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In-field and out-of-file application in ^{12}C ion therapy using fully 3D silicon microdosimeters

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HIGHLIGHTS

- ▶ The application of the silicon microdosimeters for radiation field characterisation in heavy ion therapy was presented
- ▶ Microdosimetric measurements were carried out and RBE₁₀ values were derived in ^{12}C ion therapy
- ▶ Dose equivalent determination at different lateral points from the edge of the field were obtained

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ABSTRACT

This paper presents recent development of Silicon on Insulator (SOI) detectors for microdosimetry at the Centre for Medical Radiation Physics (CMRP) at the University of Wollongong. A new CMRP SOI microdosimeter design, the 3D mushroom microdosimeter is presented. Modification of SOI design and changes to the fabrication processes have led to improved definition of the microscopic sensitive volumes (SV), and thus to better modelling of the deposition of ionizing energy in a biological cell. The electrical and charge collection properties of the devices have been presented in previous works. In this study, the response of the microdosimeters in monoenergetic and spread out Bragg peak therapeutic ^{12}C ion beam at Heavy Ion Medical Accelerator in Chiba (HIMAC, Japan) are presented. Derived relative biological effectiveness (RBE) in ^{12}C ion radiation therapy matches the tissue equivalent proportional counter (TEPC) well, along with outstanding spatial resolution. The use of SOI technology in experimental microdosimetry offers simplicity (no gas system or HV supply), high spatial resolution, low cost, high count rates capabilities for beam characterization and quality assurance (QA) in charged particle therapy.

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1. Introduction

Radiotherapy using heavy ion beams such as Carbon-ions is advantageous for the treatment of deep-seated tumors over conventional radiotherapy with X-rays due to an enhanced dose deposition in the Bragg peak (BP) at the end of the ion range. The high localization of dose delivery ensures the highest dose deposited in the tumour with minimal dose to the surrounding healthy tissue. Furthermore, the Relative Biological Effectiveness (RBE) of ^{12}C ions used in hadron therapy greatly depends on the depth of the target volume in the body due to LET variation, nuclear fragmentation processes and neutron productions. Due to the complexity of the field, it is important to estimate the RBE of the heavy ions in hadron therapy applications so as to deliver the correct dose.

Microdosimetry is an extremely useful technique for estimating the RBE in unknown mixed radiation fields, typical for hadron therapy. The conventional detector for microdosimetry is the tissue equivalent proportional counter (TEPC) which has the advantages of i) an isotropic response thanks to the spherical sensitive volume and ii) tissue equivalence of its walls and filling gas. However, the TEPC has several limitations such as high voltage operation, large size of assembly, which reduces spatial resolution and introduces wall effects, and an inability to simulate multiple cells.

The Centre for Medical Radiation Physics (CMRP) has developed multiple generations of microdosimeters on silicon-on-insulator (SOI) substrates which have been successfully tested [1-6] and recently summarized in [7]. The latest development of SOI microdosimeters at CMRP is the 3D array microdosimeter (also called “mushroom” microdosimeters) using 3D micro technology at SINTEF MiNaLab, Norway. The charge collection properties have been presented in [8].

This paper presents the response of the 3D mushroom microdosimeter in a therapeutic ^{12}C ion beam in HIMAC, Japan and shows its application for relative biological effectiveness (RBE) determination in charged particle therapy.

2. Material and Method

Design of the 3D mushroom microdosimeter

Figure 1 shows a schematic of two different single 3D SV structures of the mushroom microdosimeters. The first structure is called a *trenched 3D* (or *air-trenched*) SV and consists of 3D cylindrical SVs with a core column of air and n^+ doping in the inner walls of the SV center (Fig.1a). Each SV is surrounded with a trench of air and with p^+ doping on the outer wall, designed to physically eliminate the possibility of charge generated outside the SV from being collected.

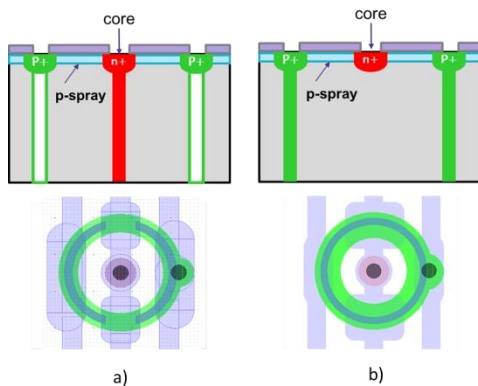


Figure 1 Schematics illustrating different configurations designed to define the sensitive volume geometry a) Trenched 3D structure (air-trenched) and b) Trenched planar structure (poly-trenched).

The second structure of the mushroom microdosimeter is called a *trenched planar* (or *poly-trenched*) SV, which also consists of 3D cylindrical SVs with a planar n^+ core produced by ion implantation (planar technology). Each SV is surrounded with a completely p^+ doped trench filled with polysilicon (Fig 1b).

The mushroom microdosimeter is based on an array of 2500 cylindrical SVs, each with a diameter of $30\ \mu\text{m}$ and a thickness of $9.1\ \mu\text{m}$. The even and odd rows of SVs are read out independently to avoid events in adjacent sensitive volumes being read as a single event in the case of oblique charged particle tracks.

Microdosimetric probe based on SOI microdosimeter

Figure 2 shows the microdosimetric probe, named the *Micro Plus* probe (μ^+), developed at the CMRP, based on an SOI microdosimeter with an array of 3D SVs connected to a low noise spectroscopy-based readout circuit. The readout electronics of the μ^+ probe are located 10 cm away from the detector to keep the readout circuitry out of the primary radiation field and avoid radiation damage to the electronics. The μ^+ probe is covered by a PMMA sheath to allow the microdosimeter to be operated in water.

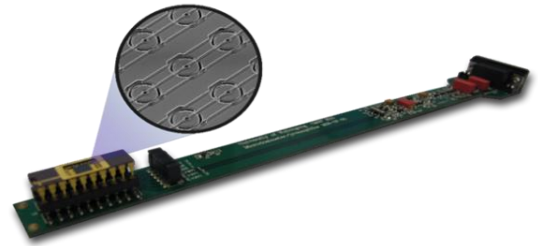


Figure 2 The microdosimetric probe (or also called MicroPlus probe)

Passive pristine Bragg Peak and Spread Out Bragg Peak (SOBP) of ^{12}C ion beam delivery at HIMAC facility.

A $290\ \text{MeV/u}$ ^{12}C ion beam was delivered with pristine BP and SOBP of 60 mm using an Al ridge filter. A $0.434\ \text{mm}$ and $0.649\ \text{mm}$ Ta scatterer was used upstream to broaden the beam for the pristine and SOBP beams, respectively. Once shaped the beam was collimated to $10 \times 10\ \text{cm}^2$ 140 mm before the phantom. The range of the $290\ \text{MeV/u}$ ^{12}C beam in water after traveling through air between nozzle and phantom was $147.92\ \text{mm}$. The microdosimetric probe was mounted in a water phantom using an X-Y stage to remotely control the detector location in the phantom with sub-hundred micron precision.

Data collection and analysis

The spectral response of the detector was recorded with an Amptek MCA 8000A Multi Channel Analyzer (MCA). To obtain the microdosimetric quantities from the MCA spectrum, the energy deposited was converted to lineal energy which is used to describe the energy deposition in a micron sized sensitive volume (SV) along a particle's track, given by:

$$y = \frac{\varepsilon}{\langle l \rangle} \quad (1)$$

where ε is the energy deposited in a SV with an average chord length $\langle l \rangle$. A silicon-tissue scaling factor of 0.58 was obtained by calculating the energy deposition in silicon SV exposed to the $290\ \text{MeV/u}$ ^{12}C ion radiation field, along the Bragg curve, by means of Geant4 [9].

Based on equation (1), the probability density $f(y)$ can be measured for all primary and secondary particles generated during an exposure to tissue by ionizing radiation. The dose probability density $d(y)$ is given by:

$$d(y) = \frac{y f(y)}{\bar{y}_F} \quad (2)$$

1 where $\bar{y}_F = \int_0^\infty yf(y)dy$, \bar{y}_F is the frequency-mean lineal energy.
 2 The dose-mean lineal energy \bar{y}_D is defined as $\bar{y}_D = \int_0^\infty yd(y)dy$; the
 3 latter is used to determine the α parameter in the Linear Quadratic
 4 Model (LQM) applied for radiation field of interest and used later as
 5 an input parameter for the MKM to calculate RBE₁₀ corresponding to
 6 10% of human salivary gland (HSG) cell survival. A detailed
 7 description for calculating RBE₁₀ using the MK model can be found in
 8 [10].

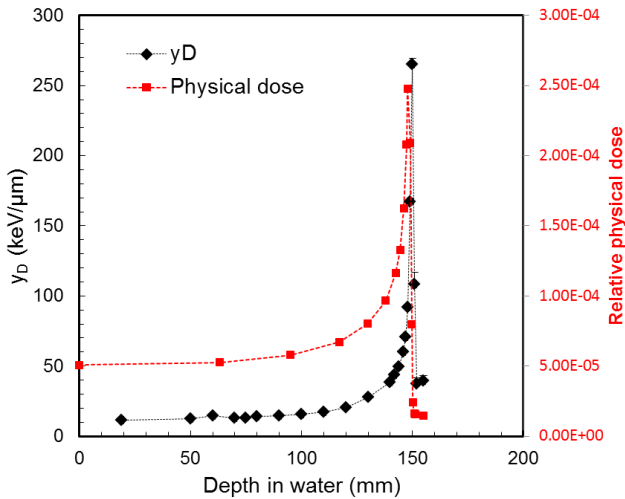
9
 10 *Determination of Quality factor and dose equivalent in out-of-field*
 11 *measurements*

12 The dose-equivalent H is defined as the product of the absorbed
 13 dose and a quality factor used to estimate the dose received by a
 14 person upon radiation exposure. Using the lineal energy dependent
 15 quality factor Q(y), defined for radiation protection in the ICRU-40
 16 report [11], the dose is scaled to be proportional to the biological
 17 effects it causes with respect to effects produced by a reference
 18 radiation. The method for calculating the dose-equivalent using
 19 microdosimetry has been explained in detail in previous work [12].

20 **3. Results**

21 *Response of the microdosimeter to 290 MeV/u ¹²C pristine BP*

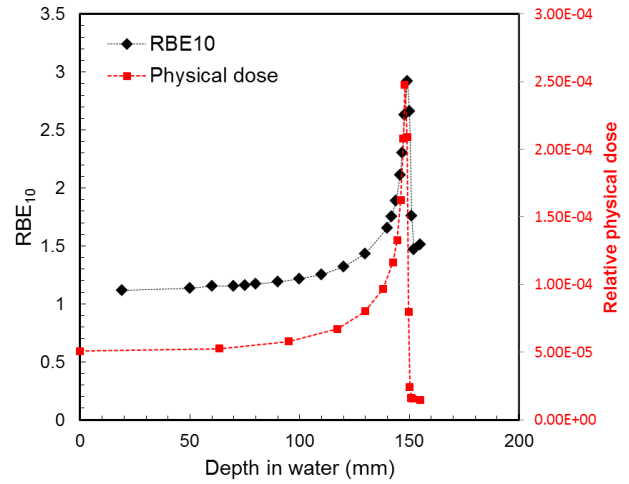
22 Figure 3 shows the dose-mean lineal energy values obtained at
 23 different depths in water obtained with the poly-trenched mushroom
 24 microdosimeter as well as the physical dose measured using a pin
 25 point ionisation chamber PTW31006 (0.015 cm³ measuring volume)
 26 for the passively delivered 290 MeV/u pristine ¹²C ion beam. The \bar{y}_D
 27 values at the entrance depth in water was 11.39 keV/μm then increased
 28 up to approximately 90 keV/μm at the BP (147.92 mm depth in water)
 29 and then sharply rose up to 265 keV/μm at the distal part of the BP.
 30 This sharp increase in \bar{y}_D can only be obtained due to the extremely
 31 high spatial resolution of the SOI microdosimeter of an order of 10 μm
 32 thick.



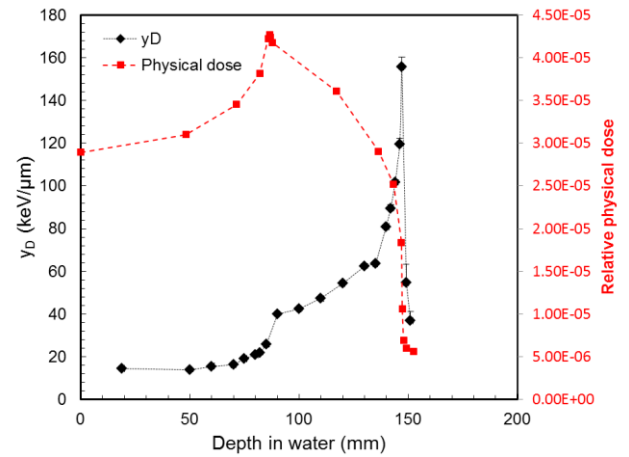
33
 34 Figure 3 Dose mean lineal energy obtained with poly-trenched
 35 mushroom microdosimeter and corresponding physical dose in
 36 response to 290 MeV/u pristine ¹²C ions.

37 Figure 4 shows the derived RBE₁₀ distribution obtained with the
 38 poly-trenched mushroom microdosimeter at different depths in water
 39 and the corresponding physical dose, irradiated by a 290 MeV/u
 40 pristine BP of ¹²C ion beam. Fig. 4 shows that for pristine ¹²C ions
 41 the maximum RBE₁₀ value of 2.92 occurs at the same depth as the
 42 physical dose, unlike other ions [13]. At the distal part of the BP the
 43 maximum \bar{y}_D was approximately 265keV/μm, RBE₁₀ was about 2.66.
 44 The decrease of RBE₁₀ towards the distal part of the BP is associated

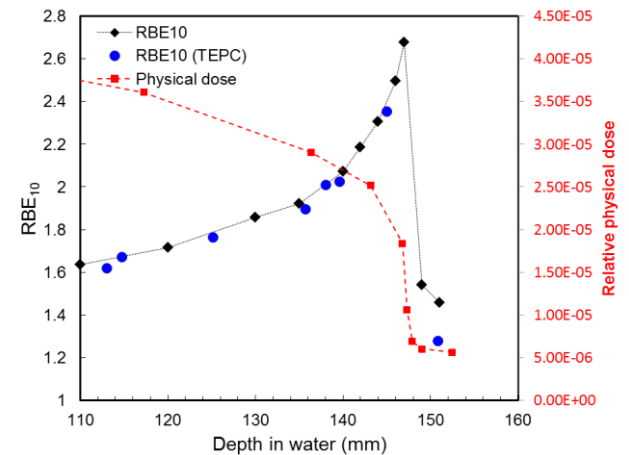
45 with the overkilling effect of cells which has been taken into account
 46 by the MK model [7].



47
 48 Figure 4 RBE₁₀ obtained from measurements with the poly-trenched
 49 mushroom microdosimeter in 290 MeV/u pristine BP of ¹²C ion beam.

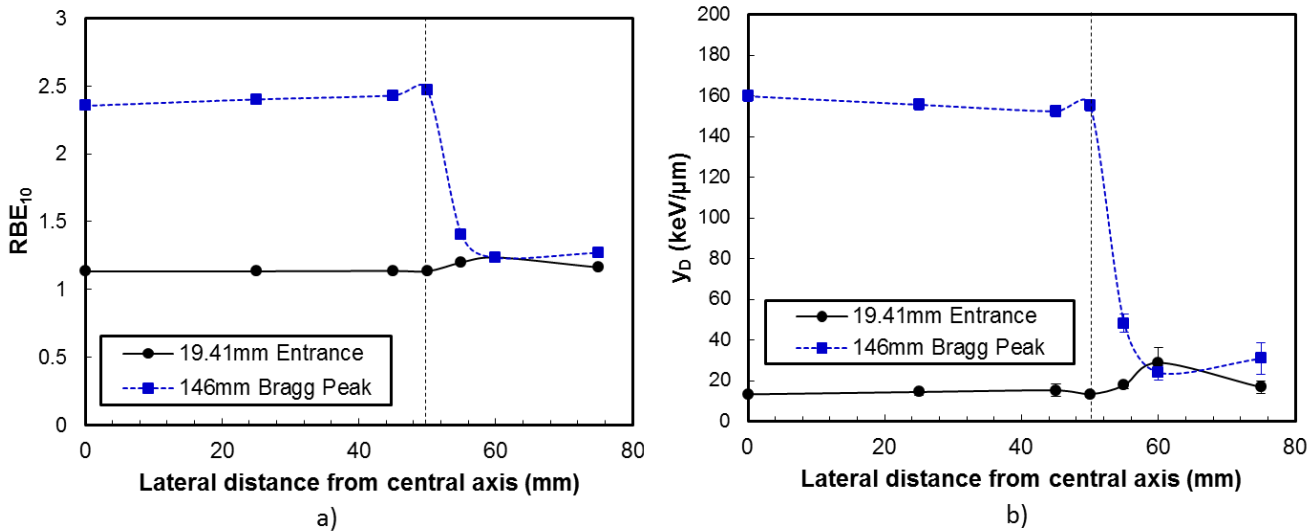


50
 51 Figure 5 Dose mean lineal energy obtained with the poly-trenched
 52 mushroom microdosimeter and corresponding physical dose in
 53 response to 290 MeV/u ¹²C SOBPs.



54
 55 Figure 6 RBE₁₀ obtained from the measurements with the poly-
 56 trenched mushroom microdosimeter and TEPC and corresponding
 57 physical dose in 290 MeV/u ¹²C SOBPs ions in water.
 58
 59
 60

1



a)

b)

2

3 Figure 7 RBE₁₀ (a) and \bar{y}_D (b) lateral distributions at 19.41 mm and 146 mm depth of 290 MeV/u ¹²C SOBP in water where the field size was 10 cm ×
4 10 cm. The vertical dashed line shown in the graphs indicates the edge of the radiation field.

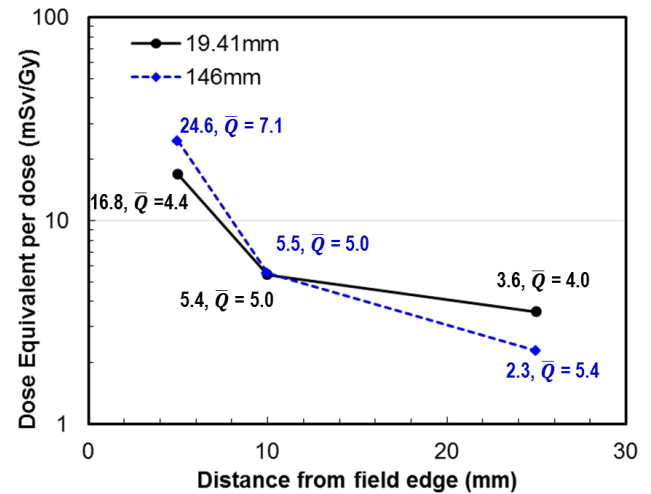
5 Figure 5 and 6 show the \bar{y}_D and derived RBE₁₀ distributions in
6 different depths in water obtained by the poly-trenched mushroom
7 microdosimeter for a 290 MeV/u 60 mm ¹²C SOBP, respectively. The
8 physical dose measured by the pinpoint ionisation chamber is also
9 shown. In order to deliver a flat biological dose in the target region,
10 the ridge filter delivers a physical dose which decreases with depth
11 such that $D_{biological} = D_{physical} \times RBE_{10}$ is flat over the 60 mm treatment
12 area. The maximum \bar{y}_D value obtained in the SOBP ¹²C ion beam was
13 155.7 keV/μm and is lower than the maximum \bar{y}_D value in the ¹²C
14 pristine BP. This is due to the presence of the Al ridge filter which
15 smears out the sharp dose at the BP region due to increased straggling.

16 Figure 7 shows the RBE₁₀ and \bar{y}_D lateral distributions at 19.41 mm
17 and 146 mm depth in water where the field size was 10 cm × 10 cm.
18 The air-trenched mushroom microdosimeter was used for this
19 measurement and was placed at: 0, 25, 45, 50, 55, 60 and 70 mm from
20 the central axis of the beam where 50 mm lateral distance is the edge
21 of the radiation field. At the entrance of the SOBP (19.41 mm depth),
22 it can be seen that the RBE₁₀ values were almost constant in the field
23 however at the penumbra region the RBE₁₀ slightly increased from
24 1.13 to 1.23 as the microdosimeter moved 5 to 10 mm out of the field
25 due to fragments and neutrons. Finally the RBE₁₀ slightly decreased as
26 the microdosimeter was moved further away.

27 At the end of the SOBP region (146 mm depth), the RBE₁₀ values
28 were also almost constant in the field and then dropped sharply at the
29 penumbra region. At 5 mm distance from the edge of the field, the
30 RBE₁₀ reduced from 2.47 down to 1.44 and stayed almost constant at
31 further lateral distances due to the contribution of fragments with
32 lower LET than that of the primary ¹²C ions at the end of their range,
33 but higher than recoiled protons, generated from neutrons. At larger
34 distances, the effects of neutrons and fragmented protons are not
35 distinguishable. A similar trend was observed with the \bar{y}_D distribution
36 shown in Figure 7b. For 19.41 mm depth both \bar{y}_D and RBE₁₀ are
37 constant within the field while towards the edge of the field \bar{y}_D slightly
38 decreases at 146 mm depth leading to a slight increase in RBE₁₀ due to
39 the correction for the overkilling effect by the MK model.

40 The RBE₁₀ and \bar{y}_D values provide useful information on how the
41 RBE₁₀ and \bar{y}_D varies at the penumbra region, particularly for the sharp
42 dose gradients at the edge of the field.

43 *Determination of dose equivalent in penumbra region of the 290*
44 *MeV/u ¹²C beam*



45

46 Figure 8 Dose equivalent per dose and calculated average quality
47 factor at different lateral points from the edge of field for 19.41 mm
48 and 146mm depth in water.

49

50 Figure 8 shows the dose equivalent per dose in the middle of the
51 SOBP which was calculated based on the measured lineal energy
52 spectra obtained with the air-trenched mushroom microdosimeter at
53 lateral distances of 5 mm, 10 mm and 25 mm from the edge of the
54 carbon SOBP field for depths 19.41 mm and 146 mm. At a 5 mm
55 lateral distance from the field edge the dose equivalent was 24.6
56 mSv/Gy and 16.8 mSv/Gy for 146 mm and 19.41 mm depth,
57 respectively. The dose equivalent at these points correlate with an
58 average quality factor \bar{Q} (\bar{Q}) of 7.1 and 4.4 for 146 mm and 19.41 mm
59 depth, respectively. Increasing \bar{Q} can be explained by the contribution
60 of primary ¹²C scattered ions which have lower energy at 146 mm
61 depth in comparison to 19.41 mm depth. At 10 mm lateral distance
62 from the field edge the dose equivalent and \bar{Q} obtained at 2 depths
63 were approximately the same. At 25 mm lateral distance the dose
64 equivalent dropped faster laterally at 146 mm depth in comparison
65 with 19.41 mm depth while \bar{Q} is in opposite correlation with values of
66 5.4 and 4.0, respectively. This can be explained due to larger partial

1 contribution of heavier fragments and fast neutrons at 146 mm depth
 2 in comparison to the shallower depth 19.41 mm, while physical dose
 3 due to fragments is lower at depth 146 mm than at 19.41 mm depth for
 4 this lateral point.

5 4. Conclusion

6 Two SOI mushroom microdosimeters structures have been
 7 recently developed, fabricated and characterised in pristine and SOBP
 8 ^{12}C ion beams at HIMAC, Japan. The dose mean lineal energy and
 9 RBE₁₀ distributions in water were obtained with exceptionally high
 10 spatial resolution in the BP and the distal part of the BP. This work has
 11 shown that the $\overline{y_D}$ at the entrance of the ^{12}C pristine BP (11.3 keV/ μm)
 12 was lower than that obtained at the entrance of the SOBP (14.5
 13 keV/ μm). However the maximum $\overline{y_D}$ values in the pristine BP was
 14 higher than those in the SOBP due to the presence of an Al ridge filter.
 15 The maximum RBE₁₀ values for ^{12}C ions occurred at the same depth as
 16 the maximum physical dose in the BP which is in agreement with
 17 other work [13]. This confirms the advantage of using ^{12}C ion for
 18 treatment of tumours.

19 The results obtained by the SOI microdosimeters show good
 20 agreement with the TEPC after the application of proper correction
 21 factors to convert the silicon response to that biological tissue and
 22 indicate that the mushroom microdosimeter is suitable for use in heavy
 23 ion therapy applications.

24 The lateral RBE₁₀ and dose mean lineal energy distributions were
 25 obtained in this study for a depth close to the entrance and a depth at
 26 the end of the SOBP. It has been shown that in the penumbra region
 27 the lateral RBE₁₀ sharply decreased for 146 mm depth and slightly
 28 increased for 19.41 mm depth. The dose equivalent at these lateral
 29 points were also estimated. The dose equivalent reduced over a short
 30 distance for lateral points at 19.41 mm depth in comparison with 146
 31 mm depth.

32 The silicon microdosimeter containing 3D SVs presented in this
 33 study is a new and fast radiation field characterisation tool that has
 34 been tested and applied in heavy ion therapy applications with sub-
 35 millimetre spatial resolution. It shows great promise as an
 36 experimental device used for microdosimetric spectra measurements
 37 and based on this, commissioning of RBE used in treatment planning
 38 systems.

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