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Ind. Eng. Chem. Res., **Just Accepted Manuscript** • DOI: 10.1021/acs.iecr.9b02480 • Publication Date (Web): 02 Jul 2019

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Screening Cellulose Spinning Parameters for Fabrication of Novel Carbon Hollow Fiber Membranes for Gas Separation

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Abstract

Novel carbon hollow fiber membranes (CHFMs) have been, for the first time, prepared from cellulose precursors directly spun with a cellulose/(1-ethyl-3-methylimidazolium acetate (EmimAc) + dimethyl sulfoxide (DMSO)) system. The spinning parameters such as air gap, dope and bore flow, bore fluid composition and take-up speed are investigated by factorial design method to screen hollow fiber precursors. All the precursors were carbonized using the same controlled protocol, and the prepared CHFMs show good performance that are above the Robeson upper bound of CO₂/CH₄ and O₂/N₂. The best obtained CHFMs shows a CO₂ permeability of 239 Barrer and a CO₂/CH₄ selectivity of 186 from single gas permeation measurement. The CHFM shows attractive CO₂/CH₄ selectivities of 75 and 50 from 10% CO₂/90% CH₄ permeation tests at 25 °C with a feed pressure 28 bar, and 60 °C with 8 bar, respectively. Thus, the developed cellulose-based CHFMs shows potential for gas separation.

Keyword: carbon hollow fiber membranes; cellulose; ionic liquid; spinning; gas separation

1. Introduction

Membrane systems are expected to be applied in different gas separations such as oxygen recovery from air ¹, natural gas sweetening ²⁻⁴ and CO₂ capture from flue gas ^{5,6}, due to its small footprint, low energy consumption, low capital and operating cost and process flexibility. In the past decades, a few polymeric membranes have been commercialized for industrial gas separations, such as cellulose acetate, polyimide and perfluoro polymer membranes for CO₂ removal from natural gas ². However, polymeric membranes application is limited due to membrane compaction and plasticization when applied in high-pressure processes ³, which results in relatively poor separation performances. Moreover, polymeric membranes are subject of an “upper bound” limitation with a trade-off between gas permeability and selectivity ⁷. Inorganic membrane materials, like carbon molecular sieving (CMS) membranes ⁸⁻¹³ and zeolite membranes ^{14, 15}, show attractive gas separation performance operated under harsh conditions such as high pressures and high temperatures. Among them, CMS membranes with an ultra-microporous structure are usually prepared by carbonization of polymeric precursors, such as polyimides ^{8, 10, 16, 17} and cellulose derivatives ^{5, 18-20}. The ultraselective CMS membranes made from polyimide precursors, showing a potential application on some ultrafine discrimination of closely sized molecules required conditions ¹⁰, but the polyimide materials are usually highly cost, which presents a high carbon membrane production cost. Cellulose acetate derived CMS membranes showed good performance on CO₂/CH₄ and CO₂/N₂ separation processes by He et al. ^{11, 18}, and a pilot-scale system was reported to produce CHFMs with an annual production capacity 700 m² from regenerated cellulose precursor ²¹. However, the preparation of cellulose acetate derived carbon membranes is challenging due to the difficulties in controlling the drying process for the deacetylated hollow fibers. Thus, spinning of cellulose hollow fibers from a cellulose dope solution directly and then fabrication of CHFMs by a carbonization protocol could be a potential solution to address the challenges. Moreover, cellulose is also a cheap, inexhaustible and bio-renewable material ²²⁻²⁶, which provides a low-cost production progress for making carbon membranes. Ionic liquids (ILs) have been identified as good solvents for cellulose dissolution due to the excellent solubility and unique physicochemical properties,

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3 such as low volatility, high thermal and chemical stability^{23,27}. Besides, several IL recycling methods have
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5 been investigated involving freeze crystallization²⁸, membrane separation²⁹ and evaporation³⁰. Thus,
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7 development of cellulosic membranes from ILs could be a potential way for the chemical production
8
9 industry. Our previous work has proved that a cellulose/(1-Ethyl-3-methylimidazolium acetate (EmimAc)
10
11 + dimethyl sulfoxide (DMSO)/H₂O ternary system was able to spin defect-free cellulose hollow fibers³¹.
12
13 Since the precursor properties will influence carbon membrane performance, it is important to investigate
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15 cellulose precursor by systematic investigation of spinning parameters (especially for the novel
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17 cellulose/ILs spinning system) to identify the proper precursor for making advanced carbon membranes.
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19 Various experimental design methods have been reported for preparation of membranes in the literature
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21 ³²⁻³⁴ to screen the effect factors during the membrane fabrication process and then optimize the membrane
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23 separation performances. For instance, Saljoughi et al. employed a Taguchi experimental design to conduct
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25 a minimum number of experiments and identify the contribution of factors in an asymmetric cellulose
26
27 acetate membranes synthesis process³⁵. Khayet et al. used a fractional factorial design to investigate the
28
29 combined effects of polymer and additive content in casting solutions, evaporation time of solvent and
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31 coagulation temperature on the structures and performance of prepared membranes³⁴.
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36 In this work, novel carbon hollow fiber membranes (CHFMs) are prepared based on cellulose hollow fiber
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38 precursors spun from cellulose/ionic liquids system. DMSO was added to the solvent to decrease the
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40 viscosity of dope solution and reduce the material cost. In order to investigate the effect of precursor on the
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42 CMS dimension (thickness, outer diameter) and separation performances (CO₂ permeability and CO₂/CH₄
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44 selectivity), the spinning parameters such as air gap, dope flow, bore flow, bore fluid composition and take-
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46 up speed are investigated by experimental design method. The single gas permeation tests and scanning
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48 electron microscope (SEM) characterization for all prepared carbon membranes were performed. Further
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50 structure and performance characterization on the selected best CHFMs were also conducted by gas
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52 adsorption and mixed gas permeation measurements.
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2. Experimental

2.1 Materials

Microcrystalline cellulose (MCC, Avicel PH-101), glycerol (>99 %, Food Chemicals Codex (FCC) grade) and DMSO ($\geq 99\%$, FCC grade) used in this work were purchased from Sigma-Aldrich. The ionic liquid of EmimAc was provided by the Institute of Process Engineering, Chinese Academy of Sciences (IPE-CAS). The EmimAc was purified by ethyl acetate before using, and the product purity was confirmed by ^1H and ^{13}C NMR ³⁶. Tap water was used as the non-solvent in the coagulation and rinsing baths.

2.2 Spinning cellulose hollow fiber precursors

A well-known dry-wet spinning method is employed to fabricate cellulose hollow fibers as is illustrated in **Figure 1a**. A 12 wt.% MCC dope solution was made by dissolving a given amount of MCC in the co-solvent (75 wt.% EmimAc and 25 wt.% DMSO) with mechanical stirring at 50 °C in a glove box (relative humidity of < 1 %) to minimize any contamination from moisture. The spinneret size used for spinning is 0.7 mm/0.5 mm (OD/ID). Before starting the spinning process, filtration and degassing steps were implemented to avoid air bubbles and undissolved cellulose which could lead to macrovoids formation inside hollow fibers. During the spinning process, the temperature of dope solution was kept at 50 °C whereas bore solution, coagulation baths were kept at room temperature. The fabricated cellulose hollow fibers were then placed in a tap-water bath for 24 h to remove excess solvents, and subsequently immersed in a 10% glycerol aqueous solution. Using glycerol aqueous solution before drying hollow fiber membranes can prevent the collapse of porous structures due to a lower vapor pressure compared to water as reported in the literature ^{37, 38}, and is beneficial to reduce the curl formation of cellulose hollow fibers during the drying process. **Figure 1b** shows the cellulose hollow fiber precursors after the treatment with glycerol aqueous solution. The obtained cellulose hollow fibers were finally dried at room temperature for characterization and fabrication of CMS membranes. It should be noted that ionic liquids will be recycled

in a large-scale production process to achieve a low environmental impact and reduce the production cost—this has, however, not been investigated in the current work.

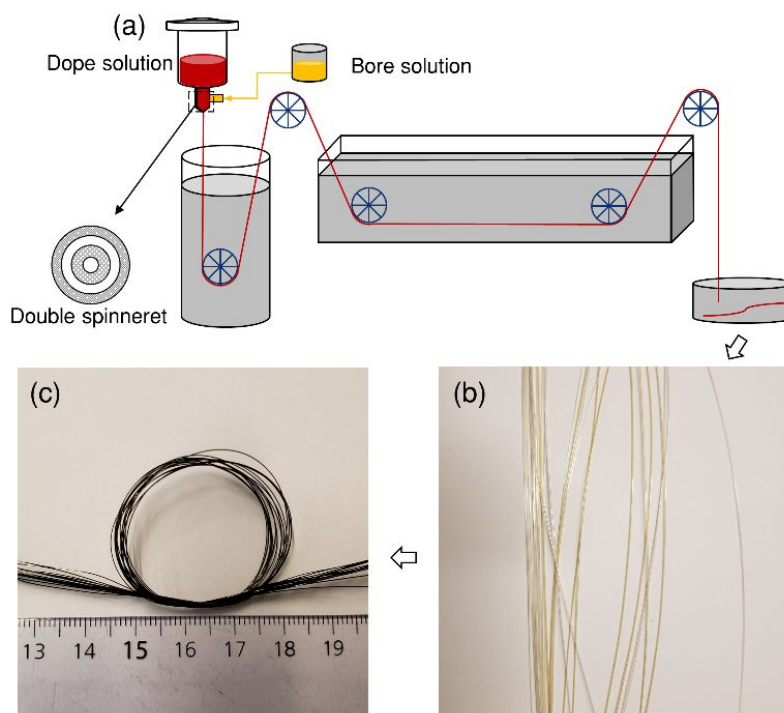


Figure 1. (a) Schematic diagram of spinning process for the cellulose hollow fiber precursors, (b) photograph of cellulose hollow fibers after washed and dried, (c) photograph of CHFMs with a remarkable flexibility

In order to investigate the influences of spinning parameters on the cellulose hollow fiber precursors and prepare high performance CHFMs, five spinning parameters; air gap, dope flow, bore composition, bore flow and take-up speed, were investigated by using a 2^{5-2} fractional factorial design method. This means 8 experiments and corresponds to a quarter of a full 2^5 design. In addition, two replicates of the center points are also performed. In total, 10 spinning batches were carried out according to the experimental design generated by Minitab 18, as listed in **Table 1**. Each factor includes high level (+1) and low level (−1). In addition, 2 center point runs are employed to estimate any inherent noise variation. The response variables

are the thickness, outer diameter, CO₂ permeability and CO₂/CH₄ selectivity of the prepared CHFMs carbonized at the identical conditions.

Table 1. 2⁵⁻² fractional factorial design for spinning cellulose hollow fibers

Run No.	Factors				
	A	B	C	D	E
a	-1	-1	-1	+1	+1
b	+1	-1	-1	-1	-1
c	-1	+1	-1	-1	+1
d	+1	+1	-1	+1	-1
e	-1	-1	+1	+1	-1
f	+1	-1	+1	-1	+1
g	-1	+1	+1	-1	-1
h	+1	+1	+1	+1	+1
i	0	0	0	0	0
j	0	0	0	0	0

Factors	level		
	-1	+1	0
A: Air gap (mm)	10	50	30
B: Dope flow (ml/min)	2.0	4.4	3.2
C: Bore composition (water concentration, wt.%) ^a	20	30	25
D: Bore flow (ml/min)	1.1	2.4	1.8
E: Take-up speed (m/min)	7.3	14.6	11.0

^a: the bore fluid is composed of non-solvent (water) and solvent (75 wt.% EmimAc and 25 wt.%DMSO)

2.3 Fabrication of carbon hollow fiber membranes

The dried cellulose hollow fibers were carbonized in a tubular furnace (Horizontal Split Tube Furnace, Carbolite Gero Limited) by applying a specific carbonization protocol, as depicted in **Figure 2**, under a CO₂ purge gas with 80 ml/min continuous flow. The protocol is chosen based on the TGA analysis of cellulose precursors and the carbonization procedure of deacetylated cellulose acetate precursors reported in the previous work^{31, 39}. It should be noted that the tubular furnace was evacuated to remove other gases before being purged with CO₂. After the completion of the carbonization protocol, the system cooled down naturally and the prepared CHFMs were taken out when the temperature had cooled to below 50 °C. A typical photograph of the CHFMs is depicted in **Figure 1c**, which presents a remarkable flexibility.

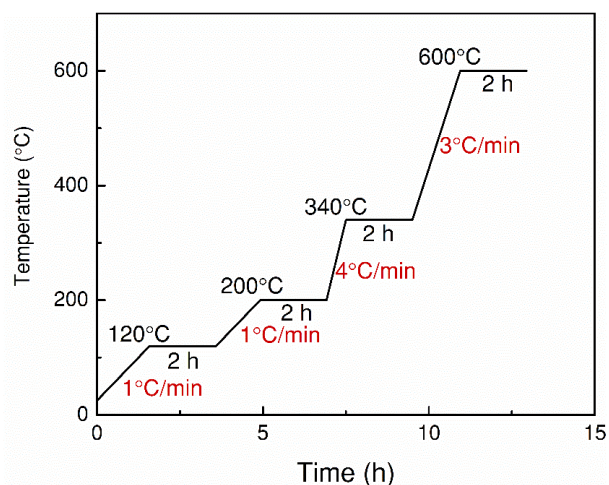


Figure 2. Carbonization protocol for cellulose hollow fiber precursors

2.4 Characterization of cellulose and carbon hollow fibers

The morphologies and structures of the precursors and carbon hollow fiber membranes were characterized by SEM (FEI Apreo and Hitachi TM3030 tabletop microscope). The cross-sectional SEM samples of cellulose hollow fiber membranes were fractured in liquid nitrogen. Pore size distribution of the CHFMs sample was determined by CO₂ adsorption at 0 °C and relative pressure (P/P°) from 0.0005 to 0.031 using a TriStar II 3020 (Micromeritics Instrument Corp.). Gas sorption data were automatically analyzed by the

TriStar software using the “CO₂-DFT” model. Rubotherm magnetic suspension balance (MSB, TA Instruments) with a resolution of 1 μg was employed to obtain the density and average pore size of CHFMs by conducting helium and CO₂ adsorption with an increasing pressure from 1 bar to 20 bar with a constant flow rate of 100 ml/min at 25 °C. The measurement procedure was described in detail elsewhere⁴⁰.

The Langmuir-Freundlich adsorption equilibrium isotherm model^{40, 41} (Eq. (1)), the Dubinin-Radushkevitch (DR) equation⁴² (Eq. (2)) and the Stoeckli equation⁴³ (Eq. (3)) were employed to evaluate the structure properties of CHFMs:

$$q = \frac{bq_m p^{1/n}}{1 + bp^{1/n}} \quad (1)$$

$$\frac{w}{w_0} = \exp \left(- \left(\frac{RT \ln \left(\frac{p_0}{p} \right)^2}{\beta E_0} \right) \right) \quad (2)$$

$$L_0 = \frac{10.8 (nm \cdot kJ/mol)}{E_0 - 11.4 (kJ/mol)} \quad (3)$$

where q corresponds the CO₂ adsorbed amount at pressure p , b and q_m are the Langmuir affinity parameter and the maximum adsorbed amount, respectively, and n is the Langmuir-Freundlich coefficient. w and w_0 are the gas volume adsorbed at pressure p and micropore volume of CHFM, respectively. E_0 and L_0 correspond the adsorption activation energy and average micropore width of a CHFM sample. The affinity coefficient (β) used in the DR equation is 0.35 according to the literature⁴⁰. The true density and bulk density of a sample were determined by the buoyancy experiments with the non-absorbable gas of helium⁴⁰.

2.5 Gas permeation measurements

In this work, the bore-side feeding was applied to both single gas and mixed gas permeation measurements. The single gas permeation measurements for the different batches of CHFMs were conducted on a constant permeate volume method with variable-pressure system, according to the Eq. 4,

$$P = \frac{273 \times 10^7 V \cdot r_1 \ln \left(1 + \frac{l}{r_1} \right)}{76T \cdot A_{inner} \cdot \Delta P} \cdot \left(\frac{dp}{dt} \right) \quad (4)$$

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3 where P (Barrer) is the pure gas permeability. V (cm^3) is the downstream volume, and T (K) is the
4 experimental temperature. l (cm) and r_1 (cm) are the thickness and inner radius of hollow fibers,
5 respectively. A_{inner} (cm^2) is the inner surface area corresponding to r_1 . ΔP (bar) is the pressure drop across
6 the membranes. dp/dt (mbar/s) is the downstream pressure increasing rate by taking account of any small
7 leakage in the system. In most of the literature, the permeability was calculated from the measured thickness
8 of hollow fibers directly without considering the difference of permeate gas flux through the wall of hollow
9 fiber caused by the radius change. The difference can be ignored if the ratio of wall thickness to radius (l/r)
10 is very small. However, for the hollow fibers with a thick wall, the difference of gas permeability calculated
11 based on inner and outer surface area can be significant. Thus, in this work, the term $r_1 \ln(1 + \frac{l}{r_1})$ in the
12 Eq. (4) is considered as a corrected thickness using the membrane inner radius and the measured thickness.
13 Gas permeability calculated based on the inner surface area from the Eq. (4) is identical to that of the outer
14 surface area. The detailed derivation is described in the supporting information.

15
16 All single gas permeation tests were conducted at 25 °C with a feed pressure of 2 bar. The tests were run
17 until a steady state had been reached implying a constant dp/dt . Two or three different membrane modules
18 from each batch CHFMs were constructed and individually tested to obtain the average gas permeabilities.

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20 The construction of membrane modules was depicted in detail by He et al.¹⁹. Typically, 2-4 CHFMs with
21 an accumulative length of ~70 cm are mounted into a 1/4-inch stainless steel tubing (For CHFMs with very
22 thin wall, ~30 cm length is used due to the increased brittleness). The ideal selectivities of different gas
23 pairs (e.g., CO_2/CH_4) are calculated as the ratio of gas permeabilities, $\alpha_{ij} = \frac{P_i}{P_j}$.

24
25 A relatively large size membrane module with an effective area of 9 cm^2 was investigated by feeding a 100
26 Nml/min mixed gas of 10 mol% CO_2 - 90 mol% CH_4 at varying feed pressures and temperatures. The stage-
27 cut in all measurements was kept less than 1%. The permeation setup is illustrated in **Figure 3**. The feed
28 gas flow and pressure are controlled by mass flow controller (MFC 1) and back pressure controller (BPC
29 1), respectively. The actual feed pressure was also recorded by the pressure transducers of PI1 & PI2. Sweep

gas (N₂) flow and pressure are controlled by MFC 2 and BPC 2, and the permeate flow including sweep gas is measured by the mass flowmeter (MFM1, Bronkhorst, Nederland). The gas composition in the permeate side is analyzed by a gas chromatograph (GC, 8610C, SRI Instruments Inc.). The gas temperature in the feed, retentate and permeate side are measured by temperature indicators (TI1-3). Each experimental point was recorded as the average of three GC samples after reaching steady state gas permeation. The separation factor of CO₂/CH₄ is calculated by $\alpha_{CO_2/CH_4} = \frac{y_{CO_2}/y_{CH_4}}{x_{CO_2}/x_{CH_4}}$, where x_i and y_i are the concentration of the components in the feed and permeate, respectively.

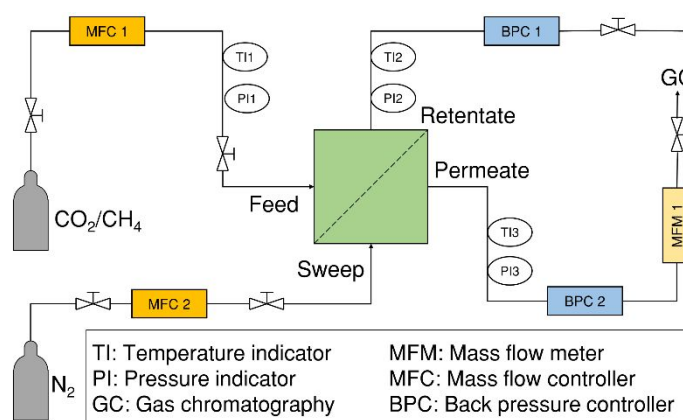


Figure 3. Illustration of the mixed gas permeation setup

2.6 Statistical analysis

Based on the obtained experimental data and characterization results, multivariate analysis was conducted by Minitab® 18. Linear regression models for the 4 response variables were developed to fit the obtained results according to the Eq. (5),

$$\hat{y}_i = \sum_{i=1}^n b_i x_i + b_{0,i} \quad (5)$$

where \hat{y}_i is the estimated response, $b_{0,i}$ and b_i are the regression coefficient, x_i is the spinning factors and n is the number of factors. The Pareto Charts of Standard Effects and Main Effects Plot are used to analyze the main effects of different parameters. The Pareto Charts of Standard Effects plots the bars of absolute values of the standardized effects from the largest to the smallest effect. If a bar exceeds a reference line,

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3 the corresponding parameter is statistically significant. The standardized effects are evaluated applying t-
4 statistics that test the null hypothesis (effect is 0), and the reference line for statistical significance is
5 depended on the significance level α and degree of freedom (DF) of parameters. In this work, the α of 0.1
6 is applied for the significance level (e.g., the reference line is located at 2.132 for 5 parameters).
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11 When the statistical significance of the main effects for each response is determined, the models will be
12 modified by only including significant parameters. Furthermore, the statistical significance of parameters
13 in the new model is estimated again. The percentage of variation R^2 and R^2-adj are calculated to interpret
14 the precision of the developed models.
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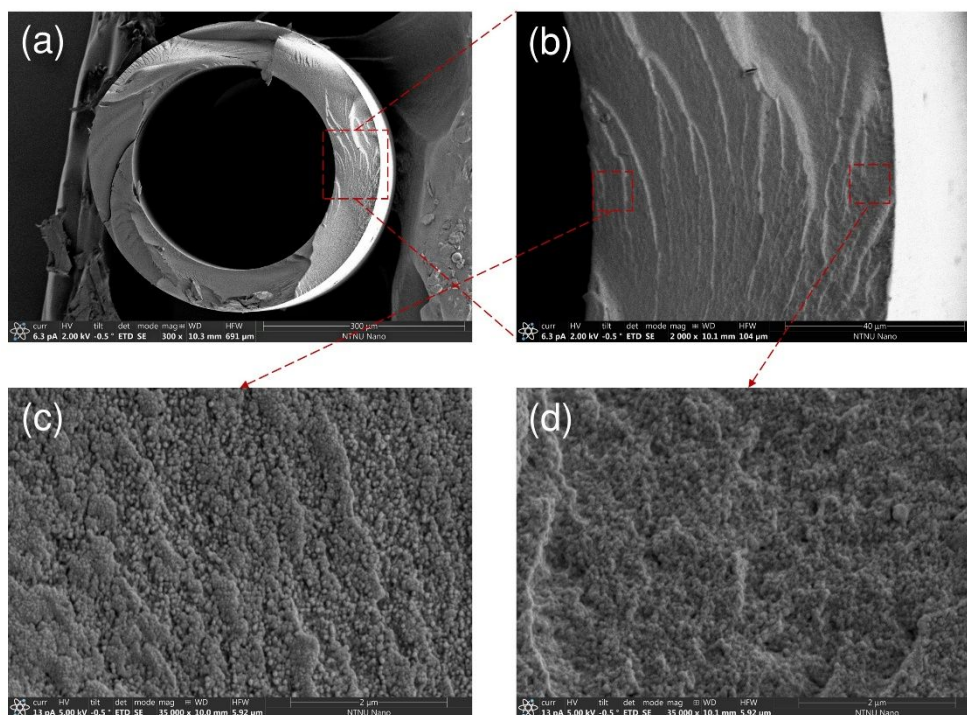
19 20 3. Results and discussion

21 22 3.1 Structure of precursor and carbon hollow fiber membranes

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24 The dimension (thickness and diameter) of cellulose precursors among different batches are different due
25 to different spinning conditions (listed in Table S1). **Figure 4** shows a typically cross-sectional morphology
26 of the precursor of batch d (the SEM images of the other batches are summarized in Figure S2). Even
27 though the composition between the bore solution (water and solvent) and the coagulation bath (tap water)
28 are different, the cellulose hollow fibers present a symmetric structure after drying, as shown in **Figure 4c**
29 and **4d**. This structure is very similar with the morphology of the regenerated cellulose from ILs reported
30 in the literature ⁴⁴. In addition, extremely low permeate flow of cellulose precursors was detected by single
31 gas permeation testing with CO₂ or helium at 5 bar, which indicated that the spun cellulose hollow fiber
32 precursors present a dense structure.
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44 The parameter settings according to a) and e) generated unstable spinning states (e.g., hollow fibers were
45 easily broken during the spinning) since these settings yielded extremely thin hollow fibers with poor
46 mechanical strength. Furthermore, the hollow fibers with thinner wall are more easily collapsed during the
47 subsequent drying process. Additionally, the fibers with thicker walls, as revealed in **Figure S2c** and **S2g**
48 were prone to forming a non-uniform wall. Thus, it is crucial to adjust and control the spinning parameters
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3 for fabrication of hollow fibers with an appropriate thickness and diameter so that the produced hollow
4 fiber precursors can be used as suitable precursors for making CMS membranes.
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32 **Figure 4.** Cross-sectional SEM images of the (batch d) cellulose hollow fiber precursor, (c) and (d)
33 correspond the inner and outer cross-sectional images, respectively.
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36 Following the carbonization protocol indicated in **Figure 2**, different CHFMs were prepared from the spun
37 cellulose hollow fiber precursors. **Figure 5** and **Figure S3** present the cross-sectional morphology of
38 different batches CHFMs. Compared with the morphology of the cellulose precursors (batch d) in **Figure**
39 **4**, the carbonized hollow fiber membranes shown in **Figure 5** have a significant shrinkage (e.g., an average
40 of 42 % reduction in diameter and thickness) compared to the precursors. In order to evaluate the influences
41 of precursor structures (determined by spinning parameters) on the dimension of CHFMs, the average
42 thickness and outer diameter of CHFMs are obtained by measuring several hollow fibers from each batch,
43 as summarized in **Table 2**. The detailed discussion of the influences of spinning parameters on dimension
44 of CHFMs is documented in the Appendix B of the Supporting Information.
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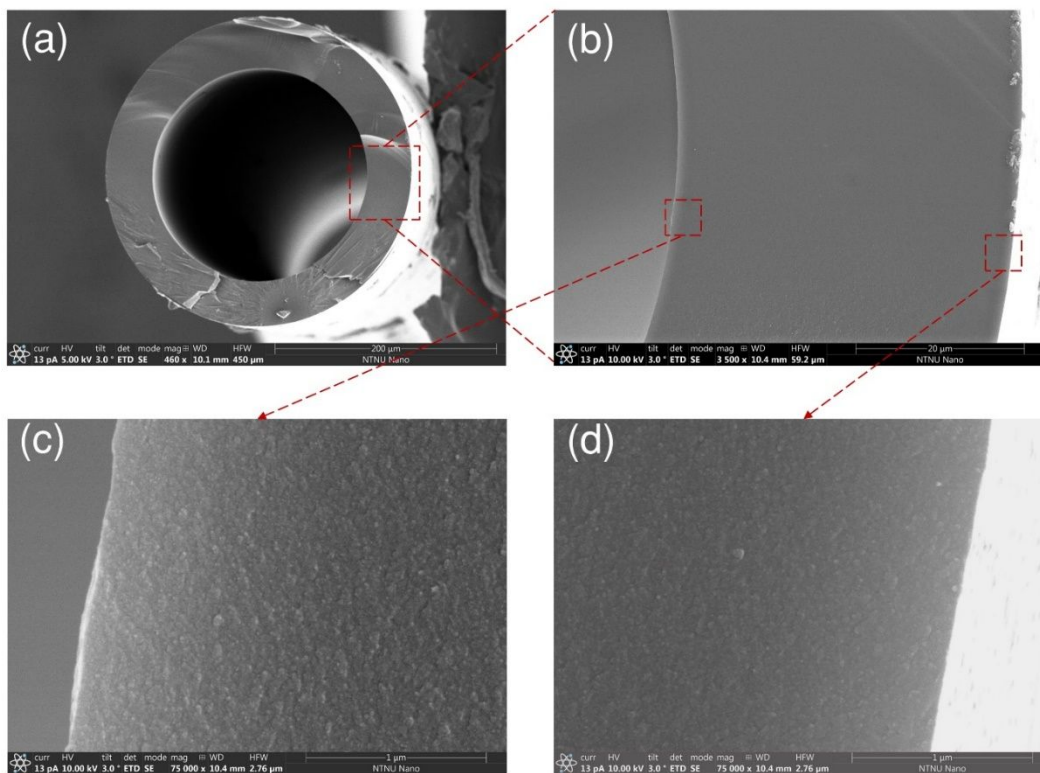


Figure 5. Cross-sectional SEM images of the CHFMs made from the batch d precursors

Table 2. Summary of measured responses for the CHFMs prepared by the 2^{5-2} fractional factorial design

Run No.	Measured responses			
	L , thickness (μm)	OD , outer diameter (μm)	P , CO_2 permeability (Barrer)	S , CO_2/CH_4 selectivity
a	12	224	43	59
b	22	180	171	128
c	53	200	264	173
d	46	292	239	186
e	14	237	28	69
f	21	162	122	123
g	55	250	86	321
h	35	240	188	112
i	31	211	124	116
j	30	208	133	128

3.2 Single gas permeation properties of carbon hollow fiber membranes

The gas permeabilities of different batch CHFMs based on single gas permeation testing are plotted in **Figure 6** as a function of the gas kinetic diameter ⁴⁵. The gas permeation through the CHFMs is mainly dependent on the kinetic diameters of gas molecules, which implies the transport mechanism is molecular sieving dominated. By changing the spinning parameters, the CO₂ permeability ranges from 28 Barrer (batch e) to 264 Barrer (batch c). This indicates that some of the spinning parameters can influence the gas permeation performances of the obtained CHFMs significantly.

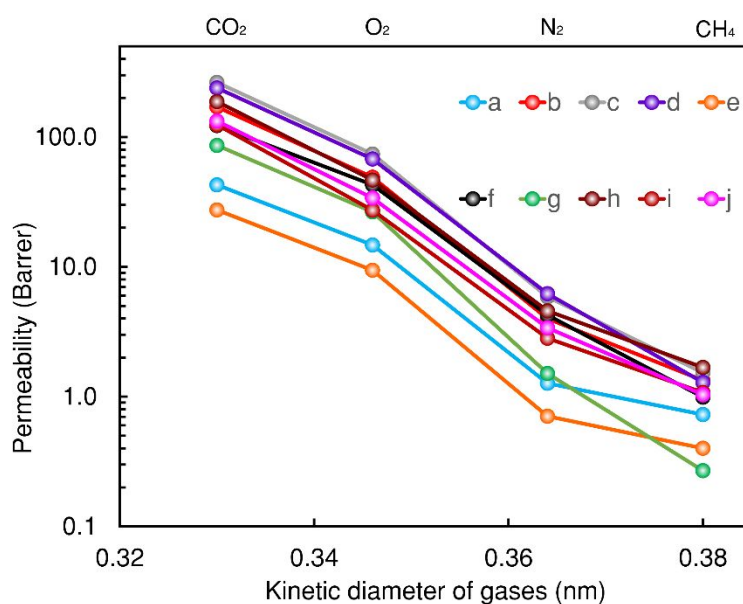


Figure 6. Single-gas permeabilities through different batches CHFMs as a function of gas kinetic diameter at 25 °C and 2 bar

Figure 7 illustrates the Robeson upper bound of CO₂/CH₄ and O₂/N₂ separation performances obtained in this work and comparisons with some CMS membranes produced from cellulosic precursors reported in the literature ^{19,26,46}. By adjusting the spinning parameters, most of prepared CHFMs show good permeation performances that are above the Robeson upper bound of CO₂/CH₄. Besides, all of them exceed O₂/N₂ upper bound. Compared with flat sheet CMS membranes reported by Lie and Hägg ⁴⁶, the fabricated CHFMs show higher selectivity for CO₂/CH₄ and O₂/N₂. Approximately 20 times higher CO₂ permeability than the latest flat sheet CMS membranes prepared from cellulose/ionic liquid reported by Rodrigues et al.

²⁶ has been obtained in this work. The influence on separation performance caused by spinning parameters is discussed in section 3.3. Considering the industrial applications of CO₂ removal from natural gas, high gas permeance is preferred for membranes with a thin selective layer. Therefore, making asymmetric carbon membranes from cellulose precursors should be conducted in the future work.

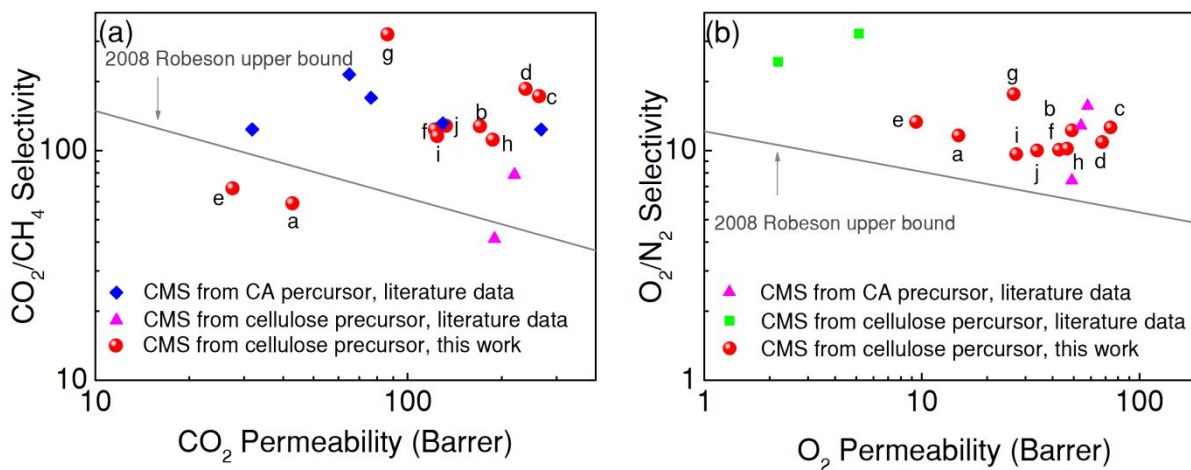


Figure 7. Separation performances of (a) CO₂/CH₄, (b) O₂/N₂ (red spheres represent the permeation data reported in this work, blue diamonds are the literature data of CMS membranes derived from cellulose acetate (CA)¹⁹, pink triangles are literature data of CMS membranes derived from cellulose⁴⁶, green squares are literature data of CMS membranes derived from cellulose²⁶, the lines are the 2008 Robeson upper bound of CO₂/CH₄ and O₂/N₂, respectively⁷.)

3.3 Influences of spinning parameters on performances of carbon hollow fiber membranes

Based on the single gas permeation testing results listed in **Table 2**, the linear regression models of the CO₂ permeability and CO₂/CH₄ selectivity including five factors are obtained and presented in the Eqs. (6) and (7), respectively. The non-significant factors, corresponding to the smaller regression coefficients (i.e., the smaller Pareto Chart of Standardized Effects than the reference lines as shown in **Figures S4c** and **S4d**), are taken out in the modified models of the Eqs. (8) and (9). It should be noted that the significance of take-up speed (factor E) does not exceed the reference line in the standardized effects Pareto chart for the CO₂/CH₄ selectivity (see **Figure S4d**). It is, however, still included in the statistically significant model

(Eq. (9)) since the effect is much more profound than air gap (factor A) and bore composition (factor C) (see Eq. (7)).

$$P_0 = 139.7 + 37.4A + 51.7B - 36.6C - 18.3D + 11.6E \quad (6)$$

$$S_0 = 141.3 - 9.0A + 51.5B + 10.0C - 40.0D - 29.5E \quad (7)$$

$$P_1 = 139.7 + 37.4A + 51.7B - 36.6C \quad (8)$$

$$S_1 = 141.3 + 51.5B - 40.0D - 29.5E \quad (9)$$

The Pareto Chart of Standardized Effects (**Figures 8a**) and the Main Effects Plot (**Figures S6c**) indicate that the CO₂ permeability is significantly affected by the air gap (factor A) and dope flow (factor B) in a positive trend but drops with the increase of water content in the bore composition (factor C). The CO₂/CH₄ selectivity is favored by the increase of dope flow (factor B), while bore flow (factor D) and take-up speed have negative significant effects on the CO₂/CH₄ selectivity. According to the analysis of variance for CO₂ permeability and CO₂/CH₄ selectivity, listed in **Table S2**, the P-values are much smaller than the significant level ($\alpha = 0.1$), illustrating that the models are reliable.

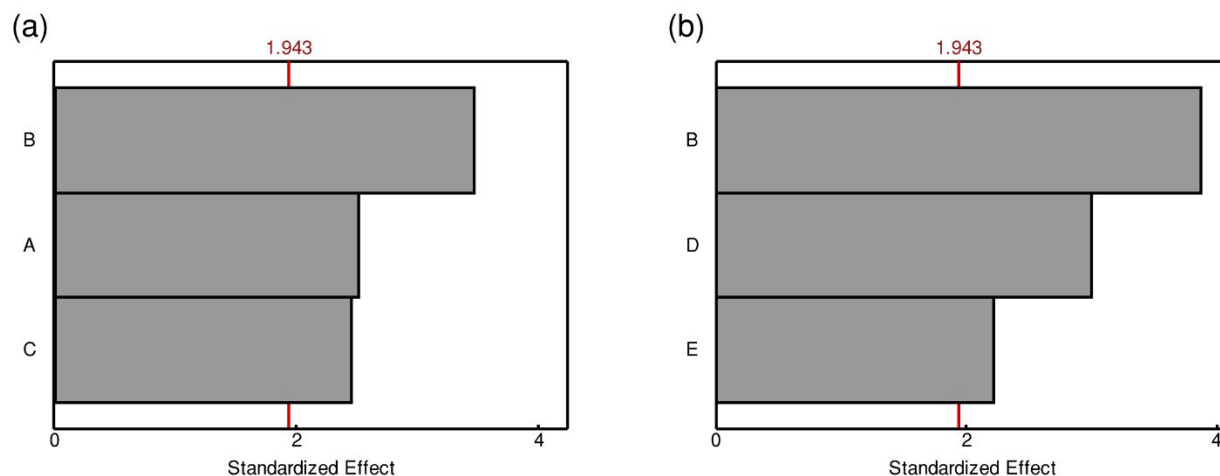


Figure 8. Pareto Chart of Standardized Effects on (a) CO₂ permeability, and (b) CO₂/CH₄ selectivity.

In this work, based on the fact that carbonization protocol is identical for the different spinning batches, the influence of carbonization on the structure changes is supposed to equal level (which is included as a constant in the developed models). Thus, the CHFMs performance is significantly dependent on precursor

1
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3 structures. The change of bore flow rate and air gap will lead to the formation of different precursor's
4 structure during spinning and cellulose regenerated process even though this is not evident from SEM
5 pictures. Normally, the increase of dope flow rate, leading to a higher shear stress of dope, produces a
6 stronger molecular chain orientation of hollow fiber during the spinning^{47,48}. Meanwhile, a bigger air gap
7 may increase the stress parallel to the fiber axis because of a stronger gravitational influence, resulting a
8 molecular orientation as well. Therefore, both the increase of bore flow rate and air gap could improve the
9 permeability.

10
11 Bore fluid composition inducing morphological change in hollow fiber membranes has been presented in
12 literature with conflicting observations. For instance, Dong et al. reported that the fraction of macrovoids
13 in the hollow fiber wall were reduced gradually and defect-free hollow fibers were obtained afterwards by
14 decreasing water content in the bore fluid⁴⁹. However, formation of a macrovoid-free membrane structure
15 by increasing water content in the bore fluid was reported by Chang et. al⁵⁰. In this work, even though the
16 bore composition presents a nonsignificant effect on the morphology of the fabricated CHFMs from SEM
17 images, it exhibits a significant negative effect on the gas permeability of the CHFMs as indicated in Eq.
18 (8). It is suggested that employing a strong non-solvent (like water) in the bore fluid would form a smooth
19 and dense surface while a weak non-solvent bore fluid (DMSO) would lead to a relatively rough and porous
20 surface⁵¹. Therefore, the carbon membranes made from the precursors spun with a higher water content
21 bore fluid (e.g., batch g) probably form a denser inner layer, which increases the transport resistance of gas
22 molecules, and thus presented a lower permeability compared the batch c (i.e., the precursors spun with a
23 lower water content bore fluid).

24
25 Overall, the linear models (with $R^2 > 0.8$ and $R^2\text{-adj} > 0.7$) developed for CO_2 permeability and CO_2/CH_4
26 selectivity (Eqs. (8) and (9)) can be employed to preliminary predict the separation performances of CHFMs
27 before spinning. Moreover, the developed linear models for thickness and diameter (see Eqs. (S9) and (S10)
28 in the Supporting Information) can be preliminary used to predict the dimensions of CHFMs before
29 spinning of cellulose precursors without considering a complex mass transfer behavior during cellulose
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3 regeneration process. The optimal spinning condition for making best carbon membranes within the
4 investigated levels is found to be (A B C D E, + + - - -) based on the Eqs. (8) and (9). The batch d is based
5 on the precursor obtained under the spinning condition that is very close to the optimal one, and thus
6 selected for further characterization.
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10 11 12 3.4 Structure properties of carbon hollow fiber membranes 13

14
15 Considering the carbon membranes made from the precursors of the batch d presenting a good pure gas
16 performance with CO₂ permeability of 239 Barrer and CO₂/CH₄ selectivity of 186 and uniform thickness
17 wall, CO₂ sorption measurements were also run to characterize the structure properties. The pore size
18 distribution of the CHFMs of the batch d presents a typical bimodal distribution of ultramicropores (4.5–
19 7 Å) and supermicropores (7–10 Å) based on gas sorption measurement, as shown in **Figure 9a**. This
20 bimodal pore size distribution provides a good support of good permeation performance where the
21 ultramicropores are responsible for the high selectivity while the micropores are responsible for gas
22 permeability. Besides, the higher-pressure CO₂ adsorption equilibrium isotherm at 25 °C (**Figure 9b**) and
23 the corresponding Dubinin-Radushkevitch (DR) equation fitting model (**Figure 9c**) are summarized in
24 **Table 3**. As shown in **Figure 9b**, the adsorption data are well fitted with the Langmuir- Freundlich model.
25
26 The true density and bulk density of the CHFMs are obtained from the buoyancy test with the non-absorbable
27 helium. As shown in **Figure 9d**, it presents a well-fitting between the gas density and the total weight of
28 the MSB balance. The prepared CHFMs in this work presents an average micropore width of 5.9 Å and a
29 lower bulk density of 1.1 g/cm³, which is similar to the other regenerated cellulose based carbon membranes
30 reported in the literature ^{40, 52}.
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Table 3. Summary of structural characteristics of CHFM

CMS membranes	Langmuir-Freundlich model			DR model		Average micropore width, L_0 (Å)	Bulk density (g/cm ³)
	b (bar ⁻¹)	q_m (g/g)	n	w_0 (cm ³ /g)	E_0 (kJ/mol)		
This work	0.89	0.14	1.41	0.15	31.4	5.9	1.1
HFCM-1 ⁴⁰	0.73	0.17	1.65	0.15	32.2	5.2	1.24
CMSM2 ⁵²	0.93	0.15	1.34	0.16	35.6	4.5	1.3

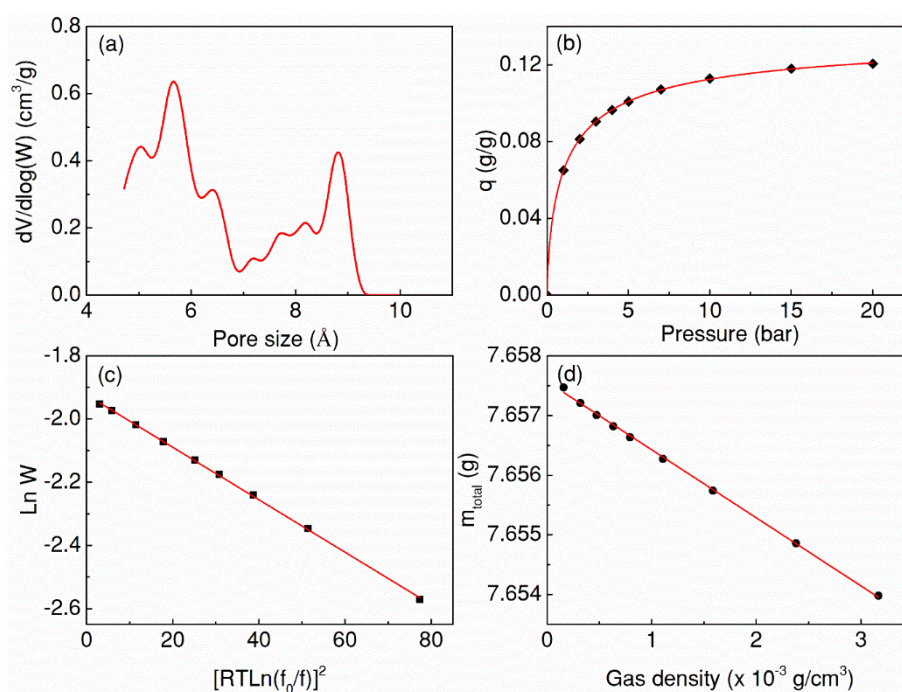


Figure 9. Structure characterization of batch d CHFM, a) pore size distribution between 4.5-10 Å characterized at 0 °C, b) Equilibrium CO₂ isotherm adsorption at 25 °C with a constant flow rate of 100 Nml/min, solid line corresponds to the Langmuir-Freundlich fitting model, c) DR fitting model according

to CO₂ adsorption, d) Helium Buoyancy measurement at 25 °C with a constant flow rate of 100 Nml/min, solid line corresponds the linear regression.

3.5 CO₂/CH₄ mixed gas permeation performance

As shown in **Figure 10**, the prepared carbon membrane demonstrates good CO₂/CH₄ separation performance at various feed pressure. The CH₄ permeability is almost constant when increasing feed pressure, while the CO₂ permeability slightly decreases, resulting in an apparent reduction of CO₂/CH₄ separation factor with the raise of the total feed pressure. It is suggested that the apparent reduction in selectivity with the increase of feed pressure can be explained via a competitive effect for sorption sites by CH₄ and gas-phase non-idealities at high pressures could decrease the CO₂ permeability^{53, 54}. The competitive sorption for Langmuir sorption sites increases more significant as CH₄ has a much higher concentration and thus “outcompetes” the sorption sites of CO₂ when increasing the feed pressure⁵⁴. As a result, the CO₂ permeability and CO₂/CH₄ separation factor decrease with the increase of feed pressure.

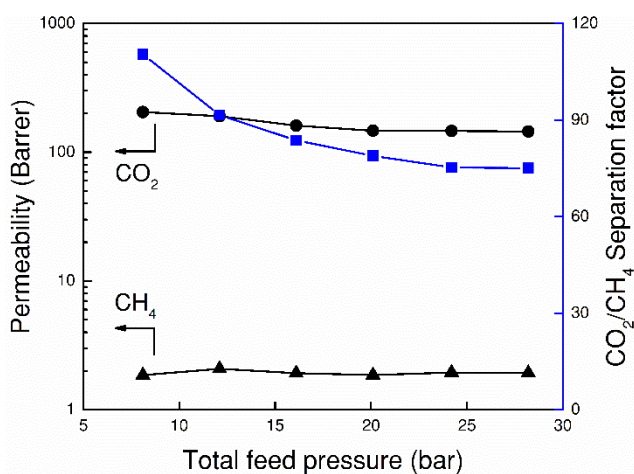


Figure 10. CO₂ permeability and CO₂/CH₄ separation factor of batch d) CHFM under different feed pressures at 25 °C

Figure 11 illustrates the temperature dependence of separation performance operated at a feed pressure of 8 bar. By increasing the operation temperatures from 25 to 60 °C, both CO₂ and CH₄ permeabilities increase whereas the separation factor decreases. Increasing operating temperature enhances the CO₂ diffusion

coefficient, but the CO₂ adsorption in the carbon matrix decreases. Overall, it causes a relatively slower increase of CO₂ permeability compared to that of CH₄. The apparent transport activation energies, calculated by linear regression of $\ln(P)$ and $1/T$ based on the Arrhenius equation ($P = P_0 e^{-\frac{E_a}{RT}}$), are 6.7 and 25.4 kJ/mol for CO₂ and CH₄, respectively. Since the transport activation energies indicate a probability of a molecular passing through the membrane barrier, the larger E_a of CH₄ indicates a lower permeability at the same operating temperature but a more significant effect of temperature. Despite the separation factor decreases with the increase of operating temperature, the carbon membrane still maintains an attractive separation factor of 50 at 60 °C. Thus, moderate temperature is probably preferred for CHFMs to achieve a high gas permeability without a significant loss of separation factor.

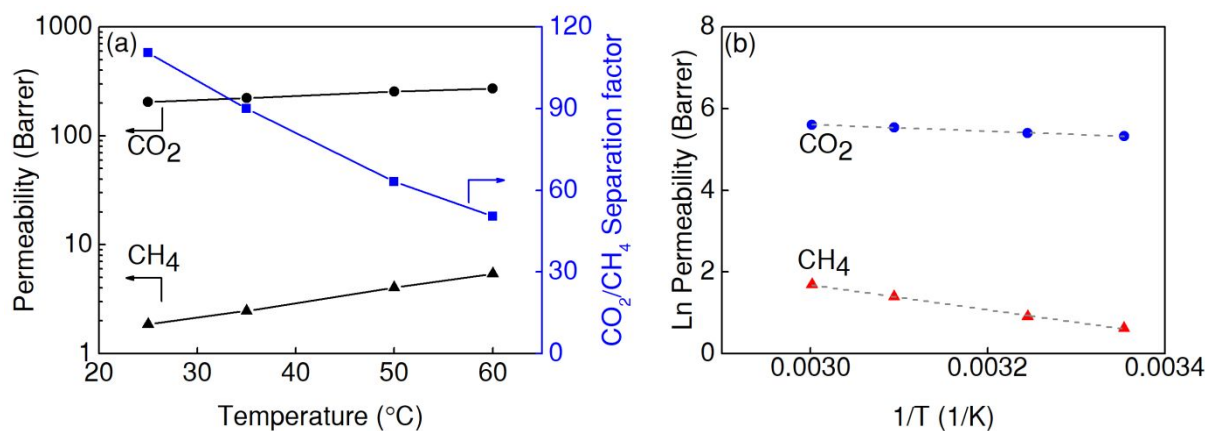


Figure 11. Effects of operation temperature (25 to 60 °C) on separation performance of batch d CHFMs (feed pressure is 8 bar). (a) Temperature dependence of CO₂ permeability and CO₂/CH₄ separation factor; (b) Arrhenius plots according to CO₂ and CH₄ permeability

4. Conclusions

In this work, novel CHFMs are prepared based on the cellulose hollow fiber precursors spun from the cellulose-EmimAc/DMSO solutions. The effects of spinning parameters on the dimensions and separation performances of the prepared CHFMs are systematically investigated by the multivariate analysis of the results from the 2⁵⁻² fractional factorial design. The regression models reveal that thickness and diameter of

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2
3 CHFMs are mainly determined by the spinning parameters of dope flow rate, bore flow rate and take-up
4 speed. The models of CO₂ permeability and CO₂/CH₄ selectivity imply that the separation performances of
5 CHFMs are influenced by precursor structures obtained under different spinning parameters. In addition,
6 the 2008 Robeson upper bound is surpassed for CO₂/CH₄ separation, and all CHFMs for O₂/N₂ separation.
7 Moreover, the prepared CHFMs presents a micropore volume of 0.15 cm³/g and an average pore size of 5.9
8 Å, which provide a higher separation performance with CO₂ permeability of 239 Barrer and CO₂/CH₄
9 selectivity 186. The mixture testing of 10% CO₂ - 90% CH₄ operated at different pressures and temperatures
10 revealed that the obtained CHFMs shows an attractive selectivity of 75 at 28 bar feed pressure. Increasing
11 operating temperature slightly decreases the CO₂/CH₄ selectivity due to a faster increment of CH₄
12 permeability than CO₂, CO₂ permeability remained constant. Overall, the developed novel CHFMs from
13 cellulose precursors present a potential application in high-pressure natural gas sweetening or other gas
14 separation processes.
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31 **Acknowledgement**

32 The authors acknowledge the Research Council of Norway (Norges forskningsråd) for funding in the
33 CO₂Hing project (#267615) through the Petromaks2 programme. The ionic liquids of 1-Ethyl-3-
34 methylimidazolium acetate provided by IPE-CAS is also acknowledged. Mr. Hongfei Ma and Sihai Luo
35 working in the Department of Chemistry and Department of Chemical Engineering of NTNU are highly
36 acknowledged for the help of CO₂ adsorption and SEM measurement.
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53 **Conflicts of interest**

The authors declare no conflict of interest.

Supporting Information

Appendix A. Calculation of the permeability of carbon hollow fiber membrane (CHFM)

Appendix B. Influences of spinning parameters on dimension of carbon hollow fiber membranes

Figure S1. Schematic diagram of a cross-sectional CHFM

Figure S2. Cross-sectional SEM images of spun cellulose hollow fibers from batch a to j following the 2^{5-2} fractional factorial design

Figure S3. Cross-sectional SEM images of the 10 batches (from a to j) CHFMs made from cellulose hollow fiber precursors

Figure S4. Pareto Chart of Standardized Effects on a) thickness, b) outer diameter, c) CO_2 permeability, and d) CO_2/CH_4 selectivity in the original models

Figure S5. Pareto Chart of Standardized Effects on (a) thickness, (b) outer diameter in the improved models

Figure S6. The main effects of spinning parameters on a) thickness, b) outer diameter, c) CO_2 permeability, and d) CO_2/CH_4 selectivity

Table S1. Summary of the dimension of cellulose hollow fiber membranes

Table S2. Analysis of variance for 4 improved linear models

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