1	Strain localization and ductile fracture in advanced
2	high-strength steel sheets
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8 Abstract

An experimental-numerical approach is applied to determine the strain localization and 9 ductile fracture of high-strength dual-phase and martensitic steel sheet materials. To this end, 10 four different quasi-static material tests were performed for each material, introducing stress 11 states ranging from simple shear to equi-biaxial tension. The tests were analysed numerically 12 with the nonlinear finite element method to estimate the failure strain as a function of stress 13 state. The effect of spatial discretization on the estimated failure strain was investigated. 14 While the global response is hardly affected by the spatial discretization, the effect on the 15 failure strain is large for tests experiencing necking instability. The result is that the estimated 16 failure strain in the different tests scales differently with spatial discretization. Localization 17 18 analysis was performed using the imperfection band approach, and applied to estimate onset of failure of the two steel sheet materials under tensile loading. The results indicate that a 19 conservative failure criterion for ductile materials may be established from localization 20 analysis, provided strain localization occurs prior to ductile fracture. 21

22 Keywords: Ductile fracture; Stress triaxiality; Lode parameter; Finite element method, Strain localization

23 **1 Introduction**

The physical mechanism leading to ductile fracture in polycrystalline materials is nucleation and growth of microvoids [1, 2]. When the microvoids reach a certain volume fraction, they

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induce plastic flow localization before the material is torn apart. Tekoğlu et al. [3] 26 investigated the competition between plastic flow localization occurring either as shear 27 banding due to material softening or as internal necking and void coalescence in the ligament 28 between the microvoids. Onset of strain localization due to either of the two aforementioned 29 mechanisms is influenced by the stress state. A commonly used parameter to describe the 30 hydrostatic stress state is the stress triaxiality, σ^* , which is the ratio of the hydrostatic stress 31 and the von Mises equivalent stress. Increased stress triaxiality increases the rate of void 32 growth and so decreases the material's ductility, e.g. [4-7]. Recent findings from macro-scale 33 experiments [8-11] and unit cell models [12-14] show that the deviatoric stress state also 34 influences the ductility at low levels of stress triaxiality. The deviatoric stress state can be 35 described by the Lode parameter, μ [15], which expresses the position of the second 36 principal stress in relation to the major and minor principal stresses. For thin sheets in plane 37 stress conditions, the stress triaxiality is bounded, $-2/3 \le \sigma^* \le 2/3$, and there is a relation 38 between σ^* and μ [16]. Since structural components intended to absorb energy in accidental 39 loading conditions for instance in cars and ships often are built up by sheets or plates, failure 40 under plane-stress conditions is important and the influence of the plane stress state on the 41 material's ductility should be well understood for enhancement of future design. 42

43 The most commonly used macroscopic measure to describe ductility is the equivalent plastic strain at onset of plastic flow localization or material failure, p_f . For strain fields with high 44 gradients, strain values depend strongly on the size of the region over which they are derived. 45 In the late 19th century, Barba [17] encountered this phenomenon in uniaxial tensile tests 46 experiencing diffuse necking and estimated the engineering failure strain by dividing the 47 elongation into a uniform part which is independent of the gauge length and a non-uniform 48 part which depends on the gauge length and needs to be calibrated for a specific material. 49 According to Barba's law, the engineering failure strain, e_f , in a uniaxial tension test is 50 expressed as [17] 51

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$$e_f = \beta \frac{\sqrt{A_0}}{L_0} + e_u \tag{1}$$

where A_0 is the initial cross section area, L_0 is the initial gauge length, e_u is the uniform engineering strain and β is a calibration constant. Modified versions of Barba's law where the equivalent plastic strain at failure, p_f , is taken as a function of the element size have been applied in numerical simulations involving ductile fracture in large structures [18-20].

Traditionally the ductility of a material at various stress states is established through an 57 experimental-numerical approach where the strain and stress histories from the critical 58 location in the test specimen are found from Finite Element (FE) simulations, e.g. [7, 8, 21-59 26]. Optical measurements, using for instance Digital Image Correlation (DIC), could be 60 applied for this purpose, but DIC measurements are limited to provide information about the 61 kinematic fields on the surface of the specimen. On the contrary, FE simulations provide 62 kinematic as well as kinetic fields in all parts of the specimen. FE models also have more 63 flexibility regarding spatial discretization compared to DIC measurements, but depend on an 64 appropriate and well-calibrated constitutive model. In general, smaller DIC elements are more 65 prone to image noise than larger elements, while larger DIC elements are less capable of 66 describing displacement fields with high gradients [27]. In FE simulations the lower limit of 67 the element size is only governed by practical aspects concerning the computational time, 68 while the upper limit follows the same restrictions as in the DIC analysis. As pointed out 69 previously, e.g. [10, 28], a converged solution of the global response curves (e.g. the force-70 displacement curve) does not imply a converged solution of the local deformation in the 71 region of plastic flow localization. 72

Ductile failure in metals is the final stage of a series of complex phenomena and is often 73 preceded by strain localization in form of a shear band. By assuming that failure occurs 74 shortly after the onset of localization, it is therefore possible to evaluate the ductility of a 75 material by using a criterion for strain localization. Several criteria of this type have been 76 proposed in the literature, some of them tailored to plane-stress states, such as the Marciniak-77 Kuczynski approach [29], while others, such as the imperfection analysis proposed by Rice 78 [30] and later used in several other studies, e.g. [31-35], allows for analysis of 3D stress 79 states. 80

In the present study, the failure strain as a function of stress state is determined for two types of advanced high-strength steel sheet materials using an experimental-numerical approach comprising four different material tests. The effect of spatial discretization in the FE simulations on the estimated failure strain is investigated by increasing the polynomial order of the elements positioned in the most severely deformed regions. This means that the failure strain and stress state are averaged over the same material volume in all cases, but the

interpolation of the displacements inside this volume varies. Localization analyses are applied
to estimate failure under tensile loading and the results are compared with the failure strains
obtained by means of the experimental-numerical approach.

90 2 Experimental programme

In this study, the stress-strain behaviour and ductile failure of dual-phase Docol 600DL and 91 martensitic Docol 1400M steel sheet materials were investigated. The Docol 600 DL sheet 92 had 1.8 mm thickness, while the thickness of the Docol 1400M sheet was 1.0 mm. Docol 93 600DL is a low-strength, high-hardening material, where the ferrite gives good formability 94 and the martensite provides increased strength. Docol 1400M is a high-strength steel where 95 very fast water quenching from the austenitic temperature range produces the high strength. 96 Uniaxial tensile tests carried out on tensile specimens cut out at 0°, 45° and 90° to the rolling 97 direction were presented in [36]. Both materials were found to be nearly isotropic. 98

All tests were carried out at room temperature under quasi-static loading conditions. The uniaxial tension and in-plane simple shear tests were presented in [36] and used to calibrate constitutive models. These tests are described here with more emphasis on ductility. The four selected tests provide a wide range of stress states before onset of fracture. Some of these tests exhibit nearly proportional loading and others non-proportional loading due to diffuse and/or local necking.

105 2.1 Optical measurements

All the tests were recorded by digital cameras. One camera was used for 2D measurements 106 and two cameras for 3D measurements of the displacement field on the surface of the 107 specimens. The cameras were of the type Prosilica GC2450 equipped with 50 mm Nikon 108 lenses. Before testing a combination of black and white paint was spray-painted on the side of 109 the specimen facing the camera(s), thus obtaining a high-contrast speckle pattern which 110 improved the optical measurements. The displacement fields and the associated strain fields 111 on the surface of the specimen were extracted from the images by applying an in-house finite 112 element based DIC software which employs initially square bilinear Q4 elements [27]. As an 113 experimental measure of the material's ductility in the different tests, the strain magnitude 114 field was calculated. The strain magnitude (or effective strain) at a given point is here defined 115 116 as

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$$\varepsilon_e = \sqrt{\frac{2}{3} \left(\varepsilon_1^2 + \varepsilon_2^2 + \varepsilon_3^2\right)}$$
(2)

where $\varepsilon_i = \ln(\lambda_i)$, i = 1, 2, are the logarithmic in-plane principal strains, where λ_i^2 are the eigenvalues of the right Cauchy-Green deformation tensor. The through-thickness principal strain is estimated as $\varepsilon_3 = -(\varepsilon_1 + \varepsilon_2)$ based on plastic incompressibility and by neglecting elastic deformations.

122 2.2 Uniaxial tension tests

The nominal geometry of the uniaxial tension (UT) test specimen is given in Fig. 1(a). Three 123 parallel tests were carried out under displacement control in a hydraulic Zwick/Roell tensile 124 testing machine with a capacity of 30 kN. The loading rate was 4 mm/min, thus providing a 125 strain rate before necking of $1.0 \cdot 10^{-3} \text{ s}^{-1}$. The tests were performed with tension along the 126 rolling direction of the sheet. The force was measured by a load cell in the hydraulic actuator, 127 while displacements were collected by a virtual extensometer based on DIC with initial length 128 $L_0 = 60 \text{ mm}$, see Fig. 2(a). The engineering stress, s, and engineering strain, e, were 129 calculated as $s = F / A_0$ and $e = (L - L_0) / L_0$, where F is the force measured by the load cell, 130 A_0 is the measured initial cross-section area of the specimen, and L is the extension etc. 131 gauge length. Fig. 3(a) and (e) show the engineering stress-strain curves for Docol 600DL and 132 Docol 1400M, respectively. 133

The tests were recorded at a frequency of 2 images per second, and the strains were calculated from the displacement field using an initial nodal spacing of 1.2 mm. The strain magnitude field in the last image before fracture, ε_e^f , of one of the duplicates is shown in Fig. 4(a) and (e) for Docol 600DL and Docol 1400M, respectively. As can be seen, the main deformation mode before fracture is diffuse necking in the test on Docol 600DL, while the specimen made of Docol 1400M fractures along a local neck. The maximum strain magnitude is ~0.7 for Docol 600DL and ~0.4 for Docol 1400M.

141 **2.3 Plane-strain tension tests**

The plane-strain tension (PST) tests were conducted in an Instron 5900 hydraulic tensile testing machine. The hydraulic actuator had a loading rate of 0.9 mm/min which gave an initial strain rate in the gauge area of $1.0 \cdot 10^{-3} \text{ s}^{-1}$. The nominal geometry of the PST specimen is illustrated in Fig. 1(b). The specimens were cut out with the longitudinal axis in the rolling direction of the sheet. A virtual extensometer with an initial length of 18.5 mm was applied to collect the displacements, see Fig. 2(b), while the force was measured by the load cell of the hydraulic testing machine, using a synchronized logging with frequency 2 Hz. To account for variations in the initial cross-section, a normalized force was calculated as F / A_0 , where F is the measured force in the load cell and A_0 is the measured initial cross-section of the specimen.

The normalized force versus displacement curves from the three parallel tests of the two 152 materials are given in Fig. 3(b) and (f). Two of the tests on the 1400M material displayed 153 larger displacement at failure than the third. From the camera recordings, it was observed that 154 this was due to a minor misalignment in the two tests, which again led to a slightly different 155 stress-state during deformation and larger ductility. Fig. 4(b) and (f) display the strain 156 magnitude field in the last image before onset of fracture in a selected test for Docol 600DL 157 and the test without misalignment for Docol 1400M. The initial distance between the nodes in 158 the DIC meshes was 1.0 mm. The resulting strain magnitude before fracture was ~0.5 and 159 ~ 0.2 for the dual-phase and martensitic steels, respectively. 160

161 **2.4 In-plane simple shear tests**

The in-plane simple shear (ISS) tests were conducted under displacement control in the same 162 Zwick/Roell tensile test machine used for the UT tests. The applied loading rate was 0.3 163 mm/min which gave an initial strain rate of $1.0 \cdot 10^{-3}$ s⁻¹. The specimens were cut so that the 164 longitudinal axis corresponds to the rolling direction of the sheet. Fig. 1(c) presents the 165 geometry of the ISS specimen. A virtual extensometer measured the displacement near the 166 gauge area, see Fig. 2(c), while the force was measured by the load cell in the hydraulic 167 testing machine. The normalized force versus displacement curves from the three parallel tests 168 are given in Fig. 3(c) and (g), where the normalized force F/A_0 is the ratio between the 169 measured force F and the initial gauge area A_0 of the shear test specimen. 170

The camera was recording the tests at a framing rate of 1 Hz, and the initial nodal spacing in the DIC grid was 0.5 mm. The strain magnitude field in the last image before onset of fracture was ~1.0 for Docol 600DL and ~0.60 for Docol 1400M, as shown in Fig. 4(c) and (g). Evidently the gauge zone has rotated before failure and the strain localizes in a thin band inclined with respect to the loading direction.

176 2.5 Nakajima tests

The Nakajima test set-up [37] was applied with specimens designed to obtain equi-biaxial 177 tension. Four parallel Nakajima (NK) tests were carried out in a Zwick/Roell BUP 600 test 178 machine under displacement control with a punch velocity of 0.3 mm/s. The specimen 179 geometry is presented in Fig. 1(d), while Fig. 1(e) shows the specimen clamped between the 180 die and the blank holder and loaded by the punch. The clamping force can be altered, and the 181 appropriate value may vary for different materials and sheet thicknesses. In this study, the 182 clamping force was set to 360 kN in the tests on Docol 600DL and 200 kN in the test on 183 Docol 1400M. To ensure failure close to the centre of the specimen, the punch was lubricated 184 with grease before a 0.1 mm thick layer of Teflon was placed between the punch and the 185 specimen. The force and displacement of the punch were recorded by the testing machine. 186 Fig. 3(d) and (h) give the force-displacement curves obtained from the tests. 187

To capture the out-of-plane deformation, two cameras were used to record images of the experiments at a framing rate of 2 Hz. A grid with an initial nodal spacing of 1.3 mm was applied in recording the displacement fields and deriving the strain fields on the surface of the specimen. As shown in Fig. 4(d) and (h), the strain magnitude is \sim 1.0 for the dual-phase steel and \sim 0.50 for the martensitic steel just before fracture.

3 Modelling and simulation

Modelling and simulation of the experimental tests were carried out with the nonlinearexplicit finite element programme IMPETUS AFEA [38].

196 **3.1 Constitutive model**

Constitutive models of the steel sheet materials were calibrated in [36], adopting the highexponent Hershey yield function [39] with associated plastic flow and isotropic hardening.
The dynamic yield function is given by

200
$$f = \varphi(\mathbf{\sigma}) - \sigma_f(p, \dot{p}) = 0$$
(3)

201
$$\sigma_{eq} \equiv \varphi(\mathbf{\sigma}) = \left(\frac{1}{2} \left(\left(\sigma_I - \sigma_{II}\right)^m + \left(\sigma_{II} - \sigma_{III}\right)^m + \left(\sigma_I - \sigma_{III}\right)^m \right) \right)^{\frac{1}{m}}$$
(4)

202
$$\sigma_f(p,\dot{p}) = \left(\sigma_0 + \sum_{i=1}^3 Q_i \left(1 - \exp\left(-C_i p\right)\right)\right) \cdot \left(1 + \frac{\dot{p}}{\dot{p}_0}\right)^c$$
(5)

where $\sigma_I \ge \sigma_{II} \ge \sigma_{III}$ are the ordered principal stresses, *m* is an exponent controlling the 203 shape of the yield surface, \dot{p} is the equivalent plastic strain-rate which is power conjugate 204 with the equivalent stress, $\sigma_{eq} \equiv \varphi(\sigma)$, and $p = \int \dot{p} dt$ is the equivalent plastic strain. Further, 205 σ_{i} is the flow stress, σ_{0} is the initial yield stress, Q_{i} and C_{i} (i = 1, 2, 3) are parameters 206 governing the work hardening, whereas c and \dot{p}_0 are parameters controlling the rate 207 sensitivity. The identified model parameters are given in Table 1. In order to eliminate the 208 need of a geometrical trigger in the FE model to capture the correct position of the diffuse 209 neck in the simulations of the uniaxial tension tests, the reference strain rates, \dot{p}_0 , in Table 1 210 are somewhat larger than those reported in [36]. 211

212 **3.2 Finite element models**

Solid elements were used to discretize the test specimens in the finite element (FE) models. 213 Fig. 5 shows the meshes of the four specimens. The FE models utilized symmetry planes in 214 order to reduce the computational time. All applied symmetry planes are indicated in Fig. 5, 215 except for the ones in the through-thickness direction utilized in the UT, PST and ISS models. 216 The UT, PST and NK models were given a refined mesh in the region subjected to the largest 217 deformations, see Fig. 5(a), (b) and (e). In all models, the region subjected to the largest 218 deformation was discretized by hexahedral elements with an in-plane size of 0.25 mm and 6 219 elements in the thickness direction, i.e., an initial element height of 0.30 mm for the dual-220 phase sheet and 0.17 mm for the martensitic sheet. In order to investigate the effect of spatial 221 discretization on the ductility assessments while retaining the same gauge volume, 222 simulations were run with elements possessing linear, quadratic and cubic shape functions in 223 the fine-mesh regions. By applying p-refinement, the element configuration was the same in 224 the three runs of each test. All three element types follow a Gauss-Legendre quadrature. The 225 linear elements have selectively reduced integration, while the quadratic and cubic elements 226 are fully integrated. The linear element has $2^3 = 8$ nodes, the quadratic element has $3^3 = 27$ 227 nodes and the cubic element has $4^3 = 64$ nodes. 228

Since IMPETUS AFEA follows an explicit time integration scheme, uniform mass scaling was applied to increase the critical time step in the simulations. The amount of mass scaling was independent of polynomial order, and the initial stable time step in the simulations of the martensitic steel sheet, Δt_{cr} , was $5.0 \cdot 10^{-4}$, $4.0 \cdot 10^{-4}$ and $3.5 \cdot 10^{-4}$ s for elements with linear, quadratic and cubic shape functions respectively, while Δt_{cr} in the simulations of the dualphase steel sheet was approximately two times larger than these values. It was checked in all
simulations that the kinetic energy was negligible compared with the internal energy, thus
ensuring a quasi-static loading process.

In the simulations of the uniaxial tension and plane-strain tension tests, the loading was a 237 prescribed velocity applied to rigid body (RB) parts positioned an appropriate distance from 238 the gauge region, see Fig. 5(a) and (b). The prescribed velocity was ramped up over the first 239 15 s of the simulation using a smooth transition function. In the simulations of the in-plane 240 simple shear test, prescribed displacements collected from DIC measurements obtained in one 241 experimental duplicate were applied as local boundary conditions on nodes close to the gauge 242 region. Here the same in-plane loading was applied through the thickness of the FE model. 243 This method ensured correct rotation of the gauge region. In the simulations of the Nakajima 244 tests, a Coulomb friction model with a tangential friction coefficient of 0.01 was applied in 245 the punch-specimen interface. The draw-bead was not included in the model as it was found 246 that constraining the outermost nodes of the specimen from in-plane movement and 247 specifying a tangential friction coefficient of 0.4 for the specimen-blank holder and specimen-248 die interfaces gave appropriate boundary conditions. The upper part of the die and the lower 249 part of the blank holder were constrained to avoid translational displacement. Loading was 250 applied by ramping up the punch velocity to 0.3 mm/s over the first 15 s by use of a smooth 251 transition function. 252

253 **3.3 Localization analysis**

We consider a homogeneously deformed body in which a thin planar band with a small imperfection is present. The stress and strain rates as well as the constitutive relations inside this band are allowed to be different from those outside the band, but equilibrium across the band is enforced. The equations for continuing equilibrium are expressed as [30]

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$$\mathbf{n}_0 \cdot \dot{\mathbf{P}}_b = \mathbf{n}_0 \cdot \dot{\mathbf{P}} \tag{6}$$

where \mathbf{n}_0 is the normal to the band expressed in the reference configuration and $\dot{\mathbf{P}}$ is the rate of the nominal stress tensor. The subscript *b* denotes a quantity inside the band. Compatibility implies that the velocity field can only vary along the normal direction of the planar band and thus

$$\mathbf{L}_{b} = \mathbf{L} + \dot{\mathbf{q}} \otimes \mathbf{n} \tag{7}$$

where \mathbf{L}_{b} and \mathbf{L} are the velocity gradient tensors respectively inside and outside the band, **n** is the normal of the band in the current configuration, and $\dot{\mathbf{q}}$ is a vector that represents the rate of the deformation non-uniformity. Assuming rate-independent plasticity and adopting an updated Lagrangian formulation, where the reference configuration is taken to coincide momentarily with the current configuration, the rate constitutive equations may be expressed in the form

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$$\dot{\mathbf{P}} = \mathbf{C}^t : \mathbf{L} \quad \text{and} \quad \dot{\mathbf{P}}_b = \mathbf{C}_b^t : \mathbf{L}_b$$
(8)

where C' and C'_{b} are the continuum tangent operators outside and inside the band, respectively (see [30-32] for details).

Loss of ellipticity, or strain localization, occurs when the acoustic tensor $\mathbf{A}^{t}(\mathbf{n}) \equiv \mathbf{n} \cdot \mathbf{C}_{b}^{t} \cdot \mathbf{n}$ becomes singular [30], viz.

$$\det\left(\mathbf{n}\cdot\mathbf{C}_{b}^{t}\cdot\mathbf{n}\right)=0\tag{9}$$

For material undergoing associated plastic flow, this condition is not met unless strain 276 softening is present in the constitutive response of the material or in the imperfection band for 277 this particular case. Strain softening in ductile metals is often linked to damage evolution 278 and/or thermal softening. In this study, the Gurson model [40] for porous plasticity is adopted 279 to model the material behaviour inside the band, thus to describe strain softening due to void 280 growth and eventually loss of ellipticity inside the band. The Gurson model is an appealing 281 approach to include strain softening into a constitutive model due to its limited number of 282 parameters. 283

The yield function of the Gurson model is defined as [40, 41]

$$f = \frac{\varphi^2(\mathbf{\sigma})}{\sigma_M^2} + 2q_1\omega\cosh\left(\frac{3}{2}q_2\frac{\mathbf{\sigma}\cdot\mathbf{I}}{\sigma_M}\right) - \left(1 + q_3\omega^2\right) = 0$$
(10)

where $\sigma_{eq} \equiv \varphi(\mathbf{\sigma})$ is the equivalent stress, σ_M is the flow stress of the matrix, ω is the porosity and I is the second-order identity tensor. The material parameters of the Gurson model are taken from Tvergaard [41]: $q_1 = 1.5$, $q_2 = 1.0$ and $q_3 = 2.25$. The work hardening of the matrix material is described by Eq. (5), using the parameters in Table 1, but the ratesensitivity is neglected in the localization analysis. This will result in more conservative results for the strain at localization. Since the Hershey yield function is adopted for the steel sheet materials, a heuristic modification of the Gurson model is implemented. The von Mises equivalent stress used in the original Gurson model is replaced with the Hershey equivalent stress as defined by Eq. (4). Steglich et al. [42] employed a similar type of heuristic modification of the Gurson model using the high-exponent Bron-Besson yield function for anisotropic materials.

When using the Gurson model to describe strain softening, the porosity ω requires an initial value ω_0 as well as an evolution rule. In the literature, the void evolution rule is usually decomposed as follows

300

$$\dot{\omega} = \dot{\omega}_g + \dot{\omega}_n + \dot{\omega}_s \tag{11}$$

where $\dot{\omega}_{g}$ is the void growth linked to the volumetric plastic strain rate, as obtained from the 301 associated flow rule, $\dot{\omega}_n$ is related to the nucleation of voids, and $\dot{\omega}_s$ corresponds to the 302 shearing of voids. While the growth and nucleation of voids are well-established phenomena 303 [43], the shearing of voids has been proposed quite recently [34] and is still under discussion 304 [44, 45]. Shearing of voids is assumed to be an important feature to describe ductile failure 305 under low stress triaxiality (typically close to pure shear). Several studies in the literature have 306 applied the Gurson model to dual-phase steels [46, 47] and the initial void content ω_0 is 307 usually small (between 0 and 1×10^{-5}). Void nucleation in dual-phase steels can be linked to 308 debonding between the ferrite and martensite [48]. To limit the complexity of the strain-309 softening model of this study, it was chosen to exclude void nucleation and void shearing-310 and thus only to include void growth. The implication is that failure under low triaxiality 311 cannot be predicted. The initial porosity ω_0 is considered here as an initial imperfection. 312 Hence, the physical relevance of ω becomes less clear. To some extent, this simplification 313 can be related to the initial imperfection of the Marciniak-Kuczynski analysis [29]. 314

The localization analyses are carried out using the velocity gradient L extracted from the 315 finite element simulations in the elements where failure is assumed to occur. Based on these 316 data, the stress state outside the band was re-computed assuming rate-independent plasticity 317 by a stand-alone FORTRAN code. The same solver was used to enforce equilibrium for the 318 imperfection band, to determine its local stress state and to estimate loss of ellipticity. Due to 319 the numerical aspects of the localization analysis, loss of ellipticity is assumed to occur when 320 the determinant of the acoustic tensor becomes negative. A schematic illustration of the 321 procedure is given in Fig. 6. The band orientation in the reference configuration is given by its 322 unit normal vector 323

324
$$\mathbf{n}_{0} = \begin{cases} \cos \phi_{0} \\ \cos \theta_{0} \sin \phi_{0} \\ \sin \theta_{0} \sin \phi_{0} \end{cases}$$
(12)

where $\phi_0 \in \left[0, \frac{\pi}{2}\right]$ and $\theta_0 \in \left[0, 2\pi\right]$ are the polar and azimuthal angles of a spherical 325 coordinate system with X_1, X_2, X_3 axes aligned with the rolling direction (RD), in-plane 326 transverse direction (TD) and normal direction (ND) of the sheet material, respectively. To 327 find the minimum ductility, several bands are spread in the (ϕ_0, θ_0) space and the one 328 producing the lowest strain at localization is chosen as the critical one. This operation is 329 repeated iteratively, narrowing down the range of angles at each iteration. This leads to a sub-330 degree accuracy on the orientation of the critical band and a converged localization strain to 331 within $\pm 1 \times 10^{-4}$. 332

By extracting the velocity gradient L from the numerical simulations at 1000 equi-distant points of time instead of each time step, the size of the strain increments in the localization analysis varied. To limit the effect of this time discretisation, a sub-stepping scheme was used in which the norm of the strain increment in the sub-steps was set to 1×10^{-5} and thus good accuracy of the stress update algorithm and the localization analysis was ensured [49].

338 4 Results and discussion

The experimental-numerical approach adopted in the present study follows a much used 339 methodology, e.g. [8, 22], where the global response curve from the test is compared with the 340 corresponding response curve in the simulation to establish the time at onset of fracture in the 341 simulation, t_f . Fig. 3 shows the global response curves from the experiments up to fracture 342 together with the corresponding results from the numerical simulations. For each of the tests, 343 the response curves in the simulations with the three element types are plotted up to the same 344 time instant defined by t_f . This time instant was chosen to minimize the difference between 345 the average experimental and numerical force and displacement at failure. Note that for the 346 PST simulation of the 1400M material, t_f is defined from the test assumed to be closest to a 347 plane-strain tension stress-state. The inserts show the final part of the response curves from 348 simulations and experiments. As can be seen, the strains (or the displacements) at t_f in the 349 simulations with different element types are very similar for all tests, which was expected 350

since loading was applied under displacement control. On the other hand, the difference in the 351 stresses (or the forces) between the linear and cubic element simulations is ~5% for the UT 352 simulations and the PST simulation for Docol 1400M, while the PST simulation for Docol 353 600DL and the ISS simulations display a difference of $\sim 2\%$. The simulated global response of 354 the NK tests is independent of the discretization. This shows that the global response curves 355 converge more rapidly in the ISS and NK simulations than in the UT and PST simulations, 356 which are dominated by diffuse and/or local necking before onset of fracture. However, all 357 the linear element simulations may be considered to give a solution that is close to 358 convergence in terms of the global response. 359

For each simulation, the element in the FE model with the position corresponding to the 360 location of fracture initiation in the experiment was identified. The positions of the critical 361 element are marked by arrows in Fig. 7. Only the in-plane location of fracture initiation was 362 determined from the experiments. In the FE models, the element in the through-thickness 363 direction experiencing the largest equivalent plastic strain was chosen as the critical element. 364 In the UT and PST simulations, this element was located in the centre of the specimen, while 365 in the ISS and NK simulations it was located on the surface of the specimen, although the 366 through-thickness gradient in the equivalent plastic strain was small in the ISS and NK 367 specimens, see Fig. 7. 368

The evolutions of the stress tensor and the equivalent plastic strain with time were collected from each integration point in the critical element. From the collected history of the stress tensor, the histories of the stress triaxiality, $\sigma_i^*(t)$, and the Lode parameter, $\mu_i(t)$, were calculated for each integration point as

373
$$\sigma_{i}^{*}(t) = \frac{\sigma_{I,i}(t) + \sigma_{II,i}(t) + \sigma_{III,i}(t)}{3\sigma_{VM,i}(t)}$$
(13)

374
$$\mu_{i}(t) = \frac{2\sigma_{II,i}(t) - \sigma_{I,i}(t) - \sigma_{III,i}(t)}{\sigma_{I,i}(t) - \sigma_{III,i}(t)}$$
(14)

where σ_{VM} is the von Mises equivalent stress. In Eqs. (13) and (14), the subscript *i* denotes the integration point number. For the linear elements the total number of integration points is $n_{ip} = 8$, while for the quadratic and cubic elements n_{ip} equals 27 and 64, respectively. In order to evaluate the effect of p-refinement on the material volume represented by the critical elements, the average values of the equivalent plastic strain, p(t), stress triaxiality $\sigma^*(t)$ and Lode parameter $\mu(t)$ for each critical element were calculated as

381
$$p(t) = \frac{1}{V} \sum_{i=1}^{n_{ip}} V_i p_i(t), \quad \mu(t) = \frac{1}{V} \sum_{i=1}^{n_{ip}} V_i \mu_i(t), \quad \sigma^*(t) = \frac{1}{V} \sum_{i=1}^{n_{ip}} V_i \sigma_i^*(t)$$
(15)

where $V = \sum_{i=1}^{n_{ip}} V_i$ is the element volume and V_i is the volume represented by integration point *i*. It is noted that p-refinement leads to higher DOF density, which is equivalent to refining the spatial discretization. Thus the effect of p-refinement is in the following referred to as the effect of spatial discretization.

Fig. 8 shows the equivalent plastic strain as a function of stress triaxiality and Lode parameter up to onset of fracture, defined by $p(t_f) = p_f$. Table 2 compiles the failure strains p_f together with the average values of the stress triaxiality, σ_{avg}^* , and the Lode parameter, μ_{avg} , which are defined as

390

$$\sigma_{avg}^* = \frac{1}{p_f} \int_0^{p_f} \sigma^* dp, \qquad \mu_{avg} = \frac{1}{p_f} \int_0^{p_f} \mu dp \tag{16}$$

The average values of stress triaxiality and Lode parameter are plotted in Fig. 9 together with 391 the plane stress locus to illustrate how the tests are distributed in stress space. It is noted that 392 the different element types lead to somewhat different values of the average stress state 393 parameters. As shown in Fig. 8 and Table 2, the dual-phase steel displays a more ductile 394 behaviour than the martensitic steel, which is coherent with the experimental results presented 395 in Fig. 4. Further Fig. 8 shows that the simulations of the NK tests display a more 396 proportional load history than the simulations of the other tests. The simulations of the ISS 397 tests of the dual-phase steel start in compression and moves into tension, while for the 398 martensitic steel the ISS simulations are in tension during the whole simulation. The 399 discrepancy in stress-state history between the ISS simulations of the two materials is mainly 400 related to the difference in the positions of the critical elements, see Fig. 7(c) and (g). It is 401 noted that the quadratic and cubic elements are more prone to volumetric locking than the 402 linear element which applies reduced integration. The kink in the p- σ^* curve and the 403 relatively low p_f value for the UT simulation with cubic elements seen in Fig. 8 (b) may 404 stem from volumetric locking effects. 405

For both materials, the ISS simulations only display a small variation in p_f for the different 406 element types, while in the NK simulations the variation in p_f with spatial discretization is 407 negligible. The largest dependence on spatial discretization is found in the UT and PST 408 simulations, where the specimens experience necking instability. In these instances, the ratio 409 between the failure strains obtained in simulations with cubic and linear elements is ~1.25 and 410 ~1.5 for the dual-phase and martensitic steels, respectively. Clearly a positive correlation is 411 present between the convergence rates of the global response curves and the local strain 412 values. Note that the failure strain in the linear, quadratic and cubic element simulations is 413 based on the average failure strain within the element following Eq. (15), and that a larger 414 difference is present between the maximum failure strains found within the elements with 415 different p-order. 416

Fig. 7 shows contour plots of the equivalent plastic strain before estimated onset of fracture in 417 the simulations with cubic elements. The strains are more localized in the martensitic steel 418 than in the dual-phase steel, which was also seen experimentally, cf. Fig. 4. As can be 419 observed from Fig. 7(a) and (e), the UT specimens display high gradients in the strain fields 420 along the thickness, width and longitudinal directions around the critical element, while Fig. 421 7(b) and (f) show that the PST specimens display high strain gradients in the thickness and 422 longitudinal directions in the vicinity of the critical element. For the ISS specimens in Fig. 423 7(c) and (g), the critical element experiences high strain gradients only in the in-plane 424 transverse direction, while the critical element in the NK specimens is not subjected to high 425 gradients in the strain fields, as shown in Fig. 7(d) and (h). The equivalent plastic strain in the 426 critical elements of the ISS specimens is not sensitive to spatial discretization despite having 427 high strain gradients along one axis, thus the mesh dependence of the failure strain p_f seems 428 to be linked to the necking instability observed in the UT and PST tests or the presence of 429 high multi-axial strain gradients. This implies that scaling a failure strain based on spatial 430 discretization or gauge length alone, as in some versions of Barba's law, does not necessarily 431 lead to accurate fracture initiation predictions, since material points exposed to necking 432 instability are more sensitive to length scale effects. 433

The localization analysis was carried out by post-processing results from the FE simulations with cubic elements, as these are assumed to provide the most accurate results. As seen in Fig. 8(b), the simulation of the uniaxial tension tests of the Docol 1400M exhibits some kind of volumetric locking towards the end of the deformation process. The effect of this volumetric

locking was a drop in the stress triaxiality which may affect the strain localization. This was 438 checked by carrying out localization analysis based on the data extracted from the simulation 439 of the uniaxial tensile test for Docol 1400M with quadratic elements. No large differences 440 were observed, and therefore, all the results presented below are based on simulations with 441 cubic elements. The failure strains, or localization strains, given below are defined as the 442 equivalent plastic strains computed outside the band at loss of ellipticity. Since neither void 443 444 nucleation nor void shearing was included in the Gurson model used for the material in the imperfection band, it was not possible to conduct the localization analysis for the in-plane 445 simple shear tests due to the low stress triaxiality. 446

A parametric study was carried out to find an appropriate size of the initial imperfection ω_0 , which gives the best overall agreement with the experimental results. It was tentatively assumed in these simulations that material failure in the experiments was caused by strain localization. For Docol 600DL an initial imperfection of 0.0027 was found, while for Docol 1400M ω_0 was estimated to 0.002. Note that the initial imperfection was identified using the results of finite element simulation and is most-likely mesh dependent.

The resulting failure strains are shown in Fig. 10(a) for Docol 600DL and in Fig. 10 (b) for 453 Docol 1400M, labelled by strain control, i.e., with the velocity gradient collected from the FE 454 simulations. The corresponding failure predictions are represented by red triangles in the 455 force-displacement curves in Fig. 3. While there are marked differences between the predicted 456 localization strains and the failure strains obtained by the experimental-numerical method in 457 Fig. 10, the displacement at failure in the tests in Fig. 3 is predicted with reasonable accuracy. 458 The accuracy is particularly good for Docol 600DL, while for Docol 1400M the result is non-459 conservative for the NK tests. In plane-strain tension, the localization analysis gives 460 somewhat conservative prediction for both Docol 600DL and Docol 1400M. With regards to 461 the NK tests for Docol 1400M, ductile failure could take place before strain localization [3] 462 and therefore the proposed approach would overestimate the ductility of the material. Another 463 possible explanation could be that the low work-hardening of Docol 1400DL makes the NK 464 tests more sensitive to small imperfections on the surface of the specimens. As the finite 465 element models are built assuming a perfect surface geometry, the ductility would then be 466 overestimated. 467

The through-thickness inclination of the critical band for the two different steel grades and the three different material tests are given in Table 3. At localization, the azimuth angle θ is

equal to 90° for the UT and PST tests, while it is indeterminate for the NK test as the in-plane 470 principal stresses are equal. It was concluded by Rudnicki and Rice [50] that localization 471 under ordinary conditions takes place within a planar band with normal in the plane defined 472 by the major and minor principal stress directions for isotropic materials. Both for the UT and 473 PST tests, the X_2 axis coincides with the intermediate principal stress direction in the critical 474 element towards localization. Note that after necking the stress state is not uniaxial in the 475 critical location of the UT test specimen, see also Fig. 8. The polar angle ϕ is ~45° for all 476 cases, i.e., the localization occurs in a planar band with normal lying in the X_1X_3 plane and 477 making an angle of about 45° with the X_1 axis (RD). 478

While material tests usually produce non-proportional loadings locally, it is not unusual to 479 average the stress state parameters, cf. Eqn. (16). By running localization analyses with a 480 prescribed constant stress state outside the band, it is possible to evaluate the effect of having 481 a proportional load path on the failure strain. This is carried out using the same approach as in 482 Nahshon and Hutchinson [34]. The average stress triaxiality and Lode parameter listed in 483 Table 2 are then applied outside the bands and the material is strained until loss of ellipticity 484 occurs. To get the same accuracy as in the previous section the strain increments are 485 controlled to be equal to 1×10^{-5} . Fig. 10 shows the results of averaging the stress state 486 outside the band on the strain at localization (labelled stress control). While the stress-state 487 averaging has minor influence for the PST tests and the NK tests, it has a strong impact on the 488 predicted localization strain in the UT tests. This effect might be explained by the stress state 489 evolution shown in Fig. 8. In the UT tests, the local stress state is drifting towards plane strain 490 tension. By enforcing a constant stress state outside the band further away from $\mu = 0$, 491 localization is delayed and the ductility is therefore increased. For the PST and NK tests, the 492 averaged stress state is close to the actual one in the last stage before failure. As a result, the 493 failure strain obtained under proportional loading is very similar to that obtained under the 494 non-proportional load path. 495

Fig. 11 shows some details from the localization analyses performed for the Docol 600DL under strain control. Results from the critical bands and the material both outside and inside these bands are shown in the figure. Similar results were found for Docol 1400M. Fig. 11(a) illustrates the stress-strain behaviour (in terms of the von Mises equivalent stress), while Fig. 11(b) shows the evolution of the hydrostatic stress. The material inside the band has an initial work-hardening rate similar to the material outside due to the low value of the initial damage, ⁵⁰² but damage growth eventually lowers the work-hardening inside the band. Strain localization ⁵⁰³ occurs when the work-hardening rate is negative for the UT and NK tests, while it is equal to ⁵⁰⁴ zero for the PST test. The hydrostatic stress also shows different evolutions inside and outside ⁵⁰⁵ the band. The band exhibits a larger pressure in the case of the UT and PST tests, while a ⁵⁰⁶ lower pressure is observed for the NK test.

The evolutions of the equivalent plastic strain inside the critical band as a function of the 507 Lode parameter and the stress triaxiality are shown in Fig. 11(c) and (d), respectively. The 508 band follows the load path imposed by the outside material until the stress state drifts away 509 and loss of ellipticity occurs. While the stress states inside the band at localization do not 510 follow any specific trends in terms of stress triaxiality, the Lode parameter at localization is 511 512 always close to zero (implying a generalized shear stress state) independently of the stress state outside the band. Since shear banding occurs more readily under generalized shear stress 513 states, the band tries to reach this region of the stress space. This observation also supports the 514 strong differences observed for the proportional and non-proportional loading of the UT test 515 (cf. Fig. 10). In the strain-controlled analysis of the UT test, the stress state outside the band is 516 already moving towards a generalized shear stress state, which promotes localization inside 517 the band. Whereas in the stress-controlled loading, the Lode parameter is constant outside the 518 band and consequently the band has to undergo more deformation to reach a generalized shear 519 stress state. Thus, the apparent ductility of the material is larger when a proportional loading 520 is applied. 521

Assuming that the localization analyses are able to represent the ductile failure mechanism, it 522 is interesting to evaluate the shape of the failure locus of Docol 600DL. Fig. 12(a) shows the 523 equivalent plastic strain obtained outside the band at localization under proportional loading 524 and plane-stress conditions with stress triaxiality ranging from 0.45 to 0.66. The resulting 525 failure locus shows the typical trends observed in ductile failure of metals with a plane-strain 526 tension valley marked by a reduction of the ductility towards plane-strain tension and an 527 increased ductility towards uniaxial and equi-biaxial tension, see e.g. [51]. A strong 528 dissymmetry in terms of the Lode parameter is also present even if the constitutive model 529 adopted for the material inside and outside the band has a symmetric dependency of this 530 parameter. Similar observations were made by Dunand and Mohr [14] and Fourmeau et al. 531 [52]. In terms of local stress states, Fig. 12(b) shows the evolution of the stress triaxiality and 532 Lode parameter inside the band in red compared to the plane stress locus and stress states 533 outside the band in black. As observed in Fig. 11(c) and (d), the stress state inside the band is 534

always drifting away from the one imposed by the outside material towards generalized shear
stress states. Strong deviations are also present in terms of stress triaxiality, and at localization
the stress state is close to plane-strain tension, which is a generalized shear stress state.

538 **Conclusions**

An experimental programme was conducted on dual-phase and martensitic steel sheet 539 materials comprising four material tests with stress states ranging from simple shear to equi-540 biaxial tension. The failure strain of the steel sheet materials was estimated using an 541 experimental-numerical approach and the sensitivity of the ductility on the spatial 542 discretization in the various tests was studied. It is found that the dual-phase steel displays a 543 more ductile behaviour than the martensitic steel, and the strains are more localized in the test 544 specimens made of the martensitic steel. Further, the estimated failure strain in the uniaxial 545 tension and plane-strain tension tests is significantly influenced by the spatial discretization, 546 which is in contrast to what was observed in the in-plane simple shear and equi-biaxial 547 tension tests. The dependence of the estimated failure strain on spatial discretization, or length 548 scale, is not related to high strain gradients alone. Also the shear specimens experience high 549 gradients in the strain field, but only along the in-plane transverse direction and in this case 550 551 the mesh dependence is minor. However, a strong dependence of the spatial discretization seems to be related to the presence of necking instabilities or high multi-axial strain gradients 552 which occur in the uniaxial tension and plane-strain tension tests. The different mesh 553 sensitivity of the estimated failure strain in the various tests implies that a simple scaling of 554 the failure locus, e.g. according to a version of Barba's law, may lead to significant 555 inaccuracies in simulation of fracture initiation. Applying an imperfection band approach in 556 combination with the Gurson model, localization analysis was used to estimate the strain at 557 localization in the uniaxial tension, plane-strain tension and Nakajima tests. The obtained 558 results were promising and indicate that localization analysis may be used to establish a 559 conservative failure criterion for ductile materials, provided strain localization occurs prior to 560 ductile fracture. The analyses show that the stress state inside the band tends to move towards 561 generalized shear before onset of localization. 562

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Tables and figures

Material	σ_0 [MPa]	Q_1 [MPa]	C_1	Q_2 [MPa]	C_2	Q_3 [MPa]	C_3	$\dot{p}_0 [\mathrm{s}^{-1}]$	С	т
600DL	317	201	38.4	348	5.00	6000	$1.00 \cdot 10^{-2}$	$3.0 \cdot 10^{-3}$	$9.0 \cdot 10^{-3}$	6.0
1400M	1200	254	774	97.0	135	200	6.00	$1.0 \cdot 10^{-1}$	$4.0 \cdot 10^{-3}$	6.0

Table 1 Constitutive model parameters for the two materials.

Table 2 Failure strain, p_f , average stress triaxiality, σ_{avg}^* , and average Lode parameter, μ_{avg} , obtained with the experimental-numerical approach.

Material	Variable	p-order	UT	PST	ISS	NK
	p_f	1-linear	0.772	0.645	0.982	0.994
		2-quadratic	0.853	0.733	0.989	0.997
		3-cubic	0.995	0.773	0.996	0.999
Docol	$\sigma^*_{\scriptscriptstyle avg}$	1-linear	0.403	0.574	0.025	0.661
600DL		2-quadratic	0.426	0.600	0.052	0.665
		3-cubic	0.468	0.610	0.042	0.665
	μ_{avg}	1-linear	-0.814	-0.186	-0.061	0.938
		2-quadratic	-0.765	-0.155	-0.036	0.938
		3-cubic	-0.683	-0.144	-0.110	0.937
	p_f	1-linear	0.619	0.266	0.750	0.594
		2-quadratic	0.809	0.391	0.792	0.592
		3-cubic	0.854	0.427	0.763	0.592
Docol	$\sigma^*_{\scriptscriptstyle avg}$	1-linear	0.473	0.597	0.094	0.664
1400M		2-quadratic	0.523	0.635	0.118	0.663
		3-cubic	0.516	0.647	0.129	0.662
	μ_{avg}	1-linear	-0.686	-0.084	-0.232	0.998
		2-quadratic	-0.622	-0.051	-0.291	0.998
		3-cubic	-0.593	-0.046	-0.310	0.998

Specimen	Docol 600DL	Docol 1400M
UT	44.00°	45.04°
PST	44.31°	44.56°
NK	43.85°	44.35°

Table 3 Through-thickness inclination (or polar angle ϕ) of planer band at localization.

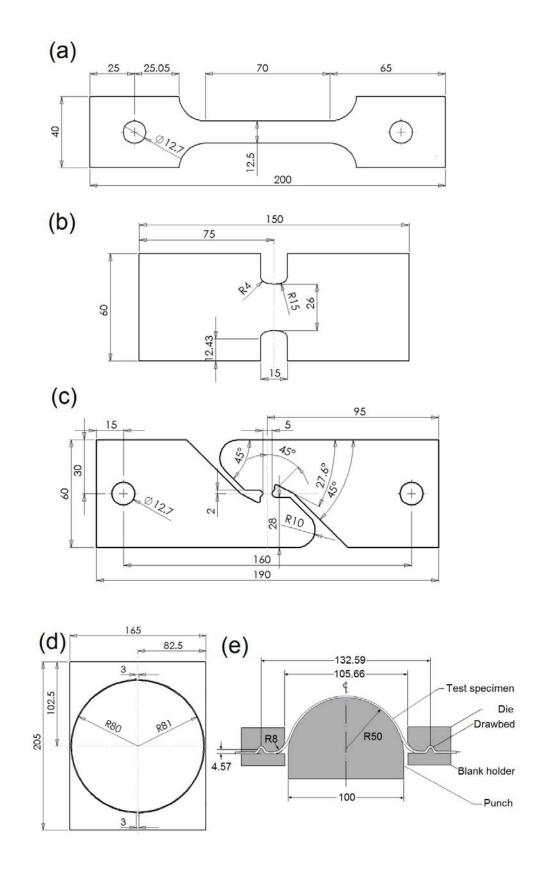


Fig. 1 Nominal specimen geometry: (a) uniaxial tension test, (b) plane-strain tension test, (c)
in-plane simple shear test and (d) equi-biaxial Nakajima test. Details of the Nakajima
set-up are shown in (e).

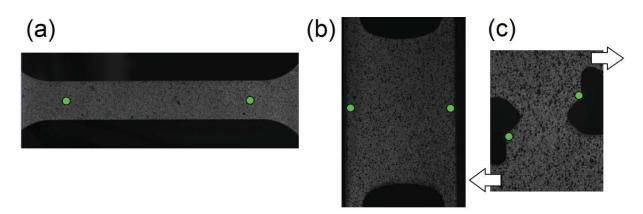


Fig. 2 Position of virtual extensometer in (a) uniaxial tension test, (b) plane-strain tension
 test and (c) in-plane simple shear test.

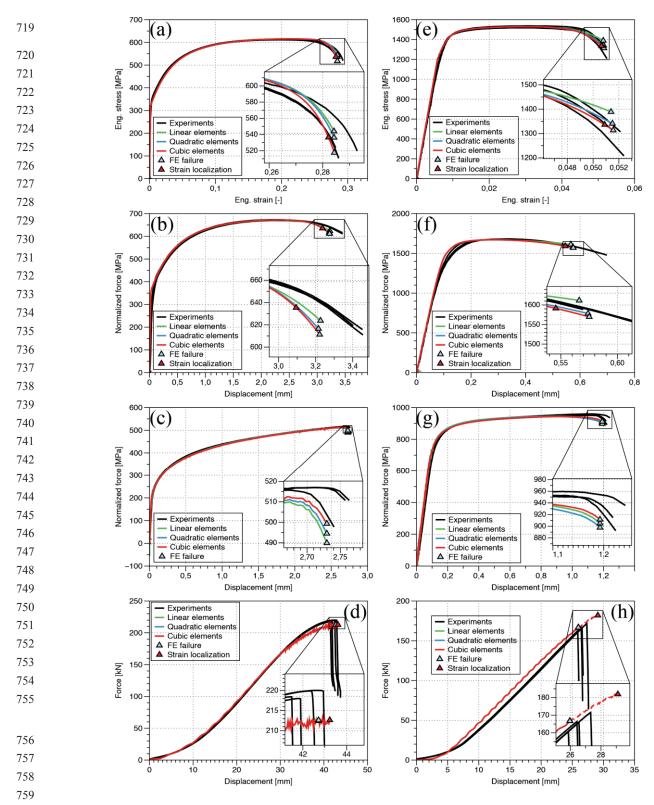


Fig. 3 Global response curves from experiments and FE simulations of (a)-(d) Docol 600DL and (e)-(h) Docol 1400M: (a),(e) engineering stress-strain curves in uniaxial tension;
(b),(f) normalized force versus displacement curves in plane-strain tension; (c),(g) normalized force versus displacement curves in in-plane simple shear; (d),(h) force-displacement curves from Nakajima tests in equi-biaxial tension.

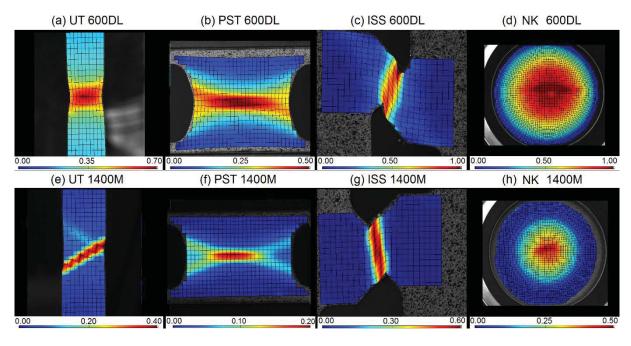


Fig. 4 Strain magnitude field from the last image before onset of fracture in selected duplicates of the experimental tests.

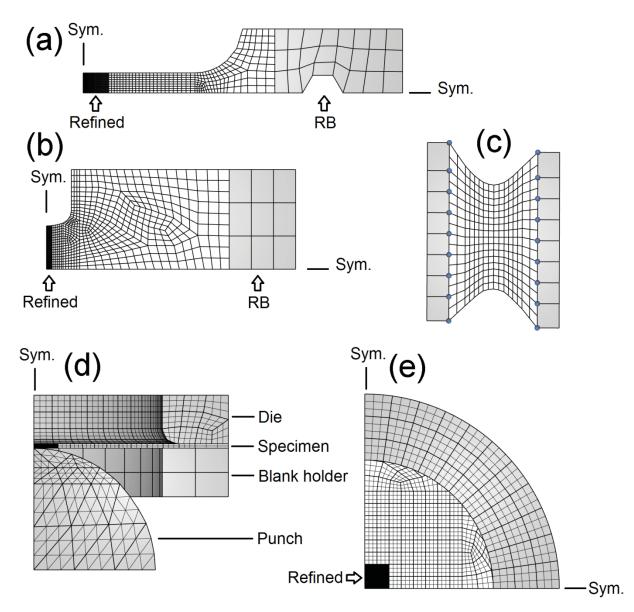


Fig. 5 Finite element meshes of (a) uniaxial tension test, (b) plane-strain tension test, (c) inplane simple shear test and (d-e) Nakajima test in equi-biaxial tension. In-plane
symmetry is marked for the uniaxial tension, plane-strain tension and Nakajima
specimens.

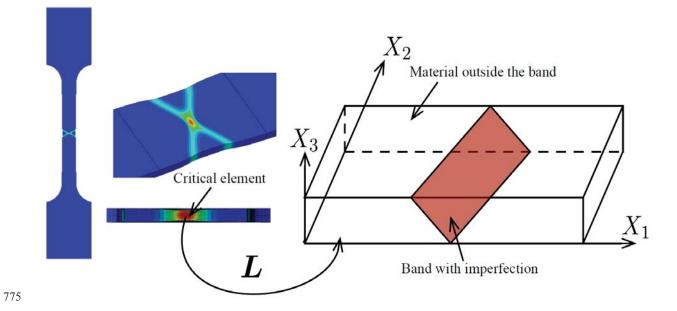


Fig. 6 Illustration of localization analysis: position of the critical element in simulation of the uniaxial tensile test (left); orientation of imperfection band with respect to the rolling direction (X_1), in-plane transverse direction (X_2), and normal direction (X_3) of the sheet.

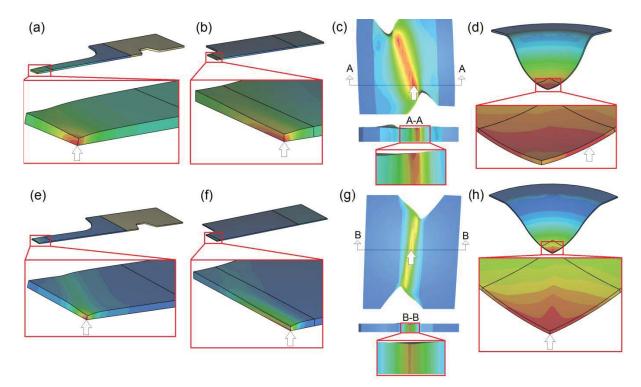


Fig. 7 Equivalent plastic strain fields before onset of fracture in cubic element simulations of
(a-d) Docol 600DL and (e-h) Docol 1400M: (a),(e) uniaxial tension test; (b),(f) planestrain tension test; (c),(g) in-plane simple shear test; (d),(h) equi-biaxial Nakajima test.
The positions of the critical elements, i.e., the positions in the FE models
corresponding to the experimental point of fracture initiation, are marked by arrows.

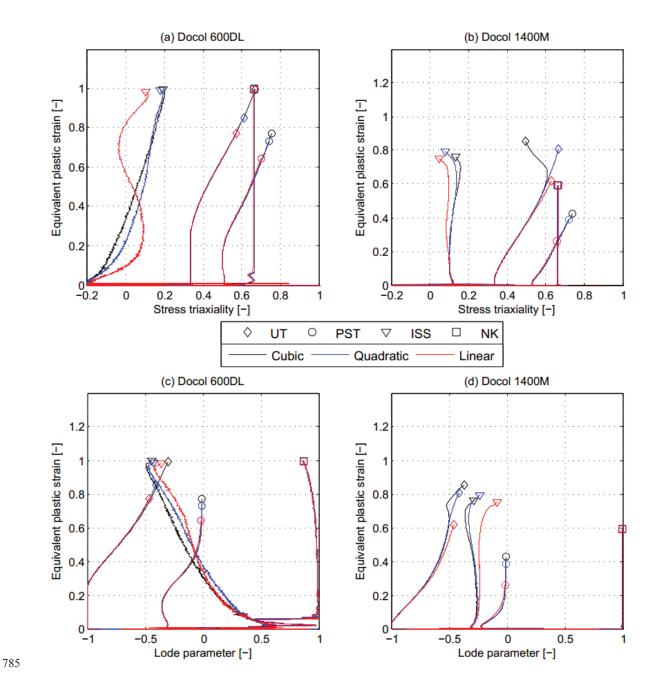


Fig. 8 Stress and strain histories collected from critical elements in simulations of the
 material tests: (a),(b) equivalent plastic strain versus stress triaxiality; (c),(d)
 equivalent plastic strain versus Lode parameter. The curves are generated from
 simulations with linear, quadratic and cubic shape functions.

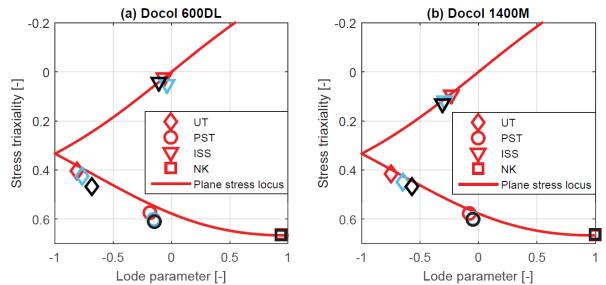
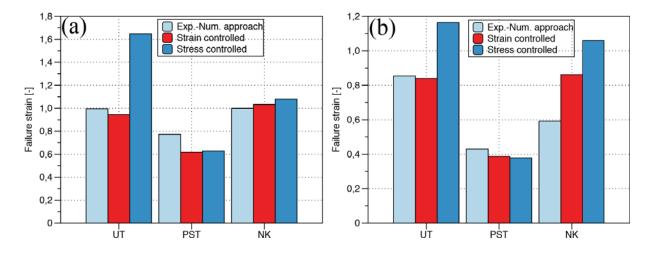


Fig. 9 Simulated average values of stress triaxiality and Lode parameter [-]
 Fig. 9 Simulated average values of stress triaxiality and Lode parameter in tests compared with plane stress locus: (a) Docol 600DL and (b) Docol 1400M. Red, blue and black markers present results from simulations with linear, quadratic and cubic elements, respectively.



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Fig. 10 Failure strain from hybrid experimental-numerical approach and failure strain estimated with the localization analysis: (a) Docol 600DL and (b) Docol 1400M. Strain control means that the localization analysis was performed using the strain history from the FE simulation, thus giving non-proportional loading, while stress control means that the average values of the stress triaxiality and Lode parameter were imposed to ensure proportional loading.

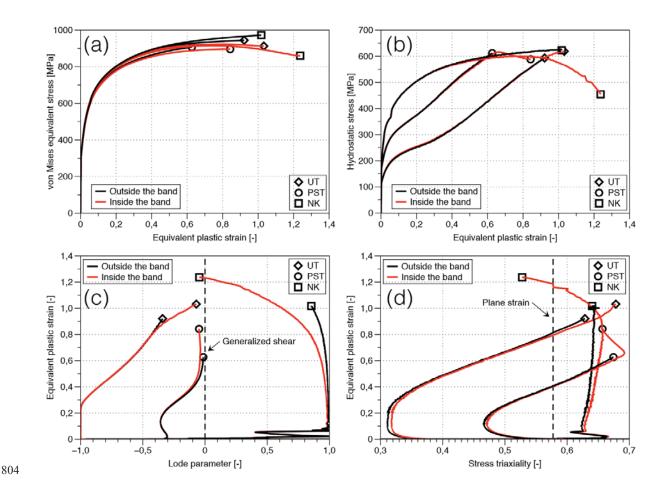


Fig. 11 Details from the band analysis of the UT, PST and NK simulations for Docol 600DL: (a) von Mises equivalent stress vs. equivalent plastic strain, (b) hydrostatic stress vs. equivalent plastic strain, (c) equivalent plastic strain vs. Lode parameter and (d) equivalent plastic strain vs. stress triaxiality. All quantities are presented for the material outside and inside the critical band.

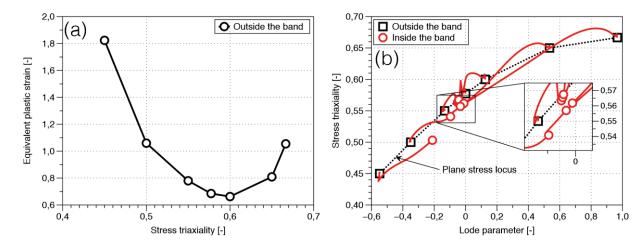


Fig. 12 (a) Plane-stress fracture locus for Docol 600DL based on quantities outside the band,

and (b) stress triaxiality vs. Lode parameter inside and outside the critical band.