there are higher temperatures and faster downward melt flows at keyhole front wall, which is responsible for unstable root formation, e.g., humping. In this case, surface tension forces are not sufficient to sustain the drop out during processing.
- Larger bevelling angles can reduce effective thickness; thus, lower laser power is needed to achieve full penetration with more stable welding conditions.
- Root formation modes are clearly defined. As soon as there is enough laser beam density to penetration a whole plate, the humping is formed. With further increase of laser power, the humping is changing to flatter root with acceptable quality regardless thickness of the plate used. Melt ejection mode develops with further increase of laser power resembling cutting process frequently forming underfills and undercuts in root.

- Two-pass welding for 15 mm thick plates provided high toughness in WM. This was attributed to tempering effect from filling pass with high heat input. However, it may provide higher distortions.
- Good toughness at -50 °C with minimum of 27 J can be achieved in wide range of heat inputs 0.47–0.91 kJ/mm.

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Data availability The authors confirm that the data supporting the findings of this study are available within the article. Derived data supporting the findings of this study are available from the corresponding author on request.

Declarations

Ethical approval All authors confirm that they follow all ethical guidelines.

Consent to participate The authors declare that they consent to participate this paper.

Consent to publish The authors declare that they consent to publish this paper and agrees with the publication.

Conflicts of interest The authors declare no competing interest.

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