

1           **Studies on delignification and inhibitory enzyme kinetics of alkaline peroxide pre-**  
2                                   **treated pine and deodar saw dust**

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15

16 **ABSTRACT**

17 Delignification of lignocellulosic biomass by alkaline peroxide pre-treatment is a preliminary  
18 important step for an overall biomass fractionation process. In the present work, saw dusts are  
19 pre-treated by aqueous alkaline peroxide solution under different temperatures over a  
20 predetermined time. It is seen that Combined Pre-treatment (CP) removes a substantially  
21 higher quantity of lignin from biomass under a particular temperature. At elevated  
22 temperatures, the extent of delignification is observed much better. The % removal is: [PR:  
23 19.35%(30°C): 25.26%(50°C): 33.30%(100 °C)]; [CD: 14.64%(30°C): 23.64%(50°C):  
24 28.83%(100 °C)]. Batch kinetics is investigated with certain models and corresponding  
25 parameters are estimated. As pre-treatment severity is strongly correlated to the pre-treatment

1 temperature, increased value of “*potential degree of delignification*” is observed at escalated  
2 temperatures. Kinetics of enzymatic hydrolysis of delignified biomass shows decreased  
3 product inhibition with increased substrate concentration under a particular enzyme loading.  
4 Starting with a combination of 50 g/L substrate concentration with an enzyme loading of  
5 13.23 g/L, an optimum concentration of 17.2 g/L and 21.19 g/L of glucose are produced from  
6 *Pinus roxburghii* and *Cedrus deodara* respectively. Experimental data fit quite well with the  
7 competitive inhibition kinetics based theoretical models with  $r^2 \geq 0.95$ . It is inferred that  
8 enzymes are competitively inhibited by glucose.

9

10 **Keywords:** *pseudo first order kinetics; activation energy; Arrhenius constant; delignification*  
11 *rate constant; potential degree of delignification.*

12

### 13 **1. Introduction**

14 Production of fuels from lignocelluloses is one of the most promising solutions in response to  
15 the present day energy crisis [1]. Lignocelluloses are composed of lignin and sugar polymers.  
16 Upstream delignification of biomass is the most crucial and rate limiting step of the whole  
17 process of depolymerisation of sugar polymers for obtaining biofuels [2]. There are a number  
18 of pre-treatment methods available to delignify biomasses; from the perspective of economy  
19 and environment friendliness alkaline peroxide based pre-treatment can be considered the  
20 most promising chemical method to delignify a biomass effectively without producing much  
21 inhibitors for the following steps of enzymatic hydrolysis [3, 4]. NaOH and hydrogen  
22 peroxide are two major components of alkaline-peroxide based pre-treatment. As  
23 delignification is a pH dependent process, NaOH is used to make up pH of the solution till  
24 desired value is reached. If the pH of alkaline-peroxide solution is maintained below 10.5,

1 removal of lignin is not very effective while the same is achieved when wheat straw is treated  
2 at room temperature (25°C) using alkaline-peroxide solution at a pH of 11.5 [5]. In this  
3 process energy is also optimized as lignin can be removed from the biomass within initial 6h  
4 of pre-treatment. While pH is an important factor for delignification, presence of H<sub>2</sub>O<sub>2</sub> is  
5 another crucial aspect that must be considered. In presence of H<sub>2</sub>O<sub>2</sub>, a 37.1% recovery of  
6 Glucan is accomplished during saccharification of alkaline peroxide treated biomass. On the  
7 other hand, only 27% glucan is recovered from NaOH treated biomass [5, 6]. Lignin removal  
8 is directly proportional to the reaction temperature for a particular substrate concentration [7].  
9 The same research group has delignified corn stover using alkaline-peroxide solution of pH  
10 11.5 and achieved 68.6% of total glucose using accellerase 1000 as the enzyme at a loading  
11 of 15mg/g of glucan without intermediate washing. It is claimed [8] that the ultimate glucose  
12 generation is enhanced with elevated substrate loading while pre-treated broth is neutralized  
13 with an addition of acid (instead of washing) followed by subsequent removal of water by  
14 freeze drying. Alkaline-peroxide pre-treatment of corn stover is carried out by the same  
15 research group where the pH of the pre-treatment solution is continuously monitored and  
16 maintained at 11.5 and finally 35% reduction of total insoluble biomass is achieved due to  
17 solubilization of klason lignin along with 5.5% of glucan and 10.1% of xylan [7, 8].  
18 Supplementing alkaline-peroxide solution with Cu-(bpy) provides a yield of 80% glucose and  
19 70% xylose [9]. Cu catalyzed alkaline peroxide pre-treatment with an upstream alkali  
20 mediated extraction of hybrid poplar improves solubilization of lignin and xylan [10].  
21 Addition of H<sub>2</sub>O<sub>2</sub> into the pre-treatment liquor (mode: fed batch) has been more effective in  
22 terms of solubilization of increased lignin and xylose. During subsequent enzyme-catalyzed  
23 hydrolysis, 85% glucose and 95% xylose yields are achieved [10]. In the present study, two  
24 biomass, namely, *Pinus roxburghii* (PR) and *Cedrus deodara* (CD) are pre-treated using  
25 alkaline-peroxide solution in order to delignify them at a pH of 11.5 and at temperatures

1 30°C, 50°C and 100°C.

2 Reaction kinetics for the pre-treatment steps is an important tool for deciding the economics  
3 of a process in terms of the capital cost for the reactor. Alkaline peroxide solution forms a  
4 pseudo homogeneous system with biomass and time-variant delignification is considered as a  
5 first-order reaction in a pseudo homogenous system. Generally two different kinetic models  
6 can be considered for delignification. In one type of model (*simultaneous model*), biomass  
7 are considered as being composed of various types of polymers with different phases of  
8 degradation being attributed to different rate-controlling mechanisms of the overall  
9 delignification process[11]. The other model (*consecutive model*) considers that the  
10 lignocellulosic biomass is composed of different polymer fractions which may be degraded  
11 consecutively or simultaneously. The consecutive model was developed based on the  
12 assumption that lignin is composed of initial, bulk and residual lignins which react  
13 successively. The simultaneous model assumes that different types of lignin dissolve  
14 simultaneously at the beginning and react concurrently with the advancement of pre-  
15 treatment [11-15]. In the present study, delignification kinetics of two different procedures  
16 has been investigated and validated using alkaline peroxide treatment of two different  
17 softwood samples.

18 In the current study, two different wood powders are pre-treated with 2% alkaline-peroxide  
19 pre-treatment at three different temperatures and the corresponding delignification pattern is  
20 observed in order to analyse and interpret the delignification kinetics. On the other hand,  
21 biomass is separately delignified with a specific Combined Pre-treatment (CP) comprising of  
22 autoclaving as the first step, followed by 1h probe sonication along with a subsequent 5h long  
23 alkaline peroxide pre-treatment as the final step.

## 1 **2. Experimental procedure**

### 2 *2.1. Materials*

3 Branches of pine (PR-*Pinus roxburghii*) and deodar (CD-*Cedrus deodara*) trees are collected  
4 from the Kullu Valley by technical helps from G. B. Pant National Institute of Himalayan  
5 Environment and Sustainable Development, Himachal Regional Centre (Mohal-Kullu,  
6 Himachal Pradesh, India) and these are chipped into small wood pieces with the help of  
7 handsaw. The wood chips are further processed into saw dust using a chain-saw (**Make:**  
8 **STIHL; Model:** Cast Iron Chain Saw, MS-180) and a circular saw (**Make:** BOSCH; **Model:**  
9 GKS190). Finely ground saw dust samples, free from impurities and larger particles, are  
10 isolated and collected after passing the saw dusts through a sieve (screen size: 85 mesh).

### 11 *2.2. Chemicals used*

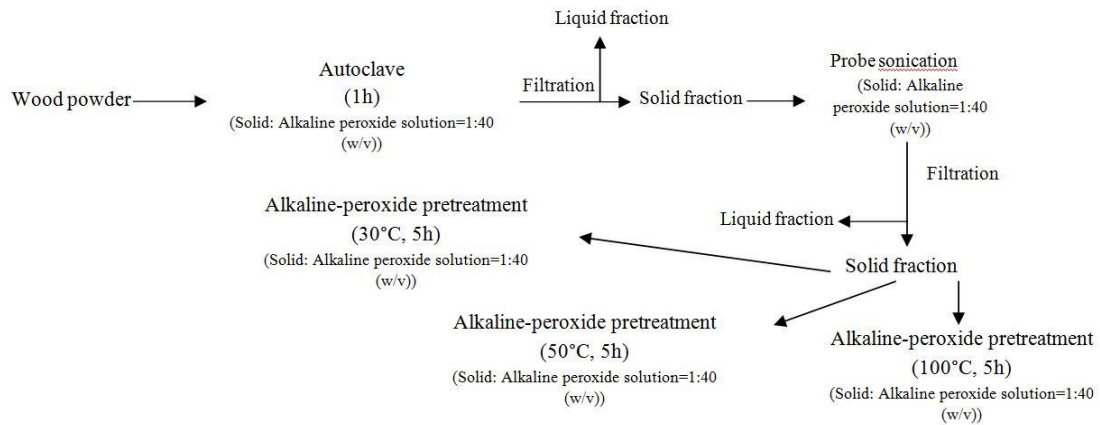
12 All chemicals, like distilled water (CAS NO:7732-18-5), NaOH pellets (CAS NO: 1310-73-  
13 2), H<sub>2</sub>SO<sub>4</sub> (98% CAS NO: 7664-93-9) and hydrogen peroxide (30%, CAS No: 107209), used  
14 in the experiment are purchased from Merck (Germany). Glassware used during the  
15 experiment are purchased from Borosil (India). Glass vacuum filtration device with flask,  
16 used for filtration purpose, is manufactured by Sartorius, Germany. Instruments like magnetic  
17 stirrer assisted heating mantle (Make: Remi, India; Model: Q20A) and autoclave (Make:  
18 Remi, India; Capacity: 20 L) are used. A probe sonicator (Make: PCI analytics, India; Model:  
19 PKS-750F; Probe dia: 10 mm) is used.

### 20 *2.3. Experimental methods*

21 Two different types of delignification protocols, conventional alkaline pre-treatment and  
22 combined pre-treatment, are followed in course of the present study in order to understand  
23 the delignification kinetics and the impact of various process conditions on delignification. In

1 conventional delignification procedure, an aqueous solution of 2%  $\text{H}_2\text{O}_2$  is prepared and  
2 adjusted to a pH of 11.5, using NaOH pellets [7]. Afterwards, the biomass is submerged into  
3 an aqueous peroxide solution with a solid to alkaline-peroxide solution ratio of 1:40 (w/v).  
4 Three such identical experimental set up are prepared in three separate round bottom flasks  
5 fitted with glass stoppers and cook them at three different temperatures (30°C, 50°C and  
6 100°C) for 5h. This procedure is denoted as 'AP' throughout the rest of the manuscript.

7 On the other hand, another pre-treatment procedure (denoted as 'CP') is followed, where  
8 three different pre-treatment procedures are followed sequentially. Primarily, saw dusts are  
9 submerged into 2% aqueous  $\text{H}_2\text{O}_2$  solution (~pH=11.5) with a solid:alkaline-peroxide  
10 solution ratio of 1:40 (w/v) and autoclaved for 1h [16]. Afterwards, the whole broth is cooled  
11 down and the solid fraction is separated, washed with distilled water in order to neutralize it  
12 and then get them dried at 40°C. Next, the solid fraction is submerged in a 2% aqueous  $\text{H}_2\text{O}_2$   
13 solution (~pH=11.5) and sonicated for 1h using a probe sonicator (Make: PCI analytics,  
14 India; power used=300W; probe diameter: 10mm) [17]. On completion of sonication, the  
15 solid fraction of the broth is isolated by filtration using filter paper (Whatman no 1, Make:  
16 Merck) followed by drying in hot air oven at 40°C temperature. After that, three set of such  
17 dried saw dusts of identical weight are submerged into a 2% aqueous  $\text{H}_2\text{O}_2$  solution of pH=  
18 11.5 with a solid to alkaline peroxide solution ratio of 1:40 (w/v). Subsequently, those three  
19 set of colloidal mixtures are cooked at three different temperatures (30°C, 50°C and 100° C)  
20 for 5h. Schematic diagram of combined pre-treatment is presented in Fig. 1.



1

2

Fig.1. Schematic diagram of combined pre-treatment (CP).

3

#### 2.4. Sampling and lignin quantification

4

During the course of delignification experiment, aliquot amount of solid fraction of samples

5

along with aqueous peroxide solution are withdrawn at the end of each of the predetermined

6

time intervals with an aim to understand the variation of delignification characteristics with

7

time. While sampling, a solid (biomass) to alkaline peroxide solution ratio of 1:40 (w/v) is

8

maintained in the reaction vessel in order to keep experimental conditions constant

9

throughout the course of experiment. After each withdrawal, the samples are immediately

10

washed with de-ionized water and then dried at 40°C.

11

Lignin is quantitatively estimated following TAPPI T222 method. 1g ( $\pm 0.1$ ) of moisture free

12

wood sample (untreated and pre-treated) is taken and dissolved into 15 ml of 72% H<sub>2</sub>SO<sub>4</sub>.

13

Afterwards, the entire broth is kept at 20°C for 2h. Thereafter, the solution is diluted using

14

hot water and made up to a total volume of 575 ml and then boiled for four hours. Next, the

15

mixture is filtered through a silica crucible in order to isolate acid insoluble lignin. Finally,

16

the crucible is dried and acid insoluble lignin is estimated [18].

$$Lignin (\%) = \frac{Weight\ of\ lignin\ (g)}{Weight\ of\ the\ test\ specimen(g)} \times 100 \quad (1)$$

1

## 2 2.4. Delignification parameters and kinetics

3 Kinetic data for the pre-treatment of saw dusts are evaluated at different temperatures (30 °C,  
4 50 °C and 100 °C) for both AP and CP. For each of these isothermals, lignin content of the  
5 pre-treated sawdust is determined at reaction times 0.5, 1, 1.5, 2, 2.5, 3, 3.5, 4, 4.5 and 5h.

6 Delignification kinetics is analysed by the amount of lignin removed from the pre-treated  
7 solids with time at different temperatures.

8 The lignin solubilisation ratio at any time ( $t$ ) can be described as follows [14, 19]:

$$L_S(t) = \frac{C_{L_o} - C_L(t)}{C_{L_o}} \quad (2)$$

9

10 Where,  $C_{L_o}$  = initial lignin content (wt %) of the biomass,  $C_L(t)$  = lignin content (wt %) of the  
11 biomass at any time  $t$ ,  $L_S(t)$  = lignin ratio.

12 However, as the phenomenon of delignification is considered as a first order reaction,  
13 delignification rate can be expressed as:

$$\frac{dL_S}{dt} = K_L(1 - L_S) \quad (3)$$

14 Where,  $K_L$  is the delignification rate constant. However, by solving equation (3), considering  
15 the initial condition ( $L_S = 0$  at  $t=0$ ), a time dependant expression of lignin solubilisation  
16 ratio ( $L_S$ ) is found as follows:

$$L_S = 1 - \exp(-K_L \cdot t) \quad (4)$$



1 Therefore, by plotting  $-\ln(1 - L_S)$  against time  $t$ , considering intercept '0', will provide the  
2 value of  $K_L$  from the slope.

3 Additionally, it has been observed for years that it is almost impossible to remove the entire  
4 lignin content from the biomass. However, a new parameter termed as 'potential degree of  
5 delignification ( $d_D$ )' is considered which stands for estimating the maximum lignin  
6 solubilisation ratio for a particular biomass under the severity of a particular pre-treatment  
7 process [14].

8 However, the kinetic model expressed in equation (3), can also be expressed in terms of the  
9 potential degree of delignification, as follows:

$$\frac{dL_S}{dt} = K_L(d_D - L_S) \quad (5)$$

10 With the limit on  $d_D$  being:  $0 \leq d_D \leq 1$ .

11 Integration of equation (5) can be expressed as given below:

$$L_S = d_D[1 - \exp(-K_L t)] \quad (6)$$

12

13 Therefore, by plotting  $L_S$  against  $[1 - \exp(-K_L t)]$ , the value of  $d_D$  is estimated for each of  
14 the operating pre-treatment conditions.

15 As delignification is a function of pre-treatment temperature, there must be a correlation  
16 between the rate constant and temperature which can be characterized by the value of  
17 activation energy. The activation energy, in this case, can be defined as the minimum energy  
18 that is required by the molecules of pre-treatment solution to initiate delignification.  $K_L$  can  
19 be related to temperature following the Arrhenius law[2]:

$$K_L = A \exp^{-E_a/RT} \quad (7)$$

1 Where,  $A$  = Arrhenius constant;  $Ea$  = activation energy;  $R$  = Universal gas constant = 8.314  
2 J/mole·K;  $T$  = absolute temperature (K). Assuming the sample contains only one type of  
3 lignin and each lignin fraction is kinetically homogeneous, the activation energy ( $Ea$ ) of  
4 delignification is estimated using the logarithmic form of the Arrhenius equation ( $\ln K_L =$   
5  $\ln A - Ea/RT$ ) by plotting  $\ln K_L$  against  $1/T$ . The slope represents the value of  $Ea/R$ .

6

### 7 2.5. *Physical characterization of wood powder at different stages of CP*

8 The texture of the lignocellulosic samples are physically characterised at the end of various  
9 steps of pre-treatment, with the help of a Scanning Electron Micrograph (SEM) at  $\times 3,500$   
10 magnification. A Field Emission Scanning Electron Microscope (FE-SEM) is used [Make:  
11 Jeol, Germany; Model: JSM-7610F] in order to determine the change of surface structure and  
12 texture of pre-treated biomass. Additionally, thermal analysis of biomass was carried out after  
13 different stages of pre-treatment using a Thermo-Gravimetric Analyzer (TGA) [Make:  
14 SHIMADZU, Japan; Model: DTG-60A] in order to understand the thermal stability of two  
15 main components of biomass: cellulose and lignin. 0.5-1.5 g of sample is placed in an  
16 alumina crucible and heated from 30°C to 900°C at a rate of 10°C/min under nitrogen  
17 atmosphere (30ml/min) [20, 21].

18

### 19 2.6. *Enzymatic hydrolysis of delignified biomass*

20 Hydrolysis of delignified biomass is carried out in a round-bottom flask , agitated at 115 rpm,  
21 using phosphate citrate buffer (pH 4.8) and delignified wood powder of three different  
22 concentrations (25, 50, 125 g/L) as substrate. Cellulase blend [SAE0020, 1000U/g, 1.2g/ml],  
23 cellulase [C1184, 1.3U/mg] derived from *A. niger*, hemicellulase [H2125,1.5U/mg] derived

1 from *A. niger* and  $\beta$ -glucosidase [49290, 7.7 U/mg] derived from almonds are used to  
2 depolymerise cellulosic biomass. A cocktail of enzymes is prepared in a ratio of cellulose mix:  
3 hemicellulase:  $\beta$ -glucosidase = 1:1:2 [unit basis], whereas the cellulase mix is prepared using  
4 cellulase blend [SAE0020] and cellulase [C1184] in a ratio of 1:1.8 [unit basis][22].  
5 Enzymatic hydrolysis is performed using two different enzyme concentrations (1.28 g/L and  
6 13.23 g/L) at 50°C. Sodium Azide [0.1% (w/v)] is used as the antimicrobial agent. Sugar  
7 concentrations are determined using DNS assay as described earlier by Miller, 1959 [23].  
8 Additionally, HPLC (Make: Waters GmbH, Germany; Model: 2489) fitted with a RI detector  
9 (Make: Waters GmbH, Germany; Model: 2414) and Brownlee amino column (Make:  
10 PerkinElmer, USA; Material: N9303501) is used to quantify individual sugars present in the  
11 hydrolysis broth. The mobile phase used at ambient temperature consisted of HPLC grade  
12 acetonitrile and ultrapure water (70:30) at a constant flow rate of 0.6 ml/min. Sugars are  
13 finally quantified using standard curves for glucose and xylose as these two monomeric  
14 sugars are the most important substrates in a particular combination for downstream  
15 fermentation. It is well established that the enzyme activity is inhibited with an increase in  
16 product (*glucose*) concentration during enzymatic hydrolysis. Lee et al., 1983 and Andrić et  
17 al., 2010 reported that competitive inhibition is present in cellulose-cellulase systems and  
18 in between glucose and  $\beta$ -glucosidase [24, 25].

19 Assuming a pseudo-homogeneous Michaelis–Menten mechanism at the preliminary  
20 concentration of a substrate, a model is predicted corresponding to the final concentration of  
21 the product [26]:

$$22 \quad \frac{dC}{dt} = \frac{K[E_0](C_{ult} - C)}{K_M \left[ 1 + \left( \frac{1}{K_I} \right) C \right] + 0.9(C_{ult} - C)} \quad (8)$$

1 Here the ultimate value of glucose concentration ( $C$ ) is denoted by  $C_{ult}$ . The value 0.9 can be  
 2 considered as a constant and the same is obtained by the ratio of the molecular weight of  
 3 glucose units present in cellulose to that of glucose.

4 The apparent rate constant is denoted as  $K$  which determines the binding frequency between  
 5 cellulase to its substrate cellulose, where  $K_M$  represents the apparent Michaelis constant  
 6 which corresponds to the affinity between cellulose and cellulase. As the total sugar mostly  
 7 comprises of glucose,  $K_I$  is used as the apparent competitive inhibition constant between  
 8 glucose and cellulases.

9 In order to examine the proposed model, parameters are determined as follows:

10 First, in the initial stage, the sugar produced can be neglected ( $t \rightarrow 0, C \rightarrow 0$ ), thus

$$11 \quad \left(\frac{dC}{dt}\right)_{t \rightarrow 0} = \frac{K[E_0]C_{ult}}{K_M + 0.9C_{ult}} \quad (9)$$

12

13 Integrating the cellulose to glucose conversion with initial and final conditions gives the  
 14 following equations to determine the parameter  $K_I$ :

15

$$16 \quad \frac{t}{0.9(C - C_0)} = \beta \frac{\ln \left[ \frac{C_{ult} - C_0}{C_{ult} - C} \right]}{0.9(C - C_0)} - \gamma \quad (10)$$

17 Where,

$$18 \quad \beta = \frac{K_M C_{ult}}{K K_I [E_0]} + \frac{K_M}{K [E_0]} \quad (11)$$

19

$$20 \quad \gamma = \frac{K_M}{0.9 K K_I [E_0]} - \frac{1}{K [E_0]} \quad (12)$$

21

1 With this, a curve is plotted considering  $y \equiv \frac{t}{0.9(C-C_0)}$  against  $x \equiv \frac{\ln\left[\frac{C_{ult}-C_0}{C_{ult}-C}\right]}{0.9(C-C_0)}$  and a straight  
 2 line is obtained. From the slope and intercept of the straight line, the values of  $\beta$  and  $\gamma$  are  
 3 inferred respectively.

4

### 5 **3. Results and Discussion**

6

#### 7 *3.1. Determination of kinetic constants*

8 Removal of lignin is a thermally sensitive process and varies with different thermal pre-  
 9 treatment conditions to a large extent [27]. Mechanism of removal of lignin and other allied  
 10 components of the lignocellulosic biomass varies largely with the nature of sample. A  
 11 particular delignification condition can be found to be less effective for a sample with high  
 12 lignocellulosic recalcitrance whereas the same condition can be found very effective to de-  
 13 lignify samples containing a more open lignocellulosic hetero-matrix. It is evident that lignin  
 14 content of biomass changes significantly with every single step of AP and CP pre-treatment.  
 15 Lignin content of biomass, after each of the pre-treatment steps is measured using TAPPI  
 16 T222 and presented in Table1.

17

#### 18 **Table 1**

19 Representation of lignin content in the untreated and treated (autoclaving followed by probe-  
 20 sonication) samples and % removed in various methods of treatment.

21

Source	Lignin Content (wt %)after completion of each pre-treatment steps				
	Untreated	AP	CP		
			Autoclave	Probe sonication	Treatment with 2% peroxide solution

					(pH=11.5) for 5h					
		30°C	50°C	100°C						
		30°C	50°C	100°C	30°C	50°C	100°C	30°C	50°C	100°C
PR	32.1	31.39	30.07	26.9	29.71	27.32	25.89	23.99	21.41	
CD	34.3	33.59	32.27	29.09	32.09	30.71	29.28	26.19	24.41	
PR										
(% removal of lignin)		2.21	6.32	16.20	7.45	14.89	19.35	25.26	33.30	
CD										
(% removal of lignin)		2.07	5.92	15.19	6.44	10.47	14.64	23.64	28.83	

1

2 When the biomass is treated with alkaline-peroxide only, it dissolves lignin as well as  
3 hemicellulose without affecting the crystallinity of cellulose. H<sub>2</sub>O<sub>2</sub> decomposes into  
4 superoxide anions and hydroxyl ions under alkaline condition which cleaves the ether and  
5 ester linkages, present in the lignin-cellulose-hemicellulose matrix by introducing carboxyl  
6 groups into the structural frame of lignin and eventually dissolve lignin and hemicelluloses  
7 [28]. AP treatment is not very effective in delignifying the biomass significantly (refer Table  
8 1). However, as the extent of delignification is directly proportional to cooking temperature,  
9 biomass is pretreated with alkaline-peroxide only at three different temperatures (30°C, 50°C,  
10 100 °C) [29]. Thus, at elevated temperatures delignification is more effective. Increased  
11 thermal energy increases the kinetic energy of molecules which eventually make more  
12 number of molecules to vibrate more rapidly and ultimately an increased number of bonds  
13 disrupt [30]. The increment in terms of % removal of lignin is found to be very similar and in  
14 the order: PR [1(30°C): 2.86(50°C): 7.32(100 °C)]; CD [1(30°C): 2.86(50°C): 7.33(100 °C)].

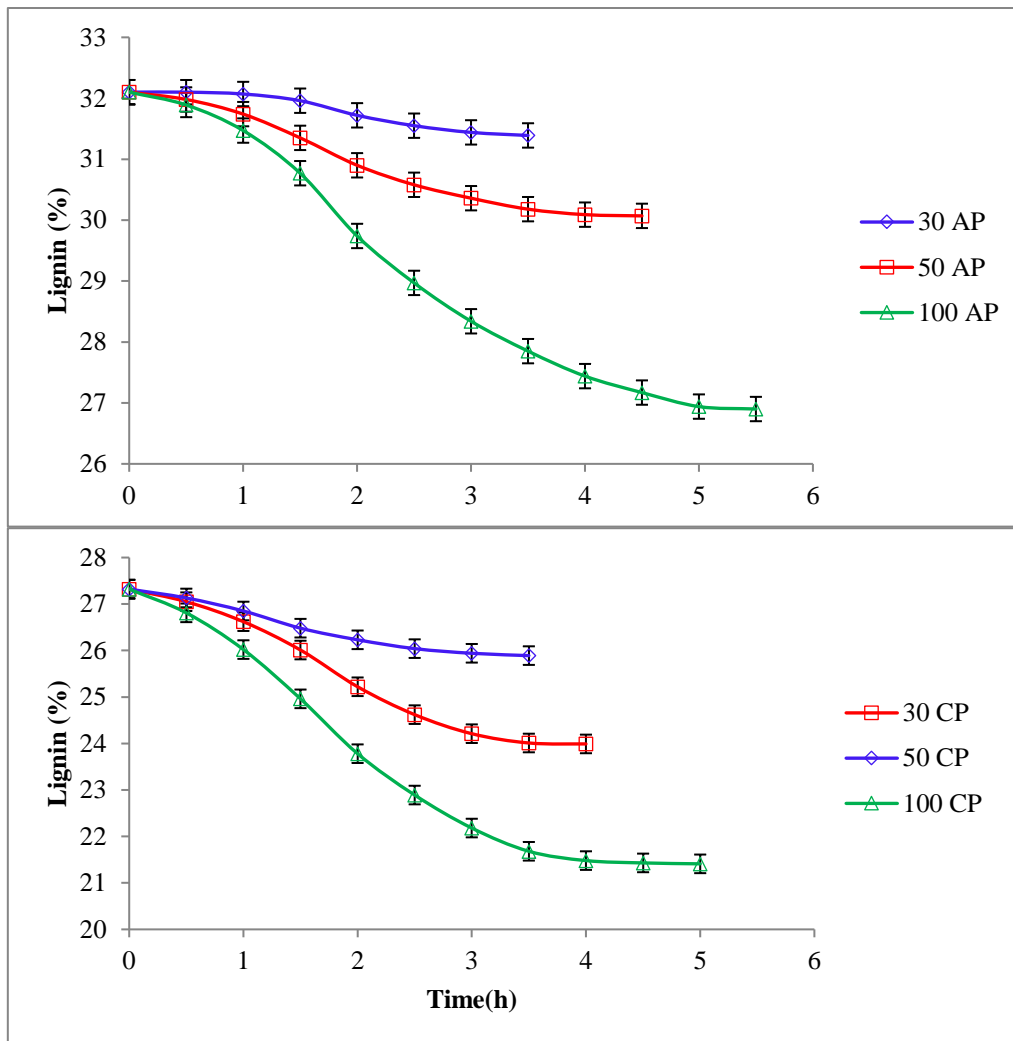
15 In case of CP, composed of upstream autoclaving, probe sonication and downstream  
16 alkaline peroxide pre-treatment, a better scenario of biomass delignification is observed. Each  
17 pre-treatment step of CP is responsible for different kind of physico-chemical modifications  
18 of the biomass. Treatment of biomass, submerged in 2% alkaline-peroxide solution, in  
19 autoclave for 1h, disrupts a considerable amount of inter-unit linkages and dissolves lignin,

1 along with most of the hemicellulosic sugars present in the side-chains of hemicelluloses  
2 [31]. Lignin removal is nominal [PR: 7.44%; CD: 6.44%] from the biomass following  
3 autoclave. Next, during probe sonication, numerous bubbles are formed which immediately  
4 collapse due to wave compression and forms micro-jets, thereby breaking the cell wall to a  
5 great extent and dissolve lignin. Probe sonication is also responsible for reduction of cellulose  
6 crystallinity as well as removal of amorphous cellulose [32]. When the autoclaved samples  
7 are further probe-sonicated, additional lignin is removed [PR: 14.89%; CD: 10.46%].  
8 Ultimately the solid fractions, recovered from the sonicated sample, are treated with 2%  
9 alkaline-peroxide solution (pH=11.5) at three different temperatures (30,50,100°C) and  
10 maximum degree of delignification is achieved at 100°C temperature. The % removal with  
11 temperature is: [PR: 19.35%(30°C): 25.26%(50°C): 33.30%(100 °C)]; [CD: 14.64%(30°C):  
12 23.64%(50°C): 28.83%(100 °C)].  
13 CD contains more lignin than Pinewood (PR) powder. Being a higher lignin containing  
14 biomass, CD holds a more rigid structure which is found to be more stable against  
15 delignification treatment. The same is confirmed from the % removal represented in Table 1.  
16 Cumulative effect of autoclaving and probe sonication can be effective in removing 14.89 %  
17 of lignin from PR whereas only 10.47% of lignin can be removed from CD.

18 Autoclaving and probe sonication are two upstream key steps of CP. During CP, time  
19 dependant variation of lignin is monitored after completion of the autoclave-probe sonication  
20 cycle. Following this, the partially pretreated samples are delignified with 2% aqueous  
21 peroxide solution (pH=11.5) for 5h and simultaneously lignin removal is monitored with time  
22 and the same is also considered for estimating parameters of delignification kinetics. In Fig.2,  
23 time dependant variation of delignification pattern of different wood samples is presented at

- 1 various pre-treatment temperatures in order to understand the impact of delignification time
- 2 and temperature on these samples.

3



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(a)

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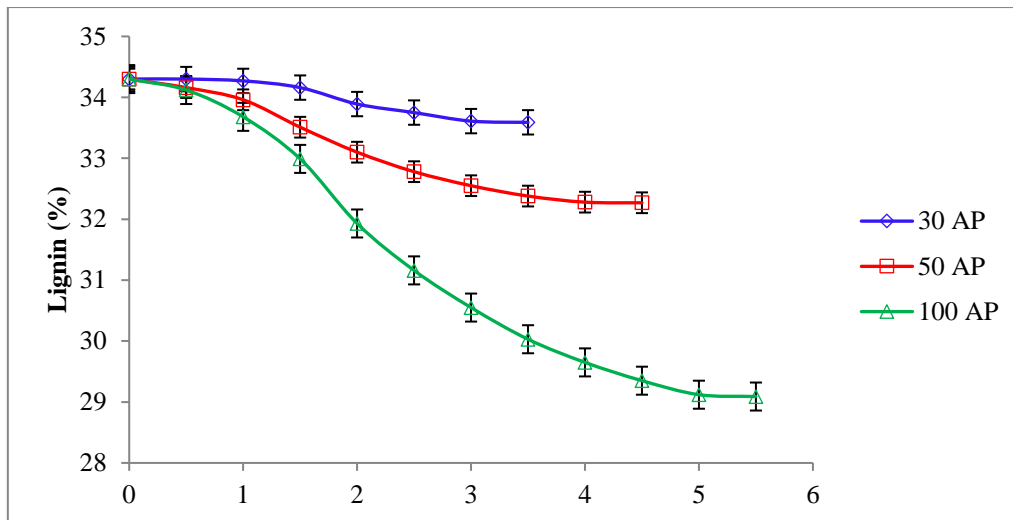
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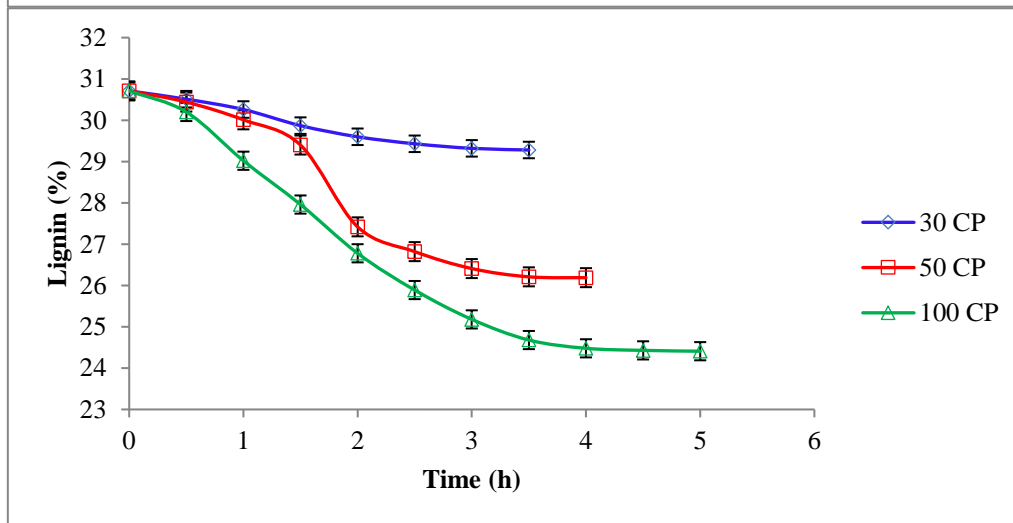
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1



2



3

(b)

4 Fig. 2. Time dependant variation of delignification patterns of (a) PR and (b) CD wood  
5 samples.

6 It is observed that time is an important factor for an effective removal of lignin. It is inferred  
7 from these figures that removal (lignin) is directly dependent on elapsed time of pre-  
8 treatment. However, equilibrium time for removal of maximum lignin is different for  
9 different biomass and cooking temperatures. It can be found from Fig.2(a) that delignification  
10 of PR using alkaline-peroxide only at 30°C requires 3.5h to remove 2.21% of lignin.  
11 Surprisingly, with the same time frame and cooking temperature, combined pretreated

1 biomass loses 5.23% of its lignin. Combined pretreated biomass holds a more open and  
2 deformed matrix and therefore within a shorter period of time, more amount of hydroxyl  
3 radical and superoxide anions can reach larger number of inter and intra-chain bonds and  
4 cleave them. Similarly, at 50°C and 100°C, AP biomass requires 4.5 and 5.5h to achieve  
5 6.32% and 16.2% delignification, respectively, whereas combined pretreated PR achieved  
6 12.19% and 21.63% delignification within 4h and 5h at 50°C and 100°C, respectively.  
7 Similar trend of delignification pattern is observed for CD biomass also. Lignin is found  
8 primarily at the outer portion of the compound middle lamellae of plant cell and cell corner.  
9 During initial stages of the reaction, the solvent molecules and free radical ions (peroxide  
10 treatment) diffuse gradually into the cell wall and subsequently the chemical reactions start to  
11 take place. During reaction, lignin, along with other pentose sugars, dissolves in the solvent  
12 and diffuse from cell wall layers to the bulk phase. However, soluble biomolecules, primarily  
13 composed of some acidic by-products and monomeric sugars generated during de-  
14 lignification, often create a diffusional resistance in the exit pathway of lignin from the cell  
15 wall and entrance of solvent (used for alkaline pre-treatment ) into the active sites of ruptured  
16 cell walls. Therefore, with an advancement of time, accumulation of reaction products in the  
17 system eventually limits further molecular diffusion. Combined effects of autoclaving and  
18 probe sonication denature lignocelluloses hetero-matrix in CP to a great extent, which  
19 eventually increase pore size and surface area of the matrix. Therefore, more amount of  
20 hydroxyl radicals come in contact with an enlarged surface area and an increased number of  
21 bonds are broken down within a limited time. For this reason, increased amount of lignin is  
22 removed from CP as compared to the same from AP biomass. Kinetic constants associated  
23 with each pre-treatment condition is estimated from the linear regression of experimental data  
24 and presented in Table 2.

1 The results indicate that rate constants increased with increasing temperature for both AP and  
 2 CP. Increased temperature leads to more collisions of the reactants, which further increased  
 3 the rate of reaction with elevated rate constant values.

4 From Table 2, it can be inferred that, a reduced amount of activation energy is required for  
 5 CP treated samples whereas if the samples are treated with conventional alkaline pre-  
 6 treatment (AP), more amount of activation energy is required to initiate the reaction. Most of  
 7 the bonds, present in the biomass, are broken down with a simultaneous reduction in  
 8 crystallinity during autoclave and sonication treatment. Therefore, much less amount of  
 9 energy is required to break the linkages responsible for the stabilization of lignin.

10

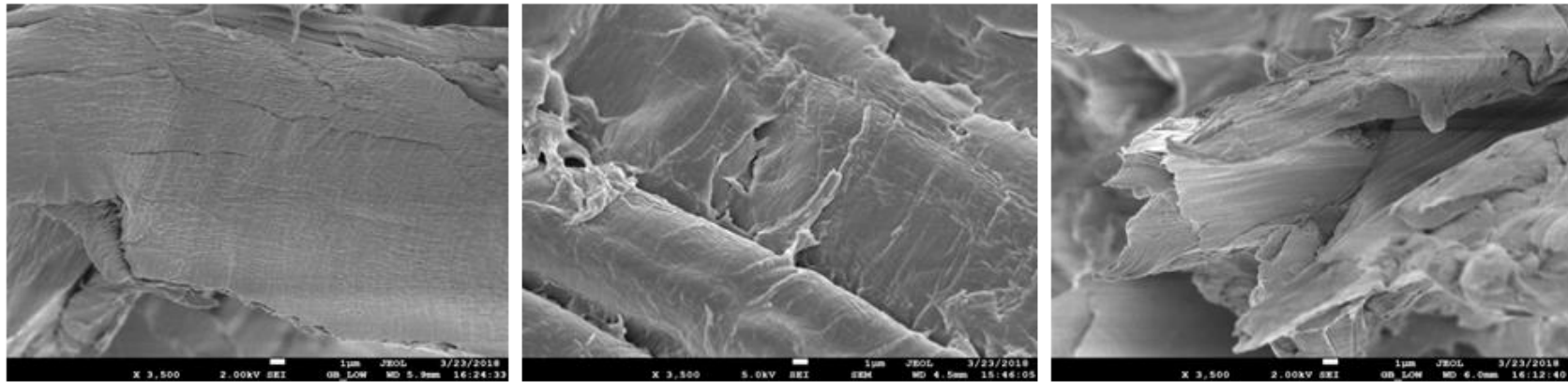
11 **Table 2** Estimated kinetic parameters by linear regression of experimental data.

Sample Name	Temperature	AP				CP			
		$K_L$	$d_D$	$E_a$	$A$	$K_L$	$d_D$	$E_a$	$A$
			(h <sup>-1</sup> )	(J/Mol)			(h <sup>-1</sup> )	(J/Mol)	
PR	30	0.01	0.617	18.71	18.73	0.02	0.879	14.22	6.68
	50	0.02	0.835			0.04	0.917		
	100	0.04	0.916			0.06	0.982		
CD	30	0.01	0.590	17.87	13.37	0.02	0.785	13.05	4.54
	50	0.02	0.784			0.05	0.909		
	100	0.04	0.859			0.06	0.941		

### 1 3.2. *Modification of physical structure during pre-treatment*

2 It can be elucidated from the values of degree of delignification and activation energy (refer  
3 Table 2) that CP is the most efficient procedure for delignification of wood powders. It is  
4 essential to understand the changes of physical structure of wood powders with each step of  
5 CP. The Scanning Electron Micrograph (SEM) images obtained from the analyses are shown  
6 in Figs. 3 and 4. The SEM images snapped during three stages of pre-treatment, namely, (a)  
7 before treatment is applied, (b) after probe-sonication following autoclaving and lastly (c)  
8 combined pre-treated (CP) are presented in Fig. 3. (PR) and Fig. 4. (CD). It is evident from  
9 the SEM images that crude biomasses comprise of an undisturbed and organized hetero-  
10 matrix structure composed of lignin, cellulose and hemicelluloses [refer Fig 3(a) and 4(a)].  
11 The smooth surface is formed due to compact organization of lignin and hemicelluloses over  
12 the cellulosic core. Autoclave treatment substantially loosens the hetero-matrix without  
13 creating much pores in the biomass structure. Therefore, autoclaving can help in surface  
14 modification only. On the contrary, it is evident from Fig.3.(b) and Fig. 4.(b) that after probe  
15 sonication, the hetero-matrix is distorted to a great extent and provides with a substantially  
16 porous matrix, which facilitates the enzymes to reach the active sites in the inner-most part of  
17 the biomass matrix. Ultrasonication assisted micro-jets break the cell wall which sufficiently  
18 distorts the heteromatrix, as shown in Figures 3(b) and 4(b). During the ultrasound treatment,  
19 a number of bonds, present in crystalline cellulose, are broken, which eventually reduce the  
20 cellulose crystallinity and make the biomass more porous. The pressure generated during  
21 autoclaving helps to loosen the crystalline part of cellulose fibres whereas amorphous part is  
22 largely affected. However, the pressurised bubbles generated during probe sonication along  
23 with the superoxide radicals, make the covalent bond very prone to breakup. After 5h  
24 treatment with alkaline peroxide, in the final stage, most of lignin get solubilised along with

1 hemicelluloses and the matrix gets a largely porous and distorted shape [see Fig. 3.(c) and  
2 4(c)]. As the surface gets largely exposed for a longer duration, a substantial amount of  
3 superoxide anions and hydroxyl radicals can oxidize the lignin structure by introducing  
4 hydrophilic carboxyl groups and cleavage of some inter-unit bonds. Eventually dissolution of  
5 lignin and hemicelluloses takes place. Lignin and hemicelluloses get slowly depleted from  
6 the matrix structure during subsequent pre-treatment steps, thereby leaving the matrix more  
7 open, distorted, fragile and perfect for subsequent enzymatic hydrolysis. Moreover,  
8 continuous depletion of amorphous cellulose, in each step of combined pre-treatment, also  
9 plays a crucial role in the formation of porous matrix.



**a**

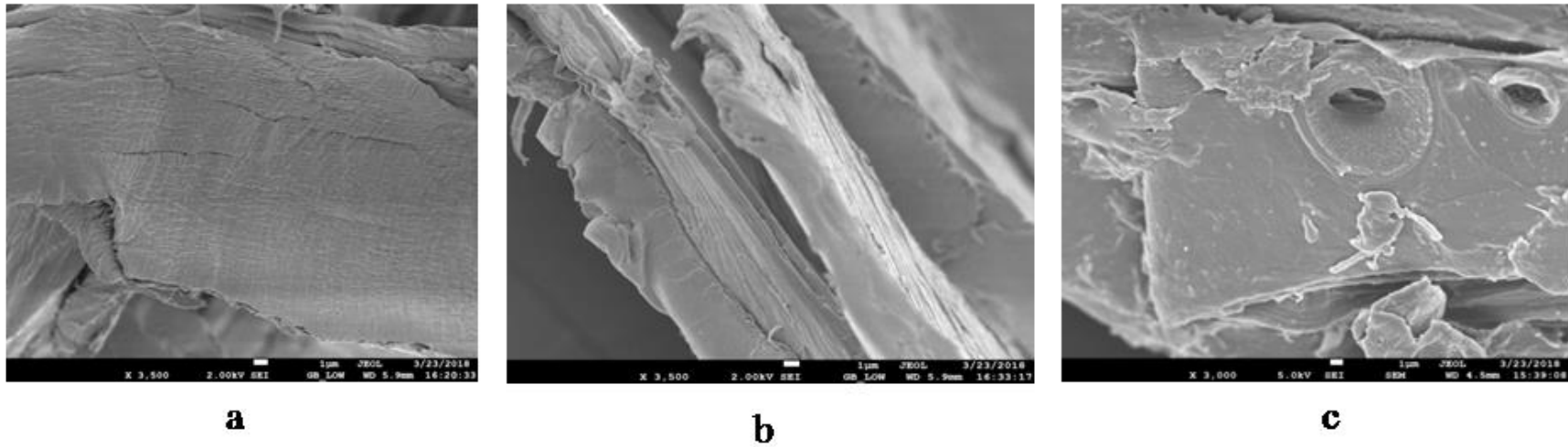
**b**

**c**

1

2 Fig.3. Scanning Electron Micrograph (SEM) images of (a) untreated, (b) probe-sonicated and autoclaved (c) CP-alkaline peroxide pre-treatment

3 following probe-sonication and autoclaving wood powder (PR) at  $\times 3500$  magnification.



1  
2 Fig.4. Scanning Electron Micrograph (SEM) images of (a) untreated, (b) probe-sonicated and autoclaved (c) CP-alkaline peroxide pre-treatment  
3 following probe-sonication and autoclaving wood powder (CD) at  $\times 3500$  magnification.

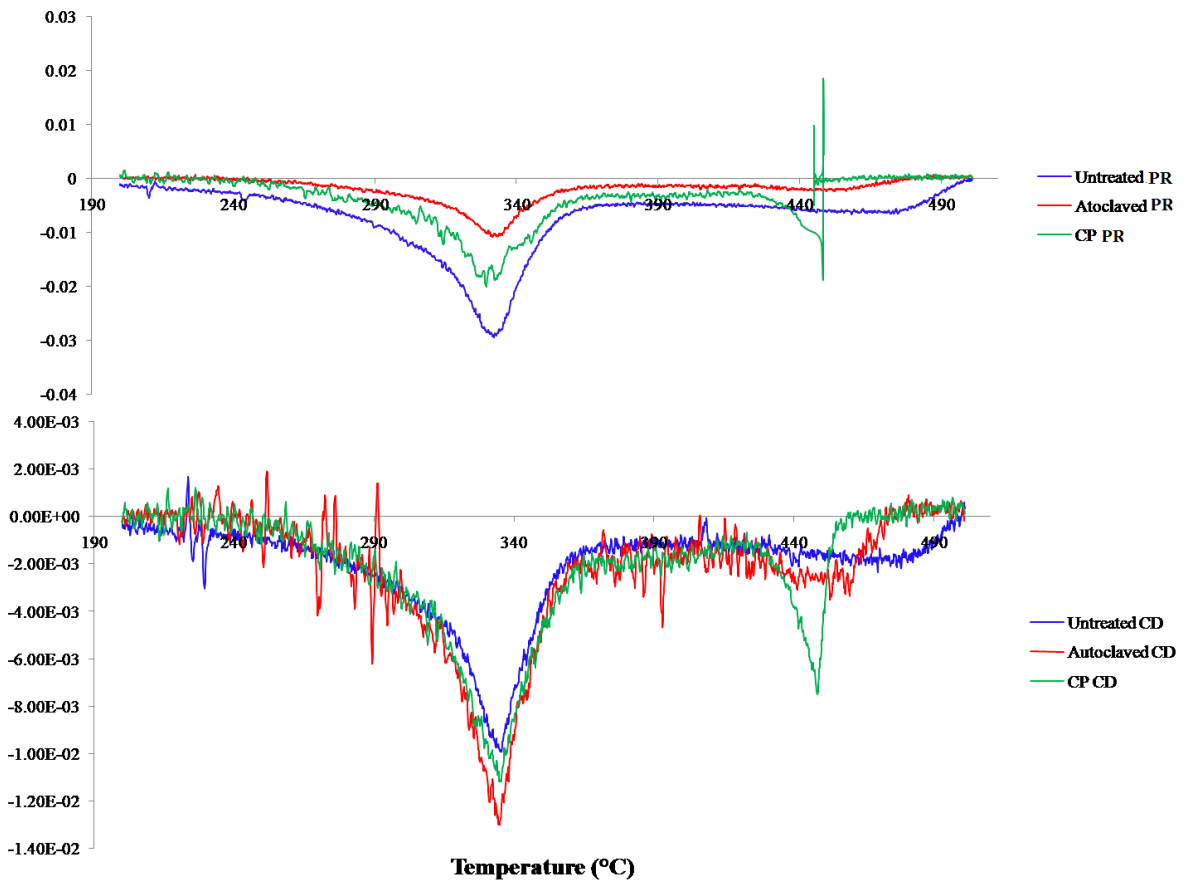
### 1 3.3. Thermal analysis of biomass at different stages of CP

2 Thermo-gravimetric analysis provides with an instantaneous variation of biomass weight as a  
3 function of temperature. Among the components of biomass, lignin is the most thermally  
4 stable material followed by cellulose. Additionally, structural changes and compositional  
5 variation during various steps of pre-treatment expose several moieties of biomass matrix to a  
6 great extent. Following pre-treatment, the moieties, that could have remained intact under  
7 elevated temperatures in the untreated biomass, get degraded. However, cellulose is the most  
8 important value added material of biomass as it is used to generate monomeric sugars which  
9 are eventually used as the major substrate for the downstream microbial fermentation to  
10 generate biofuels. It is thus essential to investigate the thermal tolerance of cellulose before  
11 and after pre-treatment of the parent biomass [33]. Thermal decomposition curves of  
12 cellulose in the untreated as well as pre-treated biomass (after each stage of CP) are given in  
13 Fig.5. DTG data of cellulose present in the untreated wood powders shows a single peak  
14 (*temperature*) with a peak value of 332.006°C and 335.521°C for PR and CD, respectively.  
15 The peak value represents the temperature which causes cellulose to decompose at maximum  
16 rates [20]. In case of PR biomass, the peak values of cellulose decomposition are found  
17 331.56°C after autoclaving and 329.38°C following completion of CP respectively.  
18 Advancement of pre-treatment is found to be associated with lower peak value. With each  
19 stage of treatment, the cellulose chains of biomass matrix get depolymerised. This is also  
20 evident from Fig. 3. (a,b,c) and Fig. 4. (a,b,c). As lignin gets removed there is a simultaneous  
21 elimination of cement like structural protective cover of the cell surface. This helps in  
22 exposing and depolymerising the cellulose of the pre-treated material and the same gets  
23 easily decomposed under the same temperature. On the contrary, autoclaved deodar wood



1 powder and wood powder recovered after completion of CP do not show any significant  
2 differences in their peak value.

3



4

5

6 **Fig.5.** DTG curves of untreated, autoclaved and combined pre-treated (CP) biomass of PR  
7 (top) and CD (bottom).

### 8 3.4. Enzymatic hydrolysis of delignified PR biomass and generation of sugar

9 With the help of HPLC, glucose generated from delignified biomasses are estimated and  
10 the transient behavior is represented in Fig. 6.

11

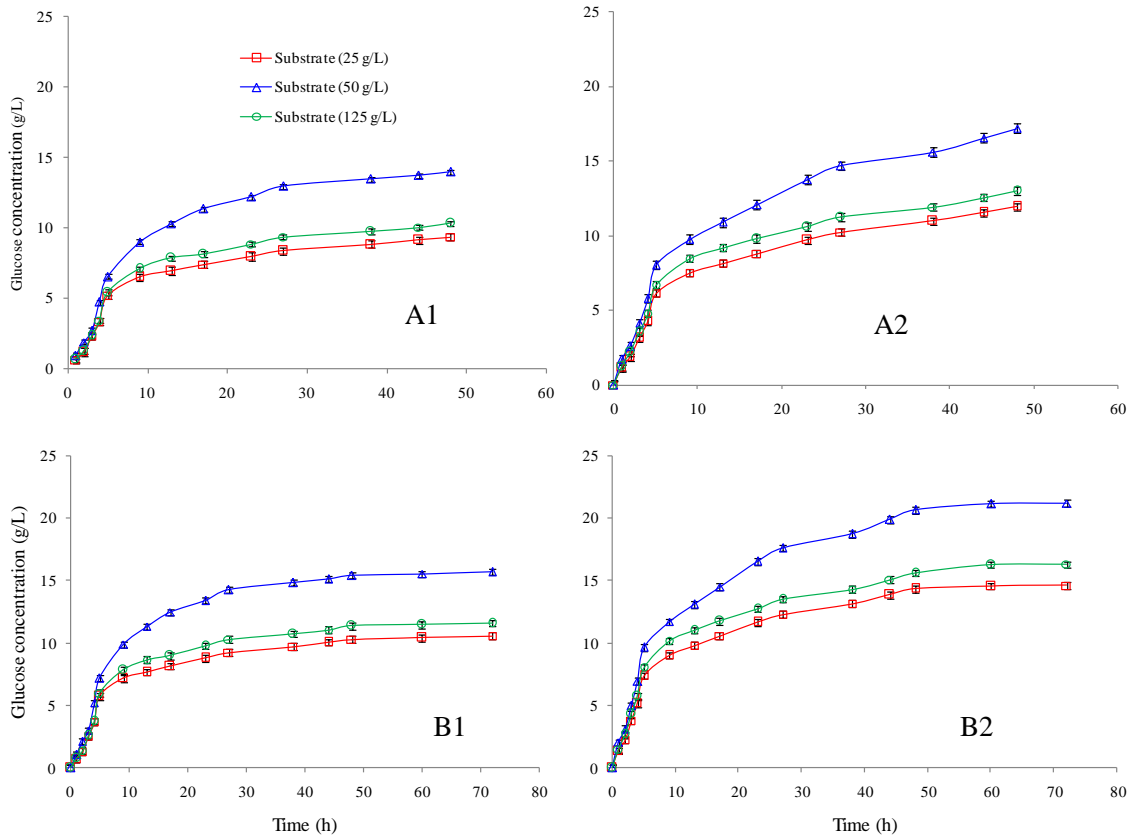


Fig. 6. Time dependent generation of glucose from delignified biomass (A: PR, B: CD; 1: 1.28 g/L enzyme concentration, 2: 13.23 g/L enzyme concentration)

It can be seen from Figure 6 that escalated production of glucose is associated with increased substrate concentration from 25 g/L to 50 g/L for a particular enzyme concentration.

However, further increment of substrate often lead to decreased glucose recovery.

Additionally, increased glucose generation is associated with higher concentration of enzymes with a particular substrate concentration. This can be explained with the values of inhibition kinetic constant represented in Table 3.

It can be inferred from the table that with increased enzyme concentration during hydrolysis of CD, the values of  $K_I$  gradually increases. Increased value of  $K_I$  is associated with reduced inhibition exerted primarily by glucose. The value of  $K_I$  becomes higher with increased

1 enzyme concentration from 1.28 g/L to 13.23 g/L for a range of substrate concentration in  
 2 between 25 g/L and 50 g/L.

3 **Table 3** Values of kinetic constants associated with product inhibition of enzymes.

Sample	Kinetic Constants	[E]=1.28 g/L			[E]=13.23 g/L		
		25	50	125	25	50	125
	$K$ ( $\text{h}^{-1}$ )		2.07		0.39		
PR	$K_M$ (g/L)		11.87		36.12		
	$K_I$ (g/L)	5.14	9.80	36.9	9.18	12.5	9.35
	$K$ ( $\text{h}^{-1}$ )		5.86		0.43		
CD	$K_m$ (g/L)		70.73		51.20		
	$K_I$ (g/L)	15.60	39.22	25.14	53.19	195.40	75.62

4

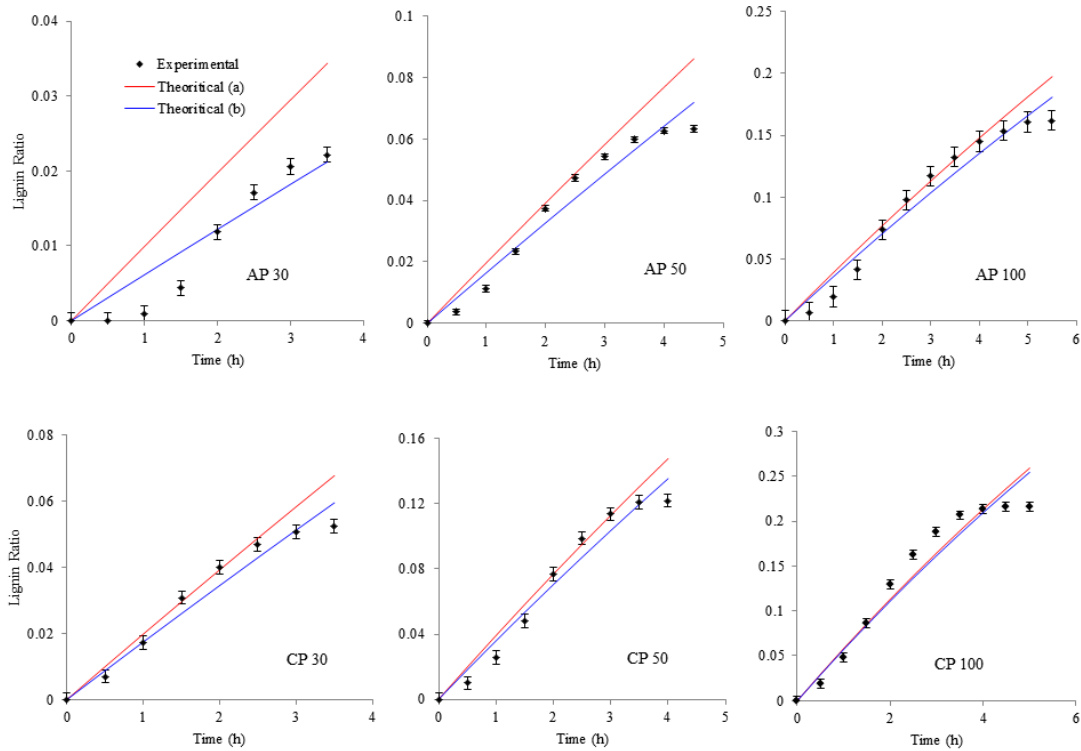
5 On the contrary, for 125 g/L substrate concentration, surprisingly, the value of  $K_I$  reduces  
 6 with increased enzyme concentration. This is due to the diffusional limitation of enzymes  
 7 which eventually does not allow the enzymes to penetrate into the core area of biomass [26,  
 8 34]. Moreover, it has also been inferred from the table that, for a particular enzyme loading,  
 9 larger value of  $K_I$  is observed with increased substrate concentration from 25 g/L to 50 g/L.  
 10 From this phenomenon, it can be concluded that with increased substrate loading (for a  
 11 particular enzyme concentration), inhibition decreases and the same is a typical character of  
 12 competitive inhibition. However, product generation followed by hydrolysis of substrate with

1 125g/L concentration leads to decreased product concentration because of limitation of free  
2 movement of enzymes and substrate particles due to high viscosity[34].

### 3 3.5. Validation of kinetics model

#### 4 3.5.1. Validation of delignification kinetics model:

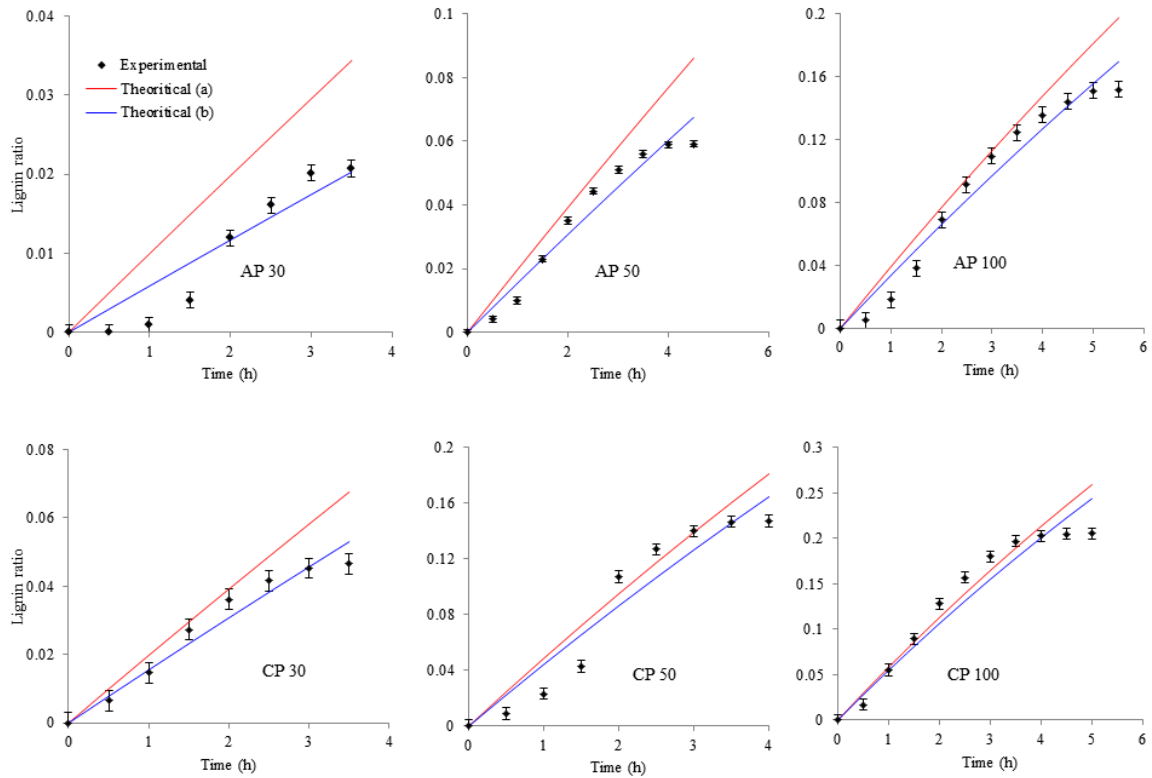
5 Two interrelated but different equations are used to fit the experimental data and the  
6 efficiency of delignification kinetics models were evaluated in terms of coefficient of  
7 determination ( $r^2$ ). Comparison of experimental data with theoretical data (predicted using  
8 equations 3 and 5) are represented in Fig. 7 and Fig.8.



9

10 Fig.7. Validation of models for delignification kinetics with experimental data for PR wood  
11 powder [theoretical (a) represents experimental data fitted to Eq (3) and theoretical (b)  
12 represents the same fitted to Eq (5) containing ‘potential degree of delignification

- 1  $(d_D)$ ].(AP30, AP50, AP100  $\equiv$  Alkaline Pre-treatment at 30°C, 50°C, 100 °C respectively,
- 2 CP30, CP50, CP100  $\equiv$  Combined Pre-treatment at 30°C, 50°C, 100 °C respectively)



3

4 Fig.8. Validation of models for delignification kinetics with experimental data for CD wood  
 5 powder [theoretical (a) represents experimental data fitted to Eq (3) and theoretical (b)  
 6 represents the same fitted to Eq (5) containing ‘potential degree of delignification ( $d_D$ )].  
 7 (AP30, AP50, AP100  $\equiv$  Alkaline Pre-treatment at 30°C, 50°C, 100 °C respectively, CP30,  
 8 CP50, CP100  $\equiv$  Combined Pre-treatment at 30°C, 50°C, 100 °C respectively)

9

10

11

1 **Table 4** Values of determination coefficients ( $r^2$ ) obtained following estimation of  
 2 parameters of two different models of delignification kinetics, represented by Eq (3) and Eq  
 3 (5).

4

Equations	$\frac{dL_S}{dt} = K_L(1 - L_S)$				$\frac{dL_S}{dt} = K_L(d_D - L_S)$			
	PR		CD		PR		CD	
	AP	CP	AP	CP	AP	CP	AP	CP
30°C	9.09	89.17	-11.6	70.6	89.37	95.79	88.57	95.7
50°C	83.26	93.87	70.34	89.27	95.88	96.49	95.71	92.12
100°C	93.67	93.98	87.53	91.71	96.5	94.18	96.47	93.26

5

6 In this model, maximum possibility of lignin solubilization under a particular pre-treatment  
 7 severity is considered believing that under a particular pre-treatment condition, it is almost  
 8 impossible to remove all the lignin from biomass. Thus, a ‘potential degree of  
 9 delignification<sup>1</sup>’ term is introduced. No such factor is considered in the conventional  
 10 delignification kinetics model represented by Eq (3). The ‘goodness of fit’, given by the  
 11 numerical values of  $r^2$  [refer Table 4], is thus much better for the model represented by Eq (5)  
 12 in comparison to the same represented by Eq (3).

13

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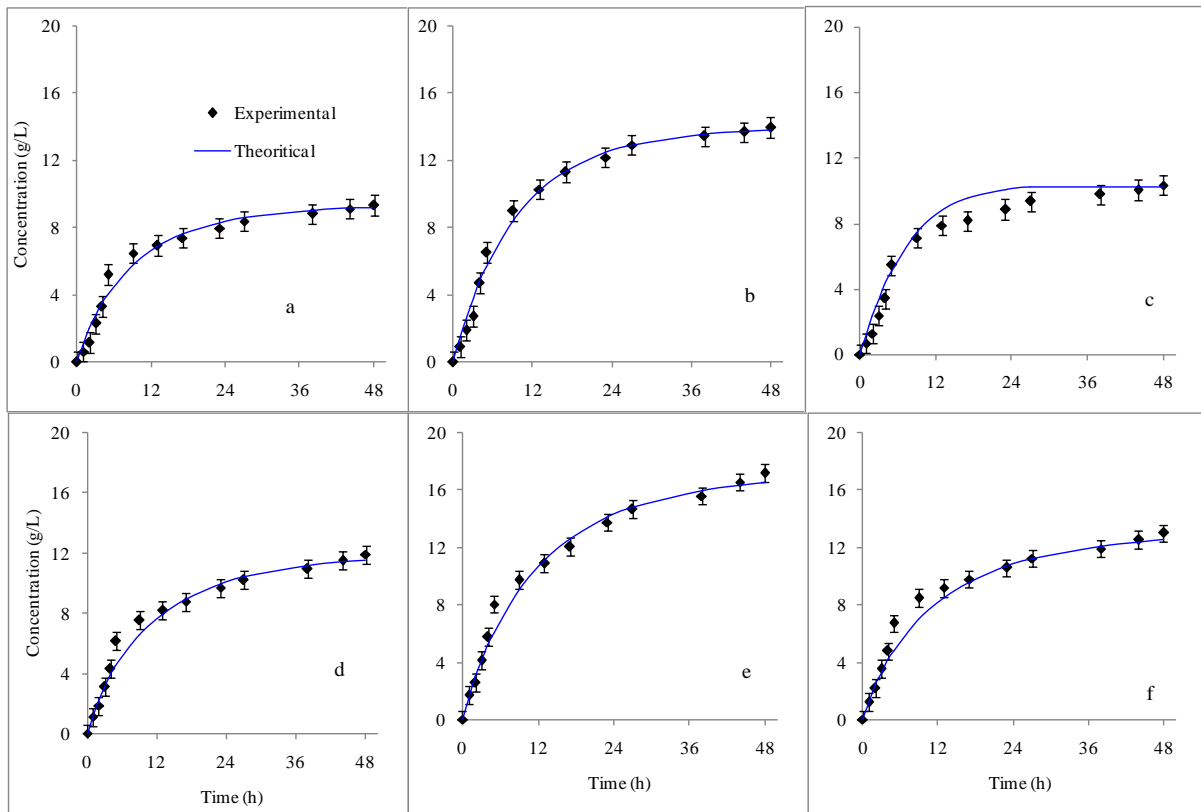
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<sup>1</sup>Degree of solubilization of lignin under a specific pre-treatment condition.

1 3.5.2. Validation of Enzyme Kinetics Model

2 Inhibition of cellulolytic enzymes by the product, glucose, is an obvious phenomena  
3 observed during enzymatic hydrolysis of lignocellulosic biomass. Therefore, it is essential  
4 to validate the kinetic models with experimental outcome in terms of determination  
5 coefficient ( $r^2$ ). Comparison of theoretical data with experimental data is presented in Fig.  
6 9 and Fig. 10.

7

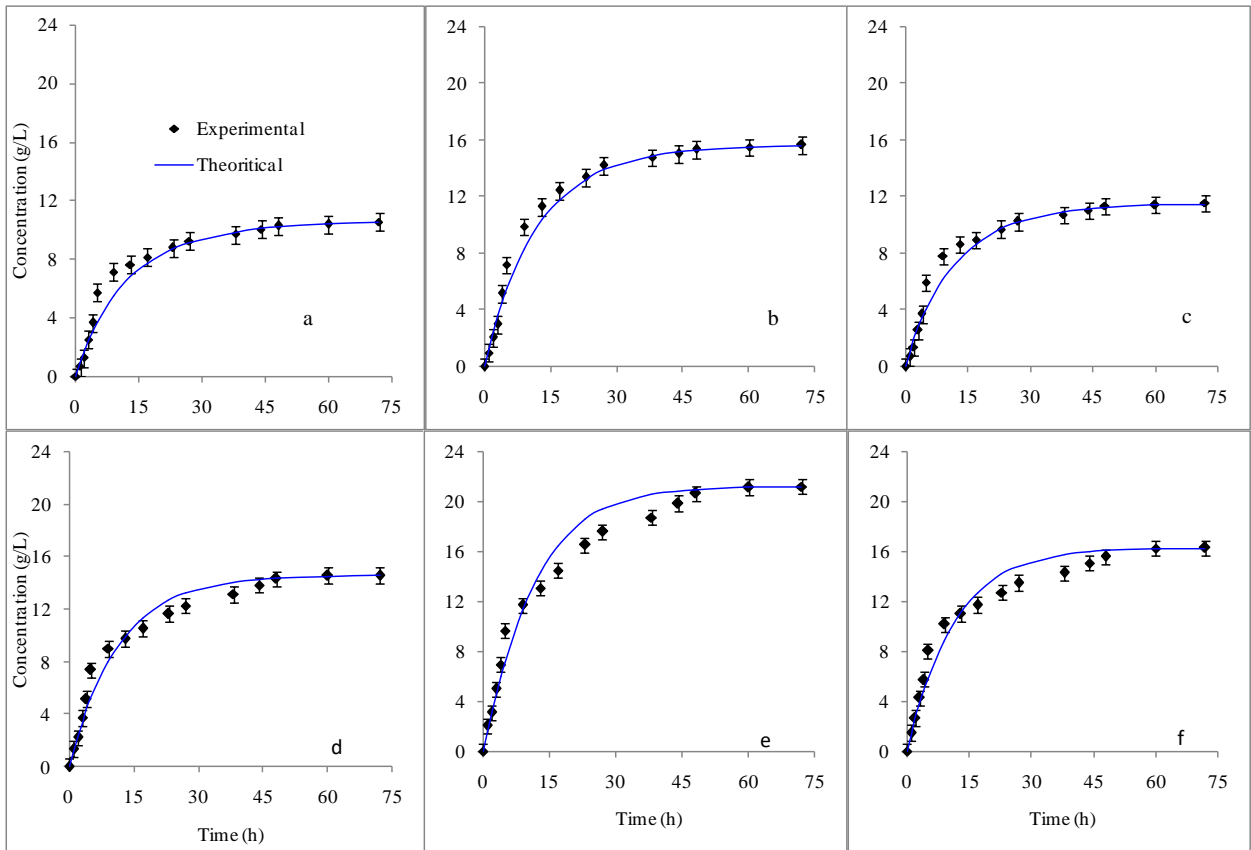


8

9 Fig. 9 Validation of theoretical data with experimental data following hydrolysis of varied  
10 loading of pretreated PR biomass with various enzyme concentrations (a: [S]=25 g/L,  
11 [E]=1.28g/L; b:[S]=50g/L, [E]=1.28 g/L; c:[S]=125g/L, [E]=1.28g/L; d: [S]=25g/L,  
12 [E]=13.23g/L; e: [S]= 50g/L, [E]=13.23 g/L; f: [S]=125 g/L, [E]=13.23g/L).

1 From Fig. 9 and 10, it is observed that the experimental data fit quite well to the competitive  
 2 inhibition kinetics based theoretical models, represented by Eq (10) with  $r^2 \geq 0.95$ . Therefore,  
 3 it can be inferred that the enzymes are competitively inhibited by glucose.

4



5

6

7 Fig10. Validation of theoretical data with experimental data following hydrolysis of varied  
 8 loading of pretreated CD biomass with various enzyme concentrations (a: [S]=25 g/L,  
 9 [E]=1.28g/L; b:[S]=50g/L, [E]=1.28 g/L; c:[S]=125g/L, [E]=1.28g/L; d: [S]=25g/L,  
 10 [E]=13.23g/L; e: [S]= 50g/L, [E]=13.23 g/L; f: [S]=125 g/L, [E]=13.23g/L).

11

## 12 4. Conclusion



1 Pre-treatment is required for an appreciable disruption of the lignocellulosic hetero-matrix,  
2 thereby removing lignin effectively. This eventually makes cellulose more accessible to the  
3 enzyme cocktail for further extraction of hydrolysable sugars. In the present study, novel  
4 pseudo-homogeneous kinetic models, with incorporation of parameters termed as “potential  
5 degree of delignification” are developed with an aim to accurately describe the delignification  
6 kinetics while pre-treating the saw dusts. Optimum degrees of delignification are  
7 investigated under some particular pre-treatment severity. The relationship between kinetic  
8 constants and temperature could be well correlated by modified Arrhenius equations. It is  
9 also found that the first order pseudo-kinetic model can be used as a universal model in order  
10 to describe the kinetics of delignification for various methods of chemical pre-treatment using  
11 variety of feedstock. Moreover, with the help of images generated through scanning electron  
12 microscopy it can be said that the biomass matrix get delignified and deformed with  
13 increased severity of pre-treatment. The same is responsible for depolymerisation as well as  
14 thermal degradation of cellulose at a relatively lower temperature.

15 Enzymatic hydrolysis kinetics of delignified biomass shows decreased product inhibition  
16 with increased substrate concentration under a particular enzyme loading. Starting with a  
17 combination of 50 g/L substrate concentration with an enzyme loading of 13.23 g/L, an  
18 optimum concentration of 17.2 g/L of glucose is produced from PR. Using the same substrate  
19 concentration and enzyme loading, CD can generate 21.19 g/L of glucose. Experimental data  
20 fit quite well with the competitive inhibition kinetics based theoretical models with  $r^2 \geq 0.95$ .  
21 It is thus inferred that enzymes are competitively inhibited by glucose.

## 22 **Acknowledgements**

23 This work is a part of a collaborative research work under a joint Indo (DST)-Norway (RCN)  
24 project. The Indian side has been supported and funded by Department of Science and

1 Technology (DST), Government of India under Grant no: DST/INT/Nor/RCN/P-06/2015.  
2 The Norwegian side has been funded by the Research Council of Norway (RCN) under grant  
3 no. 246821/E20 (*EcoLodge*). The author- Dr. J.C. Kuniyal heartily thanks the Director, G.B.  
4 Pant National Institute of Himalayan Environment and Sustainable Development, Kosi-  
5 Katarmal, Almora, Uttarakhand, Pin-263643, India for providing facilities in the Institute  
6 which helped a lot to carry out the present study.

7

8

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18 **List of Variables:**

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Notation of Variable(s)	Description of variable(s)	Unit
$L_S$	Lignin ratio	-
$C_{L_0}$	Initial lignin content of the biomass	(wt %)
$C_L$	Lignin content of the biomass at any time $t$	(wt %)
$K_L$	Delignification rate constant	$h^{-1}$
$d_D$	Potential degree of delignification	-

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$A$	Arrhenius constant	$h^{-1}$
$E_a$	Activation energy	$J/Mol$
$R$	Universal gas constant	$J/mole \cdot K$
$T$	Absolute temperature	$K$
$C$	Glucose concentration at any time t	$g/l$
$C_{ult}$	Ultimate concentration of glucose	$g/l$
$K$	Apparent rate constant	$h^{-1}$
$K_M$	Apparent Michaelis constant	$g/l$
$K_I$	Competitive inhibition constant	$g/l$
$E_o$	Initial Enzyme concentration	$g/l$

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