1	Finite element a	nalysis of shear deformation in reinforced concrete
2	shear-critical be	ams
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Finite element analysis of shear deformation in reinforced concrete shear-critical beams

20 The objective of this paper was to study the contribution of shear deformation in 21 reinforced concrete (RC) shear-critical beams. A 2D concrete material model 22 based on smeared fixed crack was presented and incorporated into a commercial 23 finite element (FE) software Abaqus. A method of calculating shear and flexure 24 deformation separately out of total deformation in the shear span was presented 25 and implemented into the FE analysis. Several experiments of RC shear-critical 26 beams were simulated and good agreement between the experimental and 27 numerical results was obtained in terms of total deformation, flexure deformation, 28 shear deformation and crack patterns. The results show that after shear cracking, 29 the contribution of shear deformation to total deformation increases rapidly. The 30 shear span-to-depth ratio, the longitudinal reinforcement, the shear reinforcement 31 and the load level could be the critical factor to influence the contribution of 32 shear deformation. It appears that for RC shear-critical beams without shear 33 reinforcement, the deformational behaviour is governed by flexure deformation. 34 However, for RC beams with shear reinforcement, the contribution of shear 35 deformation is not negligible after shear cracks develop. Moreover, the 36 measuring method could also affect the measured shear deformation. Finally, 37 future work on experimental investigation into this topic is recommended.

38 Keywords: shear deformation; reinforced concrete shear-critical beams; 2D
39 concrete material model; finite element analysis;

40 1 Introduction

41 In the design of reinforced concrete (RC) beams, the deflection should be restricted to

42 satisfy the serviceability limit state requirements. It is widely-accepted that the

- 43 deformation of RC beams which are not subjected to axial load comprises flexure
- 44 deformation and shear deformation. For the concrete beams with span-to-depth ratios
- 45 larger than 10, the shear deformation is negligible prior to diagonal cracking
- 46 (Timoshenko & Gere, 1972). However, after diagonal cracks form, the contribution of
- 47 shear deformation is not negligible (Debernardi & Taliano, 2006; Hansapinyo,

48 Pimanmas, Maekawa, & Chaisomphob, 2003; Pan, Li, & Lu, 2014; Ueda, Sato, Ito, &
49 Nishizone, 2002)

The existing codes (AASHTO, 2007; ACI, 2014; CEN, 2004; FIB, 2010a) for
concrete structures only provide formulas for estimating flexure deformation based on
Navier-Bernoulli theory which could underestimate the deflection as a result of

neglecting shear deformation (Desalegne & Lubell, 2012; Pan, et al., 2014).

53

54 Although extensive shear-failure experiments have been conducted on RC shear-55 critical beams (K. S. Kim, 2004), little attention has been paid to the shear deformation. 56 To the authors' knowledge, the shear deformation of RC shear-critical beams was rarely 57 measured separately out of the total deformation in existing experiments except for the 58 following three. Ueda, et al. (2002) performed experiments of four rectangular RC 59 beams with shear reinforcement in which the shear deformation in the shear span was 60 measured by the laser speckle method. The experimental results suggested for 61 rectangular RC beams, the shear deformation could account for 10% to 40% of the total 62 deformation at half of the ultimate load and 30% to 60% at failure. Hansapinyo, et al. 63 (2003) examined the shear deformation of four rectangular RC beams with shear 64 reinforcement. Three measuring lattices were attached to the surface of the shear span to 65 measure the shear deformation. The results indicated the shear-to-total deformation ratio 66 could reach 20% to 30% at half of the ultimate load and 30% to 40% at failure. 67 Debernardi and Taliano (2006) carried out experimental investigations into six RC 68 beams with thin web and square lattices were used to measure the shear deformation in 69 the shear span. It showed that 25% of the total deformation was comprised of shear 70 deformation at the ultimate load in terms of RC beams with thin web. Large scatter 71 could be found when it came to the measured shear deformation in those tests. The 72 reason could be that the measured shear deformation was affected by many factors, such as the shear span-to-depth ratio, the reinforcement, the web width and the measuringmethod.

75	A number of theoretical investigations into this topic have also been conducted
76	in the past few years. The truss analogy (Debernardi, Guiglia, & Taliano, 2011; J. H.
77	Kim & Mander, 2007; Pan, et al., 2014; Ueda, et al., 2002; Wang, Dai, & Zheng, 2015)
78	and the modified compression field theory (Desalegne & Lubell, 2012; Hansapinyo, et
79	al., 2003; F. J. Vecchio & Collins, 1986) have been adopted to estimate the shear
80	deformation in the shear span of RC beams. A theoretical and experimental study
81	including time-dependent behaviour has been performed by (Jin, 2016).
82	The Finite Element Method (FEM) is a typical alternative of examining the
83	performance of reinforced concrete structures to physical testing in a laboratory. The 2D
84	FEM model with plane stress elements is suitable for simulating the shear behaviour of
85	RC shear-critical beams and has been widely employed by other researchers (Bertagnoli
86	& Carbone, 2008; J. Cervenka & Cervenka, 2010; V. Cervenka & Pukl, 1992; Coronelli
87	& Mulas, 2006; FIB, 2010b; Maekawa, Pimanmas, & Okamura, 2003; Malm, 2006;
88	Sato, Tadokoro, & Ueda, 2004; F. J. Vecchio & Shim, 2004). Nevertheless, all of these
89	simulations were performed to investigate the shear capacity and the load-total
90	deformation curve of RC shear-critical beams. Shear deformation has barely been
91	extracted separately from total deformation in these FEM analyses to estimate its
92	contribution.
93	In this paper, a 2D concrete material model based on smeared fixed crack model
94	is presented and incorporated into the general-purpose FEM software Abaqus 6.10
95	(Hibbitt, 1997) through the subroutine interface VUMAT. Additionally, a method of
06	

96 separating flexure and shear deformation out of total deformation is presented and

97 implemented in the FEM model. In order to validate the capability of this FEM model

98 along with the deformation-separation method to reproduce the deformational behaviour 99 of RC shear-critical beams, the results produced using this model are compared with 100 those obtained from a number of well documented tests on RC beams conducted by 101 different authors. The contributions of the shear deformation to the total deformation of 102 these beam specimens are investigated. What's more, the influence of measuring 103 methods on the experimental results of shear deformation is also discussed via the FEM 104 analysis to guide the future experimental research.

105 2. Two-dimensional concrete material model

106 Three built-in material models are available for simulating concrete material in Abaqus 107 6.10, i.e.. Concrete Damaged Plasticity (CDP), Concrete Smeared Cracking (CSC) and 108 Brittle Cracking (BC). According to the authors' investigation (Huang, Lü, & Tu, 2016; 109 Huang et al., 2016), it appears that the damage evolution laws of the CDP model could 110 influence the predicted shear behaviour of RC shear-critical beams but it was difficult to 111 specify such laws which were capable of well predicting the real crack pattern and shear 112 capacity of RC beams. When applying the CSC model to simulating RC shear-critical 113 beams, convergence difficulties could always be encountered and it was hard to track 114 the overall failure process. In terms of the BC model provided by Abaqus, the 115 compression behaviour is assumed to be linear elastic which is not suited for modelling 116 the RC shear-critical beams because significant compression stresses may develop in 117 the concrete in this case and nonlinear compression behaviour will influence the 118 performance of these beams. Hence, it is necessary to incorporate a reliable concrete 119 material model to Abaqus which can well simulate RC shear-critical beams. 120 The proposed concrete material model was incorporated into Abaqus through 121 the subroutine interface VUMAT. The concrete was treated as a nonlinear isotropic

122 elastic material before cracking while the smeared fixed crack model based on the

orthotropic material was used to model the post-cracking behaviour. For the sake of
eliminating the effect of Possion's ratio on applying the uniaxial stress-strain curve to
biaxial stress state, the concept of 'equivalent uniaxial strain' developed by Darwin and
Pecknold (1977) was introduced in this model as shown:

127
$$\begin{bmatrix} \varepsilon_{1}^{eq} \\ \varepsilon_{2}^{eq} \\ \gamma_{12}^{eq} \end{bmatrix} = \begin{bmatrix} \frac{1}{1-\nu^{2}} & \frac{\nu}{1-\nu^{2}} & 0 \\ \frac{\nu}{1-\nu^{2}} & \frac{1}{1-\nu^{2}} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \varepsilon_{1} \\ \varepsilon_{2} \\ \gamma_{12} \end{bmatrix}$$
(1)

128 where ε_l is the maximum principle strain for uncracked concrete or the strain normal to 129 the fixed crack for cracked concrete, ε_2 is the minimum principle strain for uncracked 130 concrete or the strain parallel to the crack for cracked concrete, y_{12} is null for uncracked 131 concrete or the shear strain along the crack for cracked concrete, the strain symbol with 132 superscript 'eq' represents the corresponding equivalent uniaxial strain in which the 133 Possion's ratio effect is removed and v is Possion's ratio. According to the guidelines 134 presented by Hendriks et al. (2012), v was set to be equal to 0.2 for uncracked concrete 135 and 0 for cracked concrete.

136 2.1 Stress-Strain Relationships

137 The expression of the stress-strain curve proposed by the fib Model Code 2010 (FIB,

138 2010a) was adopted for the ascending branch of concrete in compression:

$$\sigma = \beta_c f_c \left(\frac{k\eta - \eta^2}{1 + (k - 2)\eta} \right)$$

$$\eta = \frac{\varepsilon^{eq}}{\varepsilon_c}$$

$$k = \frac{E_c \varepsilon_c}{\beta_c f_c}$$
(2)

140 where f_c is the concrete cylinder compressive strength, ε_c is the strain at peak stress, E_c 141 is the concrete elastic modulus and β_c is the coefficient of compressive strength aimed 142 for taking the biaxial stress state into account which will be discussed below. f_c was 143 determined according to the experiment while ε_c and E_c were estimated from the 144 cylinder compressive strength according to Model Code 2010. 145 Compared to the ascending branch of the compressive stress-strain curve, it was 146 much more complicated to define the compressive softening behaviour. In order to 147 reduce the mesh size sensitivity during compressive strain localization, Nakamura (2001) 148 proposed a model based on compressive fracture energy which was constant regardless 149 of the size and the shape of the specimen. What's more, due to lateral confinement, the 150 presence of in-plane and out-of-plane reinforcement could enhance the ductility of 151 concrete which also had some influence on the compressive descending branch 152 (Bertagnoli, Mancini, Recupero, & Spinella, 2011; Kent & Park, 1971). J. Cervenka and 153 Cervenka (2010) also presented a compressive softening model based on compressive 154 fracture energy as shown in Equation (3). In this equation, the end point of the softening 155 curve was defined by w_d (in mm), termed as the value of the plastic end displacement. 156 Under this way, the compressive fracture energy was defined. According to the 157 experimental investigation into the compressive behaviour of concrete performed by 158 Van Mier (1986), the value of w_d could be taken as 0.5mm. J. Cervenka and Cervenka 159 (2010) simulated a RC shear-critical beam without shear reinforcement with good

160 accuracy by taking the value of w_d as 0.5mm. However if using the same value for 161 another beam with shear reinforcement, the peak load was underestimated. In order to 162 obtain a best-fit response, the value of w_d was adjusted to 50mm. The reason might be 163 that for RC shear-critical beams containing shear reinforcement which failed in the 164 mode of shear-compression, the crushing of concrete around the loading plate was a 165 critical factor. Therefore, it was necessary to consider the ductility enhancement of 166 concrete compressive softening caused by the restraining effect of the loading plate 167 (Bertagnoli, et al., 2011; F. J. Vecchio & Shim, 2004). In this study, the compressive 168 descending stress-strain relationship was defined as a linear softening law following that 169 proposed by J. Cervenka and Cervenka (2010):

170
$$\sigma = \beta_c f_c \left(-\frac{1}{w_d/l_c} \varepsilon^{eq} + \frac{\varepsilon_c}{w_d/l_c} + 1 \right)$$
(3)

171 where w_d is the plastic end displacement and l_c is the characteristic length. The concept 172 of this model was analogous to the crack band theory (Bazant & Oh, 1983) and l_c was taken as $\sqrt{2A}$ as recommended by Rots (1988), where A is the area of the element. 173 174 $\varepsilon_c + w_d/l_c$ represents the ultimate strain where the compressive stress is zero as shown in 175 Figure 1. The value of w_d had to be calibrated for modelling different RC shear-critical 176 beams on the basis of the aforementioned discussion. In this paper, this value was 177 calibrated to 5 for all the beams with shear reinforcement and 0.5 for the beams without 178 shear reinforcement studied in Section 4.

Before cracking, the behaviour of concrete subjected to tension was assumed tobe linear elastic:

181
$$\sigma = E_c \varepsilon^{eq} \quad \sigma < \beta_t f_t \tag{4}$$

182 where f_t is the tensile strength of concrete derived from f_c according to Model Code

183 2010 and β_t is the coefficient of concrete tensile strength in biaxial stress state.

For the purpose of mitigating the mesh size sensitivity caused by tension softening, the stress-crack opening displacement curve proposed by Model Code 2010 was used to describe the post-cracking behaviour of concrete in tension:

$$\sigma = \beta_{t} f_{t} \left(1 - 0.8 \frac{w}{w_{1}} \right) \qquad w \leq w_{1}$$

$$\sigma = \beta_{t} f_{t} \left(0.25 - 0.05 \frac{w}{w_{1}} \right) \qquad w_{1} < w \leq w_{c}$$

$$w_{1} = \frac{G_{F}}{f_{t}}$$

$$w_{c} = 5 \cdot \frac{G_{F}}{f_{t}}$$
(5)

188 where *w* is the crack opening displacement which is equal to $(\varepsilon^{eq} - \sigma/E_c)l_c$ according to the 189 crack band theory, *w*₁ is the displacement when $\sigma=0.2\beta_t f_t$ and *w*_c is that when $\sigma=0$. The 190 tensile strength f_t was estimated according to the Model Code 2010 while the tensile 191 fracture energy *G*_F was calculated according to CEB-FIP Model Code 1990 (CEB-FIP, 192 1993) which is shown below because that calculated from Model Code 2010 could be 193 excessively high (Hendriks, et al., 2012).

194
$$G_F = \left(0.0469d_a^2 - 0.5d_a + 26\right) \left(\frac{f_c}{10}\right)^{0.7}$$
(6)

where d_a is the maximum aggregate size. If no experimental value of this parameter was provided, d_a was assumed to be 20mm.

197 2.2 Uncracked Concrete

198 The biaxial failure criteria proposed by Kupfer and Gerstle (1973) was used to describe

- 199 the failure criteria of uncracked concrete. This envelope is shown in Figure 2. For the
- 200 uncracked concrete in the biaxial compression state, the enhancement of compressive

201 strength was taken into account by defining the corresponding coefficient of

202 compressive strength β_c which was calculated:

203
$$\beta_{c} = \frac{1+3.65\alpha}{\left(1+\alpha\right)^{2}}$$

$$\alpha = \frac{\sigma_{1}}{\sigma_{2}}$$
(7)

where σ_1 and σ_2 is the maximum and minimum principal stress respectively. For uncracked concrete under tension-compression, the presence of compressive stress could reduce the tensile strength in the orthogonal direction which was considered by defining the corresponding coefficient of tensile strength β_t using the following formula:

$$\beta_t = 1 - 0.8 \frac{\sigma_2}{f_c} \tag{8}$$

209 In the tension-tension state, it was assumed the tensile strength kept constant for both

210 two principal directions as recommended by Kupfer and Gerstle (1973).

211 The stiffness matrix for uncracked concrete was in the form of a nonlinear

212 isotropic elastic material as shown below:

213
$$[D] = \frac{E_{\text{sec}}}{1 - v^2} \begin{bmatrix} 1 & v & 0 \\ v & 1 & 0 \\ 0 & 0 & \frac{1 - v}{2} \end{bmatrix}$$
(9)

where [D] is the stiffness matrix and E_{sec} is the nonlinear secant modulus determined from the uniaxial stress-strain curve in the minimum principal stress direction.

216 2.3 Cracked Concrete

For the cracked concrete, a smeared fixed crack model based on an orthotropic constitutive law was adopted. According to the RC shear panel experiments performed by F. Vecchio (1982) and Belarbi and Hsu (1995), the compressive strength could be weakened by the orthogonal tensile strain. Hendriks, et al. (2012) suggested that this tension-compression interaction should be taken into consideration to avoid the nonconservative estimation. In this paper, the reduction of compressive strength induced by parallel cracks was described by the formula proposed by F. Vecchio and Collins (1993):

224
$$\beta_{c} = \frac{1}{1 + 0.27 \left(\frac{\varepsilon_{1}^{eq}}{\varepsilon_{c}} - 0.37\right)}$$
(10)

225 The shear retention factor, representing the degradation of shear transfer across 226 the cracks, is the ratio of secant shear modulus of cracked concrete to the elastic shear 227 modulus of concrete before cracking. A variable shear retention factor was preferred 228 instead of a constant to avoid the stress-locking phenomenon in which spurious 229 principal stresses and an over-stiff response may be produced (Crisfield & Wills, 1989; 230 Hendriks, et al., 2012; Rots, 1988). Many variable shear retention factor models 231 dependant on the crack normal strain or/and the crack shear strain have been presented 232 (Bazant & Gambarova, 1980; J. Cervenka & Cervenka, 2010; V. Cervenka, 1985; 233 Maekawa, et al., 2003; Rots, 1988; Zhu, Hsu, & Lee, 2001). Although this factor has a 234 significant influence on the predicted behaviour of cracked concrete, there is no widely-235 accepted model for it. In this paper, the variable shear retention factor model was used 236 as proposed by Hendriks, et al. (2012), and J. Cervenka and Cervenka (2010) in which 237 the secant shear stiffness decreased following the degradation of the secant tensile 238 stiffness normal to the crack:

$$\beta_{G} = \frac{G_{cr}}{G + G_{cr}}$$

$$G_{cr} = s_{F} \frac{\sigma(w_{max})}{\varepsilon_{max}}$$
(11)

240 where β_G is the shear retention factor, G_{cr} is the secant shear modulus of cracked 241 concrete, G is the elastic shear modulus of concrete, $\sigma(w_{max})$ is the tensile stress normal 242 to the crack at the maximum crack opening displacement ever reached during the 243 loading process calculated based on the tension softening law shown in Equation (5), 244 ε_{max} is maximum crack opening strain ever reached during the loading process which 245 can be taken as w_{max}/l_c according to the crack band theory and s_F is the scaling factor. 246 The recommended value of s_F was within the range of 1-10 according to J. Cervenka 247 and Papanikolaou (2008). However, in order to well simulate the behaviour of one RC 248 beam without shear reinforcement and one with shear reinforcement, the values of s_F 249 were set to be equal to 20 and 300 respectively by J. Cervenka and Cervenka (2010). It 250 seems that the estimation of this value is strongly dependent on the reinforcement 251 arrangement and maybe some other design parameters of RC structures. In this study, 252 the value of s_F was calibrated to 125 for all the beams studied in Section 4.

The shear strength at the crack also needed to be defined as can be found in the existing models of shear stress transfer across the crack (or aggregate interlock models) (Bazant & Gambarova, 1980; Maekawa, et al., 2003; F. J. Vecchio, 2004). In this paper, the shear strength at the crack was estimated from the equation proposed in the Modified Compression Field Theory MCFT (Bentz, Vecchio, & Collins, 2006) which was also adopted by J. Cervenka and Cervenka (2010):

259
$$\tau_{u} = \frac{0.18\sqrt{f_{c}}}{0.31 + \frac{24w}{d_{a} + 16}}$$
(12)

260 where f_c in MPa, w and d_a in mm.

261 The stiffness matrix based on the orthotropic model (F. J. Vecchio, 1989) was 262 used for the cracked concrete:

$$[D] = [T]^{T} [D_{cr}][T]$$
(13)

264 where [D] is the stiffness matrix, $[D_{cr}]$ is the stiffness matrix at the local coordinate of 265 cracks and [T] is the transformation matrix. As presented above, the Possion's ratio for 266 concrete after cracking was assumed to be zero. Thus, the $[D_{cr}]$ was given:

267
$$\begin{bmatrix} D_{cr} \end{bmatrix} = \begin{bmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & \beta_G G \end{bmatrix}$$
(14)

where E_1 is the secant modulus for the direction normal to the crack, E_2 is the secant 268 269 modulus for the direction parallel to the crack and $\beta_G G$ is the degraded shear modulus 270 for describing the shear behaviour of the crack. In terms of the fixed crack model, the 271 direction of crack propagation remained fixed after initial cracking. Hence, the 272 transformation matrix [T] remained constant as given below:

273
$$\begin{bmatrix} T \end{bmatrix} = \begin{bmatrix} \cos^2 \theta & \sin^2 \theta & \cos \theta \sin \theta \\ \sin^2 \theta & \cos^2 \theta & -\cos \theta \sin \theta \\ -2\cos \theta \sin \theta & 2\cos \theta \sin \theta & (\cos^2 \theta - \sin^2 \theta) \end{bmatrix}$$
(15)

_

274 where θ is the angle between the cracks and the longitudinal direction of the beam.

275 3. Finite element model

276 The concrete was modelled using the plane stress element CPS4R in Abaqus and the

277 reinforcement modelled by the truss element. Elastic-perfectly plastic material was applied to the reinforcement with the yield stress determined from the experiments and the elastic modulus taken as 200,000MPa. Perfect bond was assumed for describing the concrete-reinforcement interaction. In order to reduce the computational time, only half of the beams were built to take advantage of the symmetry if any. The steel plates at the supports and the loading points were included in the FEM model to distribute the stress caused by the concentrated load. The linear elastic materials with the elastic modulus of 200,000MPa and the Poisson's ratio 0.3 were used to model the steel plates.

285 With the aim of overcoming the convergence difficulty in modelling the 286 propagation of cracks in concrete, the explicit dynamic solution approach provided by 287 Abaqus was adopted. In the explicit dynamics procedure, the total step time is divided 288 into a large number of small time increments and the explicit central difference method 289 is used to conduct time integration (Chen, Teng, Chen, & Xiao, 2015; Hibbitt, 1997). 290 Each increment is computationally inexpensive because neither iteration nor inversion 291 of matrix needs to be done so that it often results in an economical computation. This 292 integration method is conditionally stable and each time the increment should be 293 smaller than the stability limit to produce a reasonable result. The value of the time 294 increment can be automatically calculated in Abaqus and satisfactory results can be 295 obtained using this value according to the authors' investigation. Moreover, the dynamic 296 effect should be avoided in applying the dynamic analysis procedure to simulating static 297 structural responses. In order to control this effect, the loading time should be 298 sufficiently large and $100T_1$ is suitable for this parameter according to Chen, et al. (2015) 299 where T_1 is the period of the fundamental vibration mode of the beam. Detailed 300 information about applying explicit dynamic to quasi-static analysis of RC beams can 301 be found in (Chen, et al., 2015).

302 4. Validation of the proposed model and calculation of shear and flexure303 deformation

304 Three groups of experiments on RC shear-critical beams conducted by different authors 305 were studied in this section. The first group contained one I-section beam tested by 306 Debernardi and Taliano (2006). The second group was comprised of four rectangular 307 beams tested by Hansapinyo, et al. (2003). Experimental results in these two groups 308 included measures of total deformation, flexure deformation and shear deformation. 309 These beam specimens were chosen in order to validate the proposed FEM model and 310 the method of calculating flexure and shear deformation presented in Section 4.1. The 311 third group of experiments, carried out by Bresler and Scordelis (1963), consisted of 8 312 RC beams with rectangular cross sections. These specimens were commonly regarded 313 as a benchmark against which FEM models could be calibrated and validated 314 (Bertagnoli, et al., 2011). Moreover, These tests proved to be repeatable according to 315 the duplicate beams tested by F. J. Vecchio and Shim (2004). The load-total 316 deformation curves and crack pattern were reported by the authors while the shear 317 deformation was not measured. In this paper, the total deformation and crack patterns 318 were compared against the experimental observation and in addition, the contribution of 319 shear deformation was estimated using the FEM model and the deformation-separation 320 method presented in Section 4.1.

321

4.1 A method of calculating flexure and shear deformation in the FEM model

The method of extracting the shear deformation in the shear span of RC beams separately out of the total deformation in the FEM model was presented in this section. According to the finite element theory, the shear strain at the centre of a first-order fournodes rectangular element and the corresponding shear deformation of this element can be calculated:

327
$$\gamma_{e} = \frac{u_{1} + u_{4} - u_{2} - u_{3}}{2h} + \frac{v_{3} + v_{4} - v_{1} - v_{2}}{2a}$$
(16)
$$\delta_{s} = a \cdot \gamma_{e}$$

328 where γ_e is the shear strain at the centre of the element, u_i is the displacement in the x 329 direction of i^{th} node while v_i is that in the v direction, h and a are the height and the 330 length of the element respectively, and δ_s is the shear deformation. All the variables 331 above are illustrated in Figure 3(a). Figure (b) shows a schematic diagram of one half of 332 one RC beam subjected to three point loads. The shear span of this beam could then be 333 divided into several such macro-elements. Thus, the shear deformation at the loading 334 point (or the end of the shear span) could be obtained by integrating the shear 335 deformation of all these macro-elements.

The flexure deformation in the shear span was calculated on the basis of thesemacro-elements as well. Firstly, the mean curvature of the element was calculated:

338
$$\kappa_e = \frac{u_1 - u_4 + u_3 - u_2}{a \cdot h}$$
(17)

339 where κ_e is the mean curvature of the element. The rotation angle of each marco-340 element arising from the curvature was calculated by assuming constant curvature in 341 each element:

$$\theta_e = a \cdot \kappa_e \tag{18}$$

343 where θ_e is the rotation angle of each element. Considering that the rotation angle 344 atmid-span was zero, the rotation angle at the support could be obtained:

345
$$\theta_{\text{support}} = -\sum_{i=1}^{n} \theta_{e}^{i}$$
(19)

where $\theta_{support}$ is the rotation angle at the support, θ_e^i is the rotation angle of the *i*th macroelement and *n* is the number of the macro-elements within the shear span. Finally, the flexure deformation at the right-most of the *i*th macro-element was calculated using the following recursion formulas:

$$\delta_{f}^{i} = \delta_{f}^{i-1} + \theta^{i}a + \frac{1}{2}\kappa_{e}^{i}a^{2}$$

$$\theta^{i} = \theta^{i-1} + \theta_{e}^{i-1}$$

$$\delta_{f}^{0} = 0$$

$$\theta^{0} = \theta_{\text{support}}$$

$$\theta_{e}^{0} = 0$$
(20)

where δ_j^i is the flexure deformation at the right-most of the *i*th macro-element, θ^i is the cumulated rotation angle at the left-most of the *i*th macro-element. In this way, the flexure deformation in the shear span was obtained.

In this paper, the above method of calculating shear and flexure deformation was implemented in the FEM model by which the contribution of shear deformation to total deformation can be quantified as shown in the following sections.

357 4.2 Debernardi-Taliano (DT) and Hansapinyo-Pimanmas (HP) Beams

In this section, the proposed model was used to simulate one I-section RC beams tested by Debernardi and Taliano (2006) and four rectangular beams tested by Hansapinyo, et al. (2003). All these beams were simply supported. The loading arrangements and geometry are shown in Figure 4. Table 1 lists the details of these beams and Table 2 provides the material properties of the reinforcement. For DT-TR6, the shear span-to-depth ratio of the shorter shear span was 4.1. 500mm×500mm square lattices were used to measure the shear deformation. Instead of

504 500mm×500mm square natices were used to measure the shear derormation. Instead of

365 measuring the shear deformation along the beam axis continuously, square lattices were

366 placed at several zones of different moment-to-shear ratio in the beam as depicted in

367 Figure 4. In order to obtain the contribution of the shear deformation to the total 368 deformation at the load point, the experimental mean curvatures along the beam axis 369 were recorded and integrated to estimate the flexure deformation. Then, the shear 370 deformation was calculated by subtracting the flexure deformation from the total 371 deformation. It should also be noted that only the cubic strength of concrete was 372 provided for DT-TR6 in the original paper. In this paper, the cylinder strength of this 373 beam was assumed to be 0.85 times the cubic strength. Hansapinyo, et al. (2003) tested 374 four rectangular beams to study the following factors which could influence the shear 375 deformation: the shear span-to-depth ratio, the longitudinal reinforcement and the shear 376 reinforcement. Three measuring grids were used to cover the shear span to 377 experimentally obtain the contribution of shear deformation to total deformation in the 378 shear span.

379 In order to test the mesh sensitivity of this proposed model in simulating RC 380 shear-critical beams, square or nearly square elements of different sizes were adopted. 381 Figure 5 illustrates the numerical load-displacement curves of DT-TR6 using elements 382 of sizes from 80mm to 20mm. It suggested that the use of elements of different sizes led 383 to little variance in the simulated behaviour due to the fracture-based softening branch 384 adopted in the proposed concrete model. This conclusion holds true for all beam 385 specimens studied in this paper. The mesh size of 10mm was selected for all beams 386 studied in this paper except for DT-TR6. Instead, the mesh size of 20mm was chosen 387 for DT-TR6 to save computational time because of its fairly large size. The method of 388 calculating shear deformation in the FEM model presented in the previous section was 389 implemented in these beams. Before applying such method, the influence of the number 390 of macro-elements that the shear span was divided into was investigated. Theoretically 391 speaking, as the number of macro-elements increases, the measured shear deformation

392 will converge as this method is analogous to the finite element method. Figure 6 393 compares the calculated contributions of the shear deformation of DT-TR6, HP-S1, HP-394 S2 with different numbers of such macro-elements. The x-axis represents the ratio of the 395 calculated shear deformation to the calculated total deformation and the y-axis 396 represents the applied load. The shear span-to-height ratio (a/h) of these three beams 397 were 3.8, 2.3 and 3.0 respectively. It appears that the calculated shear deformation 398 would converge after the selected number of macro-elements exceeded the value of a/h. 399 In the experimental investigation performed by Hansapinyo, et al. (2003), the number of 400 measuring lattices in the shear span was 3 which agreed with the above conclusion. 401 However, if the shear span was divided into only one macro-element, the calculated 402 shear deformation was significantly larger than the converged result. In the experiment 403 conducted by Ueda, et al. (2002), the value of a/h of the beam specimens was 2 but the 404 experimental shear deformation was calculated by the measured displacement of four 405 corners of the shear span using the laser speckle method which was just the same as 406 dividing the shear span into only one macro-element. Hence, the shear deformation 407 could be overestimated in their experimental investigation according to the above 408 discussion.

409 Figure 7 shows the experimental results of the total deformation, the flexure 410 deformation and the shear deformation at the load point for DT-TR6. Using the FEM 411 model and the deformation-separation method mentioned above, the deformational 412 results were also obtained numerically. It can be seen in this figure that if the shrinkage 413 was omitted in the model, the three deformational results could all be underestimated. 414 Investigations conducted by some researchers (Gribniak, Cervenka, & Kaklauskas, 415 2013; Kaklauskas, Gribniak, Bacinskas, & Vainiunas, 2009) indicated that the 416 shrinkage of concrete might significantly influence cracking loads and flexure

417 deformations of RC members subjected to short-term loading. To the authors' 418 knowledge, no investigation concerning the effect of shrinkage on shear deformation 419 has been performed. In this paper, the shrinkage effect was taken into account in the 420 FEM model by applying initial strain to the concrete before loading. As the original 421 paper didn't report the shrinkage strain, a typical value of $-200\mu\varepsilon$ for concrete at 28 days 422 suggested by Kaklauskas, et al. (2009) was assumed in the simulation. As shown in 423 Figure 7, by introducing the shrinkage, the cracking load was reduced. It was because 424 the reinforcement could restrain the shrinkage of concrete which resulted in initial 425 tension strain prior to loading. Using the FEM model with shrinkage considered, 426 accuracy of the predictions improved not only for flexure deformation but also for shear 427 deformation and total deformation.

428 Figure 8 compares the calculated deformational behaviour with the experimental 429 results of HP series beams. A shrinkage strain of $-200\mu\varepsilon$ was also applied in the 430 simulations. Note that for HP beams, the elastic modulus of concrete was estimated using the expression specified by ACI (2014) (i.e., $E_c = 4700 \sqrt{f_c}$) which was smaller 431 432 than that proposed by FIB (2010a) to fit the experimental results. It was reasonable 433 because the modulus of elasticity for concrete is not only dependent on the concrete 434 strength but also sensitive to the modulus of elasticity of aggregate and mixture 435 proportions of concrete. These details were not reported in the original paper. It can be 436 seen in Figure 8 that the proposed FEM model also satisfactorily simulated the total 437 deformation, the flexure deformation and the shear deformation of HP series beams,.

Figure 9 compares the calculated flexure deformation and shear deformation of HP beams. The shear cracking load was achieved from the experimental observation while the flexure cracking load was obtained from the numerical analysis. HP-S1 and HP-S2 had identical design parameters except for the value of *a/d*. As can be found in

Figure 9, both the shear deformation and flexure deformation of HP-S2 with larger a/d442 443 were larger than those of HP-S1. With the aim of studying the effect of longitudinal 444 reinforcement, the response of HP-S1 and HP-S3 were compared. HP-S1 contained 445 longitudinal reinforcement twice as much as HP-S3. As shown in Figure 9(a), after 446 flexure cracking, HP-S3 had larger flexure deformation than HP-S1. Moreover, the 447 amount of longitudinal reinforcement also had effects on the shear deformation as can 448 be seen in Figure 9(b). Less longitudinal reinforcement (i.e. HP-S3) resulted in larger 449 crack width which could reduce the shear stiffness as mentioned in Section 2. HP-S3 450 and HP-S4 only differed in the amount of shear reinforcement. No obvious difference 451 could be observed with respect to the flexure deformation in Figure 9(a). As shown in 452 Figure 9(b) the shear deformation of these two specimens were similar before shear 453 cracking. After the shear cracks formed, the shear deformation of HP-S4 with smaller 454 amount of shear reinforcement increased more rapidly than that of HP-S1. Similar 455 discussion about the comparison of HP series beams can also be found in Hansapinyo, 456 et al. (2003).

457 Figure 10 depicts the calculated contributions of shear deformation for DT and 458 HP beams. The flexure cracking load and shear cracking load of DT-TR6 were both 459 achieved from the experiment. At the elastic stage, the shear-to-total deformation ratio 460 remained constant and the value ranged from 5 to 10 for different beams, depending on 461 different shear span-to-depth ratios. At the onset of flexure cracks, this ratio decreased 462 slightly because of the degradation of flexure stiffness induced by flexure cracking. 463 Then, before shear cracking, the ratio began increasing after passing a turning point. It 464 was attributed to the fact that the growth of the width of flexure cracks could degrade 465 the shear transfer across the cracks as mentioned in the above paragraph and in Section 466 2. However, in general, during the phase between shear cracking and flexure cracking,

467 the contribution of shear deformation didn't vary significantly compared to that at the 468 elastic stage. After the shear cracks developed, the increase of the shear deformation 469 was faster than that of the flexure deformation and the shear-to-total deformation ratio 470 kept rising. For DT-TR6, the shear-to-total deformation ratio was 18% at 60% of the 471 peak load and over 20% after the load level exceeded 80% of the peak load. For HP 472 series beams, this ratio ranged from 12% to 18% at 60% of the peak load and exceeded 473 20% over 80% of the peak load. It can be seen in Figure 10(b) that for the lower 474 longitudinal reinforcement ratio, the lower shear reinforcement ratio, the lower shear 475 span-to-depth ratio and the higher load level, the contribution of shear deformation 476 could be more significant.

477 4.3 Bresler-Scordelis (BS) Beams

478 In this section, the simulated results of eight RC shear-critical beams tested by Bresler 479 and Scordelis (1963) were presented. The failure mode of beams containing no shear 480 reinforcement(e.g. BS-OA1, BS-OA2) was diagonal-tension while that of the others 481 with shear reinforcement was shear-compression. These beams were simply supported 482 under three point loads and differed in the shear span-to-depth ratio, the amount of 483 reinforcement and the beam width. The details are given in Table 1 and the material 484 properties of the reinforcement are listed in Table 2. Figure 11 provides the schematic 485 diagrams of the cross section and elevation of three typical BS series beams (e.g. BS-486 OA1, BS-B1, BS-C2).

Figure 12 shows the curves of the applied load versus mid-span displacement of all the eight beams from both experiments and numerical simulations. Figure 13 illustrates the comparison of the crack patterns at failure obtained numerically and experimentally. It should be noted that in simulating the BS beams, no shrinkage strain was applied to the concrete prior to loading. The calculated load-displacement curves showed good agreement with the experiments. The reason might be that all BS beams
were tested at fairly young age (13 days after being cast) (Bresler & Scordelis, 1963)
when no significant shrinkage strain may have developed in the concrete.

495 For beams containing no shear reinforcement, which was controlled by diagonal 496 tension, failure was sudden after the formation of the 'critical diagonal tension crack' as 497 observed in the experiments (Bresler & Scordelis, 1963). This crack also propagated to 498 the compression zone and the bottom reinforcement near the end of the beam 499 developing into longitudinal splitting finally. As shown in Figure 12 and Figure 13, the 500 crack pattern at failure, as well as the overall load-displacement response, produced by 501 the FEM model with the calibrated parameters are in good agreement with experimental 502 observations.

503 For beams with shear reinforcement, the shear-compression failure was 504 characterized by concrete crushing in the compression zone but without splitting along 505 the bottom reinforcement (Bresler & Scordelis, 1963). These beams failed at loads 506 greater than those at which the first diagonal crack emerged. The satisfactory 507 simulations of load-displacement curves and crack patterns were obtained as shown in 508 Figure 12 and Figure 13 in comparison with the experiments.

509 The method of separating shear and flexure deformation mentioned in Section 510 4.1 was implemented in BS series beams. The number of macro-elements was selected 511 based on the relevant discussion in Section 4.2. Figure 14 shows the calculated 512 contributions of the shear deformation of BS-OA2 and BS-A2 along with the flexure 513 cracking load obtained from the FEM analysis and the shear cracking load from 514 experiments. Note that in Figure 14, 15 and 16, the y axis represents the ratio of the 515 applied load to the experimental peak load instead of the value of the applied load. 516 These two beams were similar in all aspects, except that BS-A2 contained shear

517 reinforcement while BS-OA2 did not. It can be seen in Figure 14 that at the beginning 518 of the loading procedure, the shear deformation accounted for only around 5% of the 519 total deformation for both two beams due to their similar geometry. After flexure 520 cracking, the contribution of shear deformation declined first and then started to rise 521 after passing a turning point below the shear cracking load. This phenomenon was 522 similar with that of the above specimens and was also observed in all other BS series 523 beams. Then, after shear cracking, the shear-to-total deformation ratio increased as the 524 load level rose. Before 80% of the peak load, this ratio increased slowly and ranged 525 from 4% to 5%. However, for BS-A2, after the applied load exceeded this level, this 526 ratio went up to over 10% near failure. Whereas for BS-OA2 without shear 527 reinforcement, this ratio remained almost constant during the overall loading procedure. 528 It was because, in terms of shear-critical beams without shear reinforcement, the 'critical 529 diagonal cracks' formed at a load quite close to the ultimate load before which no 530 evident shear cracks could be found (Bresler & Scordelis, 1963). Namely, the shear 531 cracking load was close to the peak load. Hence, the deformation of RC shear-critical 532 beams without reinforcement is governed by flexure while shear deformation is 533 negligible.

Figure 15 shows the calculated shear deformation for BS-B1 and BS-B2. All the design parameters of these two beams were the same except for the shear span-to-depth ratio (3.9 for BS-B1 and 4.9 for BS-B2). The results indicated that at the elastic stage, the ratio of shear-to-total deformation of BS-B1 (about 7%) was slightly larger than that of BS-B2 (about 5%) due to its smaller shear span-to-depth ratio. This difference became even larger over 80% of the ultimate load. The ratio of the shear-to-total deformation for BS-B1 was 10% at 80% of the peak load and more than 25% at ultimate load while for BS-B2, the corresponding value was 5% at 80% of the peak loadand less than 10% at failure.

543 Among all BS series beams studied in this section, BS-C1 had the largest 544 contribution of shear deformation. Despite of the fairly large shear-to-total deformation 545 ratio at a higher load level (e.g. over 80% of the peak load), the corresponding value for 546 BS-C1 at the service load (assumed to be 60% of the peak load) was 9.5% which was 547 only slightly larger than that of 7.7% at the elastic stage as shown in Figure 16. It was 548 because that the shear cracking load was quite close to the service load level which 549 meant the shear stiffness didn't degrade significantly at the service load. Compared to 550 BS-C1, DT-TR6 had similar shear span-to-depth ratio (4.1 vs 3.9) and longitudinal 551 reinforcement ratio (1.57% vs 1.48%) while contained even more shear reinforcement 552 (0.51% vs 0.20%). The shear-to-total deformation ratio for DT-TR6 was lower than that 553 for BS-BC1 at the elastic stage. However, this ratio at the service load for DT-TR6 was 554 nearly twice as much as that for BS-C1. It was attributed to its relatively low level of 555 shear cracking load as shown in Figure 16. The shear cracks in DT-TR6 developed at 556 only 20% of the peak load which meant at the service load (60% of the peak load), the 557 shear stiffness could degraded significantly due to the propagation of shear cracks. It 558 demonstrate that it is important to consider the effect of the load level when assessing 559 the contribution of shear deformation in RC beams.

560 **5. Conclusion**

In this paper, finite element analysis was conducted to investigate the contribution of shear deformation in RC shear-critical beams. A 2D concrete material model based on the smeared fixed crack theory was presented and incorporated into a commercial FEM software Abaqus through subroutine interface VUMAT. This model took into consideration the following characteristics of concrete: (1) biaxial failure criteria; (2)

566	the reduction of compressive strength due to orthogonal tensile strain; (3) the variable
567	shear retention factor and shear strength at the crack dependent on the crack opening
568	displacement; (4) The energy-based softening branch of uniaxial stress-strain relations
569	of both compression and tension. A method of calculating the flexure and shear
570	deformation separately out of the total deformation in the shear span was presented and
571	implemented in the FEM model. The proposed FEM model and the deformation-
572	separation method was validated by comparing the numerical simulations with
573	experimental results of several RC shear-critical beams. The contribution of shear
574	deformation in RC shear-critical beams, as well as the influence of several design
575	parameters on it, was investigated. Based on the results shown in this paper, the
576	following conclusions could be drawn:
577	(1) The mesh size sensitivity could be reduced when applying the presented energy-
578	based softening branch to describing the compressive and tensile stress-strain
579	relations.
580	(2) The FEM model combined with the proposed deformation-separation method
581	could reproduce the total deformation, the shear deformation, the flexure
582	deformation and crack patterns with reasonable accuracy for the beam
583	specimens studied in this paper.
584	(3) In terms of the deformation-separation method presented in this paper, the
585	number of the macro-elements into which the shear span was divided should be
586	larger than the shear span-to-height ratio of the studied beam to obtain
587	converged results. If not, the shear deformation could be overestimated.
588	(4) The shrinkage strain appears to be an important factor which may influence the
589	cracking load and deformational behaviour, including both the flexure
590	deformation and the shear deformation, of RC beams.

591 (5) For RC shear-critical beams without shear reinforcement, the deformational
592 behaviour was governed by flexure because failure occurred soon after the
593 formation of 'critical diagonal cracks'. No evident shear cracks could be seen
594 before 'critical diagonal cracks' formed.

595 (6) For RC shear-critical beams with shear reinforcement, the shear deformation
596 was not negligible after shear cracking. For the lower longitudinal reinforcement
597 ratio, the lower shear reinforcement ratio, the lower shear span-to-depth ratio
598 and the higher load level, the contribution of shear deformation could be more
599 significant.

600 It should also be noted that flexure deformation defined in this paper was in fact 601 the deformation induced by mean curvature which not only consisted of the flexure 602 deformation based on Navier-Bernoulli theory but also the additional flexure 603 deformation caused by shear cracks (Debernardi & Taliano, 2006; Ueda, et al., 2002). If 604 the nominal shear deformation was defined as the total deformation minus the flexure 605 deformation based on Navier-Bernoulli theory, the contribution of this nominal shear 606 deformation could be even larger than that obtained in this study. That was why the 607 formula proposed by ACI (2014) could strongly underestimate the deformation 608 (Desalegne & Lubell, 2012).

609 **Future work**

610 As mentioned in the introduction, very few experiments have been conducted to

611 measure the shear deformation in the shear span of RC shear-critical beams. With the

612 help of digital image correlation (DIC) techniques, the displacement field on the surface

613 of the shear span could be measured. Further experimental investigations are

614 recommended in which the DIC techniques will be employed to measure the shear

- 615 deformation and what's more, the strain field in the shear span. These experimental
- 616 results not only are useful for studying the contribution of shear deformation in RC
- 617 shear-critical beams but also can provide more comprehensive experimental results for
- 618 calibrating and validating FEM models.

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Reference	Beam	$f_c(MPa)$	$b \times h (mm)$	a/d	Bottom Steel	Top Steel	Stirrup
Debernardi and Taliano (2006)	DT-TR6	35.6	100*×600	4.1	9D16	3D12	D8@200
	HP-S1	33.0	150×350	2.6	4D25	2D25	D6@80
Hannahara (1. (2002)	HP-S2	33.0	150×350	3.5	4D25	2D25	D6@80
Hansapinyo, et al. (2003)	HP-S3	33.0	150×350	2.6	2D25	2D25	D6@80
	HP-S4	33.0	150×350	2.6	2D25	2D25	D6@120
	BS-OA1	22.6	305×552	3.9	4No.9	None	None
	BS-OA2	23.7	305×552	4.9	5No.9	None	None
	BS-A1	24.1	305×552	3.9	4No.9	2No.4	No.2@21
	BS-A2	24.3	305×552	4.9	5No.9	2No.4	No.2@21
Bresler and Scordelis (1963)	BS-B1	24.8	229×552	3.9	4No.9	2No.4	No.2@19
	BS-B2	23.2	229×552	4.9	4No.9	2No.4	No.2@19
	BS-C1	29.6	152×552	3.9	2No.9	2No.4	No.2@21
	BS-C2	23.8	152×552	4.9	4No.9	2No.4	No.2@21

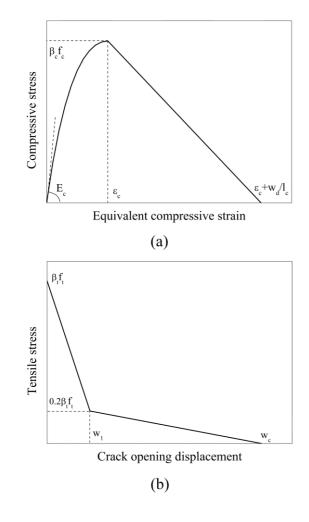
753 Table 1 Details of beam specimens

*For DT-TR6, b refers to the web width

Reference	Reinforcement	Area (mm ²)	f_y (MPa)
	D8	50	570
Debernardi and Taliano (2006)	D12	113	540
	D16	201	540
Hansapinyo, et al. (2003)	D6	28	370
	D25	490	440
	No. 2	32.2	325
Bresler and Scordelis (1963)	No. 4	127	345
	No. 9	645	555

756 Table 2. Material properties of the reinforcement

- 758 Figure 1. Uniaxial stress-strain relations of concrete; (a) compressive stress-strain curve;
- (b) tension softening.



761 Figure 2. Biaxial failure criteria of concrete.

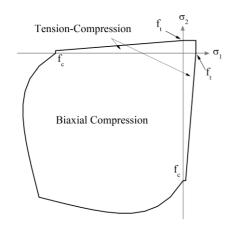




Figure 3. The method of calculating the shear deformation in the FEM model; (a)

764 macro-element; (b) the division of the shear span.

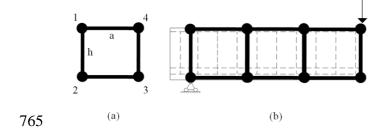
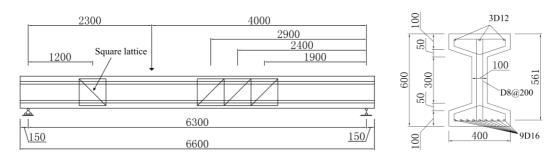
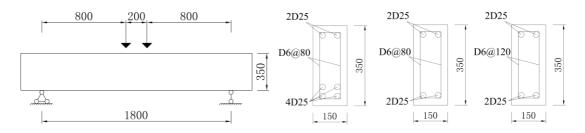


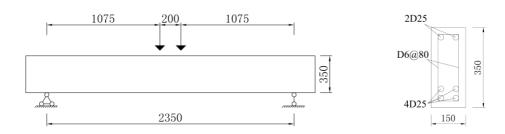
Figure 4. Details of DT and HP series beams



(a) Elevation and cross section of DT-TR6



(b) Elevation and cross section of HP-S1, S3 and S4



(c) Elevation and cross section of HP-S2

769 Figure 5. Calculated load-displacement curves of DT-TR6 with elements of different



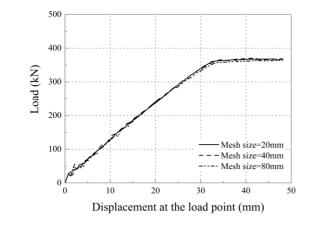
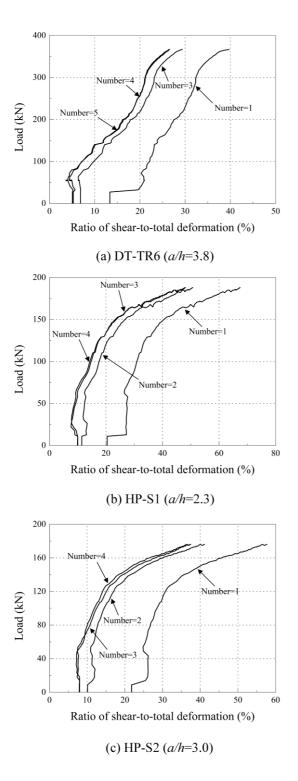




Figure 6. Calculated contributions of shear deformation with different numbers of

773 macro-elements





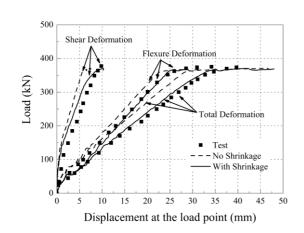
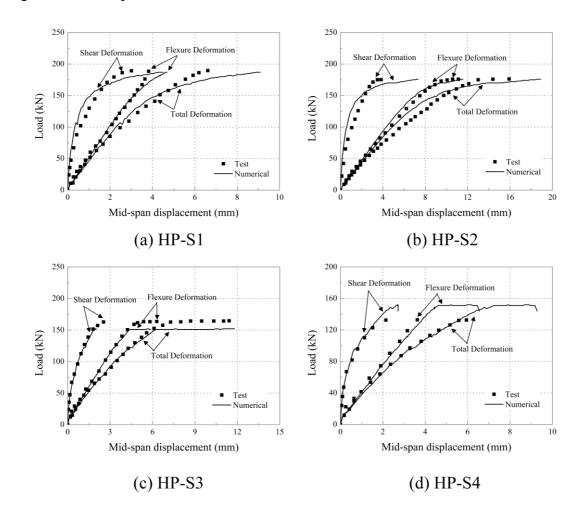


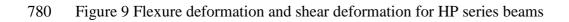


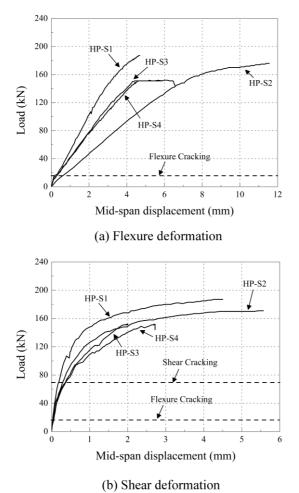
Figure 7. Load displacement curves for DT-TR6



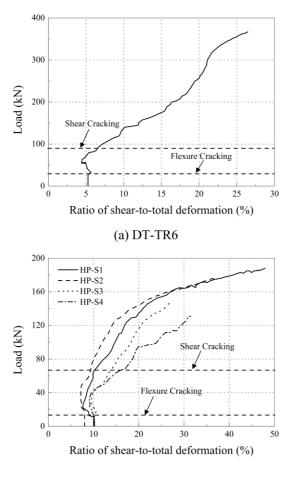
777 Figure 8 Load displacement curves for HP series beams







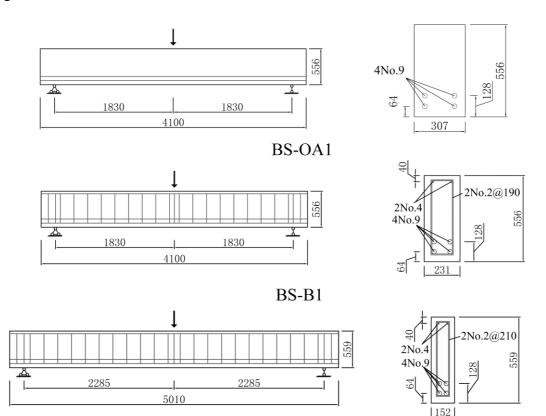




(b) HP series beams

783

Figure 11 Details of three BS beams



BS-C2

785 786

