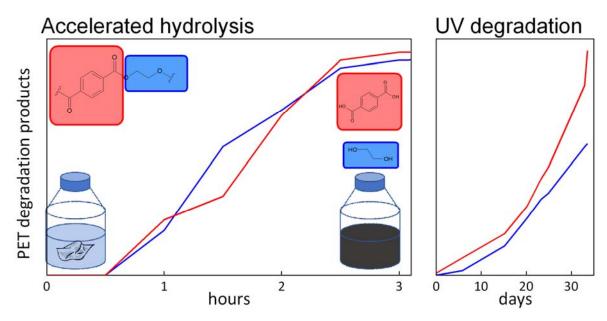
| 1 | Accelerated hydrolysis method for producing partially degraded |
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| 2 | polyester microplastic fiber reference materials |
| 3 | |
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14 TOC ART



16 ABSTRACT

17 Microplastic fibers (MPFs) from textiles contribute significantly to the microplastic (MP) load 18 in many environmental matrices and have been shown to negatively impact the organisms 19 therein. Most fate and effect studies to date rely on pristine reference MP materials that have 20 limited relevance compared to the partially degraded MP particles and fibers typically present 21 in the natural environment. The current study aimed to develop and validate a rapid method to 22 generate environmentally relevant polyester (PET) MPF reference material with controllable 23 levels of degradation. Importantly, the method produced the same degradation products 24 (terephthalic acid (TA) and ethylene glycol (EG)) as those generated during natural UV (sunlight) exposure of PET. Alkaline hydrolysis provided linearly increasing degrees of 25 26 degraded PET MPFs over just a few hours, with full decomposition into molecular fragments 27 occurring after 3 hours. The extent of physical degradation was determined by scanning electron 28 microscopy, while chemical degradation was quantified by measuring the production of TA and 29 EG degradation products. The proposed accelerated hydrolysis degradation method is relevant 30 for producing partially degraded PET MPF reference materials for use in fate and effect studies. 31

32 KEYWORDS: microfibers, UV degradation, degradation products, ethylene glycol,

33 terephthalic acid, LC-MS

35 INTRODUCTION

Microplastic fibers (MPFs) derived from synthetic textiles and other sources represent a 36 significant proportion of the microplastic (MP) load in many environmental matrices¹⁻³ and 37 have been shown to have negative impacts on aquatic organisms^{4, 5}. However, the majority of 38 39 studies investigating the fate and effects of MP particles have employed commercially available pristine spherical reference materials, limiting the relevance of the data produced^{6,7}. As a result, 40 41 some recent studies have explored ways to produce more environmentally relevant MP reference materials^{1-3, 8}. For example, marine litter can be cryomilled to produce irregular 42 shaped fragments with complex surface morphologies and chemistries⁸. As cryomilling of 43 44 MPFs results in a loss of the fiber shape, techniques such as manual cutting (fibers >1 mm) or microtome (fibers <1 mm) are necessary⁹. However, this still produces reference materials that 45 46 do not reflect the partially degraded nature of MPs and MPFs in the natural environment. This 47 is important when trying to assess the risks associated with MP pollution, as degradation 48 processes alter both the physical and chemical properties of plastic materials and may influence 49 their environment fate and potential bioavailability and effects on organisms.

50

51 UV-induced oxidation (photodegradation) is the most effective degradation mechanism for many plastic materials released into the natural environment^{10, 11}, resulting in the formation of 52 53 hydroxy, carbonyl, and carboxy groups on the particle surface, as well as cracking and fragmentation¹¹⁻¹⁵. These physicochemical changes are important when trying to assess the risks 54 55 associated with MP pollution, as they may influence MPF environment fate, bioavailability and effects on organisms^{6, 16-18}. However, photodegradation of polymers is still very slow under 56 typical environmental conditions^{10, 19-21}. Accelerated degradation using artificial UV exposures 57 58 in the laboratory, which is a widely accepted approach, can thus take weeks to months for 59 significant degradation to occur, is costly to operate and is not necessarily able to reproduce natural UV degradation process accurately¹⁹. There is, therefore, a need for accelerated
degradation methods that allow rapid and controlled simulation of environmental degradation
mechanisms for the production of partially degraded MP and MPF reference materials^{6, 22}.
These need to be quick, cost effective, reproducible, and with an acceptable degree of
environmental relevance in terms of the degradation process and degradation products.

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In this study, we demonstrate a fast and cheap hydrolytic degradation method for producing 66 67 environmentally relevant, partially degraded polyester (PET) MPFs reference materials for use 68 in fate and effects studies. Temperature and pH were systematically varied to identify conditions 69 resulting in near complete degradation of the polyester MPFs within 3 hours. The degree of 70 degradation was quantitatively determined by measuring the PET degradation products 71 terephthalic acid (TA) and ethylene glycol (EG), allowing specific levels of degradation to be 72 achieved. Scanning electron microscopy (SEM) imaging was used to visualize the degree of 73 physical modification resulting from degradation process. Finally, the environmental relevance 74 of the accelerated hydrolysis method was verified by comparing the hydrolysed PET and 75 degradation products to PET MPFs exposed to UV irradiation in seawater.

76

77 MATERIALS AND METHODS

78 Materials and chemicals

PET fleece material used to produce consumer clothing products was supplied by a commercial garment producer (Helly Hansen, Norway). All chemicals were purchased from Merck or Sigma-Aldrich. MPFs were carefully removed from the textile using a scalpel and stored in glass vials prior to use. Deionized water was of MilliPore® MilliQ quality. Natural seawater was collected from 90 m depth in Trondheimsfjorden, Norway (63°26'N, 10°24'E), filtered to remove coarse particles and subjected to sterile filtration (0.22 µm Sterivex®) prior to use in
the experiments (see SI for more details).

86

87 Accelerated hydrolytic degradation method development

88 Two pre-studies investigated the influence of temperature (60-90 °C) and pH (1-14) on the 89 hydrolysis process. Hydrolysis of the PET MPFs was determined gravimetrically in the pre-90 studies and the method is described in the Supplementary Information (SI). For all exposures, 91 200 mg of PET MPFs were introduced in glass bottles containing 25 mL aqueous solution of 92 NaOH (10 % NaOH; pH 14.4) and hydrolysis was conducted at 90 °C using an oil bath. Samples 93 were collected and subjected to analysis after 0, 0.5, 1, 1.5, 2, 2.5, 3, 6 and 24 h. A full overview 94 of the experimental matrix is presented in Table S1. Upon sampling, the hydrolysis reaction 95 was quenched by transferring the bottles immediately to an ice water bath, as studies showed 96 the reaction rate is significantly reduced at low temperatures (Figure S1). To isolate the fibers 97 for SEM imaging, the samples were filtered using a paper filter with pore size 20-25 µm 98 (Whatman). After the filtration, the fiber samples were left on the filter, rinsed with deionized 99 water, and dried overnight at 80 °C. Samples were stored at -20 °C until analysis.

100

101 UV degradation validation

102 UV degradation of PET fibers was performed using a Suntest CPS+ (Atlas Material Testing 103 Solutions) equipped with a xenon UV lamp (1500 W) and fitted with a natural daylight filter. 104 PET fibers were mixed into MilliQ or seawater at a concentration of 8 mg mL⁻¹ and placed into 105 35 mL quartz tubes with glass stoppers. Irradiation was conducted at 65 W/m² and the 106 temperature in the exposure chamber was maintained at 24 ± 3 °C. Sampling was performed 107 ca. once per week for a period of 32 days and 250 µL aliquots were directly stored at -20 °C in 108 the dark. The 32-day exposure period corresponded to ~304 days (10 months) of UV exposure based on mean European solar irradiance (details in SI). Prior to sampling, the quartz tubes were shaken, after which 250 μ L was removed and filtered through a micropipette tip filter prior to analysis for EG and TA degradation products.

112

113 Determination of ethylene glycol and terephthalic acid by LC-UV-MS/MS

114 For analysis, EG was derivatized with benzoyl chloride as previously described²³. 115 Derivatization was accomplished by adding 50 µL sample, 100 µL 4 M NaOH and 50 µL 116 benzoyl chloride to 250 µL with deionized water. The reaction was vortexed and incubated at 117 room temperature for 5 min. Next, the reaction was quenched by adding 50 µL 10% glycine 118 and incubating for 3 min at room temperature. The dibenzoyl derivate was then extracted with 119 1 mL pentane. Phase separation was accomplished by centrifugation at 10 000 xg for 5 min, 120 and the organic phase was evaporated under nitrogen at 50 °C. The dried extract was dissolved 121 in 800 µL 10 mM ammonium formate (pH 2.8) in 50% acetonitrile. Derivatized samples were 122 diluted 500-fold prior to analysis. TA was analyzed without derivatization by diluting samples 123 100-fold prior to analysis.

124

125 Samples were analyzed on an Agilent 1260 HPLC system equipped with a variable wavelength 126 detector coupled to a 4670 triple quadrupole mass spectrometer equipped with an electrospray 127 ion source (Agilent Technologies, Santa Clara, CA, USA). The HPLC column (ZORBAX 128 Eclipse Plus C18 2.1x50 mm, 1.7 µm particle size, Agilent Technologies) was kept at 25 °C 129 and the injection volume was 10 µL. The mobile phase consisted of 10 mM ammonium formate 130 pH 2.8 (A) and acetonitrile containing 0.1 % formic acid (B). The 7.5 min HPLC program for 131 EG was as follows: start at 10% B, ramp to 90% B by 3 min, hold until 3.5 min, and ramp to 132 10% B by 3.6 min. The EG derivate was detected by MS using the following mass transitions 133 in positive ionization mode: $m/z \ 271.1 \rightarrow 149$ (quantifier) and $m/z \ 271 \rightarrow 105.1$ (qualifier).

The 6.5 min HPLC program for TA was as follows: start at 10% B, ramp to 90% B by 2 min, hold until 2.5 min, and ramp to 10% B by 2.6 min. TA was detected by UV absorption at 254 nm and peak identity was confirmed by MS using the following mass transition in negative mode: $m/z 165 \rightarrow 121.1$.

138

139 Scanning electron microscopy

Prior to analysis, MPFs from both the accelerated hydrolysis studies and the UV exposure studies were mounted on double sided tape and coated in a thin layer (5-10 nm) of evaporated gold to make them conductive. MPF imaging was performed (1000x magnification) using a FEI Nova Nano SEM 650 scanning electron microscope (SEM), operated in high vacuum mode at accelerating voltages of 5 kV, with an emission current of 90 pA and at a working distance of 8 mm.

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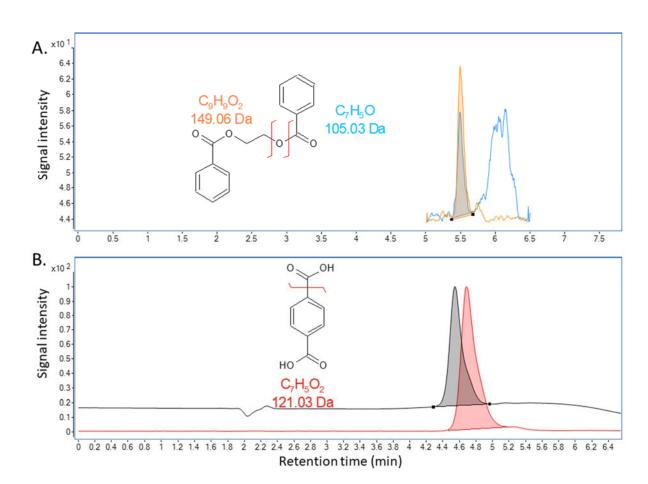
147 RESULTS AND DISCUSSION

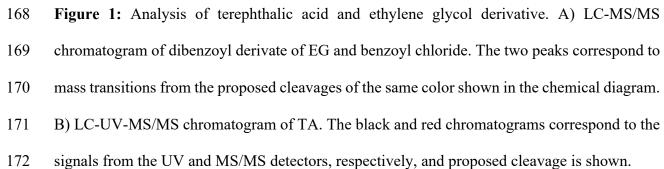
148 **TA and EG assay**

149 PET degradation by alkaline hydrolysis leads to the formation of its constitutive components TA and EG (the latter in the form of disodium terephthalate salt)²⁴. Thus, we established 150 151 protocols to measure both by HPLC with a combination of UV and MS detection. EG detection 152 is potentiated by derivatization using benzovl chloride (Schotten-Baumann reaction) and extracted with pentane^{23, 25}. Two mass transitions were used to detect and quantify EG, and the 153 154 quantification limit (LoQ) for the assay was 2.5 pg (corresponding to 0.5 ng mL⁻¹, Figure 1A). 155 UV detection was also achievable at 237 nm, but the sensitivity was three orders of magnitude 156 lower and an unidentified co-eluting peak hindered accurate quantification. TA could be 157 quantified directly (*i.e.* without extraction or derivatization) in the water phase. Our experiments 158 were performed in seawater or water containing a high (3.5%) NaCl concentration, neither of

which are amenable to electrospray mass spectrometry. We therefore used UV detection at 254 nm for TA quantification, using the MS to confirm the identity of the TA peak (Figure 1B). The LoQ for TA detection with UV was 250 pg (corresponding to 50 ng mL⁻¹). More sensitive MS detection can be used if samples are in MilliQ and/or desalted using *e.g.* solid phase extraction columns. For both compounds, a stable-isotope-labelled internal standard can also be used to enable absolute quantification using mass spectrometry, especially when working with low concentrations.







174 Accelerated hydrolytic degradation method development

175 Alkaline hydrolysis is used as a softening process in the production of PET fabrics²⁶ and can 176 also be used in the chemical recycling of PET to its synthetic components TA and EG^{24, 27}. To 177 optimize alkaline hydrolysis, we tested PET degradation at different pH values and 178 temperatures. An aqueous solution at pH 14.4 (10 % NaOH) was found to be most effective at 179 hydrolyzing the PET MPFs, with lower pH values not resulting in any significant hydrolysis. A 180 temperature of 90°C was observed to reduce the hydrolysis time significantly compared to 60 181 and 70°C, with >90% degradation after 3 h (Figure S1) and complete degradation after 24 h.

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183 Having established a method to rapidly fully degrade PET MPF (24 h), the PET fibers were 184 subjected to alkaline hydrolysis over time to determine whether they are suitable for use as 185 partially degraded MPF reference materials. Physical and chemical degradation was studied 186 using a combination of SEM, gravimetry and LC-UV-MS/MS (Figure 1, Figure S2). SEM 187 analysis showed superficial fiber degradation occurs after 30 min, with significant structural 188 damage observable after 1 h. After 6 h, substantial structural degradation had occurred, and no 189 material was detected after 24 h (Figure 2A). LC-MS/MS analysis of PET degradation products 190 similarly showed a linear time-dependent increase in both TA and EG degradation products that 191 plateaued after 2.5 to 3 h, increasing slightly at 24 h (Figure 2B). This was inversely mirrored 192 when measuring undissolved PET gravimetrically (Figure 2B). We suggest that by 6 h, the 193 fibers are largely degraded into a mixture of monomers (*i.e.* EG and TA) and short water-soluble 194 polymers, although some heavily degraded PET fibers remain detectable by SEM at this point. 195 Full hydrolysis of the water-soluble polymers into the final degradation products occurs by 24 196 h and no fibers are visible by SEM imaging. Thus, alkaline hydrolysis of PET fibers can be 197 used to generate reference material of partially degraded MPF by attenuating hydrolysis time.

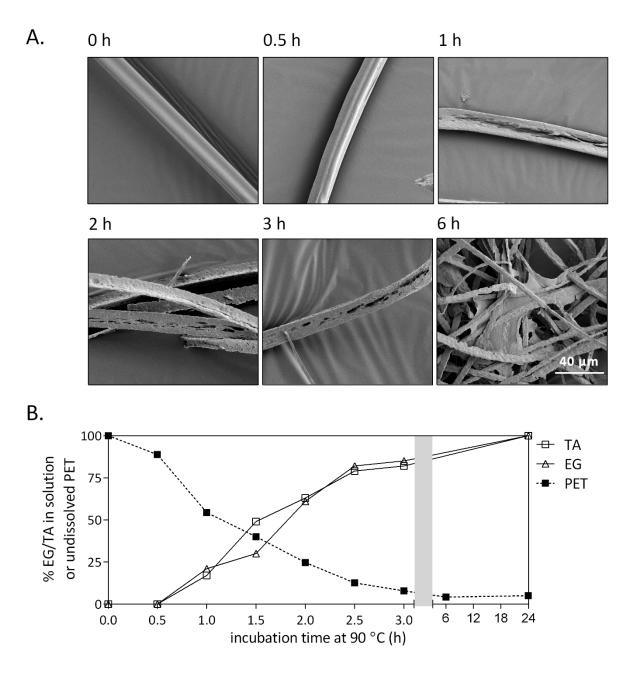


Figure 2: Accelerated hydrolysis fully degrades PET. A) SEM images of PET subjected to accelerated hydrolysis (1000x magnification). B) TA and EG measured by LC-UV-MS/MS plotted with undissolved PET determined gravimetrically during accelerated hydrolysis. The grey bar represents a change in the time increment on the x-axis from 0.5 h to 6 h.

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206 Comparison of hydrolysis and UV degradation

207 To determine the environmental relevance of the partially degraded PET MPF reference 208 material, we confirmed whether PET degradation by alkaline hydrolysis resembles UV-209 degradation that occurs in the environment. To this end, we exposed PET fibers in seawater to 210 simulated sunlight and measured the generation of EG and TA as described above. Small holes 211 were observed in the fibers exposed to UV after ~33 days (Figure 3A), suggesting the onset of 212 physical changes to the PET MPFs. In extended UV exposure studies, the PET fibers exhibited 213 significant changes in their surface morphology after 5 months, which grew even more 214 pronounced after 10 months of UV exposure (corresponding to 7.5 years under natural 215 environmental conditions). These results are consistent with the findings in our recent study 216 investigating the UV degradation of multiple synthetic fiber types.²⁸ Importantly, both the UV 217 exposure and the accelerated hydrolysis approaches yield partially degraded PET fibers that 218 exhibit many physical similarities, including a change from a smooth to an irregular surface and 219 the formation of crack and holes along the fiber length.

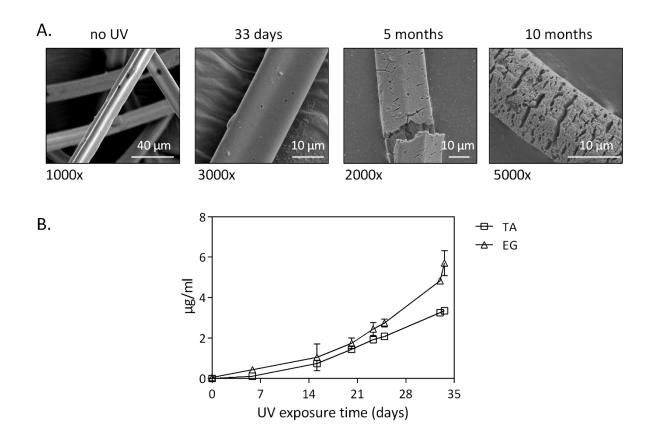




Figure 3: UV-irradiated PET releases terephthalic acid and ethylene glycol. A) SEM images of non-irradiated and UV irradiated PET fibers after 33 days, 5 months and 10 months. B) TA and EG measured by LC-UV-MS/MS.

227 Pristine, hydrolyzed and UV degraded PET MPFs were also analyzed by FTIR to investigate 228 changes in the surface chemistry resulting from the two degradation mechanisms (Figure S3). 229 After 3 h hydrolysis, the PET MPFs were significantly modified physically, but the FTIR 230 spectrum remained almost identical to that of the pristine material (Figure S3a). Similarly, PET 231 MPFs subjected to 10 months UV exposure also underwent physical changes, but no significant 232 differences in surface chemistry were observed (Figure S3b). Although, it could be expected 233 that UV degradation leads to increased oxidation of the fiber surface, the FTIR spectra do not 234 support this. It is suggested that the UV degradation mechanism results in products that rapidly

235 fragment from the main fiber or quickly dissolve into the surrounding aqueous media. The 236 photodegradation of PET has been proposed to occur via chain scission leading to the generation 237 of carboxyl end groups followed by the formation of mono- and dihydroxyterephthalates, carboxyl end groups and aldehydes (Norrish Type I reaction)^{29, 30}. The likely scenario occurring 238 239 is that PET fibers are broken down into polymers or oligomers of different lengths with a variety 240 of chemical end-groups that can spontaneously degrade to soluble EG and TA. Although the 241 ultimate degradation products of PET degraded by UV exposure will vary in composition, we 242 propose that TA and EG can be used to estimate PET degradation. Both TA and EG were 243 measured after only 6 days of *in vitro* UV exposure (corresponding to ~2.3 months of sunlight) and steadily increased throughout the experiment (Figure 3B). Controls incubated in the dark 244 245 at room temperature over the same amount of time contained no measurable EG or TA.

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247 Importantly, the extent and kinetics of the breakdown reaction from intact fibers to the different 248 degradation products warrants further study to determine exactly which products are formed 249 upon UV exposure. We observed a ratio of 0.5 and 3.5 EG moieties per TA for the alkaline 250 hydrolysis and UV degradation experiments, respectively. The expected ratio for pure PET is 251 1, but it is possible that EG was supplemented with other glycols (e.g. polypropylene glycol) or 252 that EG was degraded during alkaline hydrolysis. During the UV degradation, it is likely that 253 hydroxyterephthalates and polymers are released from the fibers upon UV exposure, which 254 would release EG but not generate a corresponding TA. These terephthalate intermediates 255 would break down much more quickly during the alkaline hydrolysis reactions than during UV 256 degradation experiments, explaining the larger EG-to-TA ratio. Thus, the relationship between 257 TA/EG formation, as well as that between alkaline hydrolysis and UV degradation, should be 258 interpreted as proportional relationships and not direct correlations. Nevertheless, the 259 generation of TA and EG by UV exposure of PET confirms that (1) the two compounds can be

used as universal markers of PET degradation and (2) reference materials produced using alkaline hydrolysis can be used to approximate UV degradation. While it is difficult to directly compare the accelerated hydrolysis of the PET fibers with the combination of degradation mechanisms occurring simultaneously under natural environmental conditions (e.g. UV, mechanical and microbial), the method appears to yield comparable physical changes to those caused by UV exposure.

267 The current study outlines a fast (<3 h), cheap and reproducible method for producing partially 268 degraded PET MPF reference materials for use in environmental fate studies. Importantly, the reference materials are similar in nature to partially degraded polyester microfibers generated 269 270 through exposure to UV and the degree of degradation can be accurately controlled depending 271 on the required properties for the final materials. The ability to produce such reference materials 272 is critical for improving our understanding of the fate and impacts of polyester microfibers in 273 the natural environment and represents an important step away from studying pristine reference 274 materials. We conclude that PET degradation can be measured by TA and EG, and by extension 275 that the degree of fiber degradation in our reference material is a valid benchmark for 276 environmental fate studies. As hydrolysis occurs mainly in polymers that have water-sensitive 277 groups in the polymer backbone, such as polyesters (including polyethylene terephthalate), 278 polyanhydrides, polyamides, polyethers and polycarbonates, the method described above has 279 potential application for producing a broad range of partially degraded plastic reference 280 materials.

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285 ASSOCIATED CONTENT

Supporting Information. Summary of preliminary study, a calculation of total UV irradiance exposure, gravimetric determination of PET dissolution and photographic presentation of hydrolysis are provided in the Supporting Information.

289

290 AUTHOR INFORMATION

291 Author Contributions

The manuscript was written through contributions of all authors. All authors have givenapproval to the final version of the manuscript.

294

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303 Notes

304 The authors declare no competing financial interests.

305

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