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1 **Size selection of cod (*Gadus morhua*) and haddock (*Melanogrammus aeglefinus*) in**  
2 **the Northeast Atlantic bottom trawl fishery with a newly developed double steel**  
3 **grid system**

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11 **Abstract**

12 In recent years, Norwegian fishermen have reported problems with fish accumulation in front  
13 of the mandatory sorting grids (Sort-X, Sort-V, and Flexigrid). These problems are associated with  
14 high fish entry rates and low water flow through the grid sections. In this study, we replaced the lifting  
15 panel in the original design of a sorting grid section (Sort-V) by another steel grid ("lower grid") in  
16 order to improve water flow and increase sorting area. Two different inclination angles of this new  
17 additional "lower grid" were tested. The results demonstrated that both the lower grid and the main  
18 grid contributed to the release of cod and haddock. However, the release efficiency of the lower grid  
19 was low compared to that of the main grid. A larger proportion of fish contacted at least one of the  
20 grids with the lower grid set at 40° compared to at 35°. The new double grid was found to release  
21 significantly more haddock between 38 and 50 cm long than the mandatory Flexigrid. For cod, the  
22 sorting system was at least as good as the Flexigrid at releasing undersized fish. Thus, the new double  
23 grid system represents a potential alternative to the Flexigrid. Although the Sort-V single grid releases  
24 significantly more undersized cod and haddock than the new double grid system, it also releases a  
25 significantly higher proportion of the targeted commercial sizes.

26 *Keywords:* Sorting grid; Selectivity; Trawl; Cod; Haddock; Water flow

27 **1. Introduction**

28 Rigid sorting grids in combination with diamond mesh codends have been mandatory in the Barents  
29 Sea demersal cod (*Gadus morhua*) and haddock (*Melanogrammus aeglefinus*) fishery since 1997. In  
30 2011, the minimum mesh size of the diamond mesh codend was changed from 135 to 130 mm and this  
31 remains the minimum mesh size for the fleet today. Fishermen are allowed to use three different grid  
32 systems in the fishery, all of them with a minimum bar spacing of 55 mm: the Sort-X, which is a three-  
33 section system that is composed of two steel grids and a canvas section (Larsen and Isaksen, 1993);  
34 the Flexigrid, which is a double flexible grid section composed of two grids made of plastic (i.e., bars  
35 made from fibre-glass) and rubber (Sistiaga et al., 2016; [www.fiskeridir.no](http://www.fiskeridir.no)); and the Sort-V, which is  
36 a single steel grid section (Jørgensen et al. 2006; Herrmann et al. 2013a). The Sort-X system is  
37 considered outdated by fishermen and only the Sort-V system and the Flexigrid are actively used in  
38 the fishery today (Fig. 1).

39 FIG. 1

40 The current stock size of Northeast Arctic cod is estimated to be around 3,200,000 tons  
41 ([www.imr.no](http://www.imr.no)), which is at the top of the levels registered in recent decades. A direct consequence of  
42 this stock size is that the trawlers fishing in the Barents Sea often encounter densities of fish that make  
43 ordinary fishing operations challenging. Specifically, the grid systems applied in the Barents Sea today  
44 experience capacity problems that render more acute when the densities of fish entering the section are  
45 high (i.e., >10 tons/hour). The causing mechanism is that fish often seem to stop just in front of the  
46 grid and keep a somewhat stationary position up to several minutes before being size sorted in the  
47 section and pass it in the direction of the codend. This phenomena leads to fish accumulation at the  
48 entrance of the grid section, which combined with high entrance rates can result in that the grid section  
49 gets blocked (or clogged) by fish, loses its sorting ability and finally breaks in some cases (Grimaldo  
50 et al., 2015; Sistiaga et al., 2016). Therefore, a key to eliminate or at least significantly reduce this risk  
51 for grid clogging is to ensure that the fish does not stop and accumulate in front of the grid section  
52 before being size sorted by it. Reduction in water flow both in front of and inside grid sections is  
53 assumed to be one of the key factors that encourages and makes it possible for fish to halt and keep a  
54 stationary position in front of the grid section. Therefore, in an attempt to solve this issue, the  
55 Norwegian authorities, research institutes, and fishermen are testing alternative gear and grid designs  
56 that increase the water flow through the grid sections and facilitate the continuous flow of fish into the  
57 grid section and towards the codend. One of the measures proposed by the Norwegian authorities was

58 the removal of the lifting panel from the grid section, which is believed to substantially reduce water  
59 flow through the section. Grimaldo et al. (2015) evaluated the importance of the lifting panel in a Sort-  
60 V section to see if its removal affected the selective performance of the section. The results showed  
61 that the lifting panel has a significant effect on the sorting ability of the Sort-V grid section and  
62 therefore it should not be removed. Therefore, the present study examines an alternative design where  
63 the lifting panel was not eliminated but substituted by an additional grid that would potentially increase  
64 water flow through the section, provide an additional sorting process and at the same time lift the fish  
65 towards the main grid. The study aims at first instance at answering the following research questions:

- 66 • Do fish stop in front of the grids in the new section, and if not, how fast do they pass through  
67 the section?
- 68 • To what extent is the water flow maintained through the new section?

69 In addition to carrying fish through the section and towards the codend effectively, a potential  
70 alternative grid section should perform at least as good as the existing grid sections at releasing  
71 undersized fish and retaining commercial size fish. However, for a sorting grid to be effective regarding  
72 size selection, fish need to have enough time in the grid zone to orientate itself correctly towards the  
73 grid for an exposure to a size selection process. Therefore, as increasing the water flow may have  
74 negative effect on the size selection, it is essential to examine the size selectivity performance of the  
75 new grid section with respect to the main target species in the fishery. Thus, the next research questions  
76 to be answered would be:

- 77 • Do fish have enough time in the grid section to orientate itself correctly towards the two grids  
78 for an effective size selection process?
- 79 • To what extent do cod and haddock escape through the new additional grid and through the  
80 main grid in the double grid design?
- 81 • Does this new grid design provide size selection for cod and haddock comparable to the grid  
82 designs used in the fishery today?

## 83 **2. Materials and Methods**

### 84 *2.1 Vessel, area, time, and fishing gear*

85 The experimental fishing was carried out on board the research vessel (R/V) “Helmer Hanssen”  
86 (63.8 m LOA and 4080 HP) from 27<sup>th</sup> February to 7<sup>th</sup> March, 2015. The fishing grounds chosen for the  
87 tests were located off the coast of Finnmark and Troms (Northern Norway) at 71°30’ N –27°30’ E and

88 70°30' N – 17°20' E. At this time of the year the area is suitable for size selectivity studies under rather  
89 high fish entry rates.

90 We used an Alfredo No. 3 Euronet trawl built entirely of 155 mm polyethylene (PE) netting. This  
91 trawl design is commonly used in commercial Norwegian fisheries. The trawl had a headline of 36.5  
92 m, a fishing line of 19.2 m, and 454 meshes in circumference and was constructed entirely in 155 mm  
93 nominal mesh size (nms). The trawl was rigged with a set of Injector Scorpion bottom trawl doors (7.5  
94 m<sup>2</sup> and 2800 kg each), 60 m sweeps, and 111.2 m ground gear. The ground gear had a conventional  
95 19.2 m long rock-hopper in the center that was built with Ø 53 cm rubber discs attached to the fishing  
96 line of the trawl and five Ø 53 cm steel bobbins distributed on a 46 m × 19 mm chain along each side  
97 of the trawl. The headline was equipped with 170 × Ø 20 cm plastic floats. The trawl gear was  
98 monitored using Scanmar (Scanmar AS, Åsgårdstrand, Norway) acoustic sensors placed at the trawl  
99 doors, headline, and codend. With the given rig details, we achieved ca. 130 m door spread, ca. 14.5 m  
100 fishing line spread, and a ca. 5 m headline height at towing speeds of 3.5–4.0 knots, and a depth that  
101 ranged between 250 and 320 m.

102 We built a 4-panel netting section with two steel grids inserted into it. This grid section was made  
103 of 138 mm nms Euroline Premium PE netting (single Ø 8.0 mm twine), was 26 meshes long (the  
104 section was 18.5 meshes shorter than the mandatory Sort-V steel grid section), and had 104 meshes in  
105 circumference. All four selvages in the grid section were strengthened with Ø 36 mm Danline PE  
106 rope. The original Sort-V system is equipped with a 60 mm PE lifting panel and its main function is to  
107 guide fish closer to the grid face (Fig 1). The lifting panel was replaced by a one-half standard steel  
108 grid (Sort-V type) with 55 mm bar spacing, hereafter called grid<sub>1</sub> (outer dimensions: length 835 mm ×  
109 width 1234 mm). Grid<sub>1</sub> was initially fixed to maintain an inclination angle of approximately 35°, but  
110 later this angle was increased to approximately 40°. The aft section of grid<sub>1</sub> was made from square  
111 mesh 80 mm nms Euroline Premium PE netting (single Ø 3.0 mm twine). The main grid in the section,  
112 hereafter called grid<sub>2</sub>, was a standard steel grid (Sort-V type) with 55 mm bar spacing (outer  
113 dimensions: length 1650 mm × width 1234 mm). The square mesh guiding panel behind grid<sub>2</sub> was also  
114 made of 80 mm Euroline Premium PE netting (single Ø 3.0 mm twine). The length of the guiding panel  
115 was approximately one-half that used in the standard mandatory Sort-V sorting grid section (Fig. 2).

116 FIG. 2

117 We built a transition diamond mesh section to connect the 2-panel trawl belly to the 4-panel grid  
118 section. This transition section was made from 138 mm nms Euroline Premium PE netting (single Ø  
119 8.0 mm twine) and was 35.5 meshes long (Fig. 3).

120 We used two small-mesh grid covers (GCs) to collect separately the fish escaping through grid<sub>1</sub>  
121 and grid<sub>2</sub>, respectively. Grid<sub>2</sub> was covered with a GC made of 52 mm (full mesh size) Euroline  
122 Premium PE netting (single Ø 2.4 mm twine) and had a total length of ca. 25 m (Larsen and Isaksen,  
123 1993). The entire GC was reinforced with double 155 mm Euroline Premium PE netting (single Ø 4.0  
124 mm twine), and 7 × Ø 20 cm plastic floats were added along the mid-seam to ensure its expansion.  
125 Grid<sub>1</sub> was covered with a GC made of 42 mm polyamide (PA) netting of Ø 1.0 mm in the front part  
126 and 52 mm PE netting (single Ø 2.2 mm twine) in the aft part. This cover had a total length of  
127 approximately 15 m. Despite the use of PA with relative thin twines we added ca. 15 kg of chains along  
128 the mid-seam of this cover to ensure (upside-down) inflation. GCs were installed following the standard  
129 procedures described by Larsen and Isaksen (1993) and Wileman et al. (1996) (Fig. 3).

130 The 4-panel diamond mesh codend used during the experiments was made from Euroline Premium  
131 PE netting (Polar Gold) with 138 mm nms meshes and Ø 8 mm single twine. The codend was 120  
132 meshes long and had 80 meshes of circumference. All four selvages were strengthened with Ø 36 mm  
133 Danline PE ropes. In total, seven round-straps (Ø 24 mm PE) were attached around the codend at  
134 intervals of 1.2 m. The codend was blinded by a 14 m long inner net constructed of 52 mm nms Euroline  
135 Premium PE netting (single Ø 2.2 mm twine) (Fig. 3).

136 FIG. 3

137 All cod and haddock from the codend and the GCs were measured to the nearest cm. Underwater  
138 video observations were made to monitor the correct configuration of the grids and to obtain  
139 information about fish behavior inside the grid section. For the underwater recordings we used a GoPro  
140 Hero 4 black edition HD camera system. To provide appropriate illumination for this camera, two  
141 Metalsub FL 1255 halogen lamps (white light, 1500 lumen and 3200 K) were connected to a Metalsub  
142 FX 1209 dual battery pack (<http://www.metalsub.nl/>). The camera unit with lights was fixed 2 m in  
143 front of the grid (facing backwards). Because artificial light can affect fish behavior, these hauls were  
144 excluded from the selectivity analyses.

145 To measure water flow inside the grid section, two Scanmar flow meters were placed in the middle  
146 of a rectangular steel frame (1120 mm × 1000 mm) in the center and three-quarters of the way down

147 from the top, respectively. We used four separate hauls for these flow measurements and they were  
148 made both in front of the grid section and behind the grid section and with and without the GCs. To  
149 monitor the actual inclination angle of grid<sub>2</sub>, we used a Scanmar grid sensor fixed in the middle of this  
150 grid and the tows were inspected with Go-Pro cameras.

## 151 2.2 Modeling size selection in the double grid system

152 Sistiaga et al. (2010) successfully described size selection of cod and haddock by a 55-mm Sort-V  
153 sorting grid using a model that accounted for the fact that not all fish necessarily made contact with the  
154 grid in a way that provided them with a size dependent probability to escape through it. Herrmann et  
155 al. (2013b) showed later that this model could also describe the size selection of redfish, one of the  
156 main bycatch species in the fishery, for a 55-mm Sort-V sorting grid. This model is known in the  
157 literature as *CLogit* (Herrmann et al., 2013b):

$$158$$
$$159 \quad CLogit(l, C, L50, SR) = 1 - C \times \left(1 - Logit(l, C, L50, SR)\right) = 1 - \frac{C}{1 + \exp\left(\frac{\ln(9)}{SR} \times (l - L50)\right)} \quad (1)$$

160 Only the fish contacting the grid have a size dependent probability of escaping through it. In the  
161 *CLogit* model, the parameter *C* quantifies the length independent probability that a fish entering the  
162 grid zone will also make contact with it in a way that provides it with a length dependent probability  
163 of escaping through the grid. Thus, *C* has a value between 0.0 and 1.0, where 1.0 would mean that  
164 every fish entering the grid zone would make contact with the grid. In contrast, a value of 0.3 would  
165 mean that only 30% of the fish entering the grid zone would make contact with it. For a fish making  
166 contact with the grid, the *CLogit* model assumes a traditional *Logit* size selection model (Wileman et  
167 al., 1996) defined by the parameters *L50* and *SR* (*L50* is the length at which a fish has a 50% chance  
168 of being retained by the gear, whereas *SR* is the selection range defined as the difference in fish length  
169 between 75% and 25% chance of being retained, i.e. *L75-L25*). Sistiaga et al. (2016) extended this  
170 model to describe the size selection of cod and haddock in a double grid system, the Flexigrid. Larsen  
171 et al. (2016) applied the same double grid size selection model to estimate the size selection of redfish  
172 for the double grid system used in present study. Thus, we applied the following model (2) to describe  
173 the size selection of cod and haddock in the double grid system:

$$\begin{aligned}
& e_1(l) = 1.0 - CLogit(l, C_1, L50_1, SR_1) \\
174 \quad e_2(l) &= (1.0 - CLogit(l, C_2, L50_2, SR_2)) \times (1.0 - e_1(l)) \quad (2) \\
& r_{comb}(l) = 1.0 - e_1(l) - e_2(l)
\end{aligned}$$

175 For a fish of length  $l$  that enters the double grid section,  $e_1(l)$  models the length dependent probability  
176 for it to escape through grid<sub>1</sub> (the lower grid) and  $e_2(l)$  models the probability for it to escape through  
177 grid<sub>2</sub> (the upper grid). If the fish does not escape through one of the two grids it is retained in the  
178 codend, for which the probability is described by  $r_{comb}(l)$ .  $C_1$  quantifies the fraction of fish entering the  
179 gear that makes contact with the first grid and is subject to a size dependent probability of escapement  
180 through it. For those fish,  $L50_1$  and  $SR_1$  are the contact selectivity parameters assuming a *Logit* size  
181 selection model. For the fish that reach the zone of the second grid, meaning that they have not  
182 previously escaped through the first grid,  $C_2$  quantifies the fraction of fish that makes contact with it  
183 and consequently is subject to a size dependent probability of escapement through this grid. For those  
184 fish,  $L50_2$  and  $SR_2$  are the contact selectivity parameters assuming a *Logit* size selection model. Thus,  
185 according to equation (2) the size selectivity in the double grid system is fully described by the six  
186 parameters  $C_1$ ,  $L50_1$ ,  $SR_1$ ,  $C_2$ ,  $L50_2$ , and  $SR_2$ . The selection properties of the individual grids, grid<sub>1</sub>  
187 (lower grid) and grid<sub>2</sub> (upper grid), are described by the parameters  $(C_1, L50_1, SR_1)$  and  $(C_2, L50_2, SR_2)$ ,  
188 respectively, following the *CLogit* size selection model (1). The probability that a fish entering the grid  
189 section will make contact with at least one of the two grids,  $C_{comb}$ , can be expressed by:

$$190 \quad C_{comb} = C_1 + C_2 - C_1 \times C_2 \quad (3)$$

191 The overall selectivity parameters for the whole grid section (first and second grid combined:  $L50_{comb}$   
192 and  $SR_{comb}$ ) were estimated based on (2) using the numerical method described in Sistiaga et al. (2010).

### 193 *2.3 Estimation of selection parameters for the double grid model*

194 The values of the parameters for the overall selection model (2) (i.e.,  $C_1$ ,  $L50_1$ ,  $SR_1$ ,  $C_2$ ,  $L50_2$ , and  $SR_2$ )  
195 were obtained using a maximum likelihood estimation method. The method was applied pooled over  
196 hauls  $j$  (1 to  $m$ ), separately for cod and haddock, and separately for the two grid riggings investigated)  
197 by minimizing:

$$198 \quad -\sum_l \sum_{j=1}^m \{n_{GC1,l,j} \times \ln(e_1(l)) + n_{GC2,l,j} \times \ln(e_2(l)) + n_{C,l,j} \times \ln(r_{comb}(l))\} \quad (4)$$



199 where  $n_{GC1,l,j}$ ,  $n_{GC2,l,j}$ , and  $n_{C,l,j}$  denote the number of fish lengths collected in haul  $j$  with length  $l$  in the  
200 cover for the first grid, the cover for the second grid, and the blinded codend, respectively (Fig. 3).  
201 When estimating the size selection parameters  $C_1$ ,  $L50_1$ ,  $SR_1$ ,  $C_2$ ,  $L50_2$ , and  $SR_2$ , the values of the  
202 parameters are not constrained, meaning that they are not bound in value to each other. However,  
203 because the bar spacing in the two grids is identical, it could be expected that the size selection for  
204 those fish making contact with grid<sub>1</sub> would be similar to the size selection of the fish making contact  
205 with grid<sub>2</sub>. Thus, the main difference in the performance of the two grids is expected to be due to  
206 potential differences in grid contact probability between the two grids ( $L50_1 \approx L50_2$  and  $SR_1 \approx SR_2$ ,  
207 while  $C_1$  and  $C_2$  can have different values).

208 We first used a constrained version of model (2), in which  $L50_1 = L50_2$  and  $SR_1 = SR_2$ , to describe  
209 the size selection in the double grid system. We used the unconstrained version of the model only if  
210 this constrained version of the model failed to describe the experimental data sufficiently well. The  
211 diagnosis of goodness of fit of the models used was based on the p-value, model deviance versus  
212 degrees of freedom, and finally inspection of the model curves' ability to reflect the trends in the data.

213 The maximum likelihood estimation using Equation (4) with (2) requires aggregation of the  
214 experimental data over hauls. This results in stronger data to estimate the average size selectivity at the  
215 expense of not considering explicit variation in selectivity between hauls (Fryer, 1991). To account  
216 correctly for the effect of between-haul variation in the uncertainty of the size selectivity parameters  
217 estimated, we estimated the Efron percentile confidence intervals using a double bootstrap method with  
218 1000 bootstrap iterations (Efron, 1982; Chernick, 2007). The method was applied both for the  
219 estimated parameters in equation (2) and the curves for  $e_1(l)$ ,  $e_2(l)$ , and  $r_{comb}(l)$ . We used the software  
220 tool SELNET (Herrmann et al., 2012) to carry out all selectivity data analyses.

221 Based on the *CLogit* model and inserting the values of the selection parameters for the first grid  
222 ( $C_1$ ,  $L50_1$ ,  $SR_1$ ) and the second grid ( $C_2$ ,  $L50_2$ ,  $SR_2$ ), we obtained the size selection curves for the two  
223 grids for stand-alone deployments. By incorporating this estimation into the bootstrapping procedure  
224 described above, we also obtained 95% confidence limits for the grid's stand-alone size selection  
225 curves. As we are also interested in the difference in contact probability between the two grids, we  
226 incorporated an explicit estimation of  $\Delta C = C_2 - C_1$  into the bootstrap procedure.

227 To infer whether the two selection curves were significantly different, we checked the 95%  
228 confidence limits of the curves for length classes without overlap. For the estimated selectivity

229 parameters we used a similar approach and inspected whether or not the confidence limits of the  
230 estimated values being compared overlapped.

### 231 **3. Results**

#### 232 *3.1 Observations of gear and fish*

233 When using the covered codend method in a selectivity study, there is always some uncertainty  
234 related to the use of the covers and their potential influence on the performance of the gear. Therefore,  
235 we investigated whether the GCs affected the water flow through the grid section. The results showed  
236 that the GCs indeed reduced the water flow inside the grid section by approximately 25% (from 3.5 to  
237 2.7 knots). With the GCs removed, flow measurements were made in front of the grid section and aft  
238 of the grid section. Measurements taken at 1/2 and 1/4 of the grid section's height were 13% and 57%  
239 lower behind the grids than in front of the grids.

240 Grid<sub>2</sub> in the new double steel grid section was rigged in exactly the same manner as in a standard  
241 4-panel Sort-V section (Grimaldo et al., 2014). Underwater video recordings and measurements of  
242 water flow indicated a stronger water flow through the 4-panel grid section than a conventional 2-panel  
243 Sort-V section (Fig. 4). This stronger water flow can help reduce blockages (clogging) and allow fish  
244 to better flow towards the codend after passing the area for potential escape through the grids. All video  
245 inspections inside the grid section showed that fish encountered the grids at a higher speed than  
246 previously observed in the rest of the mandatory grid systems. None cod or haddock was observed  
247 stopping in front of the grid section for more than a few seconds. Moreover, one could observe cod and  
248 haddock passing through the section without having the chance to correctly orient themselves towards  
249 the bars of the grids and escape. Thus, although the strong water flow had a positive effect on making  
250 the fish pass through the grid section and reduced the risk of clogging, it also affected grid contact  
251 negatively and consequently impacted the overall performance of the grid system. The video sequences  
252 showed how cod (Fig. 5a) and haddock (Fig. 6a) could pass through the section without contacting  
253 either of the grids (i.e., sliding over/under them).

254 FIGS. 4, 5 & 6

255 In the video sequences (snapshots) selected from the underwater recordings, we observed three  
256 different possible outcomes for cod and haddock: the fish flows through the section towards the codend

257 without contacting any of the grids (Fig. 5a and 6a); the fish contacts and escapes through grid<sub>1</sub> (Fig.  
258 5b and 6b); and the fish escapes through grid<sub>2</sub> (Fig. 5c and 6c). Both species had problems contacting  
259 the grids, especially grid<sub>1</sub>, as they often passed through the full section relatively quickly. The pictures  
260 in Figure 6c illustrate how a haddock slid along grid<sub>1</sub> and was unable to achieve contact, but when it  
261 reached the escape zone of grid<sub>2</sub> it successfully contacted the grid and escaped through it. Haddock  
262 showed much more active escape behavior in the new grid section than cod and were therefore more  
263 successful at achieving contact. In addition, the sizes of cod captured in the trials were larger than those  
264 of haddock, which can be explained by fewer cod observed escaping through the grids in the  
265 underwater recordings.

### 266 3.2 Selectivity analyses

267 Size selectivity data was collected for cod and haddock in 19 hauls. Eight hauls were carried out  
268 with grid<sub>1</sub> at a low angle (35°) and 11 hauls were conducted with grid<sub>1</sub> at a higher angle (40°). For  
269 haddock all hauls were included in the selectivity analysis. For cod one of the hauls was omitted from  
270 the analysis with grid<sub>1</sub> at a higher angle because this haul contained very few cod. In total, 3272 cod  
271 were length measured, in the hauls included in the selectivity analyses carried out on this species. In  
272 total, 7055 haddock were length measured. Table 1 summarizes the results of the analysis based on the  
273 constrained model presented in sections 2.2–2.3, and Figures 7 and 8 show plots of the escapement  
274 through grid<sub>1</sub>, through grid<sub>2</sub>, and the combined size selection.

275 TABLE 1

276 FIG. 7

277 FIG. 8

278 The results in Table 1 show that the constrained model described in (1) can describe the  
279 experimental data for the size selection of cod and haddock in the double grid system sufficiently well,  
280 as all  $p$ -values are  $> 0.05$ . For both inclination angles in which grid<sub>1</sub> was fixed, it is likely that the  
281 deviation between the model fitted and the experimental rates is a coincidence. The plots in Figures 7  
282 and 8 further support this, as the curves modelled in all cases seem to reflect the trends in the  
283 experimental points without any systematic patterns in the deviations. Based on these results, we are  
284 confident in applying model (2) to describe the size selection of cod and haddock in the double grid

285 system used in this study. Several observations can be made based on the estimated selection  
286 parameters in Table 1:

- 287 i) Of the fish entering the grid section, a higher fraction made contact with grid<sub>2</sub> (the main  
288 grid) compared to grid<sub>1</sub>. The mean estimated values for  $C_2$  were much higher than those  
289 estimated for  $C_1$ , and the differences between these two parameters were significant for  
290 both grid set-ups we tested.
- 291 ii) Between 57 and 66% of the cod and haddock entering the grid section made contact with  
292 at least one of the two grids.
- 293 iii) For three out of the four cases (all except cod with grid<sub>1</sub> at low angle),  $C_{combined}$  was  
294 estimated to be significantly below 100%.
- 295 iv) For the combined size selection of both grids, using a higher angle for grid<sub>1</sub> led to an  
296 increase in size of fish sorted out, as the estimated  $L50_{comb}$  was higher for the high grid  
297 angle set up than for the low grid angle set up. However, this effect was not statistically  
298 significant because the confidence bands of  $L50_{comb}$  for the two cases overlapped.

299 Based on the *CLogit* model and the estimated parameter values (Table 1), Figure 9 plots the  
300 estimated stand-alone size selection curves of the lower (grid<sub>1</sub>) and the upper grid (grid<sub>2</sub>), respectively.  
301 For haddock, the release efficiency was higher for the second grid compared to the first grid, as the  
302 retention probability for a large size span was significantly higher for the first grid. The same tendency  
303 occurred for cod, although the difference was only significant for the design with the 40° angle for  
304 grid<sub>1</sub>.

305 FIG. 9

306 Figure 10 provides a direct comparison between the low and high grid angle set up of grid<sub>1</sub> for the  
307 combined size selection. For both cod and haddock, L50 was higher when the grid angle for grid<sub>1</sub> was  
308 high. However, overlapping confidence intervals show that the difference is not significant.

309 FIG. 10

310 The new double grid and the Flexigrid has some similarities as both systems comprises two separate  
311 grids. The combined size selection for cod and haddock in the new double grid system compared to  
312 that previously estimated for a 55-mm Flexigrid (Sistiaga et al., 2016) is shown in Figure 11. The

313 comparison was made for the high angle of grid<sub>1</sub> because this setup resulted in the most desired  
314 selectivity pattern for the fishery due to less capture of fish below minimum landing size (MLS). For  
315 cod, the comparison was made with two different results for the Flexigrid. The comparisons indicate  
316 that the use of the new double grid system would result in greater size selection on cod than that  
317 obtained using the Flexigrid. However, the difference was significant only for few length classes in  
318 one of the comparisons (Fig. 11). The new double grid was found to release significantly more haddock  
319 between 38 and 50 cm long compared to the Flexigrid (the lower graph in Fig. 11). The vertical lines  
320 represent the MLS for cod (44 cm) and haddock (40 cm).

321 FIG. 11

322 The combined size selection for cod and haddock in the new double grid system was also compared  
323 to size selection results previously estimated for a 55-mm Sort-V grid (Sistiaga et al., 2010). Data for  
324 cod were also compared to Sort-V results presented in Grimaldo et al. (2015). For both species, the  
325 size selection results obtained with the new double grid system were not as good as those obtained with  
326 the Sort-V steel grid system (Fig. 12). Specifically, the double grid system appeared to be significantly  
327 less efficient at releasing undersized cod and haddock, likely because fewer cod and haddock made  
328 contact with the grids during their passage through the section of the new double grid system. The  
329 premise is supported by the vertical difference in the horizontal part far left on the grid sections size  
330 selectivity curves (Fig. 12). This difference is particularly profound for haddock. Another important  
331 point to consider when interpreting the results is that the new double grid system is significantly more  
332 efficient at retaining cod and haddock above the minimum size than the Sort-V.

333 FIG. 12

#### 334 **4. Discussion**

335 We tested a new grid section equipped with two steel grids to address current selectivity problems  
336 in the Northeast Arctic cod and haddock fishery. The grid section tested was a 4-panel construction  
337 with the same design as the Sort-V section tested by Grimaldo et al. (2015), except the lifting panel  
338 was replaced with a second steel grid in this new design. The aim of this design was to increase the  
339 fish sorting area by adding a new grid (grid<sub>1</sub>) while simultaneously improving water flow in the section.  
340 The results showed that the new design did improve water flow inside the grid section, which in the

341 past has been shown to contribute to reduced risk of blockage in the section (Sistiaga et al., 2016). The  
342 effect of this was also clear from the underwater recordings showing no cod or haddock halting in front  
343 of the grid section for more than a few seconds. Therefore, we assume that the new design will have  
344 lower risk for grid clogging than the designs currently used in this fishery.

345 A relatively high proportion of fish (34–37%) was estimated to pass through the new grid section  
346 without contacting any of the grids, thus these fish were not subject to a size selection process. This  
347 effect with the new double steel grid section was apparently related to the replacement of the lifting  
348 panel with a steel grid (grid<sub>1</sub>). First, because of its size and weight, grid<sub>1</sub> pressed the section's lower  
349 panel down. This created a bigger opening under grid<sub>2</sub> (main grid) than that observed when using a  
350 lifting panel made of PE netting. Second, the greater porosity of grid<sub>1</sub> with respect to a PE lifting panel  
351 significantly improved the water flow in the lowest part of the grid section. This strong water flow was  
352 negatively correlated with the swimming ability of fish and consequently lowered the chances for the  
353 individual fish to orient themselves to attempt escape through the grids. Underwater video recordings  
354 consistently showed that many fish entering the grid area passed through the section without contacting  
355 any of the grids. These observations are well supported by the contact values estimated for grid<sub>1</sub> and  
356 grid<sub>2</sub> and the estimated combined contact values for the system ( $C_{combined}$ ), which were estimated to be  
357 no higher than 63.47% for cod and 66.39% for haddock. Further, the upper confidence limit of three  
358 out of the four combined contact estimates were significantly lower than 100 (all cases except cod with  
359 low angle of grid<sub>1</sub>), which indicates that fish pass through the section without contacting any of the  
360 grids.

361 When considering the performance of the lower grid (grid<sub>1</sub>) and the upper grid (grid<sub>2</sub>)  
362 independently, the estimates for  $C_1$  were always lower than those for  $C_2$ . These differences, which were  
363 significant for haddock, show that the performance of grid<sub>2</sub> is more important for the overall  
364 performance of the grid system than the performance of grid<sub>1</sub>. This is reasonable because the selective  
365 surface of grid<sub>2</sub> is twice as large as that of grid<sub>1</sub>. The estimates obtained for  $C_1$  and  $C_2$  also reveal that  
366 cod was better at contacting the lower grid (grid<sub>1</sub>) than haddock and that haddock was better at  
367 contacting the upper grid (grid<sub>2</sub>) than cod. This result is in accordance with the well documented  
368 behavioral difference between cod and haddock: most cod pass through the trawl gear close to the  
369 lower panel of the trawl, whereas haddock tend to swim closer to the upper panel of the trawl (e.g.,  
370 Engås et al., 1998; Ferro et al., 2007). These behavioral patterns were also confirmed during our video  
371 observations. During the trials, we tested two different angles for grid<sub>1</sub> in an attempt to improve grid

372 contact (Fig. 1a). The results showed very little improvement in the overall retention of small fish when  
373 the grid angle was increased from 35 and 40°.

374 The size selectivity of the new double steel grid system was compared to previous results obtained  
375 for the only mandatory grid system in the fishery that is composed of two grids (i.e., the Flexigrid).  
376 The new double grid was found to release significantly more haddock 38–50 cm long than the  
377 Flexigrid. For cod, the new double grid system was found to be at least as efficient as the Flexigrid at  
378 releasing undersized fish. Thus, the performance of the new double grid system represents a potential  
379 future alternative to the Flexigrid.

380 Comparison of the selectivity results obtained with the new double grid system with the selectivity  
381 results obtained previously for the Sort-V grid system showed that the Sort-V system grid releases  
382 significantly more undersized cod and haddock than the new double grid system. However, the Sort-V  
383 also releases a significantly higher proportion of fish above the minimum landing size (MLS). The  
384 effectiveness of a grid can be measured as both its ability to release undersized fish and its ability to  
385 retain fish above the MLS. No grid is able to deliver a knife edge selection curve with an *L50* right on  
386 the MLS and a *SR* of 0 cm. Therefore, the aim is to achieve a grid design that provides a good balance  
387 between retaining as few fish below the MLS as possible and as many fish above the MLS as possible.  
388 When comparing the new grid section to the compulsory Sort-V and Flexigrid systems, it appears that  
389 its performance falls between the two legal grids used by fishermen.

390 The practical functioning of the new double steel grid section, its operation did not add any  
391 additional challenge compared to operation of a traditional Sort-V section. The dimensions of the new  
392 grid section were the same as that of the Sort-V section, and the additional weight due to the insertion  
393 of grid<sub>1</sub> in the section was barely noticeable in the operation process on board our research trawler.

394 Larsen et al. (2016) recently reported the size selective performance of the new double grid section  
395 for an important bycatch species (*Sebastes* spp.). They also found that the Sort-V grid was more  
396 effective at releasing undersized fish than the new double steel grid system, but that the new system  
397 was more efficient at retaining redfish of commercial sizes. These results are therefore somehow in  
398 line with those reported here for cod and haddock. No results for size selection of redfish are available  
399 for the Flexigrid.

400 Considering that the release efficiency for undersized fish is at least as good as one of the two  
401 systems currently used, and better than the Sort-V to retain the targeted sizes, we consider the new  
402 double grid design to be an acceptable alternative regarding its size selectivity to the existing systems.

403 Regarding the lower efficiency for releasing undersized fish compared to the Sort-V, one should also  
404 consider that these grids are used in combination with a codend of minimum 130 mm mesh size which  
405 subsequently will be able release a large proportion of the undersized fish retained after passing the  
406 grid section.

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Fig. 1: Sorting grids that are mandatory in the Norwegian Sea (North of 62°N) and the Barents Sea trawl fisheries: (a) Sort-X, (b) Sort-V, and (c) Flexigrid. The figure illustrates cod and haddock are in the aft of the trawl often observed swimming in the towing direction.

Fig. 2: a) Sketch of the double grid section used during the experiments. The two different angles tested for grid<sub>1</sub> are illustrated. b) Dimensions of the two grids inserted in the section, grid<sub>1</sub> (left) and grid<sub>2</sub> (right). c) Picture showing a side view of the section. d) Picture taken from inside the section that illustrates the installation of grid<sub>1</sub> and grid<sub>2</sub>.

Fig. 3: Sketch of the set-up used to collect selectivity data.

Fig. 4: a) Picture of the original 2-panel Sort-V section taken in a flume tank (Hirtshals, Denmark), where white arrows mark the position of the lifting panel. The white circle illustrates the lack of space between grid<sub>2</sub> and the lower panel in the section. b) Picture of the double grid section tested in this study taken in the flume tank. The white circle illustrates that the grid does not press the section's lower panel and reduce the entrance to the codend in the same way as the original Sort-V grid design does (a). c) Picture of the double grid section tested in this study as observed during the sea trials. The white ellipse shows that there is an opening between grid<sub>2</sub> and the lower panel (grid<sub>1</sub>) in the section.

Fig. 5: Underwater sequences that illustrate a) cod not contacting either of the two grids, b) cod contacting and escaping through grid<sub>1</sub>, and c) cod contacting and escaping through grid<sub>2</sub>.

Fig. 6: Underwater sequences that illustrate a) haddock not contacting either of the two grids, b) haddock contacting and escaping through grid<sub>1</sub>, and c) haddock contacting and escaping through grid<sub>2</sub>.

Fig. 7: Selectivity results for cod. Panels a, b, and c show respectively the escapement from grid<sub>1</sub>, escapement from grid<sub>2</sub>, and the retention of the grid section when grid<sub>1</sub> was configured at a low angle (35°). Panels d, e, and f show respectively the escapement from grid<sub>1</sub>, escapement from grid<sub>2</sub>, and the retention of the grid section when grid<sub>1</sub> was configured at a high angle (40°). Circle-marks represent the experimental rates, and the thick black curve represents the modelled rate. The stippled curves represent 95% confidence limits for the modelled rate. The grey curve represents the size distribution of cod in the respective compartments GC<sub>1</sub>, GC<sub>2</sub>, and CC (Fig. 2).

Fig. 8: Selectivity results for haddock. Panels a, b, and c show respectively the escapement through grid<sub>1</sub>, escapement through grid<sub>2</sub>, and the retention of the grid section when grid<sub>1</sub> was configured at a low angle (35°). Panels d, e, and f show respectively the escapement from grid<sub>1</sub>, escapement from grid<sub>2</sub>, and the retention of the grid section when grid<sub>1</sub> was configured at a high angle (40°). Circle-marks represent the experimental rates, and the thick black curve represents the modelled rate. The stippled curves represent 95% confidence limits for the modelled rate. The grey curve represents the size distribution of cod in the respective compartments GC<sub>1</sub>, GC<sub>2</sub>, and CC (Fig. 2).

Fig. 9: Size selection for grid<sub>1</sub> and grid<sub>2</sub> conditioned that the fish enters the grid zone. Grid<sub>1</sub>: grey curve. Grid<sub>2</sub>: black curve. Combined for both grids: white circle marks. Stippled curves represent 95% confidence limits.

Fig. 10: Retention for both grids combined. For grid<sub>1</sub> with low angle (35°): black. For grid<sub>1</sub> with high angle (40°): grey.

Fig. 11: Comparison of the double grid retention probability (black) with the retention probability for the Flexigrid system (grey). From top, Flexigrid results from trials at Hopen (Hopen Island) for cod, Bjørnøya (Bear Island) for cod, and Bjørnøya for haddock. Stippled curves represent 95% confidence limits and vertical lines are minimum landing sizes for cod (44 cm) and haddock (40 cm).

Fig. 12: Comparison of the double grid retention probability (black) with the retention probability for the Sort-V grid system: grey curve (from Sistiaga et al., 2010), white circles (from Grimaldo et al., 2015). Stippled curves represent 95% confidence limits and vertical lines are minimum landing sizes for cod (44 cm) and haddock (40 cm).

FIG. 1

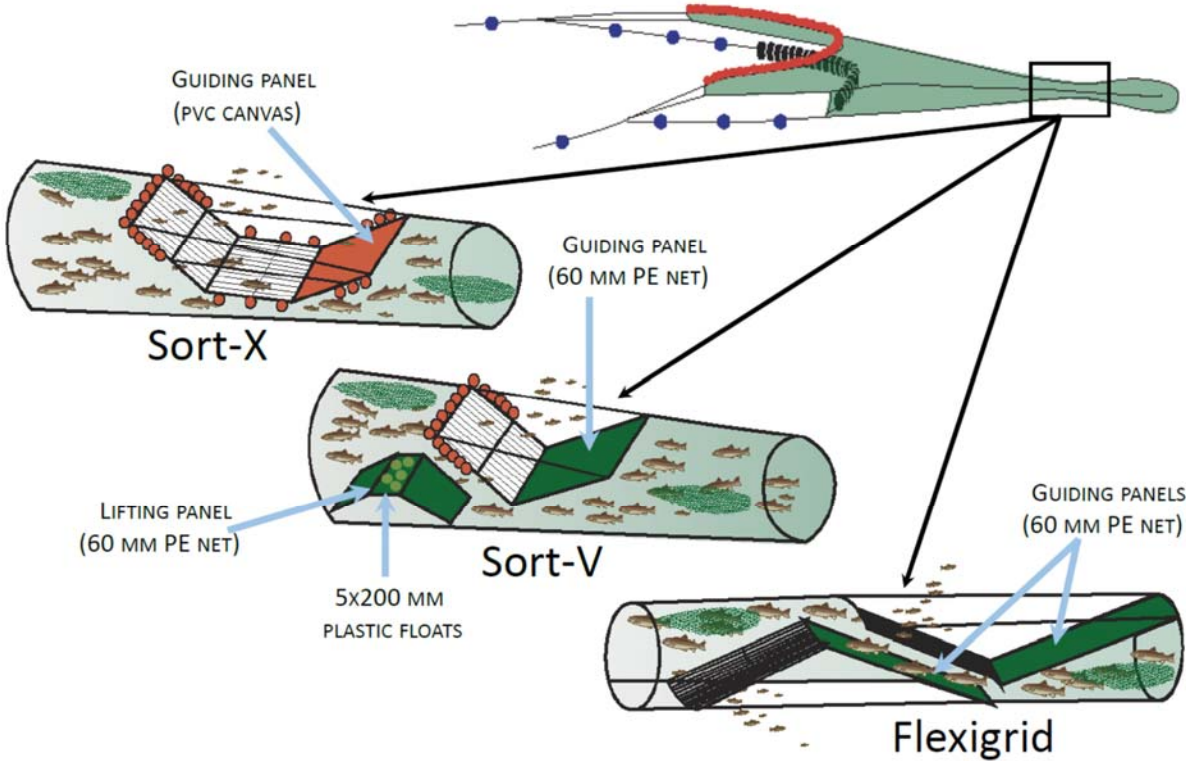


FIG. 2

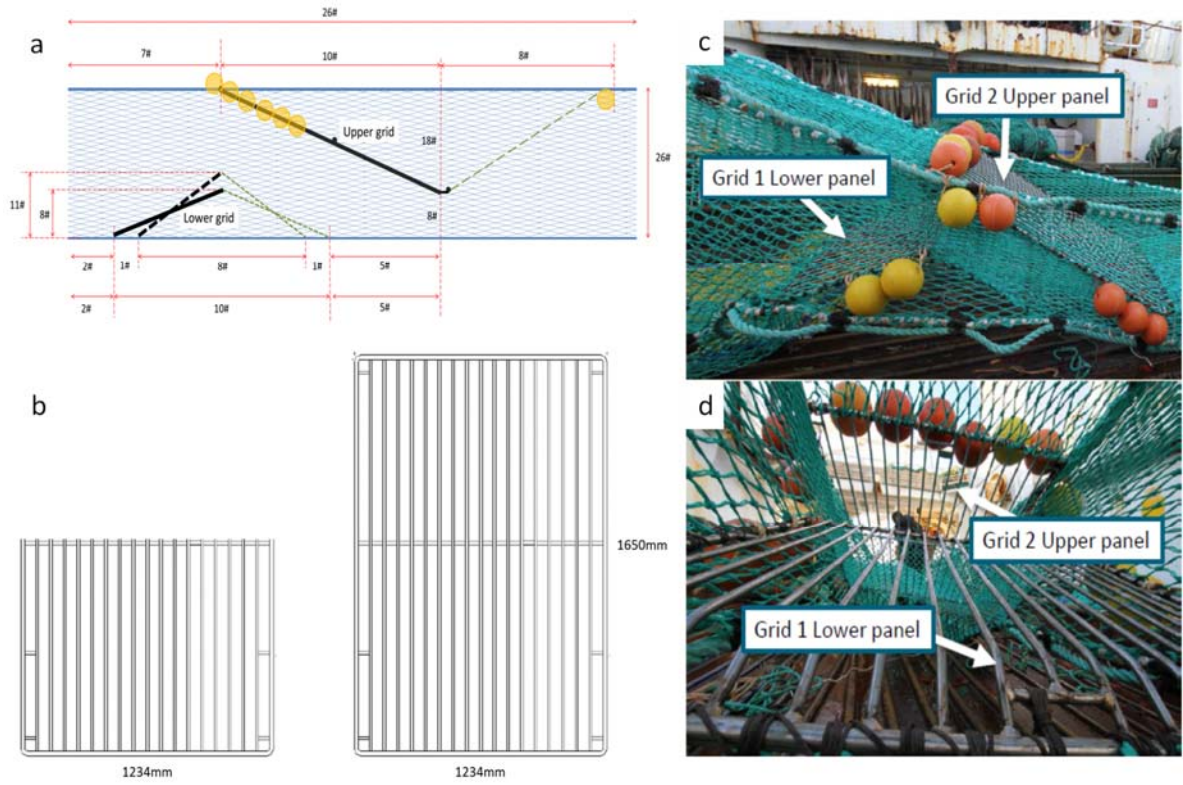


FIG. 3

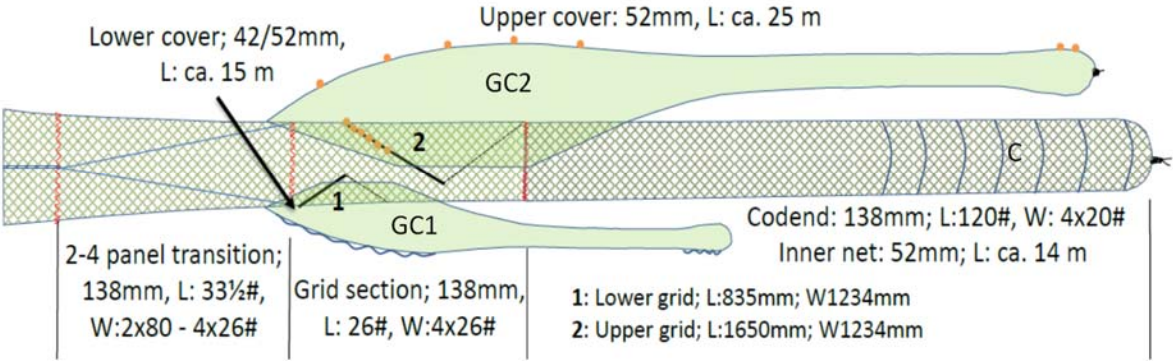


FIG. 4

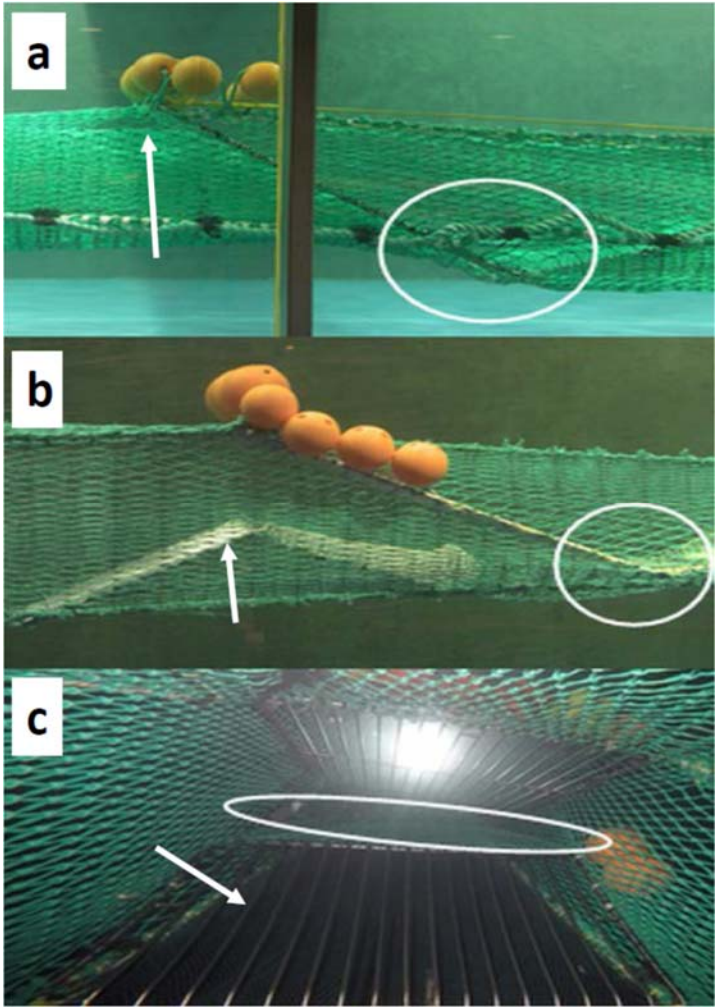




FIG. 5

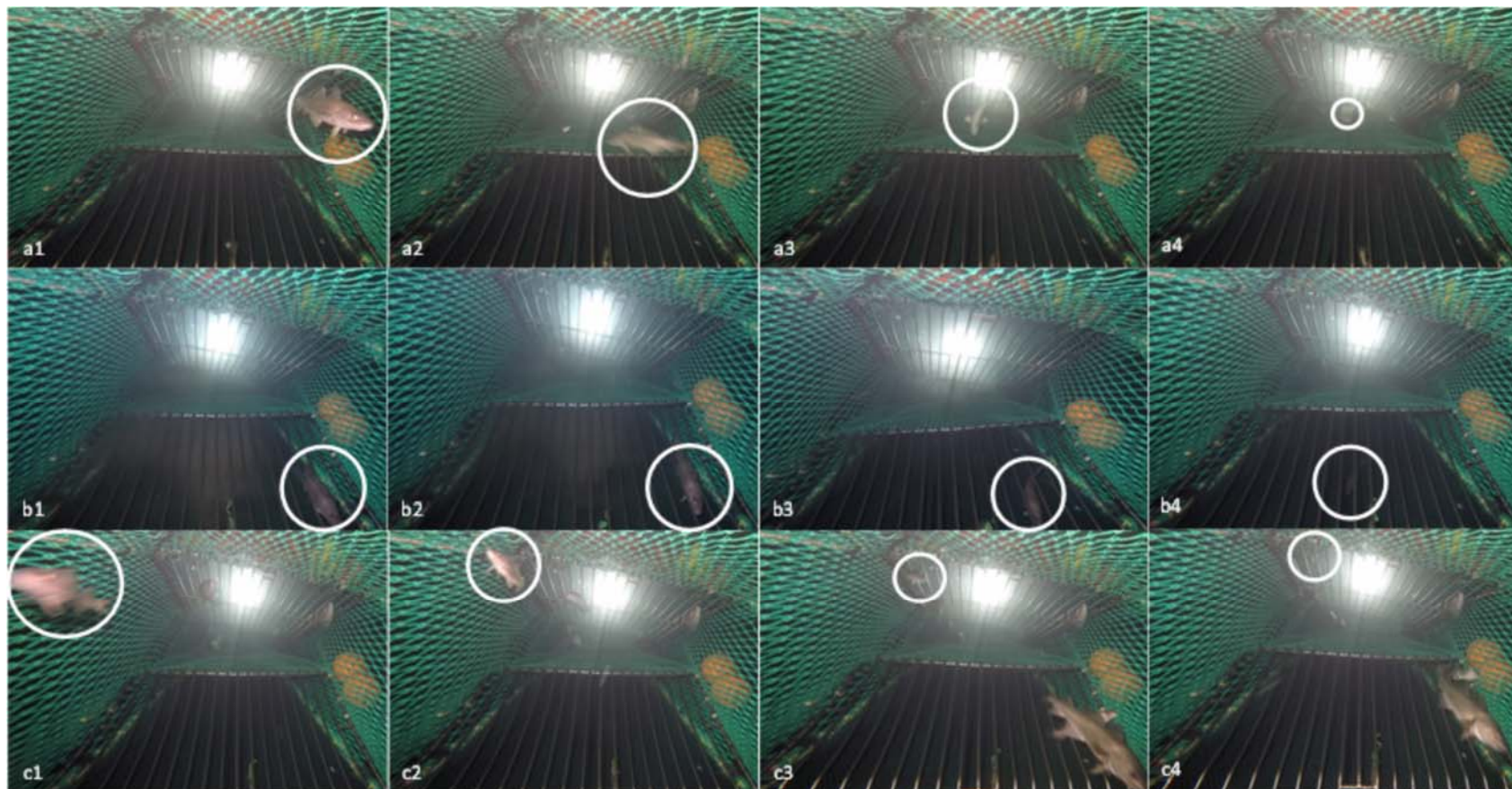




FIG. 6

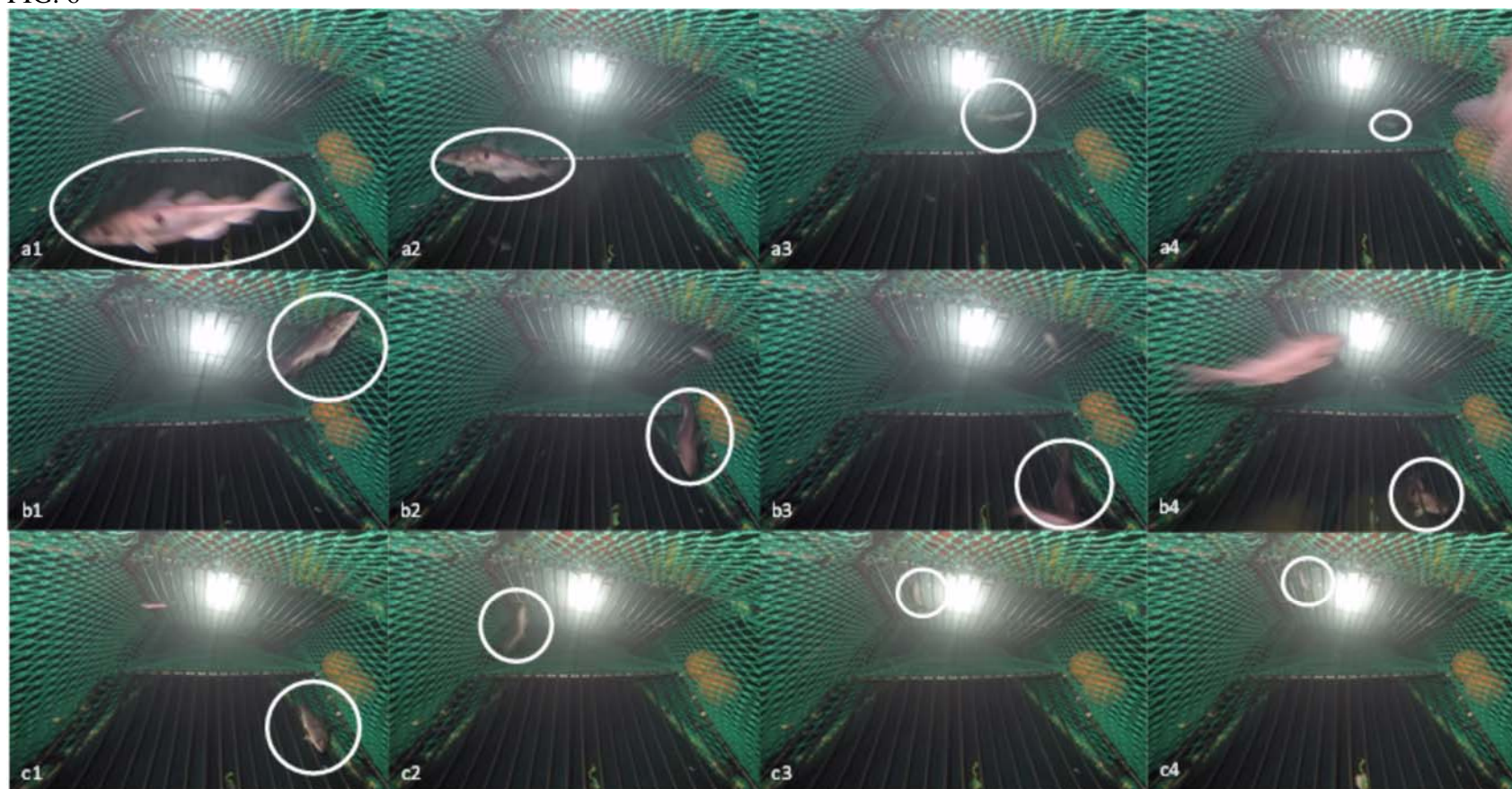


FIG. 7

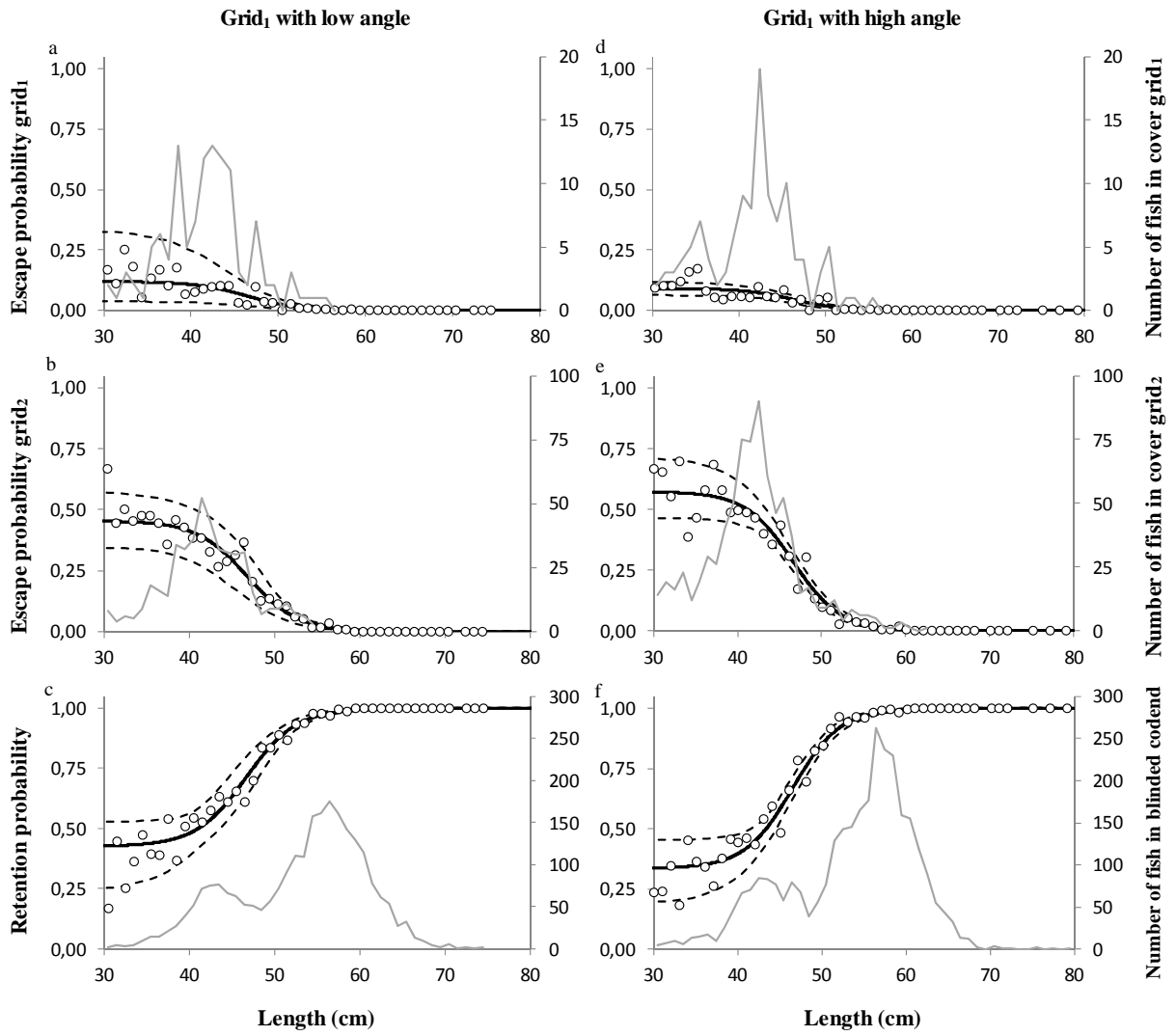


FIG. 8

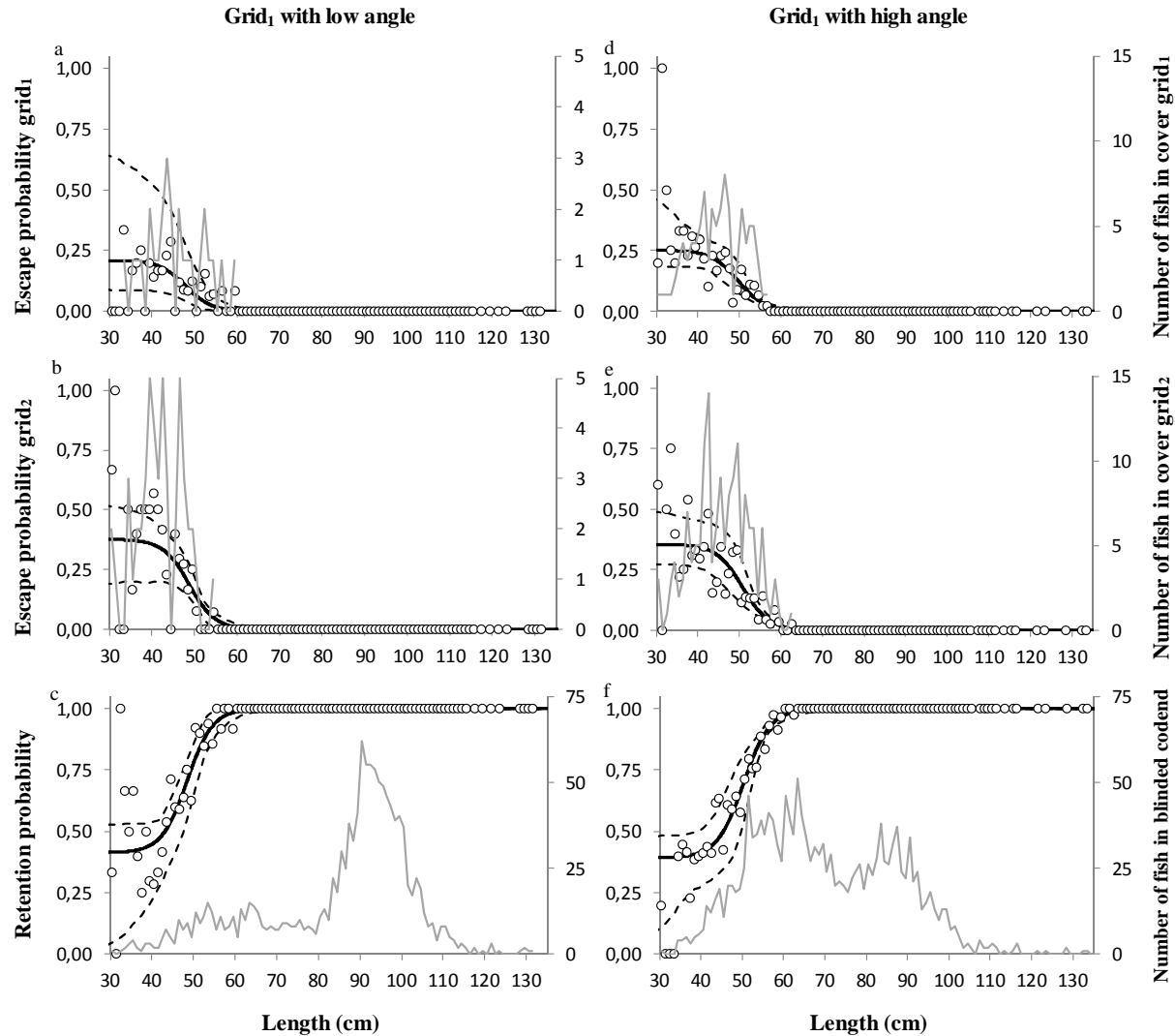


FIG. 9

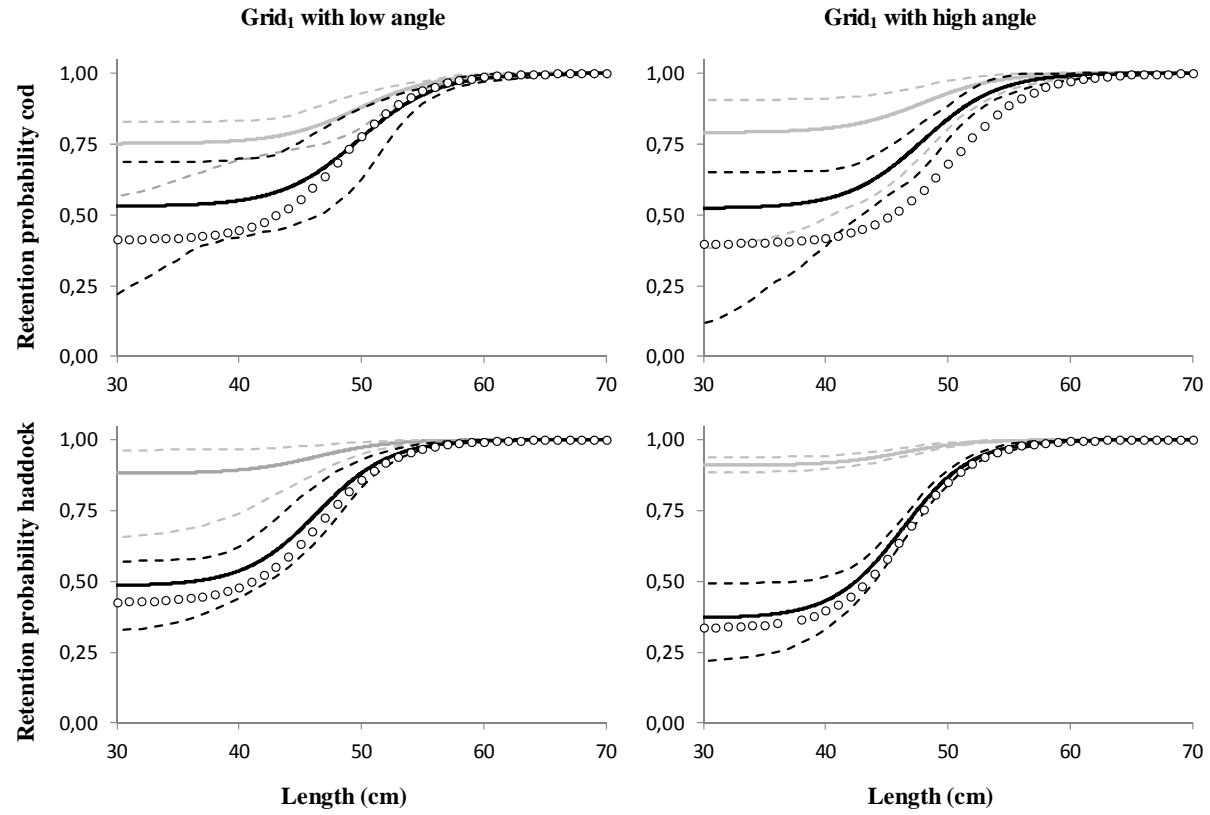




FIG. 11

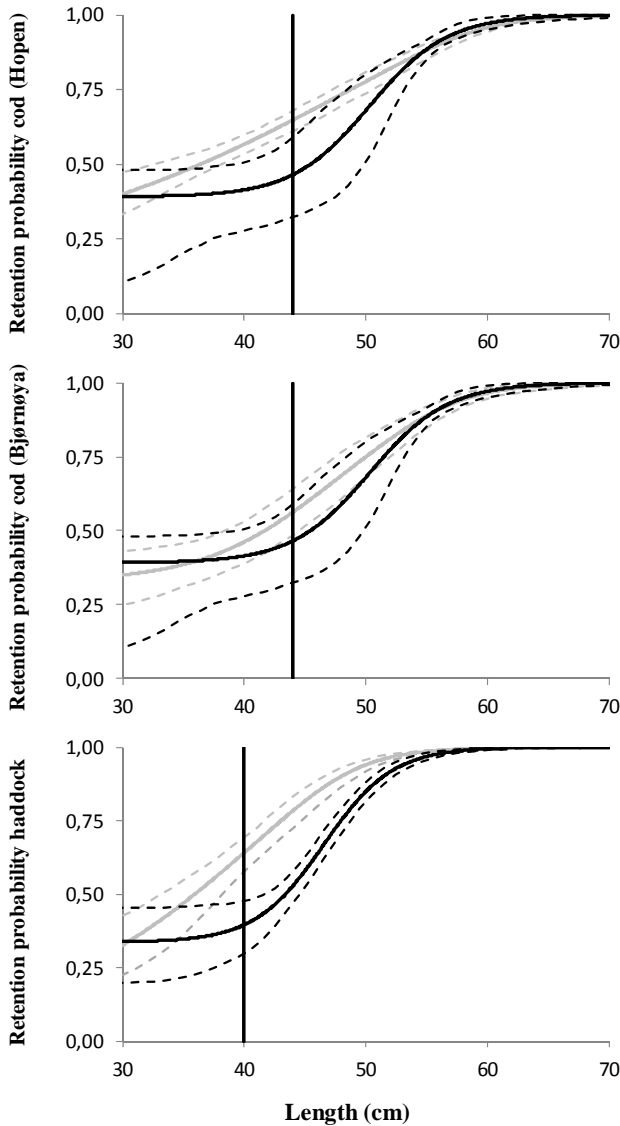


FIG. 12

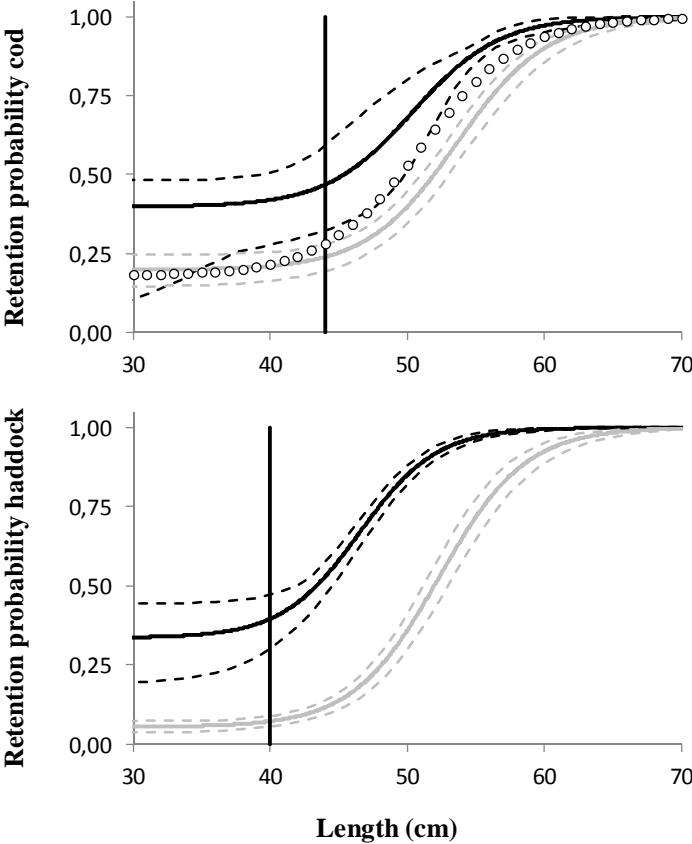


Table 1: Selectivity results and fit statistics for the constrained model. Values in () are 95% confidence interval. \*: not defined.

	Cod		Haddock	
	Low angle (35°)	High angle (40°)	Low angle (35°)	High angle (40°)
number hauls	8	10	8	11
n escaped first grid	27	99	121	121
n escaped second grid	50	154	479	780
n retained	1282	1660	2454	3100
L50 <sub>combined</sub> (cm)	43.12 (* - 47.59)	45.58 (39.51-49.86)	41.07 (*-43.67)	43.39 (41.67-44.57)
SR <sub>combined</sub> (cm)	*(*-15.65)	*(*-21.09)	*(*-11.59)	*(*-13.58)
L50 <sub>1</sub> = L50 <sub>2</sub> (cm)	47.95 (41.51-50.53)	49.25 (39.88-52.19)	46.40 (42.91-48.47)	46.29 (44.71-47.89)
SR <sub>1</sub> = SR <sub>2</sub> (cm)	6.78 (2.91-10.88)	7.40 (4.14-12.62)	6.51 (4.90-8.33)	6.21 (5.01-7.31)
C <sub>1</sub> (%)	21.02 (8.91-65.79)	26.24(18.65-52.86)	11.95 (3.67-32.97)	9.11 (6.29-11.84)
C <sub>2</sub> (%)	47.75 (35.55-100)	50.48 (37.18-97.89)	51.64 (43.55-68.70)	63.02 (50.76-78.65)
ΔC (%)	26.73 (-10.65-46.98)	22.09 (6.84-37.34)	39.69 (20.04-54.11)	53.92 (41.08-68.75)
C <sub>combined</sub> (%)	58.73 (47.09-100)	63.47 (52.05-99.12)	57.42 (47.20-75.92)	66.39 (54.56-80.62)
p-value	1.0000	1.0000	0.9930	0.8500
deviance	50.70	58.15	55.53	72.51
DOF	184	172	84	86