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1 **Three-dimensional wake transition behind an elliptic cylinder near a moving wall**

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7 Three-dimensional flow past an elliptic cylinder with an aspect ratio of 0.5 near a mov-
8 ing bottom wall is investigated numerically for gap ratios of $G/D = 0.1, 0.2, 0.3$ and 0.4
9 (where G denotes the gap between the cylinder bottom and the moving wall and D is the
10 major-axis length of the cylinder) with Reynolds numbers (Re) ranging from 100 to 200
11 (based on a constant inlet velocity and the major-axis length of the cylinder); the transition
12 between two- and three-dimensional flow regimes is described in detail. For $G/D = 0.4$,
13 the flow is first two-dimensional with a Kármán vortex street followed by a two-layered
14 wake, then it evolves into a three-dimensional flow regime with near-wake and far-wake
15 elliptic instabilities of vortex pairs; for $Re \geq 180$, the near-wake elliptic instability disap-
16 pears (i.e., the near wake becomes two-dimensional) while the far-wake elliptic instability
17 persists. For $G/D = 0.3$, the flow is first two-dimensional without the development of
18 the two-layered wake, then it evolves into a three-dimensional flow regime with stream-
19 wise vorticity pairs propagating periodically in the spanwise direction; this propagation
20 becomes irregular for $Re \geq 160$. For $G/D = 0.2$ the flow is first two-dimensional as for
21 $G/D = 0.3$, then it becomes three-dimensional, exhibiting a behavior of modified mode C
22 instability; for $Re \geq 140$, this flow exhibits a chaotic behavior. For $G/D = 0.1$, the flow
23 is first three-dimensional and steady without vortex shedding, and then develops into an
24 unsteady flow with a dominating upper shear layer in the near-wake and a chaotic wake
25 structure farther downstream.

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26 I. INTRODUCTION

27 Steady incoming flow past an isolated circular cylinder has been studied extensively due to
28 its fundamental and practical significance¹. The flow exhibits a transition from two-dimensional
29 periodic flow to three-dimensional flow via a mode *A* instability at the Reynolds number around
30 190^{2,3}, where the Reynolds number (Re) is based on the free-stream velocity (U) and the cylinder
31 diameter (D). The mode *A* is characterized by streamwise vorticity pairs with a spanwise
32 length ranging from $3D$ to $4D$. The origin of the mode *A* instability can be attributed to an elliptic
33 instability of the vortex cores in the near wake^{4,5}, resembling the elliptic instability of a counter-
34 rotating vortex pair⁶. For Re from 240 to 250, the mode *A* exhibits a gradual transition to another
35 three-dimensional instability mode, i.e., mode *B*, which is characterized by streamwise vorticity
36 pairs with a smaller spanwise wavelength ranging from $0.8D$ to $1D$. When $Re > 260$, the mode *B*
37 structure becomes increasingly disordered^{7,8}. Williamson³ suggested that the mode *B* instability
38 is associated with an instability in the braid shear layer within the near-wake region. Blackburn
39 and Lopez⁹ reported the existence of quasi-periodic modes (using Floquet analysis) with spanwise
40 wavelengths between those of modes *A* and *B*. These quasi-periodic modes can be combined to
41 produce either standing or traveling wave modes within the cylinder wake. Blackburn, Marques,
42 and Lopez¹⁰ found standing and traveling wave modes with a spanwise wavelength of approxi-
43 mately $2.4D$ for flow past a circular cylinder for $Re > 377$.

44 The problem of steady incoming flow past an isolated elliptic cylinder has attracted much less
45 attention than that for the circular cylinder although relevant to engineering applications like heat
46 exchangers¹¹ and bridge piers¹². This flow depends on both the aspect ratio (AR) of the elliptic
47 cylinder (defined by the ratio of the semi-minor to semi-major axis length) and the incident angle
48 (defined by the angle between the inlet flow direction and the semi-minor axis) in addition to the
49 Reynolds number based on the free-stream velocity and the semi-major axis length. Experimental
50 results obtained by Radi *et al.*¹³ for flow around an elliptic cylinder at zero incident angle, show
51 that three-dimensional instability modes equivalent to mode *A* and mode *B* (although with slightly
52 different wavelengths) are present sequentially as Re increases for $AR \in [0.26, 0.72]$. Here the
53 critical Re for the onset of mode *A* decreases as AR decreases. Interestingly, for $AR = 0.39$ and
54 0.26 , the flow exhibits a transition from a three-dimensional wake to a two-dimensional wake for
55 $Re \in [200, 250]$ and for $Re \in [150, 190]$, respectively. Radi *et al.*¹³ and Thompson *et al.*¹⁴ suggested
56 that the upstream movement of the two-layered wake caused by increasing Re suppresses the
57 mode *A* instability. Moreover, Thompson *et al.*¹⁴ (using Floquet analysis) found that the mode *A*
58 instability does not occur for $AR = 0.1$ and 0 (flat plate) where the near-wake mode structure is
59 modified by the two-layered wake.

60 Steady incoming flow past a circular cylinder near a moving bottom wall has been investigated
61 by, e.g., Stewart *et al.*¹⁵ and Rao *et al.*¹⁶, who found that at $G/D = 0.005$ (where G denotes
62 the gap between cylinder bottom and the moving bottom wall) and $Re = 90$, this flow exhibits
63 a three-dimensional steady flow regime prior to the onset of unsteady flow, which is not present
64 for the isolated cylinder. Rao *et al.*¹⁷ found that the critical Re for the onset of the unsteady
65 flow regime increases as G/D increases up to 0.25 , while for $G/D \geq 0.3$, three-dimensional wake
66 transition (i.e., mode *A* instability) occurs after the two-dimensional unsteady flow is formed.
67 Here the critical Re for the onset of mode *A* was found to first decrease and then increase as G/D

68 increases. Qualitatively similar behaviors are observed by Jiang *et al.*^{18,19}. They also reported
 69 that at $G/D = 0.2$, the three-dimensional steady and unsteady flow is triggered by a subharmonic
 70 mode, i.e., mode *C*, which is characterized by the streamwise vorticity pairs changing sign after
 71 each vortex shedding period. The formation of this mode is due to the moving wall breaking
 72 the wake symmetry (i.e., the wake pattern being reflected about the horizontal center-line of the
 73 cylinder after half of the vortex shedding period).

74 In a previous work of Zhu *et al.*²⁰, the two-dimensional wake pattern behind an elliptic cylinder
 75 near a moving wall has been investigated for $G/D \in [0.1, 5]$ and $Re \leq 150$. At small gap ratios, a
 76 significant near-wall effect was found on the wake structures (including the Kármán vortex street
 77 and the two-layered wake). However, the near-wall effect on the three-dimensional wake transi-
 78 tion behind an elliptic cylinder near a moving wall has not been investigated before. In the present
 79 work, a detailed three-dimensional numerical investigations for this flow has been conducted with
 80 $AR = 0.5$ for $G/D \in [0.1, 0.4]$ and $Re \in [100, 200]$. Overall, the results show that the flow exhibits
 81 different wake transition scenarios with increasing Re for each G/D . The transition between two-
 82 and three-dimensional flow regimes via the onset of three-dimensional instability modes such as,
 83 e.g., mode *A*, mode *C* and traveling wave mode, is described in detail. This flow configuration
 84 is important for understanding the basic mechanisms for biological flows^{21,22} as well as for engi-
 85 neering applications such as an AUV (Autonomous Underwater Vehicle) moving near seabed. The
 86 latter is of great importance for mapping the ocean bathymetry as well as for monitoring subsea
 87 structures and collecting both physical data (e.g., wave-induced velocities, current velocities and
 88 sediment concentration) and biological data (e.g., fish larvae, plankton and contamination).

89 II. GOVERNING EQUATIONS

90 The current paper addresses on the three-dimensional wake transition behind an elliptic cylinder
 91 near a moving wall. The incompressible flow with a constant density ρ is governed by the three-
 92 dimensional Navier-Stokes equations given as

$$\frac{\partial u_i}{\partial x_i} = 0 \quad (1)$$

$$\frac{\partial u_i}{\partial t} + \frac{\partial u_i u_j}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \nu \frac{\partial^2 u_i}{\partial x_j \partial x_j} \quad (2)$$

94 where the Einstein notation using repeated indices is applied. Here $u_i = (u, v, w)$ and $x_i = (x,$
 95 $y, z)$ for $i = 1, 2$ and 3 , indicate the velocity and Cartesian coordinates, respectively, whilst $\nu,$
 96 t and p denote the kinematic viscosity of the fluid, time and pressure, respectively. Numerical
 97 simulations have been carried out using OpenFOAM (www.openfoam.org). A second-order finite
 98 volume method (FVM) is applied in conjunction with the PISO algorithm²³ for solving equations
 99 (1) and (2), similar to the numerical approach used in Jiang *et al.*⁸.

100 A. Computational domain and mesh

101 Figure 1 shows a sketch of the computational domain and the mesh around the elliptic cylinder.
 102 The same computational domain was used by Jiang *et al.*¹⁸ for flow around a circular cylinder

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103 near a moving wall. The aspect ratio (AR) of the elliptic cylinder is defined by the minor (a) to
 104 major (D) axis length ratio, i.e., $AR = a/D$. In the present work, the aspect ratio is set to be 0.5.
 105 The gap ratio is given by G/D , where G is the gap between the moving wall and the cylinder. The
 106 Reynolds number is based on the major axis length of the cylinder, i.e., $Re = UD/v$. The inlet
 107 and outlet boundaries are located at upstream $20D$ and downstream $30D$ of the cylinder center,
 108 respectively. The top and bottom boundaries are located at $20D$ and $(G + 0.5D)$ away from the
 109 cylinder center, respectively. Different spanwise lengths of the computational domain are applied
 110 for different G/D , which will be further discussed below.

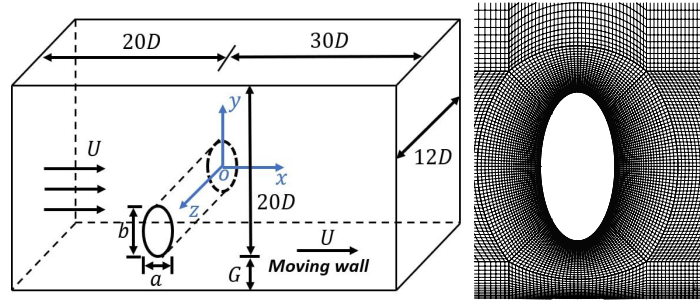


FIG. 1. Sketch of the computational domain and the mesh around the elliptic cylinder.

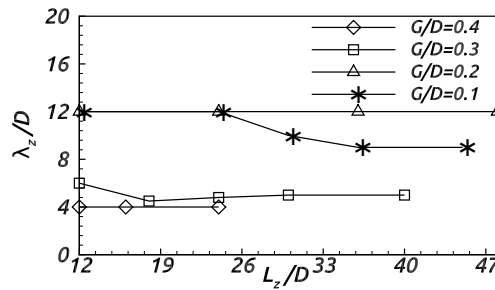


FIG. 2. Variation of the spanwise wavelength (λ_z) of the three-dimensional mode against the spanwise length (L_z) of the computational domain.

111 As for the boundary conditions, a constant velocity U is set at the inlet while a Neumann
 112 condition for the velocity is imposed at the top and outlet boundaries. A no-slip condition is
 113 applied at the cylinder surface and the bottom wall, which moves toward the right with a constant
 114 velocity U . The pressure is set to be zero at the outlet, and a Neumann condition is imposed at the
 115 other boundaries. Periodic boundary conditions are employed in the spanwise (z -) direction.

116 The radial size Δr and vertical size Δy of the first layer of mesh next to the cylinder and the
 117 bottom wall, respectively, are set to be the same. A C -type structured mesh²⁴ is applied around

118 the cylinder. The grid expansion ratio in the whole domain is kept below 1.1, whilst the mesh size
 119 (Δz) along the spanwise (z -) direction is kept to a constant value. A constant mesh size (Δx) along
 120 the x -direction is applied for $x \geq 10D$.

121 Figure 2 shows simulations for flow around an elliptic cylinder near a moving wall for $G/D \in$
 122 $[0.1, 0.4]$, with different spanwise lengths L_z of the computational domain. It is shown that for
 123 $L_z \geq 12D$ (for $G/D = 0.4$), $L_z \geq 30D$ (for $G/D = 0.3$), and $L_z \geq 36D$ (for $G/D = 0.2$ and 0.1) the
 124 spanwise wavelength λ_z converges to the values $4D$, $5D$, $12D$ and $9D$, respectively. Thus, in the
 125 present work, $L_z = 12D$ and $30D$ is applied for $G/D = 0.4$ and 0.3 , respectively, and $L_z = 36D$ is
 126 applied both for $G/D = 0.2$ and 0.1 .

127 B. Grid independence study

128 To test grid independence, numerical simulations for flow around an elliptic cylinder of $AR =$
 129 0.5 near a moving wall have been conducted using three different grid resolutions with $L_z = 12D$
 130 as given in table I for $G/D = 0.2$ and $Re = 200$, which represents the most unstable flow regime
 131 investigated in the present work. Table I shows the Strouhal number ($St = Df/v$, where f is the
 132 vortex shedding frequency), time-averaged drag (\bar{C}_D) and lift (\bar{C}_L) coefficients obtained by three
 133 different grid resolutions. The drag and lift coefficients are defined by $C_D = 2F_D/(\rho U^2 L_z D)$ and
 134 $C_L = 2F_L/(\rho U^2 L_z D)$, respectively, where F_D and F_L are the drag and lift force on the cylinder,
 135 respectively. Here the value of St is almost the same (1000 time units for C_L are included for fast
 136 Fourier transform) while \bar{C}_D and \bar{C}_L obtained in case 1 deviate less than 1% from those obtained
 137 in case 2 and case 3.

Case	G/D	Re	$\Delta y/\Delta r$	Δz	St	\bar{C}_D	\bar{C}_L	N (million)
Case 1	0.2	200	0.004	0.2	0.134(± 0.001)	1.4966	0.246	3.91
Case 2	0.2	200	0.002	0.2	0.134(± 0.001)	1.4832	0.2452	4.11
Case 3	0.2	200	0.004	0.1	0.134(± 0.001)	1.4796	0.2443	7.82

TABLE I. Values of the Strouhal number (St), time-averaged drag (\bar{C}_D) and lift (\bar{C}_L) coefficients for flow around an elliptic cylinder near a moving wall obtained by three different grid resolutions; N denotes the total cell number.

138 Figure 3 shows almost identical streamwise and spanwise velocity profiles between the cylinder
 139 bottom and the bottom wall obtained by three different grid resolutions. Based on the small
 140 differences seen in table I and figure 3, we chose to apply the same grid resolution as Case 1 for
 141 all numerical simulations in the present work.

142 C. Validation of the numerical model

143 A numerical simulation with $L_z = 12D$ for flow around a circular cylinder near a moving wall
 144 has been conducted for $G/D = 0.4$ and $Re = 200$ using the grid resolution for case 1 to validate the
 145 present numerical model. Table II shows the present results and the numerical results previously

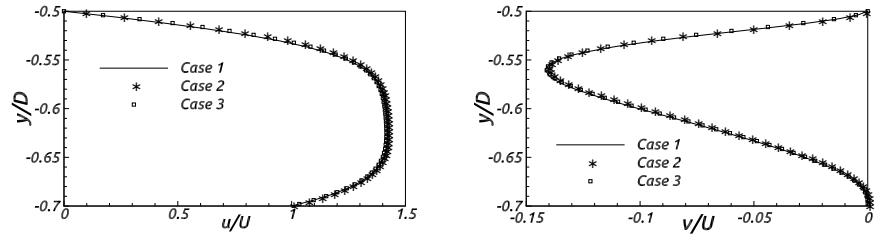


FIG. 3. The streamwise and spanwise velocity profiles between the cylinder bottom and the bottom wall obtained by three different grid resolutions.

146 reported in Jiang *et al.*¹⁹ for St , \bar{C}_D and the root-mean-square of the lift coefficient (C'_L). Table II
 147 shows that St remains almost the same while the deviations of \bar{C}_D and C'_L from the results obtained
 148 by Jiang *et al.*¹⁹ are equal to -0.05% and 1.22%, respectively.

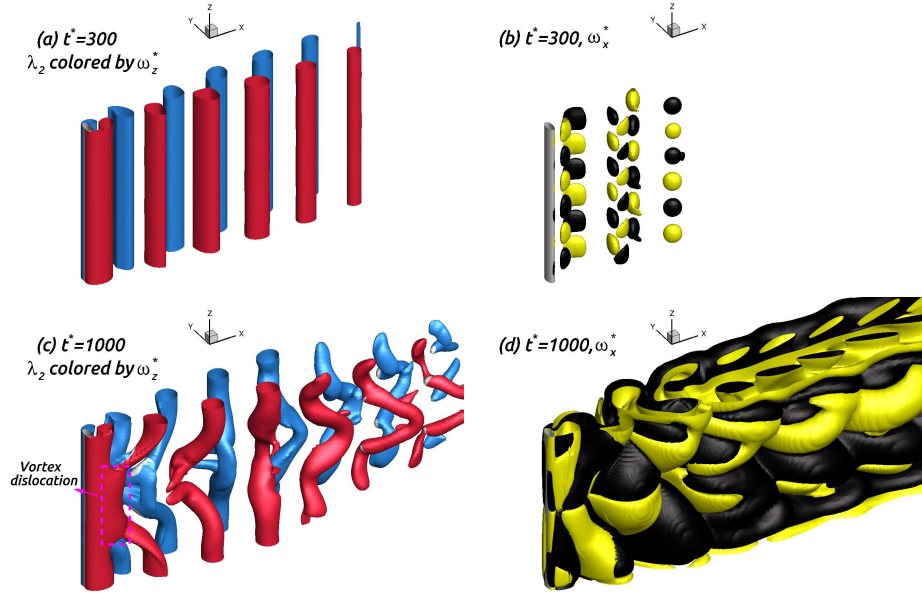
Case	G/D	Re	St	\bar{C}_D	C'_L
Jiang <i>et al.</i> ¹⁹	0.4	200	0.19(± 0.001)	1.4742	0.4236
present work	0.4	200	0.19(± 0.001)	1.4749	0.4288
Relative difference	-	-	0	-0.05%	1.22%

TABLE II. Values of the Strouhal number (St), time-averaged drag coefficient (\bar{C}_D) and root-mean-square of the lift coefficient (C'_L) for flow around a circular cylinder near a moving wall for $Re = 200$ with $G/D = 0.4$ using the grid resolution for case 1.

149 Figure 4 shows the evolution of the wake vortices identified by isosurfaces of λ_2 (left column)
 150 and isosurfaces of the streamwise vorticity ω_x^* ($= \omega_x D/U$) (right column) for flow around an iso-
 151 lated elliptic cylinder with $AR = 0.5$ for $Re = 115$. Here $L_z = 12D$ and λ_2 refers to the method
 152 proposed by Jeong and Hussain²⁵. The red color of the isosurfaces of λ_2 corresponds to the span-
 153 wise vorticity $\omega_z^* = 0.1$ ($= \omega_z D/U$) whilst the blue color corresponds to $\omega_z^* = -0.1$ due to the
 154 vortices shed from the cylinder bottom and top, respectively. At $t^* = 300$ ($= tU/D$) (figure 4a), the
 155 wake exhibits a weakly three-dimensional transition as visualized by the isosurfaces of ω_x^* (figure
 156 4c) where the black and yellow colors denote the negative and positive values of ω_x^* , respectively.
 157 Three streamwise vorticity pairs are formed in the spanwise direction, showing the onset of mode
 158 A with a spanwise wavelength λ_z of $4D$. This wavelength agrees well with the experimental results
 159 by Radi *et al.*¹³, who found λ_z in the range of $4D$ to $6D$ for $AR \in [0.39, 0.64]$. As the flow develops
 160 ($t^* = 1000$), a vortex dislocation occurs (figure 4b and 4d), which is qualitatively similar to that
 161 observed for flow around an isolated circular cylinder^{3,26}.

162 A three-dimensional numerical simulation is conducted for flow around an isolated elliptic
 163 cylinder with $Re = 110$ (not presented here), showing that the wake here remains two-dimensional;
 164 the wake becomes three-dimensional at $Re = 115$ (figure 4). Hence the critical Reynolds number
 165 (Re_A) for the onset of the mode A instability lies between 110 and 115, which is in good agreement

166 with $Re_A = 112.2$ obtained by Thompson *et al.*¹⁴ for $AR = 0.5$ using Floquet analysis.



167 FIG. 4. Instantaneous isosurfaces of $\lambda_2 = -0.05$ (left column, colored by $\omega_z^* = \pm 0.1$) and $\omega_x^* = \pm 0.005$ for
168 flow around an isolated elliptic cylinder of $AR = 0.5$ for $Re = 115$ at $t^* = 300$ (a-b) and $t^* = 1000$ (c-d).

169 III. RESULTS AND DISCUSSION

170 A. Wake transition for configuration with $G/D = 0.4$

171 1. Two-dimensional wake pattern B

172 Figure 5 shows a cross-section (in the xy -plane) of the ω_z^* contours for $Re = 125$. Here the
173 wake remains two-dimensional, and the Kármán vortex street exists in the near-wake region; the
174 two-layered wake is developed downstream. The vortices shed from the cylinder bottom disappear
175 earlier than those shed from the cylinder top due to wall suppression effect. This flow is denoted
176 as the two-dimensional wake pattern B, as previously classified by Zhu *et al.*²⁰.

179 2. Modified ordered mode A flow regime

180 Figure 6 (Multimedia view) shows the isosurfaces of λ_2 (figures 6a and 6c) and the correspond-
181 ing isosurfaces of ω_x^* (figures 6b and 6d). The near-wake flow remains nearly two-dimensional

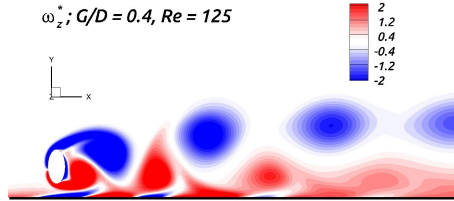


FIG. 5. Contours of ω_z^* at cross-section $(x, y, 6D)$ for flow around an elliptic cylinder near a moving wall for $Re = 125$ with $G/D = 0.4$.

182 (i.e., no mode A instability) while a span-wise wavy deformation of the vortices shed from the up-
 183 per part of the cylinder occurs farther downstream at $t^* = 200$ (figure 6a). Here three streamwise
 184 vorticity pairs are observed in the spanwise direction (figure 6b), showing a three-dimensional
 185 structure with a wavelength of $\lambda_z = 4D$. It appears that the development of the two-layered wake
 186 (visualized by the red and blue λ_2 -isosurfaces in figure 6a) leads to the upper vortices moving in
 187 a separated layer, with an elliptic instability caused by co-rotating upper vortex pairs^{27,28}. This
 188 leads to an exponential growth of the spanwise wavy vortex amplitude (H) with time as shown in
 189 figure 7. Here the spanwise wavy vortex amplitude is defined by half of the horizontal distance
 190 between the trough and crest of the wavy deformation.

191 As the wake develops with time (see figure 6c and 6d for $t^* = 500$), the onset location of the
 192 wavy deformation of the upper vortices moves upstream towards the cylinder whilst the mode A
 193 instability is now present in the near-wake region. This near-wake mode A instability, which is also
 194 observed for the isolated elliptic cylinder (figure 4b), can be attributed to the elliptic instability of
 195 the counter-rotating vortices shed from the cylinder top and bottom^{4,5}, respectively. It is worth to
 196 note that the vortex dislocation observed for the isolated elliptic cylinder (figure 4b) is not present
 197 here since this dislocation is now suppressed by the moving wall. This behavior is qualitatively
 198 similar to the observation by Jiang *et al.*¹⁸ for flow around a circular cylinder near a moving wall
 199 for $Re \leq 325$ with $G/D < 1$. The flow here is denoted as the modified ordered mode A flow regime,
 200 which is different from the ordered mode A flow regime identified by Jiang *et al.*¹⁸ for flow around
 201 a circular cylinder near a moving wall where the elliptic instability caused by the co-rotating vortex
 202 pairs does not occur in the far-wake region.

203 Figures 6(e) and 6(f) show ω_x^* -contours in the xz -plane at $y = -0.5D$, corresponding to the
 204 ω_x^* -isosurfaces in figure 6(b) and 6(d), respectively. At $t^* = 200$, the strong vorticity pairs lined
 205 in the spanwise direction are observed in the far-wake region while these vorticity pairs become
 206 stronger in the near-wake region as the wake develops ($t^* = 500$). This behavior coincides with
 207 the observations from the isosurfaces of λ_2 and ω_x^* shown in figure 6(a)-6(d).

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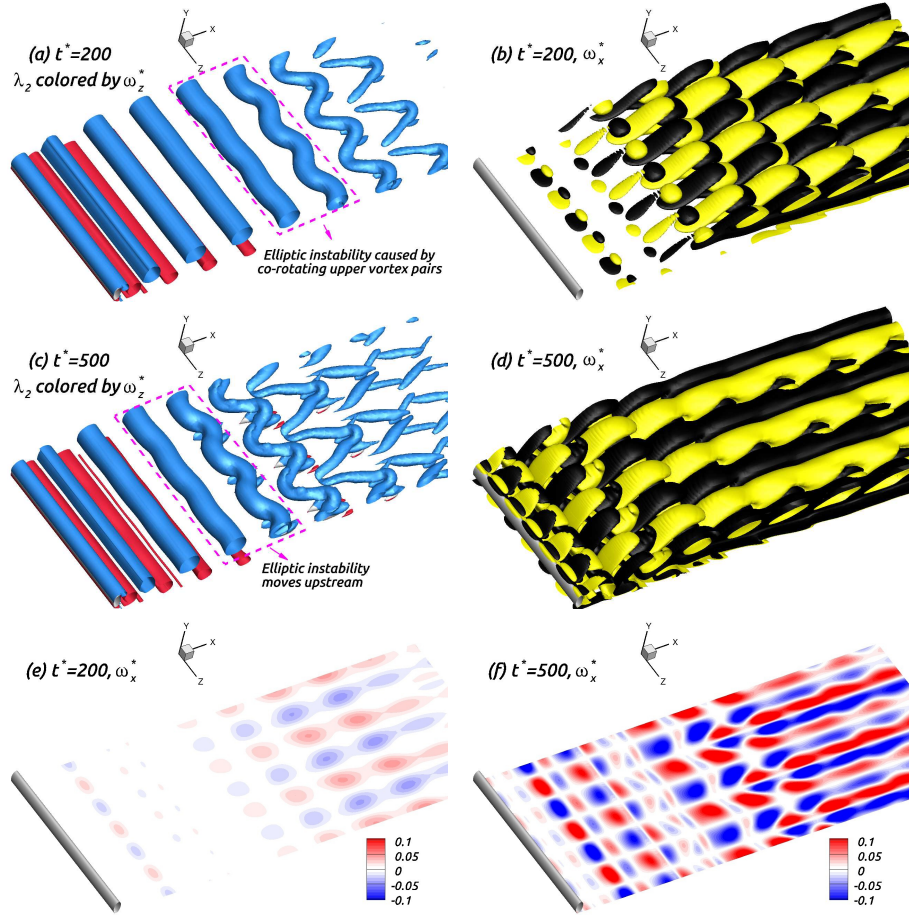


FIG. 6. Isosurfaces (Multimedia view) of $\lambda_2 = -0.05$ (colored by ω_z^* ; *a* and *c*) and $\omega_x^* = \pm 0.02$ (*b* and *d*) as well as contours of ω_x^* (*e* and *f*) at cross-section $(x, -0.5D, z)$ for flow around an elliptic cylinder of $AR = 0.5$ for $Re = 170$ with $G/D = 0.4$.

208 3. Near-wake two-dimensional flow regime

209 As Re increases to 180 (figure 8*a*-8*b*; Multimedia view), the far-wake elliptic instability caused
 210 by the upper co-rotating vortex pairs persists while the near-wake flow becomes two-dimensional.
 211 This flow is denoted the 'near-wake two-dimensional' flow regime. It is worth to mention that Radi
 212 *et al.*¹³ reported similar observations for flow around an isolated elliptic cylinder with $AR = 0.26$
 213 for $Re \in [150, 190]$ and with $AR = 0.39$ for $Re \in [200, 250]$. It was suggested by Radi *et al.*¹³ and
 214 Thompson *et al.*¹⁴ that this might be due to the two-layered wake moving upstream as Re increases

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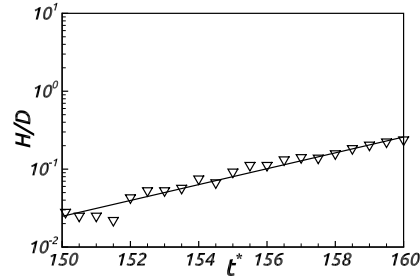


FIG. 7. Time history of the amplitude for one vortex centerline oscillation for flow around an elliptic cylinder near a moving wall for $Re = 170$ with $G/D = 0.4$.

215 (for a given AR) or as AR decreases (for a given Re), thus suppressing the mode A instability in
 216 the near-wake region. In the present work, the near-wall effect leads to the two-layered wake
 217 moving upstream, thus suppressing the near-wake instability. This upstream movement of the
 218 two-layered wake caused by the near-wall effect was previously demonstrated by Zhu *et al.*²⁰ for
 219 two-dimensional flow past an elliptic cylinder near a moving wall.

220 As a comparison, a simulation of flow around a circular cylinder near a moving wall is con-
 221 ducted for $Re = 180$ and $G/D = 0.4$. The resulting isosurfaces of λ_2 and ω_x^* are shown in figures
 222 8(c)-8(d), respectively. Here the two-layered wake is absent and the flow exhibits the mode A
 223 instability in the near-wake region. This gives further support to the hypothesis of the near-wake
 224 being suppressed by the two-layered wake moving upstream towards the cylinder due to the effect
 225 of the bottom wall.

227 B. Wake transition for configuration with $G/D = 0.3$

228 Numerical simulations show that the critical Re for the onset of the three-dimensional wake
 229 instability lies between 145 and 150, which is larger than the corresponding critical Re (125-135)
 230 for $G/D = 0.4$. This trend was also observed by Jiang *et al.*¹⁸ for flow around a circular cylinder
 231 near a moving wall as G/D was decreased from 0.4 to 0.3.

232 1. Two-dimensional wake pattern C

233 Figure 9 shows a cross-section (in the xy -plane) of the ω_z^* contours for $Re = 145$. The flow
 234 here is two-dimensional, exhibiting the wake pattern C, which is characterized by pair-wise vortex
 235 shedding without the development of the two-layered wake²⁰.

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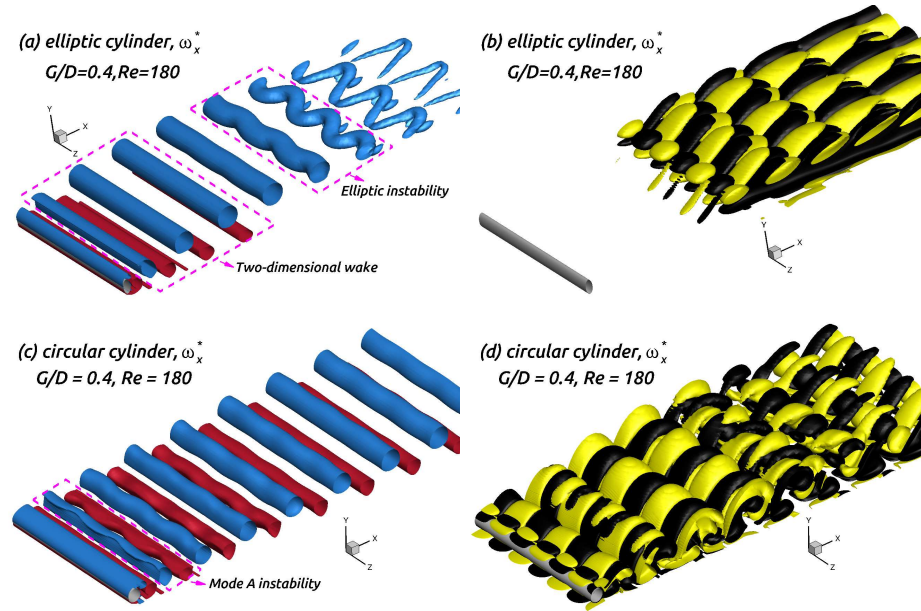


FIG. 8. Isosurfaces (Multimedia view) of $\lambda_2 = -0.05$ (left column, colored by ω_x^*) and $\omega_x^* = \pm 0.02$ (right column) for flow around an elliptic cylinder of $AR = 0.5$ (a-b) and circular (c-d) cylinder for $Re = 180$ with $G/D = 0.4$.

237 2. Traveling wave mode flow regime

238 As Re increases to 150, a quasiperiodic three-dimensional mode, i.e., the traveling wave
 239 mode^{9,10}, occurs. This mode is characterized by a spanwise propagation of the wavy de-
 240 formation of the vortices (as visualized by λ_2 -isosurfaces in figure 10a and 10c), coinciding with
 241 the streamwise vorticity pairs with oblique alternating streamwise vortices (as visualized by ω_x^* -
 242 isosurfaces in figure 10b, 10d, 10e and 10f) for $Re = 150$ and $G/D = 0.3$. Here T denotes the
 243 vortex shedding period. At $t^* = t_0$ (≈ 2403) the six crests of the wavy deformation (figure 10a),
 244 corresponding to the six streamwise vorticity pairs (figure 10b), show each streamwise vortex pair
 245 (marked as TW mode) exhibits a length of $\lambda_z = 5D$. These streamwise vortex pairs propagate
 246 in the positive z -direction (see figure 10d-10e; from $t^* = t_0 + T$ to $t^* = t_0 + 2T$). After eight
 247 vortex shedding periods (figure 10f), the pattern starts to repeat itself. This process can be further
 248 illustrated by ω_x sampled along the z -direction at the location $x = 0.4D$ and $y = 0.6D$ (figure 11a),
 249 showing that the streamwise vorticity pairs move in the positive z -direction with a nearly constant
 250 distance for each vortex shedding period. After eight vortex shedding periods, the streamwise
 251 vorticity pairs are identical to those at $t^* = t_0$ in terms of both position and amplitude.

252 As Re increases to 155 (figure 11b), the streamwise vorticity pairs propagate in the positive
 253 z -direction with different distances per cycle, but still nearly repeat themselves after eight vortex

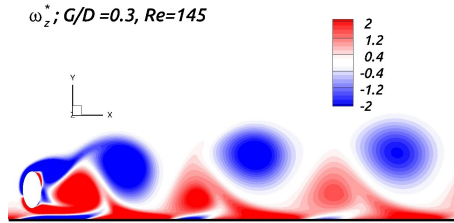


FIG. 9. Contours of ω_z^* at cross-section $(x, y, 15D)$ for flow around an elliptic cylinder near a moving wall for $Re = 145$ with $G/D = 0.3$.

254 shedding cycles with a slightly smaller amplitude. It should be noted that the crests (indicating
 255 the positive values of ω_x^*) are wider while the troughs (indicating the negative values of ω_x^*) are
 256 sharper. It appears that the wake becomes more unsteady such that the streamwise vortex pair
 257 become imbalanced in strength. The flow here which is $8T$ -periodic is denoted as the 'traveling
 258 wave (TW) mode' flow regime.

259 3. Squiggly wave traveling mode flow regime

260 Figure 11(c) shows ω_x^* sampled along a line in the spanwise direction for $x = 0.4D$ and $y =$
 261 $0.6D$ for $Re = 160$. Here the streamwise vorticity pairs propagate in the positive z -direction but
 262 with different propagation distances for each vortex shedding period (see, e.g., the propagation
 263 distances from $t^* = t_0$ ($=3401$) to t_0+T and from $t^* = t_0+T$ to t_0+2T). Here ω_x^* exhibits a more
 264 'nonlinear' behavior (relative to the more sinusoidal behavior observed in figure 11a and 11b) and
 265 does not repeat itself after $8T$. The flow here is slightly more irregular than the 'traveling wave
 266 mode' flow regime, thus denoted as the squiggly wave traveling mode' flow regime.

267 Overall, as Re increases from 100 to 200, the flow exhibits a transition scenario of 'two-
 268 dimensional wake pattern C ' \rightarrow 'traveling wave (TW) mode flow regime' \rightarrow 'squiggly traveling
 269 wave (TW) mode flow regime'.

270 C. Wake transition for configuration with $G/D = 0.2$

271 Numerical simulations conducted by the authors (not presented here) show that for $Re \leq 120$,
 272 the flow is two-dimensional without vortex shedding. As Re increases to 121, a transition to the
 273 two-dimensional wake pattern C occurs while the three-dimensional instability occurs at $Re = 122$.

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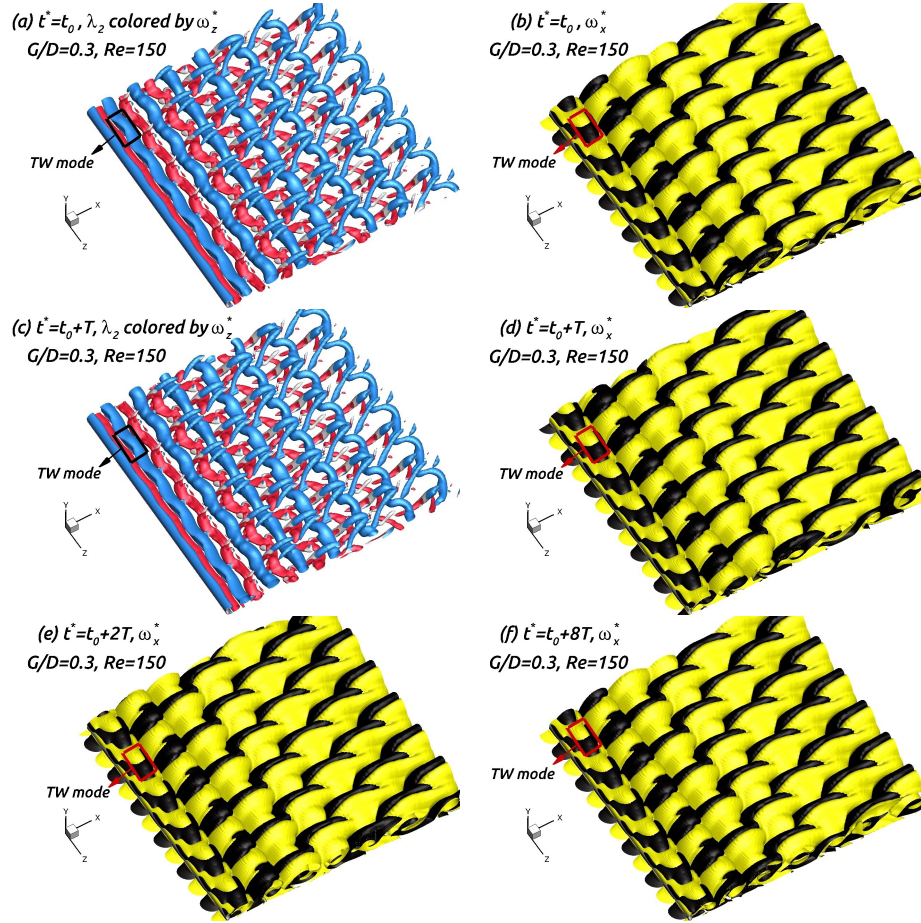


FIG. 10. Isosurfaces (Multimedia view) of $\lambda_2 = -0.05$ (a and c, colored by ω_z^*) and $\omega_x^* = \pm 0.02$ (b, d, e and f) for flow around an elliptic cylinder near a moving wall for $Re = 150$ at $G/D = 0.3$. T denotes the vortex shedding period.

276 1. Modified mode C flow regime

277 The presence of the moving wall close to the elliptic cylinder leads to the wake symmetry being
 278 broken, resulting in the mode C instability^{29,30}, as described in detail in the introduction. Jiang
 279 *et al.*¹⁸ found that the mode C structure is strongly affected by the shear layer developed on the
 280 moving wall. In order to investigate the pure mode C structure, a numerical simulation with a slip
 281 condition imposed on the bottom wall (implying no shear layer developed on the bottom wall) has
 282 been conducted for $Re = 125$ and $G/D = 0.2$. As visualized by isosurfaces of ω_x^* shown in figure

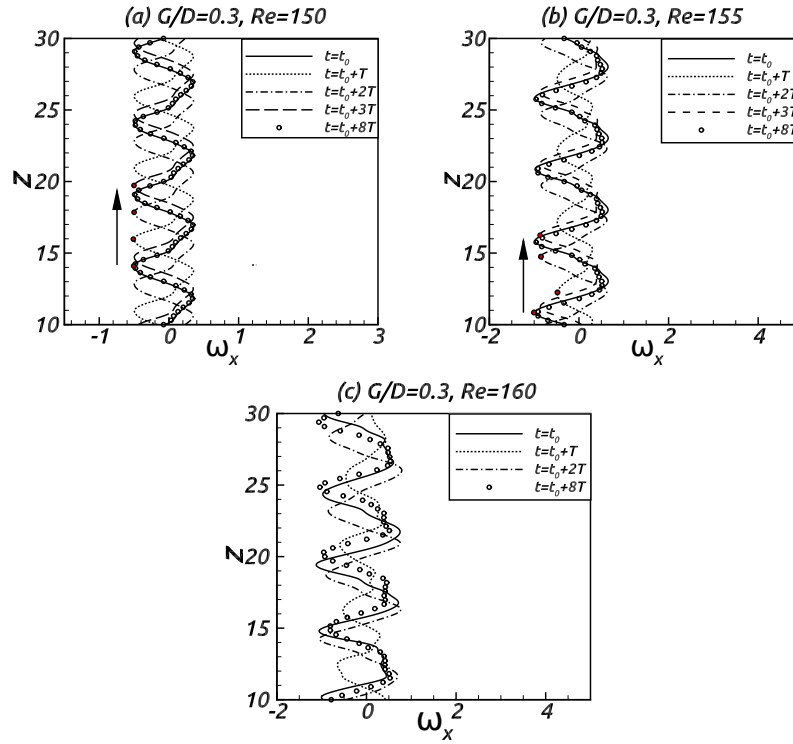


FIG. 11. Values of ω_x^* sampled at $(0.4D, 0.6D, z)$ for flow around an elliptic cylinder near the moving wall for $Re = (a) 150, (b) 155$ and $(c) 160$ at $G/D = 0.3$.

283 12, the features of the mode C structure is present; the streamwise vorticity with $\lambda_z = 2.6D$ (figure
 284 12a; $t_0 = 1000$) changes sign after one vortex shedding period (figure 12b) and repeat itself after
 285 two shedding periods (figure 12c). This is consistent with the results obtained by Jiang *et al.*¹⁸
 286 for flow around a circular cylinder near a slip wall at $G/D = 0.2$ for $Re = 140$. Mode C also
 287 triggers the three-dimensional instability for flow around an elliptic cylinder near a moving wall
 288 as visualized by the isosurfaces of λ_2 and ω_x^* in figure 13 for $Re = 125$ and $G/D = 0.2$ at $t^* = 100$.
 289 The spanwise wavelength of the mode C is approximately equal to $1.5D$, which is smaller than
 290 that ($\lambda_z = 2.6D$) obtained for the slip wall condition as shown in figure 12.

291 Figure 14 (Multimedia view) shows the isosurfaces of λ_2 from $t^* = t_0$ ($= 2650$) to $t_0 + 5T$.
 292 Here the wavy deformation of the vortices ($t^* = t_0$) shows the mode C structures evolving into
 293 streamwise vortices with a wavelength of $\lambda_z = 12D$. This behavior can be further visualized by
 294 the corresponding ω_x^* sampled along a line in the spanwise direction for $x = 2D$ and $y = 0.55D$
 295 shown in figure 15. The wavy deformation of the vortices persists for the next vortex shedding
 296 period (in figure 14b) but with a small decrease around the peak value of ω_x^* (figure 15 for t^*

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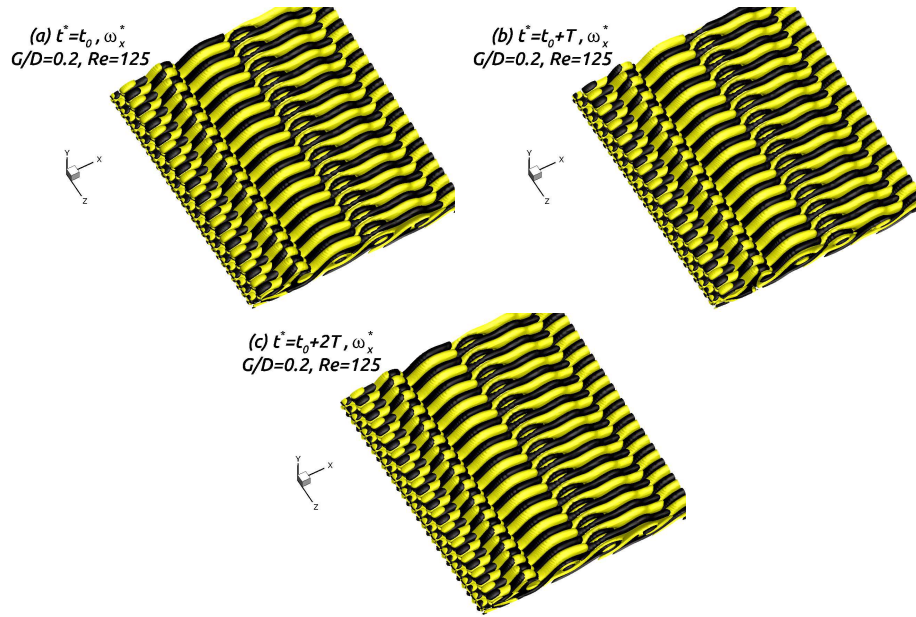


FIG. 12. Isosurfaces of $\omega_x^* = \pm 0.01$ for flow around an elliptic cylinder near a slip wall for $Re = 125$ with $G/D = 0.2$ at $t^* = (a) t_0, (b) t_0 + T$ and $(c) t_0 + 2T$.

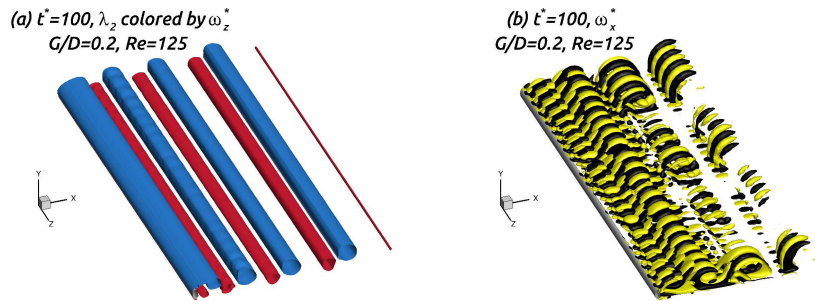


FIG. 13. Isosurfaces of (a) $\lambda_2 = -0.05$ (colored by ω_x^*) and (b) $\omega_x^* = \pm 0.01$ for flow around an elliptic cylinder of $AR = 0.5$ for $Re = 125$ at $G/D = 0.2$.

297 = $t_0 + T$). In the next vortex shedding period (figure 15c), the wavy deformation of the shedding
 298 vortex nearly disappears, indicating a decay of the three-dimensional instability within this period,
 299 coinciding with the small value of ω_x^* observed in figure 15 at the same time instant ($t^* = t_0 + 2T$).
 300 Interestingly, the three-dimensional instability re-occurs for the next vortex shedding period (figure
 301 14d) but the value of ω_x^* is now opposite of that for $t^* = t_0$ and $t_0 + T$ as shown in figure 15. The

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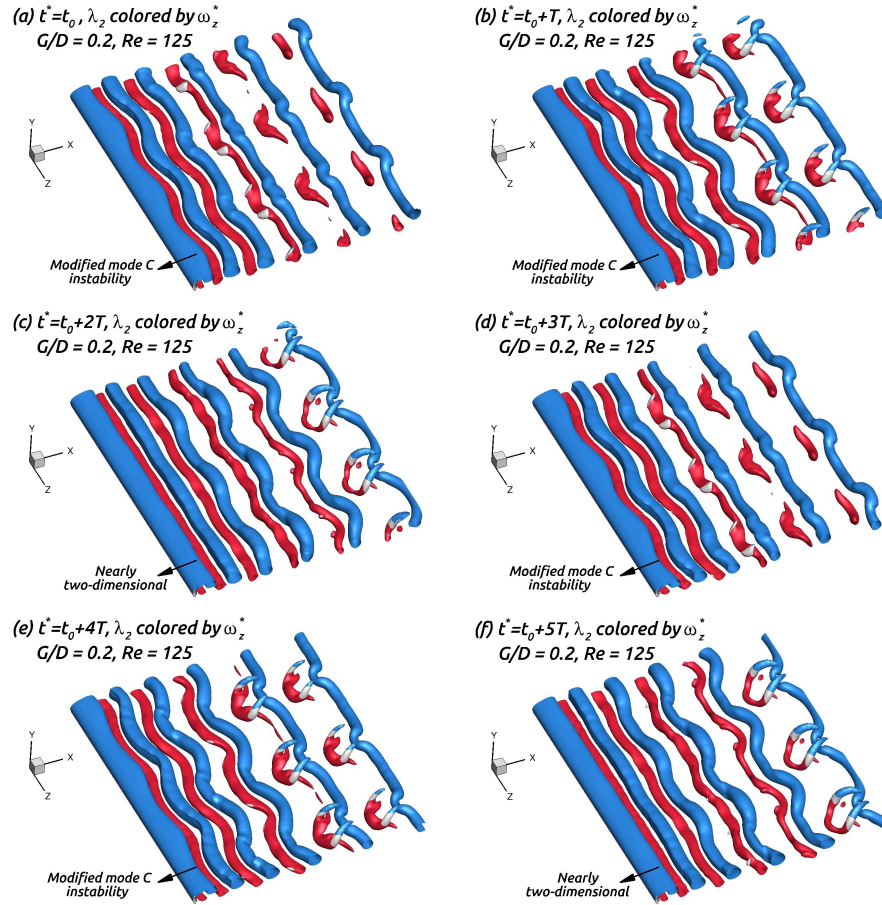


FIG. 14. Isosurfaces (Multimedia view) of $\lambda_2 = -0.05$ (colored by ω_z^*) for flow around an elliptic cylinder of $AR = 0.5$ for $Re = 125$ with $G/D = 0.2$ at $t^* = (a) t_0, (b) t_0 + T, (c) t_0 + 2T, (d) t_0 + 3T, (e) t_0 + 4T$ and $(f) t_0 + 5T$. T denotes the vortex shedding period.

302 behavior observed for $[t_0, t_0 + 2T]$ is repeated for $[t_0 + 3T, t_0 + 5T]$ as shown in figures 14 and
 303 15. After one further vortex shedding period ($t^* = t_0 + 6T$), the streamwise vorticity pairs repeat
 304 themselves, i.e., the ω_x^* profiles at $t^* = t_0$ and $t^* = t_0 + 6T$ coincide as shown in figure 15. This
 305 flow is denoted as the modified mode C flow regime. It appears that the interruption of mode C
 306 here is due to the bottom-wall shear layer since a pure mode C structure persists when a slip wall
 307 condition is applied (figure 12).

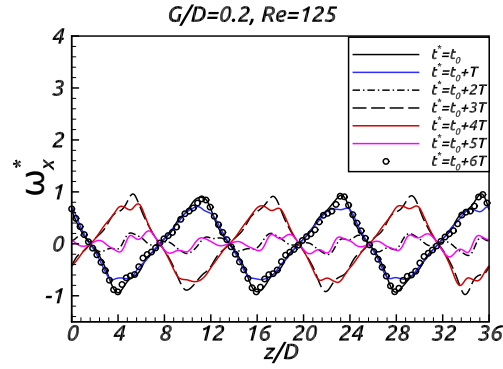


FIG. 15. Values of ω_x^* sampled at $(2.0D, 0.55D, z)$ for flow around an elliptic cylinder near the moving wall for $Re = 125$ with $G/D = 0.2$.

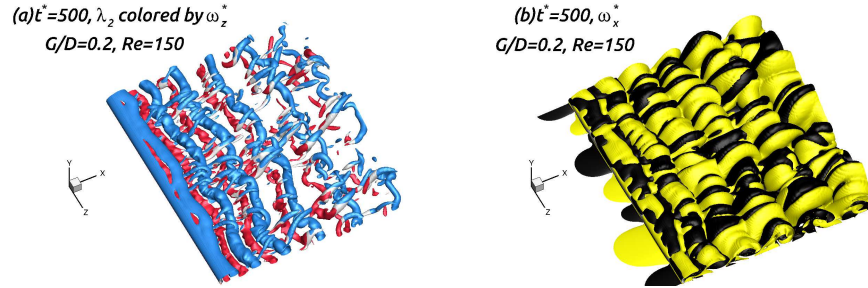


FIG. 16. Isosurfaces of (a) $\lambda_2 = -0.05$ (colored by ω_z^*) and (b) $\omega_x^* = \pm 0.01$ for flow around an elliptic cylinder for $Re = 150$ with $G/D = 0.2$.

310 2. Chaotic flow regime

311 Figure 16 shows isosurfaces of λ_2 and ω_x^* for $Re = 150$. Here the wake becomes chaotic with
 312 an irregular wavy deformation of the shedding vortex (figure 16a), corresponding to streamwise
 313 vorticities with a range of different spanwise wavelengths λ_z (figure 16b). This flow is denoted as
 314 the chaotic flow regime.

315 D. Wake transition for configuration with $G/D = 0.1$

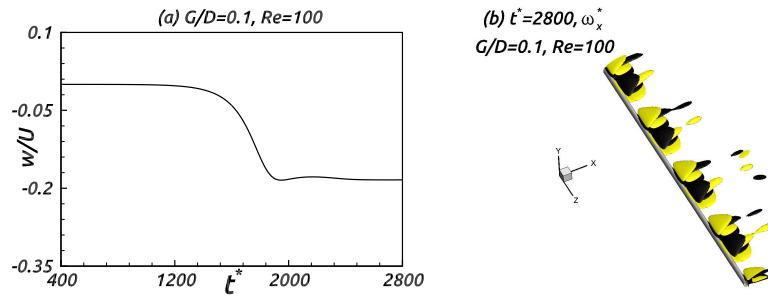
316 1. Three-dimensional steady flow regime

317 Figure 17 shows time-history of the spanwise velocity sampled at $(x, y, z) = (0.5D, 0.5D, 18D)$
 318 (i.e., in the wake) and isosurfaces of ω_x^* for $Re = 100$ and $G/D = 0.1$. The spanwise velocity

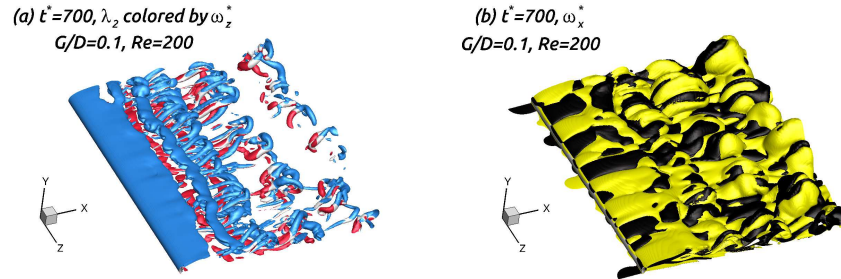
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319 (figure 17a) becomes constant after $t^* = 2500$, indicating the evolution of the flow towards the
 320 three-dimensional steady flow regime. Four streamwise vorticity pairs (figure 17b) are present
 321 along the cylinder in the spanwise direction, corresponding to a spanwise wavelength of $\lambda_z = 9D$,
 322 which is larger than that $\lambda_z = 6D$ observed in the three-dimensional steady flow regime for a
 323 circular cylinder near a moving wall^{13,18} for $G/D \leq 0.22$. It should be noted that this flow regime
 324 does not occur for flow around an elliptic cylinder at $G/D = 0.2$ because the critical G/D for the
 325 onset of the unsteady flow is larger for an elliptic cylinder than for a circular cylinder²⁰.



326 FIG. 17. (a) the time history of the spanwise velocity w sampled at $(0.5D, 0.5D, 18D)$ and (b) isosurfaces
 327 of $\omega_x^* = \pm 0.17$ for flow around an elliptic cylinder near a moving wall for $Re = 100$ with $G/D = 0.1$.

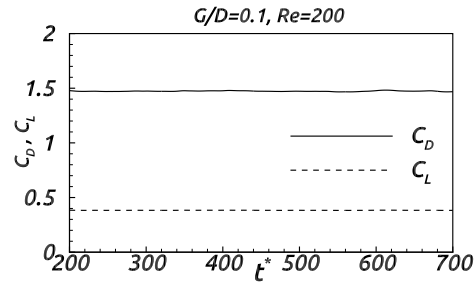


328 FIG. 18. Isosurfaces (Multimedia view) of (a) $\lambda_2 = -0.05$ (colored by ω_z^*) and (b) $\omega_x^* = \pm 0.01$ for flow
 329 around an elliptic cylinder near a moving wall for $Re = 200$ with $G/D = 0.1$.

330 2. Three-dimensional wake pattern D

331 Figure 18 (Multimedia view) shows the isosurfaces of λ_2 and ω_x^* for $Re = 200$. Here the flow
 332 exhibits a dominating upper shear layer behind the cylinder (shown by the blue contours in figure
 333 18a) and a chaotic streamwise vorticity pattern farther downstream (figure 18b). Figure 19 shows
 334 that C_D and C_L are nearly constant in time. This behavior is qualitatively similar to that observed

335 for wake pattern D identified by Zhu *et al.*²⁰ for two-dimensional flow. Thus, this flow, depicted
 336 in figure 18, is denoted as the three-dimensional wake pattern D .



337 FIG. 19. Time history of drag and lift coefficients for flow around an elliptic cylinder near a moving wall
 338 for $Re = 200$ with $G/D = 0.1$.

339 IV. SUMMARY AND CONCLUSIONS

340 In this paper, numerical simulations have been conducted for flow around an elliptic cylinder
 341 with an aspect ratio AR of 0.5 near a moving wall for $G/D \in [0.1, 0.4]$ and $Re \in [100, 200]$. Here
 342 four configurations with $G/D = 0.1, 0.2, 0.3$ and 0.4 are investigated. Different wake transition
 343 scenarios have been observed for each configuration. Table III summarizes how the wake patterns
 344 change with Re for each G/D configuration.

$G/D = 0.4$	$G/D = 0.3$
Wake pattern B ($Re \leq 125$)	Wake pattern C ($Re \leq 145$)
Modified mode A ($Re \in [135, 170]$)	TW mode ($Re \in [150, 155]$)
Near-wake two-dimensional ($Re \geq 180$)	Squiggly TW mode ($Re \geq 160$)
$G/D = 0.2$	$G/D = 0.1$
Two-dimensional steady ($Re \leq 120$)	Three-dimensional steady ($Re = 100$)
Wake pattern C ($Re = 121$)	Wake pattern D' ($Re \geq 125$)
Modified mode C ($Re \in [122, 130]$)	-
Chaotic ($Re \geq 140$)	-

TABLE III. Different flow regimes for flow around a circular cylinder near a moving wall for $Re \in [100, 200]$ and $G/D \in [0.1, 0.4]$. TW mode denotes the traveling wave mode¹⁰. Wake patterns B , C and D' denote two-dimensional wake pattern B and C identified by Zhu *et al.*²⁰, and three-dimensional wake pattern, qualitatively similar to two-dimensional wake pattern D ²⁰, respectively.

345 The wake transition scenario for $G/D = 0.4$ can be summarized as follows: For $Re \leq 120$,

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346 the flow is two-dimensional, exhibiting wake pattern B , which is characterized by a Kármán vortex
347 street in the near-wake region and a two-layered wake developed farther downstream. For
348 $Re \in [135, 170]$, the flow becomes three-dimensional, exhibiting the modified ordered mode A flow
349 regime where an elliptic instability (mode A instability) of counter-rotating vortex pairs (i.e., vor-
350 tices shed from the cylinder top and bottom, respectively) occurs in the near-wake region whilst
351 an elliptic instability of co-rotating upper vortex pairs is present farther downstream due to the
352 development of the two-layered wake with the upper vortices moving in a separated layer. For
353 $Re \in [180, 200]$, the flow becomes two-dimensional in the near-wake region while the elliptic in-
354 stability caused by the co-rotating upper vortices persists in the far-wake region. The reason for
355 the two-dimensional near-wake flow appears to be that the two-layered wake moves upstream to-
356 wards the cylinder as Re increases, suppressing the near-wake mode A instability which is present
357 for $Re \in [135, 170]$.

358 For $G/D = 0.3$, the following wake transitions take place: For $Re \leq 145$, the flow is two-
359 dimensional, exhibiting wake pattern C , which is characterized by pair-wise vortex shedding with-
360 out the development of the two-layered wake. For $Re \in [150, 155]$, a three-dimensional instability
361 occurs, forming the traveling wave mode flow regime characterized by a spanwise propagation of
362 the streamwise vorticity pairs with oblique alternating streamwise vorticities. This flow repeat itself
363 after 8 vortex shedding periods. For $Re \in [160, 200]$, the flow becomes more irregular, exhibiting
364 the squiggly traveling wave mode flow regime where the spanwise propagation of the streamwise
365 vorticity pairs persists but with different propagation distances for each vortex shedding period.

366 For $G/D = 0.2$, the following wake transitions are found: For $Re \leq 120$, the flow is two-
367 dimensional and steady without vortex shedding. For $Re = 121$, the flow exhibits the two-
368 dimensional wake pattern C , as described in the paragraph above. For $Re \in [122, 130]$, the flow
369 becomes three-dimensional, exhibiting the modified mode C flow regime where the wavy deforma-
370 tion of the shedding vortices is kept for two vortex shedding periods, and then disappears in the
371 next shedding period. This behavior is repeated for the next three vortex shedding periods but with
372 an opposite wavy deformation direction; the flow repeats itself after six vortex shedding periods.
373 For $Re \geq 140$, the wake becomes chaotic with an irregular wavy deformation of the shedding
374 vortices.

375 For $G/D = 0.1$, one wake transition takes place as follows: For $Re = 100$, the flow is three-
376 dimensional and steady without vortex shedding, containing a constant spanwise velocity within
377 the wake; for $Re \in [125, 200]$, the flow becomes unsteady, exhibiting the three-dimensional wake
378 pattern D , which is characterized by a dominating upper shear layer behind the cylinder, followed
379 by a chaotic wake structure farther downstream. Here the drag (C_D) and lift (C_L) coefficients are
380 nearly time-independent.

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384 **DATA AVAILABILITY**

385 The data that support the findings of this study are available from the corresponding author
386 upon reasonable request.

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