

1 Effect of copper addition on the cluster formation behavior of Al-Mg-Si,  
2 Al-Zn-Mg and Al-Mg-Ge in the natural aging

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**Abstract**

The time dependent resistivity of Al-Mg-Si(-Cu), Al-Zn-Mg(-Cu) and Al-Mg-Ge(-Cu) alloys are studied over a range of constant temperatures between 255 and 320 K. The resistivity vs. time curves for the samples show three temperature stages associated with solute element-vacancy clustering. Cu addition was found to make the stage transition time longer for the studied samples. Arrhenius plots of the transition time vs. temperature provide the activation energy ( $Q$ ) of clustering from stage I to II and stage II to III. While the Cu addition increased the  $Q$ (I-II) values of Al-1.0%Mg<sub>2</sub>Si-0.20%Cu(-0.35%Cu) and Al-2.68%Zn-3.20%Mg-0.20%Cu, it was found that the added Cu decreased the  $Q$ (I-II) value of Al-0.44%Mg-0.19Ge-0.18%Cu. The  $Q$ (II-III) values of Al-1.0%Mg<sub>2</sub>Si and Al-2.68%Zn-3.20%Mg were slightly decreased by the Cu addition. The different effect of added Cu on the  $Q$  values is discussed in terms of diffusivity and binding energy between vacancies and solute elements.

*Keywords:* time dependent resistivity, Cu addition effect, clustering reaction, activation energy

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## 1. Introduction

It is well known that the mechanical hardness of Al-Mg-Si (6xxx series) [1] and Al-Zn-Mg (7xxx series) [2] alloys are strongly related to microstructure and number densities of solute element precipitates, which are formed during natural aging (NA) and artificial aging (AA) after solution heat treatment (SHT) followed by a quick quench in water. The microstructure of the precipitates has been intensively studied via transmission electron microscopy (TEM) [3-5] and atom probe tomography (APT) [6-8] to reveal the age hardening mechanism. Differential scanning calorimetry (DSC) [9-11] has been widely used to investigate cluster formations and precipitation processes. Positron annihilation lifetime spectroscopy (PALS) [12-14] and muon spin relaxation spectroscopy ( $\mu$ SR) [15-17] have been used to investigate the vacancy and clustering behavior. Despite these studies, the precipitation processes, especially the early stages of clustering at NA, are still not fully understood. Recent comprehensive reviews [1, 18] of solute and trace element effects on the natural aging phenomena suggest that there are at least five stages of clustering at NA in the Al-Mg-Si alloys. The complexity of the precipitation process is due to significant sensitivity of solute clustering kinetics to the solute/trace element concentrations and NA temperatures, which dominate the duration and activation energy of each stage [1].

In the early stages of clustering, vacancies are considered to play an important role. It has been frequently observed that Cu addition to Al-Mg-Si delayed the Si/Mg-vacancy pairing and Si-complex/cluster formation (stage I) [19-21], implying that Cu has a relatively larger binding energy with vacancies in aluminum, and thus it is difficult for solute Si and Mg to cluster and bind to vacancies. In this paper, the effect of Cu addition on the activation energy for cluster formation in Al-Mg-Si(-Cu), Al-Zn-Mg(-Cu) and Al-Mg-Ge(-Cu) alloys is reported via electrical resistivity measurements. The findings are discussed by considering the diffusivity of solute elements and Cu-vacancy binding energy.

## 2. Experimental Procedure

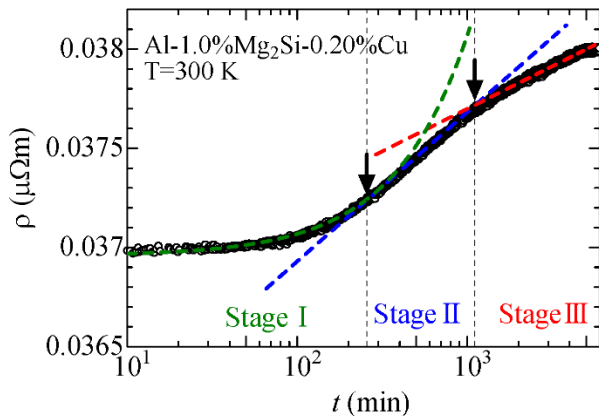
The materials used in this study were prepared by melting pure Al (purity, 99.99 %) with Si and Mg (purity, 99.9 %), Cu and Zn (purity, 99.99 %) in air. The resulting ingots were formed into 2.0 mm thick plates by hot and cold rolling. Several pieces of the samples were cut out from the plate with the approximate dimensions of  $1.0 \times 2.0 \times 30.0 \text{ mm}^3$ . The chemical compositions, sample notations, and heat treatment temperatures are described in Table 1. Four Pt wires were welded on the samples for resistivity measurements. The samples were annealed at 848/753/873 K for 1 hour

1 solution heat treatment and directly quenched into ice-water (SHTQ). The samples were set on the  
 2 sample holder of an electrical resistivity measurement system within five minutes after quenching.  
 3 The time dependent resistivity was continuously measured using a DC current of 100 mA, with the  
 4 samples maintained at a constant temperature between 255 and 330 K for a few days.

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### 6 3. Results

7 Figure 1 shows the time variation of resistivity ( $\rho$ ) of  $\text{Mg}_2\text{Si}_0.2\text{Cu}$  at 300 K. The horizontal axis  
 8 denotes the time ( $t$ ) from SHTQ on a logarithmic scale. It is clear that  $\rho$  varies, firstly in an  
 9 increasing rate (concave shape), then later in a decreasing rate (convex shape) from around  $10^3$   
 10 minutes. Similar time variations are often observed in ternary and quaternary aluminum alloys but  
 11 not observed in pure aluminum or binary Al-Si/Al-Mg aluminum alloys (see supplement). Banhart  
 12 et al. assigned four clustering stages (stage I ~ IV) to the time variation of  $\rho$  in Al-Mg-Si alloys from  
 13 PALS and  $\rho$  measurements [18]. The time variation of  $\rho$  in Figure 1 is corresponding well to their  
 14 assignment for the stages I, II and III. For quantitative discussions, we adopted their method to  
 15 evaluate the stage transition time; the data points up to 250 minutes were fit with a linear function,  
 16 those from 250 to 1100 minutes and from 1100 to 4000 minutes were fit with logarithmic functions:  
 17  $\rho = \rho_0 + \rho^* \log(t)$ , ( $\rho^*$  is defined as a resistivity change coefficient in this paper). The arrows in  
 18 Figure 1 indicate the intersections of the fitted functions, which are considered to be the stage  
 19 boundaries.



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21 Figure 1. Time dependence of electrical resistivity of an Al-1.0% $\text{Mg}_2\text{Si}$ -0.20%Cu alloy at 300 K. The times  
 22 that the electrical resistivity changes occur are marked by the arrows which were determined as the  
 23 intersection points of the two least-square fits.

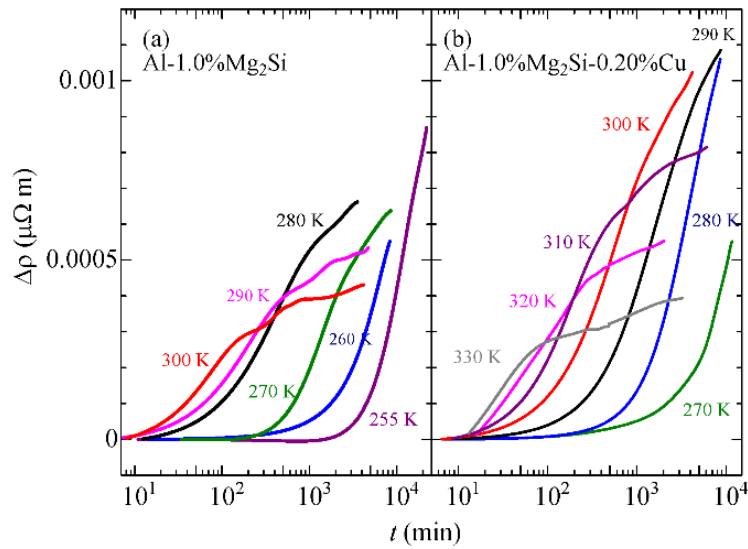
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26 The time dependence of  $\rho$  in  $\text{Mg}_2\text{Si}$  was measured in an isothermal condition with a temperature

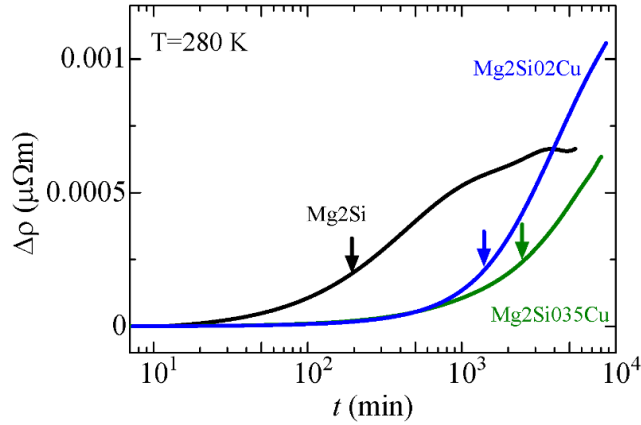
1 between 255 and 300 K. The results of the measurements are shown in Figure 2(a), in which the  
 2 solid lines present the  $\rho$  changes ( $\Delta\rho = \rho - \rho_0$ ,  $\rho_0$ : an averaged value at the beginning) obtained by a  
 3 least square fit of the data to a ninth degrees polynomial function. All lines increased with time.  
 4 The stage transition time, at which  $\rho$  started to increase, was clearly delayed at the lower  
 5 temperatures. Once  $\rho$  increased, however, the increasing rate of  $\rho$  was larger at the lower  
 6 temperatures, and  $\Delta\rho$  at 255 K seems to be maximum among the data lines in Figure 2(a). The  $\Delta\rho$   
 7 vs.  $t$  for Mg<sub>2</sub>SiO<sub>2</sub>Cu is presented in Figure 2(b). Over all appearances of the fitted lines are similar  
 8 to those in Figure 2(a), except for the measuring temperature range which is approximately 30 K  
 9 higher. A comparison of  $\Delta\rho$  in Mg<sub>2</sub>Si, Mg<sub>2</sub>SiO<sub>2</sub>Cu and Mg<sub>2</sub>SiO<sub>3</sub>5Cu at 280 K is given in Figure 3,  
 10 in which it can be seen that the Cu addition clearly prolonged the stage transition time. The arrows  
 11 in Figure 3 are the results of the fitting as explained in Figure 1, corresponding to the transition time  
 12 from the stage I to II, ( $t_{I-II}$ ). Further, the stage transition time of Mg<sub>2</sub>SiO<sub>3</sub>5Cu was longer than that  
 13 of Mg<sub>2</sub>SiO<sub>2</sub>Cu. There are a plenty of studies reporting similar Cu addition effect on the stage  
 14 transition time [1, 21].

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 18 Figure 2. Time dependence of electrical resistivity changes of (a) Al-1.6%Mg<sub>2</sub>Si alloy and (b)  
 19 Al-1.0%Mg<sub>2</sub>Si-0.20%Cu at a constant temperature between 255 and 330K.

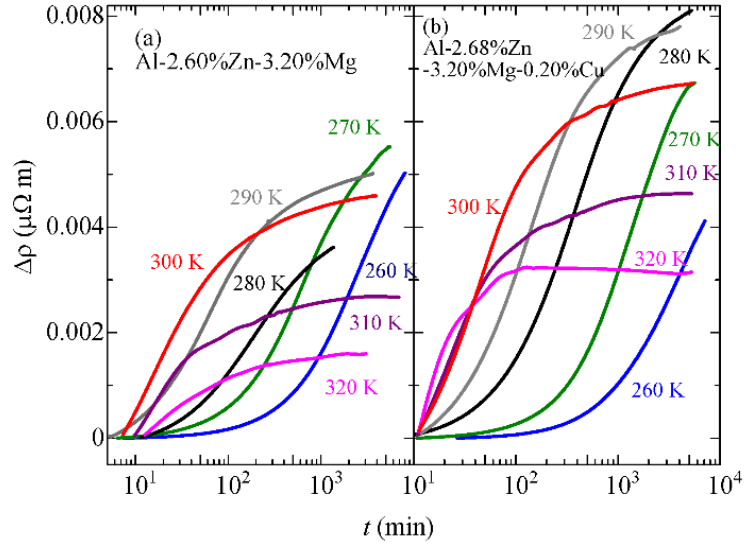
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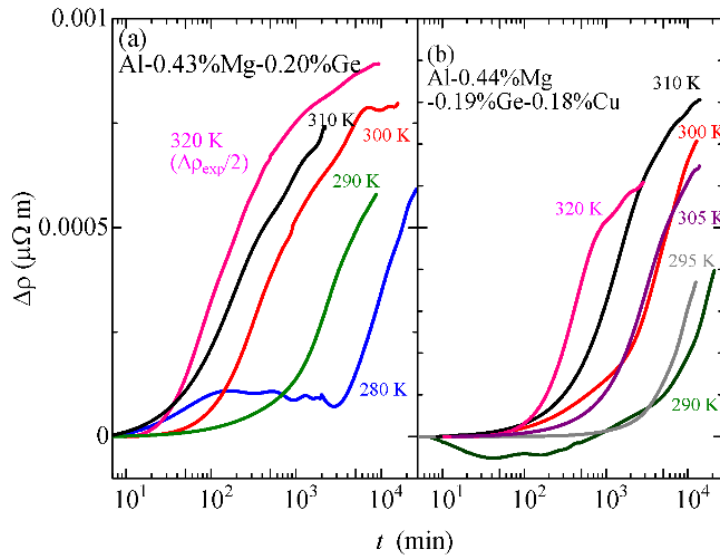
Figure 3. A comparison of the time dependence of electrical resistivity changes of Al-1.0% $\text{Mg}_2\text{Si}$ , Al-1.0% $\text{Mg}_2\text{Si}$ -0.20%Cu and Al-1.0% $\text{Mg}_2\text{Si}$ -0.35%Cu [22] at 280 K.

Figures 4(a) and 4(b) show  $\Delta\rho$  vs.  $t$  for ZnMg and ZnMg0.2Cu, respectively, at temperatures from 260 to 320 K. It is found that the same explanation as that given for  $\text{Mg}_2\text{Si}$  and  $\text{Mg}_2\text{Si}_{0.2}\text{Cu}$  is valid for ZnMg and ZnMg0.2Cu, indicating that  $t_{\text{I-II}}$  was delayed by the Cu addition. Figures 5(a) and 5(b) show  $\Delta\rho$  vs.  $t$  for MgGe and MgGe0.2Cu, respectively. It is worth mentioning that the transition time from stage I to II for MgGe is noticeable even at 320 K in Figure 5(a), where  $\Delta\rho$  values at 320 K were reduced by half for drawing. Some of the  $\rho$  values decreased with time in the early NA period. This different variation of  $\Delta\rho$  vs.  $t$  between Al-Mg-Si(-Cu) and Al-Mg-Ge(-Cu) is possibly ascribed to the different diffusivity of Si and Ge. Addition of Cu to MgGe further prolonged the stage transition time as seen in Figure 5(b). The magnitude of  $\Delta\rho$  in MgGe is larger than that in MgGe0.2Cu for the later NA periods. This is opposite to those in Al-Mg-Si(-Cu) and Al-Zn-Mg(-Cu).



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Figure 4. Time dependence of electrical resistivity changes of (a) Al-2.60%Zn-3.20%Mg and (b) Al-2.68%Zn-3.20%Mg-0.20%Cu at a constant temperature between 260 and 320K.



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Figure 5. Time dependencies of electrical resistivity changes of (a) Al-0.43%Mg-0.20%Ge and (b) Al-0.44%Mg-0.19%Ge-0.18%Cu at a constant temperature between 280 and 320K.

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#### 4. Discussions

The time dependence of  $\rho$  in the present samples indicated that the Cu addition delayed the transition between stages I and II. In the initial clustering stage after SHTQ, quenched-in excess

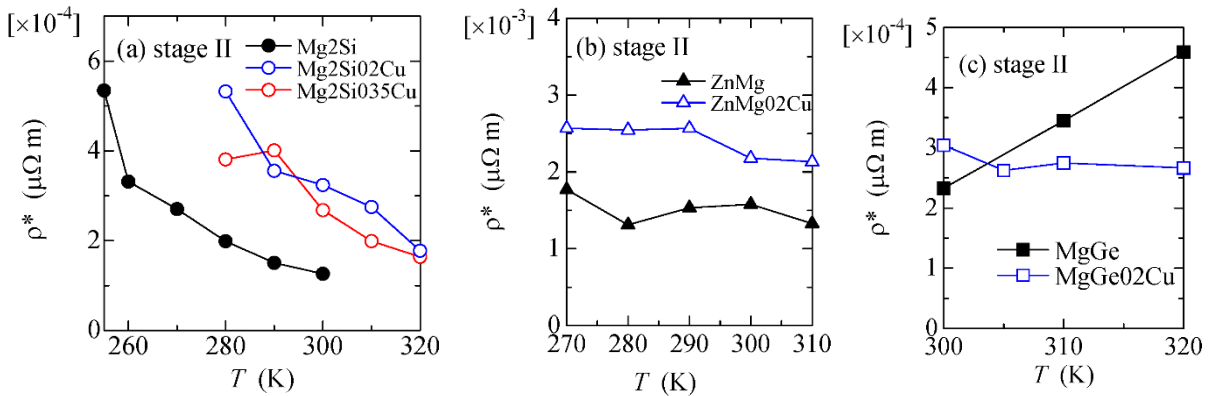
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1 vacancies were caught by Cu atoms, so Si/Zn/Ge atoms were slow to make Si/Zn/Ge-vacancy pairs  
 2 and complexes (stage I), consequently the Si/Zn/Ge-rich clustering (stage II) was prolonged. This  
 3 interpretation is supported by the fact that a larger amount of added Cu made a longer delaying effect  
 4 on the stage transition time as shown in Figure 3.

5 Figure 6(a) shows the resistivity change coefficient ( $\rho^*$ ) in stage II of Mg<sub>2</sub>Si, Mg<sub>2</sub>Si<sub>0.2</sub>Cu and  
 6 Mg<sub>2</sub>Si<sub>0.35</sub>Cu, calculated from the data in Figures 2(a) and 2(b), plus the data in reference [22]. The  
 7  $\rho^*$  are larger at lower NA temperatures. This can be ascribed to the large number density of small  
 8 sized clusters; the slow clustering due to a low temperature resulted in small Si-rich clusters [21]. It  
 9 is interesting that the  $\rho^*$  data points for Mg<sub>2</sub>Si almost overlap with those of Mg<sub>2</sub>Si<sub>0.2</sub>Cu and  
 10 Mg<sub>2</sub>Si<sub>0.35</sub>Cu if they are shift toward the high temperature side by roughly 30 K. This finding  
 11 implies that the added Cu atoms mainly interacted with vacancies, but did not significantly affect the  
 12 Si-rich clustering in the stage II.

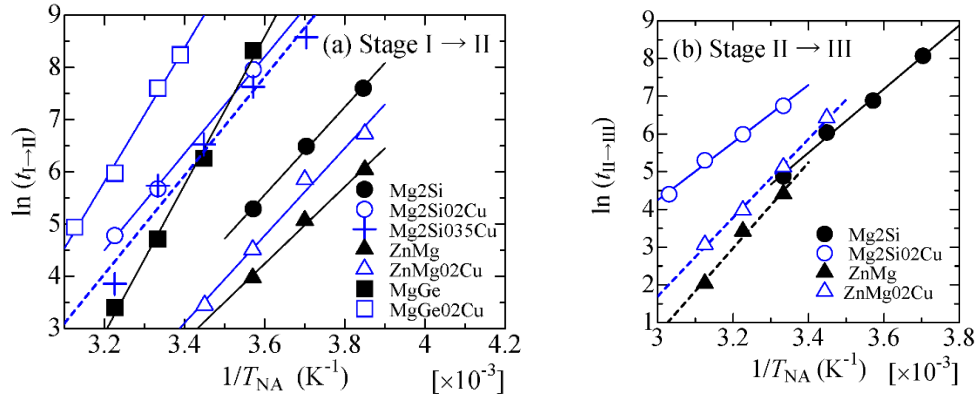
13 The  $\rho^*$  values for ZnMg and ZnMg<sub>0.2</sub>Cu in Figure 6(b) are approximately an order of magnitude  
 14 larger than those in Figure 6(a), due to the high Zn and Mg concentrations. The Cu addition  
 15 definitely increased the  $\rho^*$  further, but the NA temperature dependence is unclear. The Cu addition  
 16 effect on the  $\rho^*$  for Al-Mg-Ge in Figure 6(c) was found to be different from those for Al-Mg-Si and  
 17 Al-Zn-Mg as the Cu addition did not always increase the  $\rho^*$  values.

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 21 Figure 6. Comparison of the resistivity change coefficients of (a) Al-Mg-Si(-Cu), (b) Al-Zn-Mg(-Cu), and (c)  
 22 Al-Mg-Ge(-Cu) samples in the clustering stage II.  
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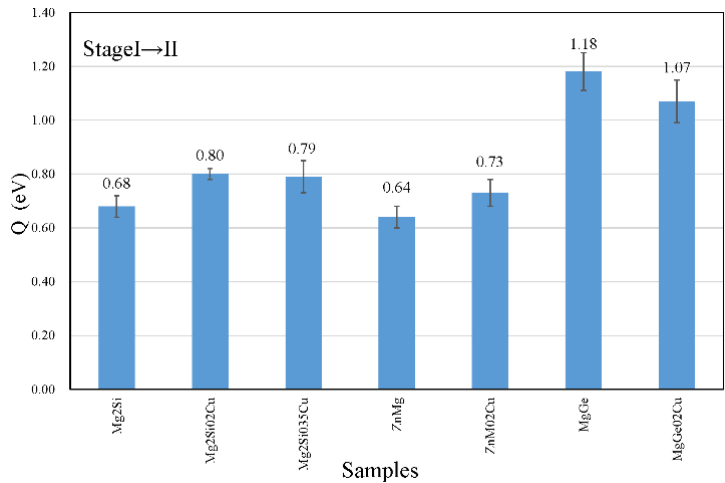


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Figure 7 Arrhenius plots for (a) Al-Mg-Si(-Cu), Al-Zn-Mg(-Cu), and Al-Mg-Ge(-Cu) using the transition times from stage I to II, and (b) Al-Mg-Si(-Cu) and Al-Zn-Mg(-Cu) using the transition times from stage II to III, and natural aging temperatures.

7 For more quantitative discussions about the Cu addition effect, the activation energies for cluster  
8 formation ( $Q$ ) were extracted from the stage transition times. Figures 7(a) (stage I - II) and 7(b)  
9 (stage II - III) present Arrhenius plots of the logarithmic transition time against reciprocal  
10 temperature of NA,  $\ln(t) \sim Q/k_B T_{NA}$ , based on the data in Figures 2 ~ 5 and in reference [22]. Least  
11 square fits of the data yield the activation energy, as drawn in Figures 8 and 9 for the stage I - II and  
12 stage II - III, respectively. In Figure 8, the  $Q$  values were increased by the Cu addition for  
13 Al-Mg-Si and Al-Zn-Mg in the transition between stage I and II. The Cu addition for Al-Mg-Ge,  
14 however, decreased the  $Q$  value in the same transition. Further, in the stage transition II - III, Cu  
15 additions in Al-Mg-Si and Al-Zn-Mg gave a small decrease in the  $Q$  values.

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Figure 8 Activation clustering energy  $Q$  estimated from the Arrhenius plots in Figure 7(a).

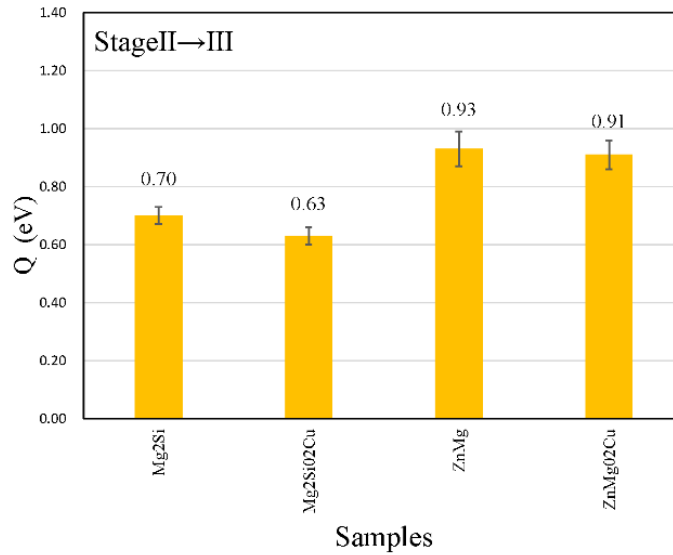


Figure 9 Activation clustering energy  $Q$  estimated from the Arrhenius plots in Figure 7(b).

The observed trends in the  $Q$  values by Cu additions most likely result from the vacancy behavior. Recent density functional theory calculations [21, 23-25] provide the solute-vacancy binding energies ( $E$ ), for Si, Zn, Mg, Cu, and Ge atoms to be  $E(\text{Si-V}) = 0.033$ ,  $E(\text{Zn-V}) = 0.032$ ,  $E(\text{Mg-V}) = 0.026$ ,  $E(\text{Cu-V}) = 0.124$  and  $E(\text{Ge-V}) = 0.053$  eV, respectively. As seen, the binding energy of Cu-vacancy is the largest among the present solute elements. Concerning the diffusivity ( $D$ ), of the solutes, it is generally postulated that Si and Zn diffuse relatively fast with the aid of vacancies in aluminum, but Mg, Ge and Cu are slow to move at a natural aging temperature. A tentative estimation using the parameters for the  $D$  values [26] yield  $D(\text{Si}) \sim 5 \times 10^{-26}$ ,  $D(\text{Zn}) \sim 7 \times 10^{-26}$ ,  $D(\text{Mg}) \sim 2 \times 10^{-26}$ ,  $D(\text{Ge}) \sim 4 \times 10^{-26}$  and  $D(\text{Cu}) \sim 2 \times 10^{-28}$  m<sup>2</sup>/s in aluminum at 300 K, tells that the  $D(\text{Cu})$  value is two orders of magnitude smaller than the others. The solute elements need vacancies to move and form clusters. Immediately after SHTQ, excess vacancies of approximately 100 ppm are considered to be present in aluminum alloys. This concentration is about one hundredth of the solutes. A part of the vacancies will form solute-vacancy pairs, and others will be absorbed in lattice imperfections such as grain boundaries, dislocation loops and impurities.

Based on this Si-vacancy and Mg-vacancy pairs are produced first in Mg<sub>2</sub>Si after SHTQ. During NA in stage I, a mobile Si-vacancy pair will encounter other Si-vacancy pairs, starting to form mobile Si complexes of a few Si atoms, releasing a part of vacancies, which leads to new solute-vacancy pair formations. As the Si complexes grow larger in size and become clusters, the vacancies will have difficulties to escape from the clusters [27]. Consequently, at the end of stage I, a part of quenched-in vacancies is either trapped in the clusters or lost at imperfections. Since the Mg-vacancy pairs move slowly, formation of Mg containing Si complexes proceeds in a slow rate.

1 Once stage I ends, however, the Mg-vacancy pairs play an important role to grow clusters and  
2 release vacancies to transport solute atoms. This scenario can also be valid in the clustering process  
3 for Al-Zn-Mg, since Zn-vacancy pairs move fast.

4 Since a Cu-vacancy pair has large binding energy and a relatively small diffusion rate, formation  
5 of Si complexes in the Cu-added alloys during NA in stage I will proceed at a slower rate than in the  
6 Cu-free alloys, due to the lower number density of Si(Zn)-vacancy pairs, leading to the larger  $Q(I-II)$   
7 values. The DSC study by Chang et al. [28] reported that the  $Q(I-II)$  values mainly depended on the  
8 Si concentrations in Al-Mg-Si alloys. At the end of stage I, a large number density of small Si(Zn)  
9 clusters is therefore expected. Since the distance between small solute clusters in the Cu-added  
10 alloys is shorter than in the Cu-free ones, the Mg-vacancy pairs can relatively easily encounter  
11 Si(Zn) clusters. Thus, the cluster formation is accelerated, resulting in the smaller  $Q$  values from  
12 stage II to III for the Cu-added alloys.

13 For the Al-Mg-Ge(-Cu)alloys, we see that these three solutes have smaller diffusivity than those of  
14 Si and Zn in NA, which can be responsible to the largest  $Q(I-II)$  value of MgGe. The stage  
15 transition time from stage I to II was definitely longer in MgGe02Cu, due to the Cu addition effect,  
16 however, the deduced  $Q(I-II)$  value is smaller than that in MgGe. This is opposite to the  
17 Al-Mg-Si(-Cu) and Al-Zn-Mg(-Cu) cases. In the stage I, Ge-vacancy pair and Ge complex  
18 formations proceed for a long period, during which vacancies were trapped in Ge complexes or lost  
19 in imperfections. Since the solute concentration of Ge(Cu) is larger in MgGe02Cu than that in  
20 MgGe, we expect a larger number of solute-vacancy pairs in MgGe02Cu. The larger number of  
21 vacancies can facilitate clustering and make the  $Q(I-II)$  value in MgGe02Cu smaller.

## 22 23 5. Conclusion

24 Time-dependent resistivity measurements of Al-Mg-Si(-Cu), Al-Zn-Mg(-Cu) and Al-Mg-Ge(-Cu)  
25 alloys have been carried out at constant temperatures between 255 and 320 K. The effect of Cu  
26 additions on the stage transition time has been evaluated, which enable quantitative discussions about  
27 cluster activation energies. From the present study three conclusions can be drawn;

28 1. Cu additions in Al-1.0%Mg<sub>2</sub>Si, Al-2.68%Zn-3.20%Mg, and Al-0.44%Mg-0.19%Ge prolonged the  
29 stage transition time from stage I to II, due to the strong binding energy between Cu and vacancy,  
30 resulting in fewer vacancies available for solute atoms to diffuse in aluminum.

31 2. The Cu addition was found to increase the activation energy from stage I to II for Al-1.0%Mg<sub>2</sub>Si  
32 and Al-2.68%Zn-3.20%Mg, but decrease the activation energy from stage II to III. The slow  
33 clustering in stage I led to a large number density of small sized Si or Zn clusters, which accelerate  
34 the Si(Zn)-Mg co-clustering in stage II.

1 3. It was found that the Cu addition to Al-0.44%Mg-0.19%Ge prolonged the stage transition time of  
2 stage I, but decreased the activation energy in the same stage. The small diffusivity of both Ge and  
3 Cu made the stage transition time quite long in stage I, but the number of vacancies was kept  
4 relatively large due to Cu atoms, which additionally facilitated the Ge clustering.

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## 20 List of figure captions

21 Figure 1. Time dependence of electrical resistivity of an Al-1.0%Mg<sub>2</sub>Si-0.20%Cu alloy at 300 K. The times  
22 that the electrical resistivity changes occur are marked by the arrows which were determined as the  
23 intersection points of the two least-square fits.

24

25 Figure 2. Time dependence of electrical resistivity changes of (a) Al-1.6%Mg<sub>2</sub>Si alloy and (b)  
26 Al-1.0%Mg<sub>2</sub>Si-0.20%Cu at a constant temperature between 255 and 330K.

27

28 Figure 3. A comparison of the time dependence of electrical resistivity changes of Al-1.0%Mg<sub>2</sub>Si,  
29 Al-1.0%Mg<sub>2</sub>Si-0.20%Cu and Al-1.0%Mg<sub>2</sub>Si-0.35%Cu [22]at 280 K.

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31 Figure 4. Time dependence of electrical resistivity changes of (a) Al-2.60%Zn-3.20%Mg and (b)  
32 Al-2.68%Zn-3.20%Mg-0.20%Cu at a constant temperature between 260 and 320K.

33

34 Figure 5. Time dependencies of electrical resistivity changes of (a) Al-0.43%Mg-0.20%Ge and  
35 Al-0.44%Mg-0.19%Ge-0.18%Cu at a constant temperature between 280 and 320K.

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37 Figure 6. Comparison of the resistivity change coefficients of (a) Al-Mg-Si(-Cu), (b) Al-Zn-Mg(-Cu), and (c)  
38 Al-Mg-Ge(-Cu) samples in the clustering stage II.

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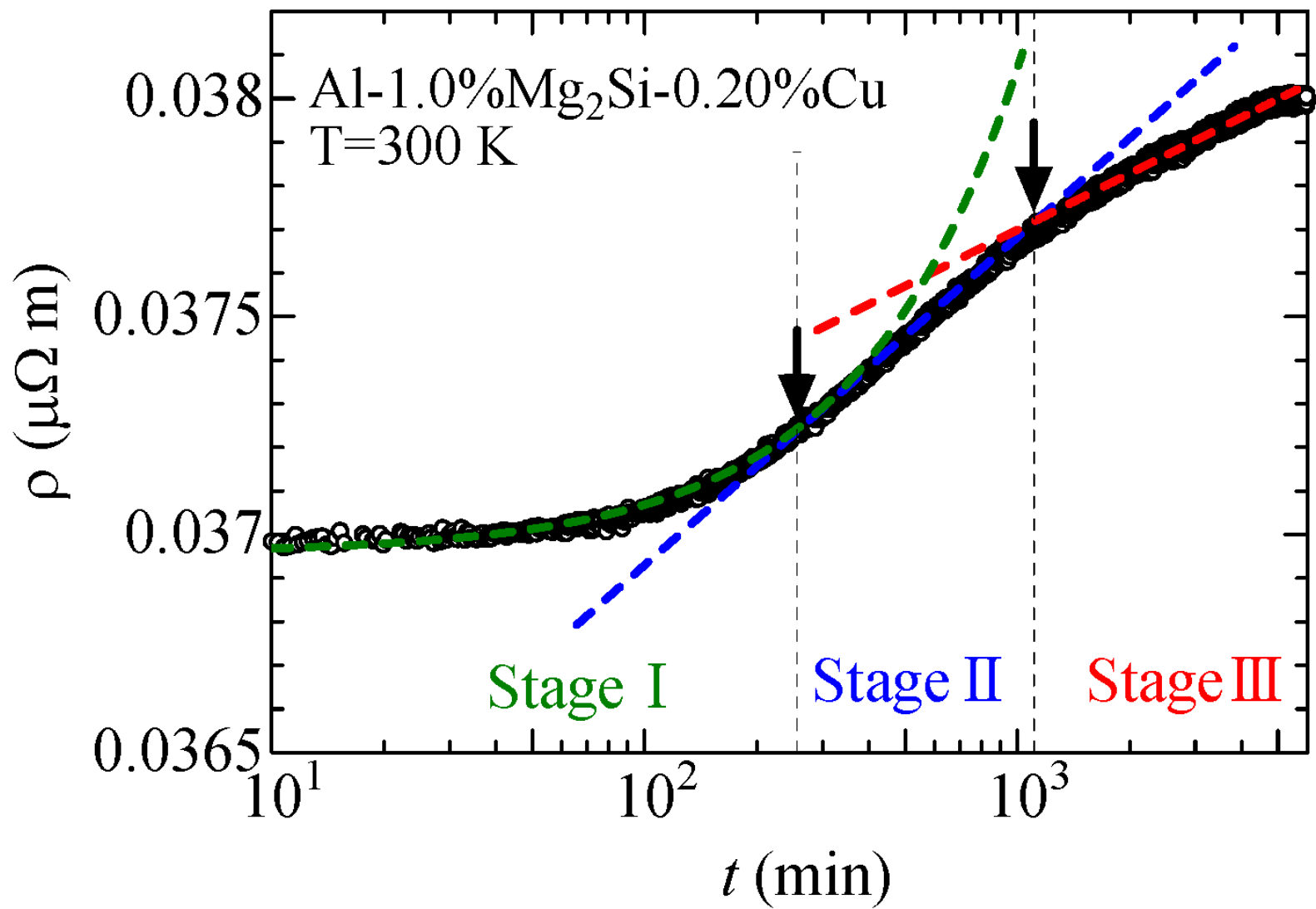
Figure 7 Arrhenius plots for (a) Al-Mg-Si(-Cu), Al-Zn-Mg(-Cu), and Al-Mg-Ge(-Cu) using the transition times from stage I to II, and (b) Al-Mg-Si(-Cu) and Al-Zn-Mg(-Cu) using the transition times from stage II to III, and natural aging temperatures.

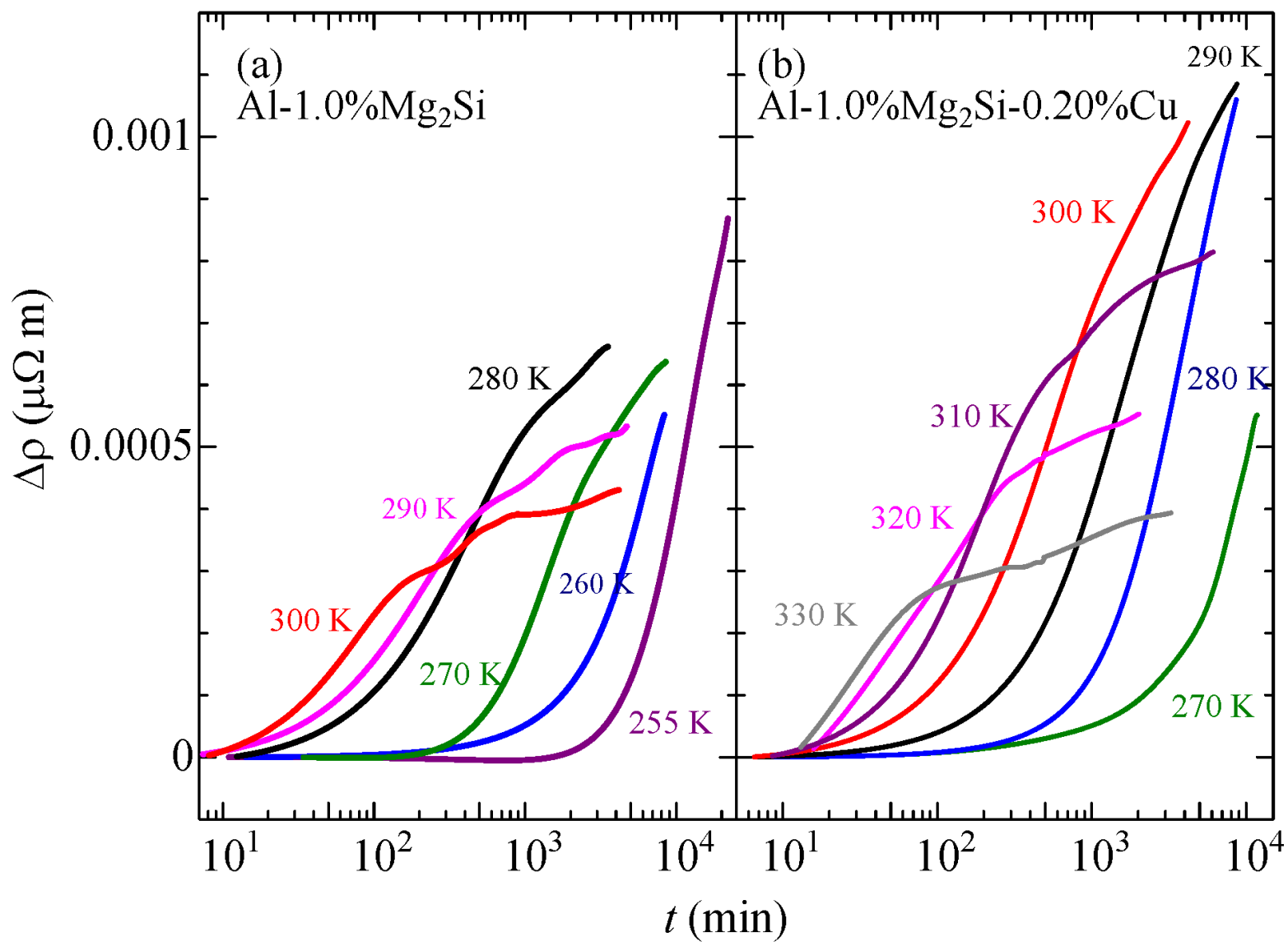
Figure 8 Activation clustering energy  $Q$  estimated from the Arrhenius plots in Figure 7(a).

Figure 9 Activation clustering energy  $Q$  estimated from the Arrhenius plots in Figure 7(b).

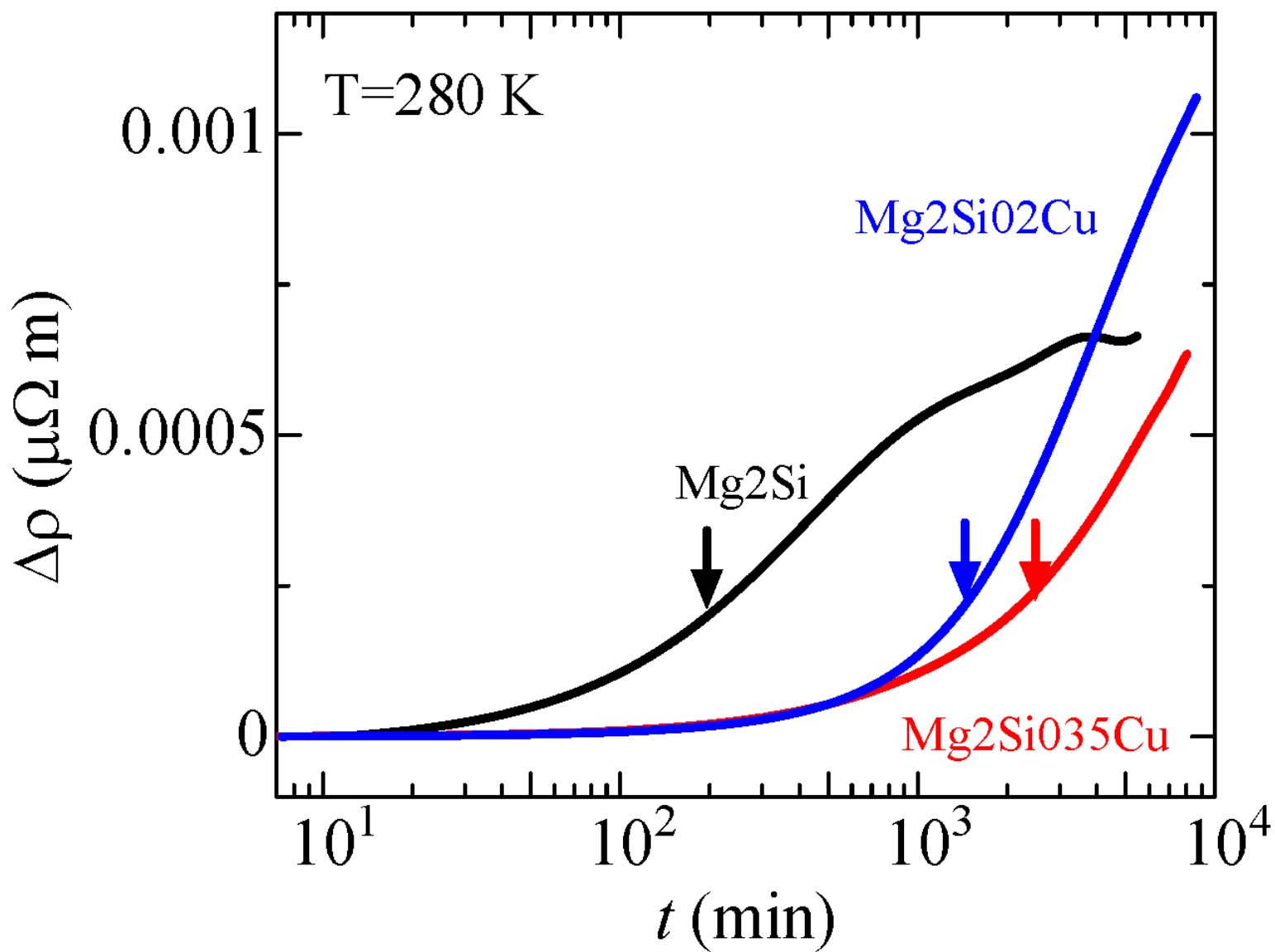
Table1 Sample composition of studied alloys, sample notation labels, and solution heat treatment (SHT) temperature.

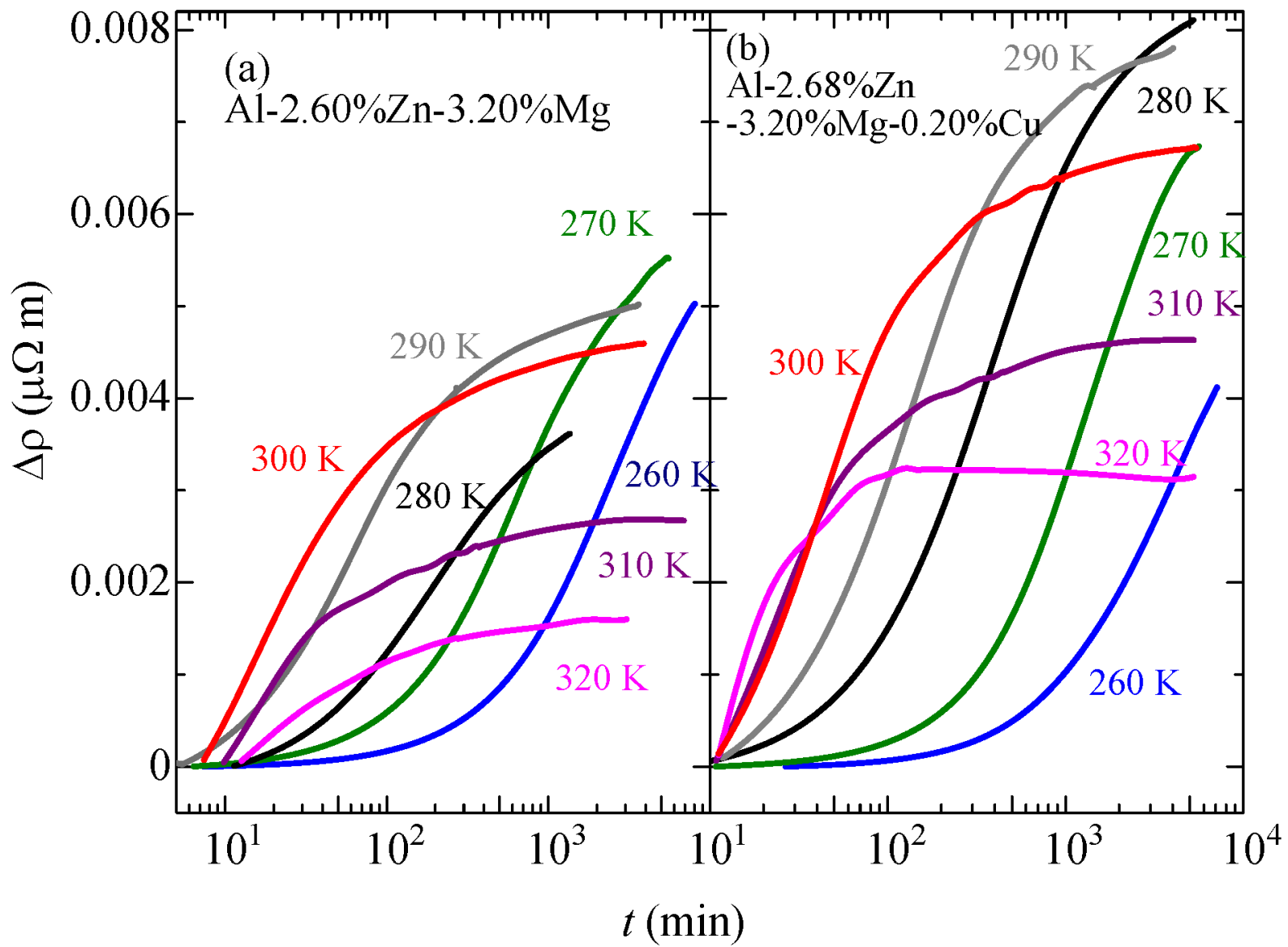
Sample composition [at.%]	Notation	SHT temp. [K]
Al-1.0%Mg2Si	Mg2Si	
Al-1.0%Mg2Si-0.20%Cu	Mg2Si02Cu	848
Al-1.0%Mg2Si-0.35%Cu	Mg2Si035Cu	
Al-2.60%Zn-3.20Mg	ZnMg	753
Al-2.68%Zn-3.20%Mg-0.20%Cu	ZnMg02Cu	
Al-0.43%Mg-0.20%Ge	MgGe	873
Al-0.44%Mg-0.19%Ge-0.18%Cu	MgGe02Cu	

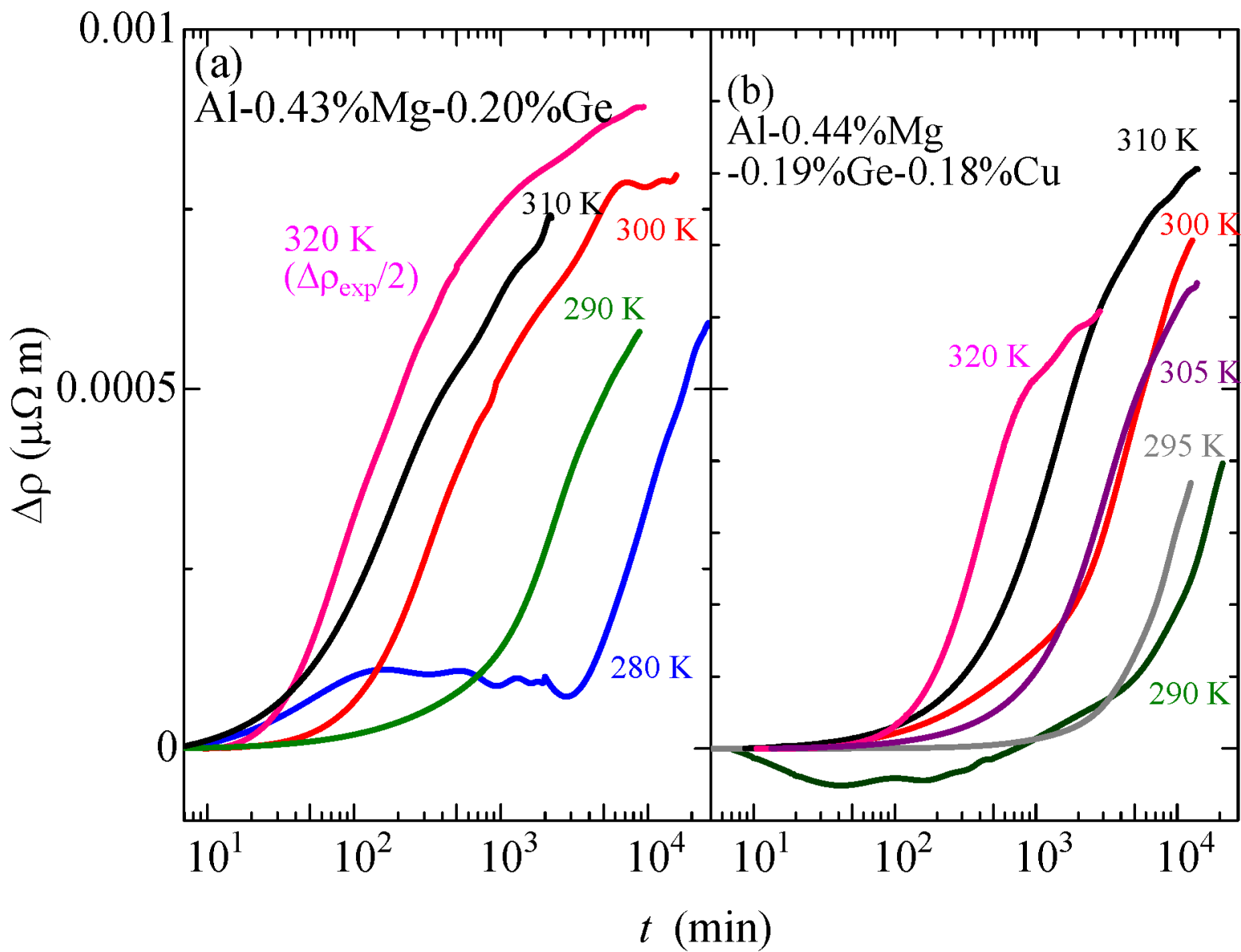


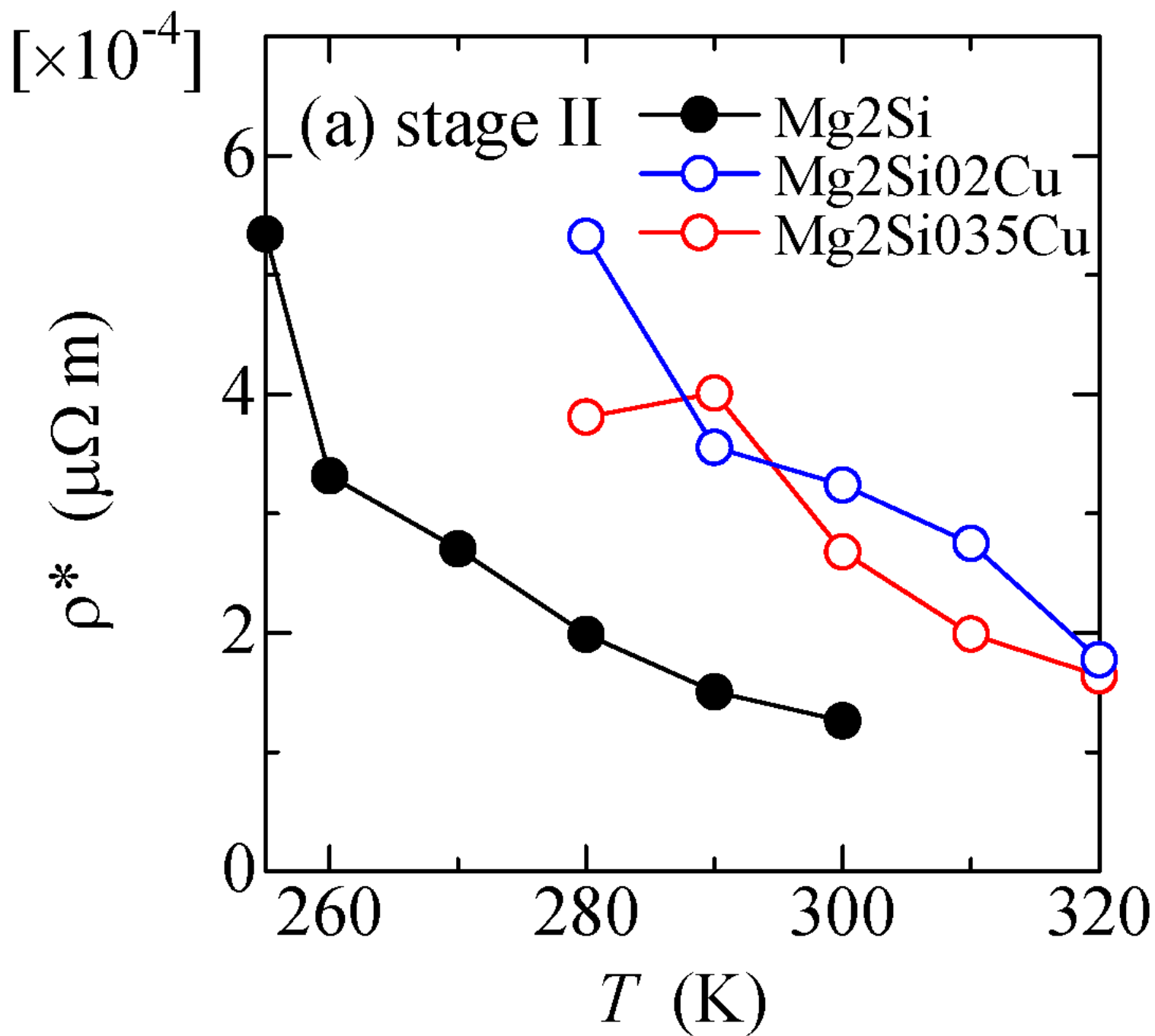


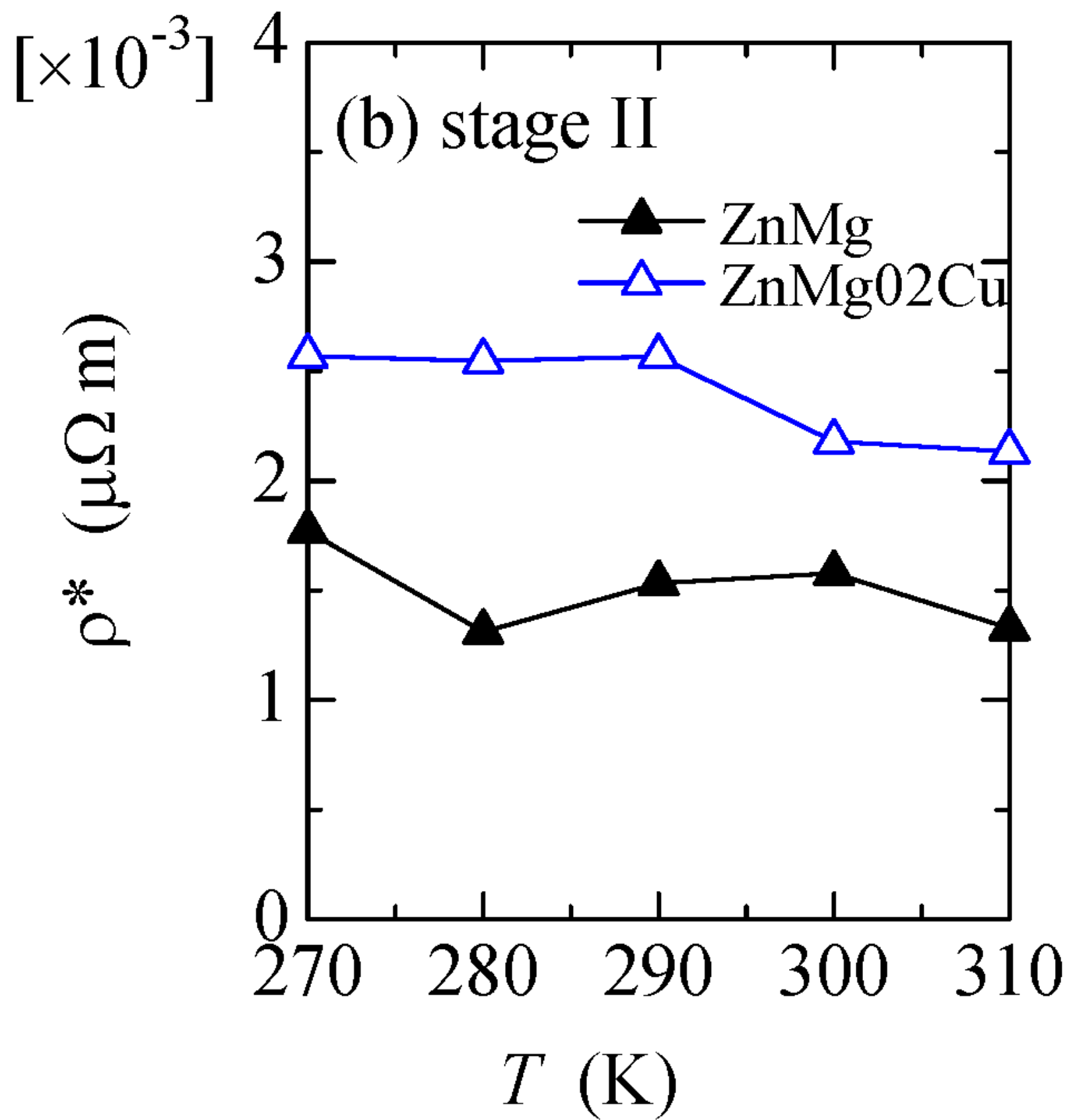


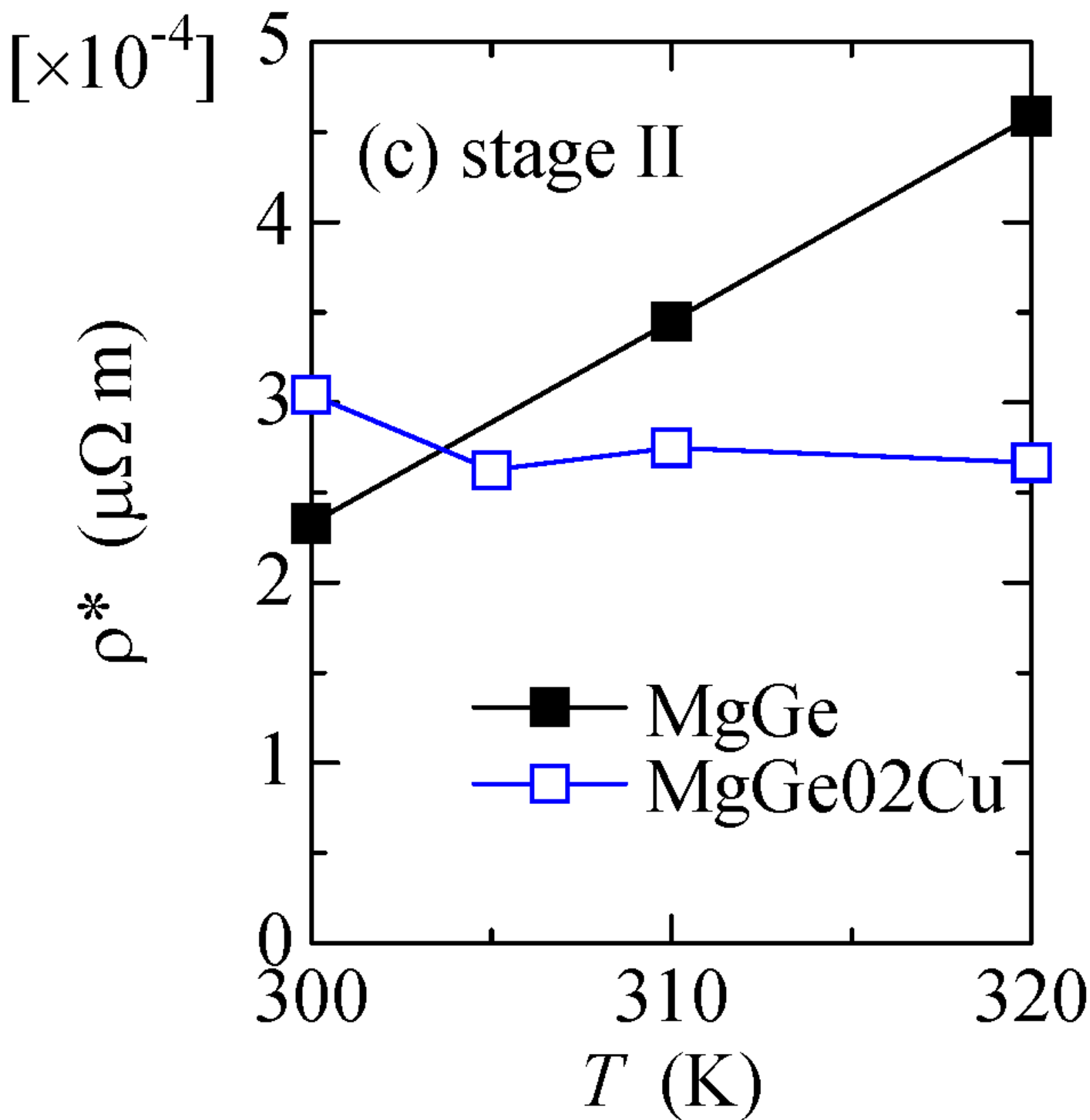


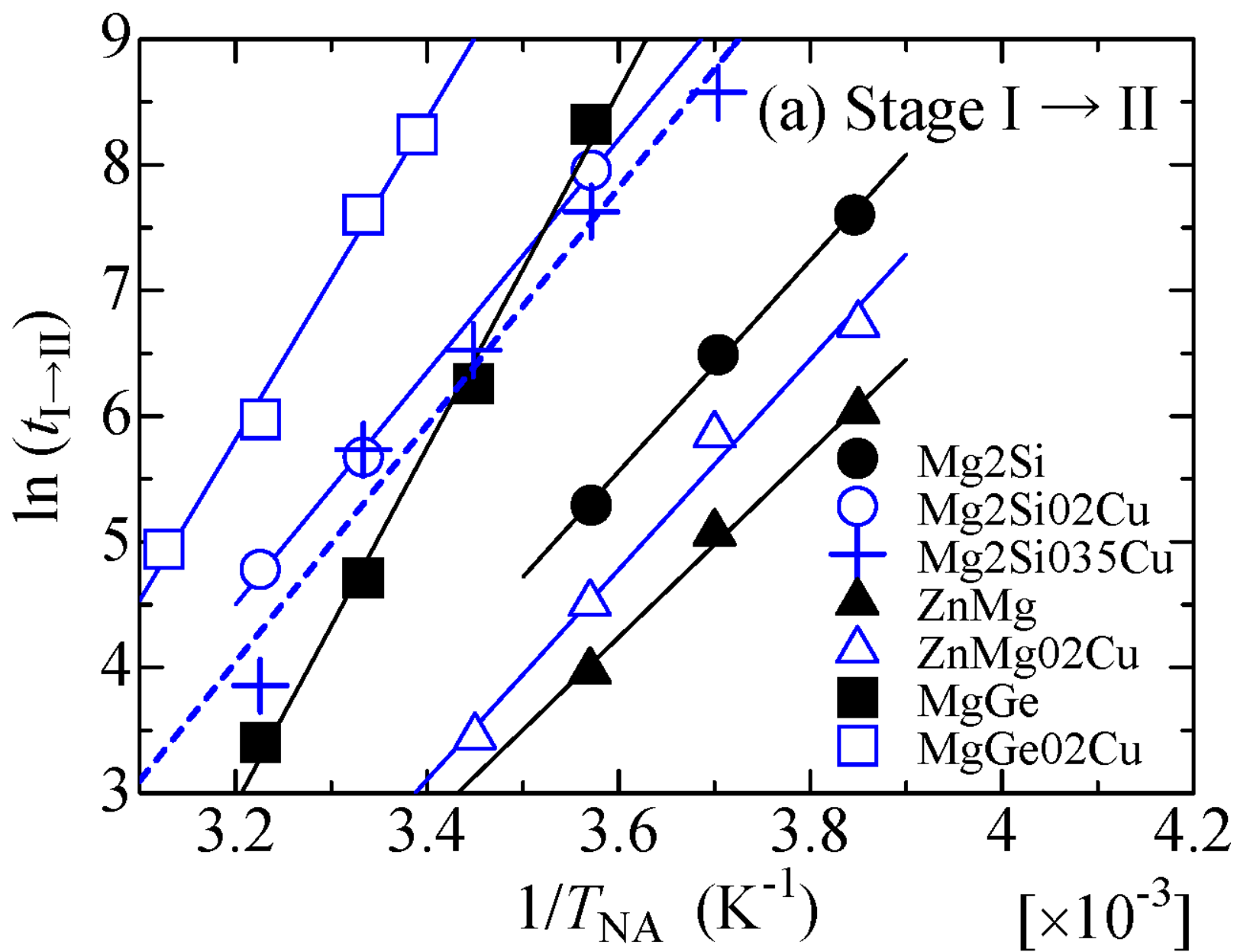


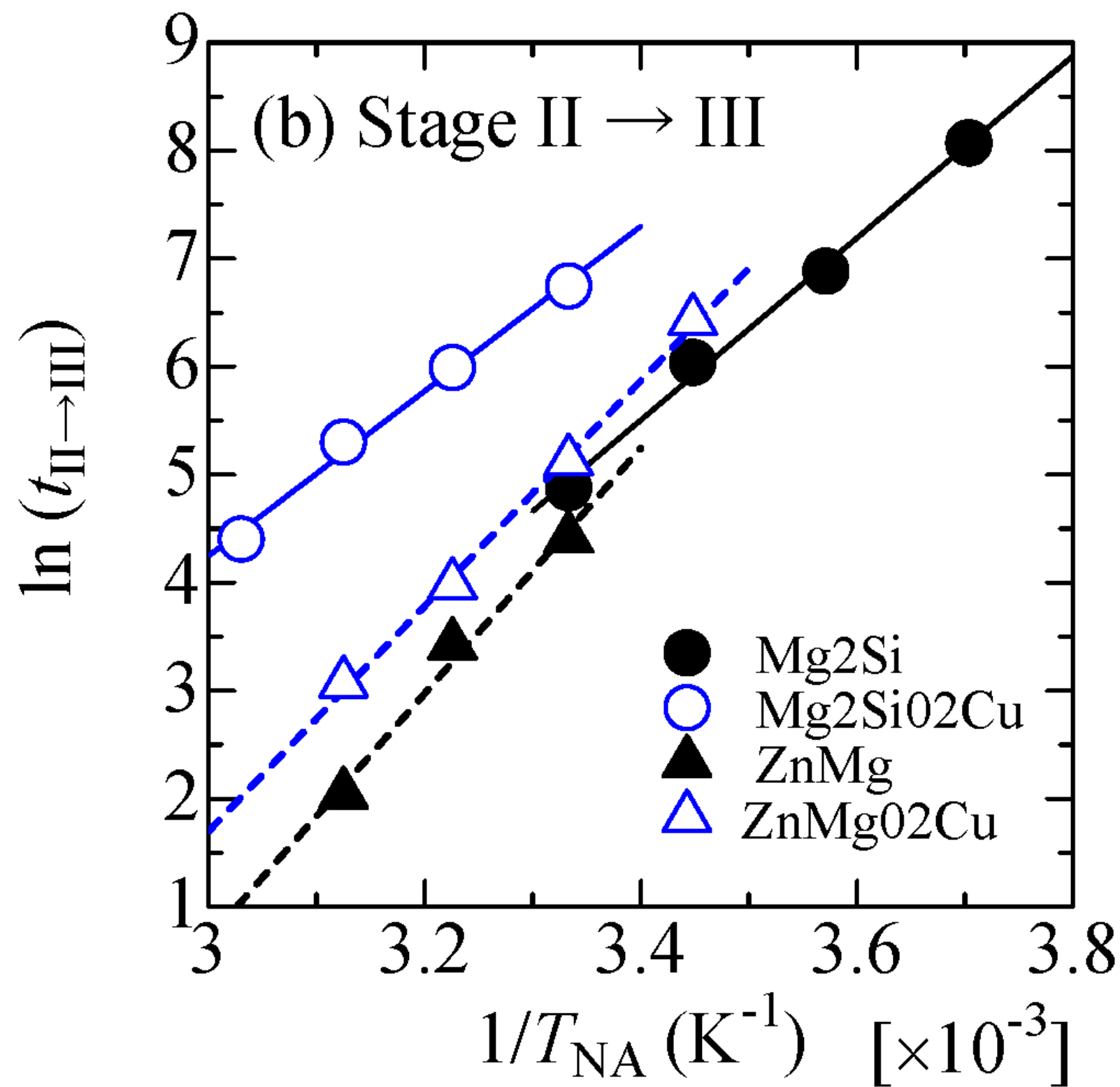




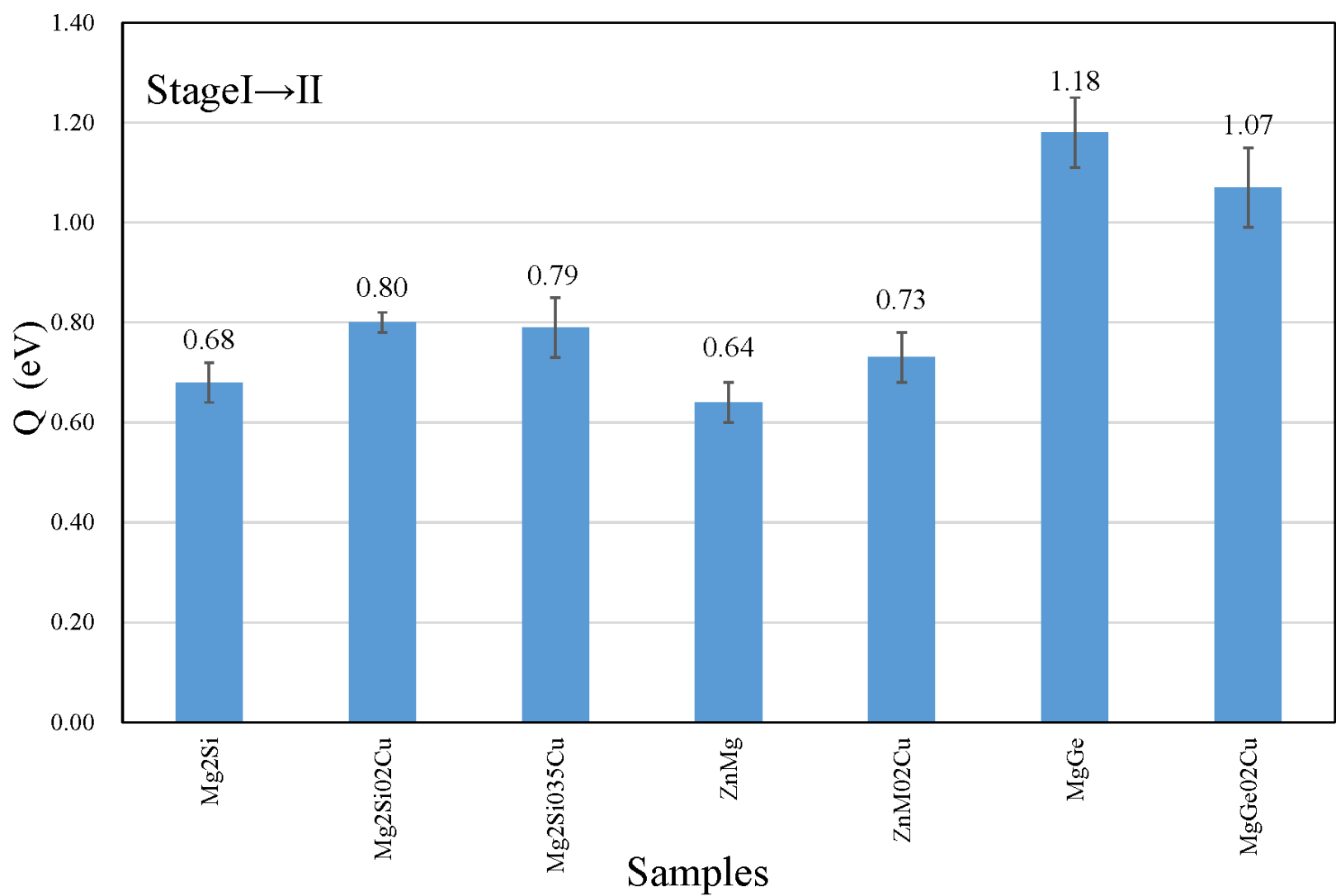












Stage II  $\rightarrow$  III

