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1 **Effect of a quality-improving codend on size selectivity and catch patterns of** 2 **cod in bottom trawl fishery**

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8 **Abstract**

9 A new codend concept developed and tested exhibited significantly improved quality of caught
10 cod (*Gadus morhua*) compared to that of the conventional codend used in the Barents Sea
11 bottom trawl fishery. However, the design of the new quality-improving codend raised concerns
12 about its size selectivity and the possibility that higher retention probability could negatively
13 impact the catch pattern by increasing the proportion of undersized cod. Therefore, the goal of
14 this study was to quantify and compare the size selectivity and catch pattern for cod when
15 deploying respectively the conventional and new quality-improving codend in the Barents Sea
16 bottom trawl fishery. The new quality-improving codend had significantly lower relative size
17 selectivity than the conventional codend, but no significant difference in the catch patterns was
18 detected in the trawl. Further, estimation of the total size selectivity in the trawl revealed that
19 the increased retention of small cod when using the quality-improving codend was minor.
20 Hence, despite the reduced selectivity, the quality-improving codend can be used with low risk
21 of retaining small cod.

22 *Keywords:* Codend, bottom trawl, cod, sequential codend, size selectivity

23 **Introduction**

24 Trawl caught fish has been associated with deteriorated quality (Digre et al., 2010; Rotabakk et
25 al., 2011). In the Barents Sea bottom trawl fishery, about 70% of the annual quota of Northeast
26 Arctic cod (*Gadus morhua* L.) is caught with bottom trawls (ICES, 2015). The technical
27 regulations are largely designed to minimize the amount of bycatch and consist mainly of
28 minimum codend mesh size regulations and the compulsory use of a size selective sorting grid
29 (Norwegian Directorate of Fisheries, 2018). An important factor that is believed to contribute
30 to catch defects is the large meshes that are regulated by law. Large meshes are required to
31 ensure the possibility of escapement of undersized fish that do not escape through the

32 mandatory size selective sorting grid (Sistiaga et al., 2016a; Brinkhof et al., 2018a). Moreover,
33 codends often are made from coarse materials with a large mesh size, causing high water flow,
34 and thus they do not create a lenient and benign environment for fish.

35 Brinkhof et al. (2018a) recently described a new codend concept, called a dual sequential
36 codend, that demonstrated improved quality of trawl-caught cod. They reported that the
37 probability of catching cod without any visual quality defect was five times higher when using
38 the sequential codend, i.e. 18% compared to 3% for cod retained in the conventional codend.
39 The codend was designed so that it would maintain the size selective properties required during
40 towing at the seabed while also providing a more quality-preserving environment for the catch
41 during haul-back. In the dual sequential codend, the fish are retained in the anterior codend
42 segment during towing, and this segment has the size selective attributes required by law (i.e.,
43 minimum mesh size of 130 mm). The entrance to the posterior codend segment is kept closed
44 with a hydrostatic codend releaser during fishing, and it is opened at a pre-set depth during
45 haul-back. This posterior quality-improving codend segment, which the catch enters during
46 haul-back, consists entirely of small meshes made of thick twine ($\text{\O}3$ mm) (Brinkhof et al.,
47 2018a). Hence, it is reasonable to assume that when the catch enters the posterior codend
48 segment, the escapement of undersized fish is no longer possible. This could potentially alter
49 the size selective properties of the codend compared to a conventional codend, from which fish
50 are able to escape during haul-back. If few or no fish escape during the haul-back phase
51 regardless of codend type, the total selectivity of the fishing process would be unaffected by
52 the new codend. However, if fish generally escape from the conventional codend during the
53 haul-back phase, the new codend could potentially affect the overall size selectivity of the
54 fishing process. This would mean that the dual sequential codend would likely retain more
55 undersized fish compared to a conventional codend. Previous studies have documented an
56 ongoing selection process during haul-back (Madsen et al., 2008; Grimaldo et al., 2009;
57 Herrmann et al., 2013; Brinkhof et al., 2017), and therefore it is highly relevant to investigate
58 if the new codend causes a reduced size selection. Hence, the aim of this study was to investigate
59 the size selectivity and catch pattern for cod in the Barents Sea bottom trawl fishery when
60 applying a conventional codend and the new dual sequential codend. Specifically, the following
61 research questions were addressed:

- 62 - Will the sequential codend have a similar size selection as the conventional codend?
- 63 - Is there any effect on the length-dependent catch patterns between the trawl equipped with
64 the conventional and dual sequential codend?

- 65 - How will the total size selectivity in the trawl be when employing the conventional and
66 sequential codend, and will the retention risk for small cod be sufficiently low when using
67 the sequential codend?

68 **Materials and methods**

69 *Study area, trawl rigging, and data collection*

70 To address the research questions experimental fishing trials were conducted between 27
71 February and 5 March 2018 onboard the R/V “Helmer Hanssen” (63.8 m, 4080 HP) along the
72 coast of north Norway in the southern Barents Sea (N 71°21' E 23°43' – N 71°21' E 24°24').
73 The research questions necessitated the quantification of the relative size selection between the
74 two codends, the catch patterns that would be obtained from applying them in the Barents Sea
75 fishery, and the total size selection in the trawl when equipped with respectively the
76 conventional and dual sequential codend. To estimate the total selectivity in the trawl and the
77 influence on the catch pattern if the traditional codend is replaced by the dual sequential codend
78 it is necessary that the trawls were rigged as in the commercial fishery, which includes a size
79 selective sorting grid and codends with a mesh size according to the legislation.

80 Optimally, to answer the three research objectives one would use two different trawl riggings
81 with a cover to retain the escapees from both the grid section and codend. However, due to the
82 length of the sequential codend (21 m), this would require using a cover of at least 45 m in
83 length. However, a cover of 45 m in length is far longer than previously deployed on the
84 research vessel, which was 14 m long (Grimaldo et al., 2017). Based on this there was concerns
85 if such a cover could function and if it could be handled on the vessel. Therefore, it was decided
86 to use a different experimental design based on deploying three different trawl riggings (design
87 setups) during the cruise (Fig 1). The first two were identical trawls rigged as in the commercial
88 fishery; one trawl equipped with a conventional codend and the second with the dual sequential
89 codend. The trawls were deployed alternately without covers, enabling a paired structural catch
90 comparison on the resulting catch data from these hauls for the estimation of the relative size
91 selectivity between the two codends with best possible statistical power (explained in section
92 for analysis) (Fig 1). The data obtained from alternating the trawls also enabled estimation of
93 the catch patterns in the trawls with the two different codends. However, the total selectivity in
94 each of the two trawls, i.e. grids and codend, could not be estimated alone from these hauls due
95 to the lack of the retention of the escapees through the grids and codends. Therefore, during the
96 last part of the cruise the trawl with the conventional codend was equipped with covers over

97 the grids and codend to ensure that all cod entering the aft of the trawl (from grid to codline)
98 was retained (Fig. 1). The series of hauls conducted with this third gear setup enabled estimation
99 of the population size structure in the area where the two first gear setup were fished (Fig. 1).
100 Combining the collected catch data from setup 1 (DS1) and 2 (DS2) with setup 3 (DS3) (Fig.
101 1) enabled estimation of the total gear size selection for both the trawl with the conventional
102 codend and the sequential codend applying an unpaired estimation method (Sistiaga et al.,
103 2016b). It was not possible to alternate all three setups on the vessel as this would have required
104 handling three trawls. Therefore, for practical reasons the experimental setup described above
105 was the best compromise. Fig. 1 presents how the three different design setups contribute both
106 alone and in combination with each other to answer the outlined research questions.

107 FIG 1.

108 The trawls were equipped with Injector Scorpion (3100 kg, 8 m²) otter boards with 3 m long
109 backstraps followed by a 7 m long chain, which was linked to the 60 m long sweeps. To reduce
110 abrasion, an Ø53 cm bobbin was inserted in the center of the sweeps. The 46.9 m long ground
111 gear consisted of a 14 m chain (Ø19 mm) with three bobbins (Ø53 cm) on each side and an 18.9
112 m long rockhopper gear with Ø53 cm rubber discs. The ground gear was attached to the 19.2
113 m long fishing line of the trawl. The two trawls, Alfredo No. 3, were built entirely out of
114 polyethylene with a 155 mm mesh size. The headline of the trawls was 35.6 m long and
115 equipped with 170 floats (Ø8''). Both trawls were equipped with a flexigrid with 55 mm bar
116 spacing, which is one of the compulsory sorting grids in this fishery (Sistiaga et al., 2016a).

117 The section with the flexigrid in the conventionally configured trawl was followed by an 9 m
118 long extension piece (150 mm mesh size), which was preceded by a 11 m long two-panel
119 codend consisting of single-braided Ø8 mm Euroline Premium (Polar Gold) netting in the under
120 panel and double-braided Ø4 mm polyethylene in the upper panel, with a mean (\pm SD) mesh
121 size of 133 ± 5.1 mm. The second trawl was equipped with a dual sequential codend mounted
122 directly to the flexigrid section (Brinkhof et al., 2018a) (Fig. 2). The first codend segment was
123 built the same way as the conventional codend, and had a mean (\pm SD) mesh size of 139 ± 2.5
124 mm. The second codend segment, which was the quality-preserving section (Brinkhof et al.,
125 2018a), was 10 m long and consisted of four panels with a nominal mesh size of 6 mm (1440
126 meshes in circumference, 360 meshes in each panel) (Fig. 2). The two codend segments were
127 connected as a 2-panel codend. The codend segment was strengthened with an outer knotless
128 codend (Ultracross) with 112 mm nominal mesh size (90 meshes in circumference) and four
129 lastridge ropes, which were 5% shorter than the netting in the codend segment (Fig. 2). Because

130 this codend segment does not meet the size selective properties required due to its small mesh
 131 size, the entrance of the codend was closed during fishing at the seabed. During haul-back, the
 132 entrance of the codend segment was opened by detaching a choking rope using a hydrostatic
 133 codend release mechanism (produced by www.fosstech.no) (Fig. 2). The catch releaser was
 134 charged during descent by the ambient pressure. The accumulated pressure was used to open a
 135 release hook during the ascent, which then detached the choking rope at a pre-set depth of 120
 136 m, thereby enabling free passage of fish from the selective codend segment into the quality-
 137 improving codend segment.

138 FIG. 2

139 As described above during the second part of the cruise, a group of hauls were conducted with
 140 the trawl with the conventional codend, but all escape outlets were covered with covers to retain
 141 all escaping fish that entered the trawl, DS3 in Fig. 1. The small meshed cover placed over the
 142 flexigrid was similar to that used by Sistiaga et al. (2016a), whereas the cover placed over the
 143 codend was the same as that used by Grimaldo et al. (2017). The total length of all cod retained
 144 in the trawls was measured to the nearest lower centimeter.

145 *Model and method for quantifying missing size selectivity in the sequential codend*

146 This section develops a model and method for quantifying the size selection that during the
 147 haul-back phase will be missing in the sequential codend compared to the conventional codend.
 148 The method is based on comparing the catches obtained with the two trawl setups (DS1 and
 149 DS2), and relating the observed ratio in catches to the missing size selection (i.e. the size
 150 selectivity in the conventional codend that is lacking in the sequential codend) (Fig. 1). Because
 151 the conventional codend and sequential codend were each used every second haul in the same
 152 area, the collected catch data were treated as paired catch comparison data (Krag et al., 2015).

153 Based on the approach described by Brinkhof et al., (2017b), the size selectivity process during
 154 trawling with both the conventional and sequential codends can be regarded as a temporal
 155 sequential process consisting of a towing phase (t) followed by a haul-back phase (h). The haul-
 156 back selectivity phase can be viewed as a spatial sequential process, first with selectivity in the
 157 gear before the catch build up zone in the codend (a) followed by a selectivity process in the
 158 codend catch build up zone (b). Based on these considerations, the total selectivity process with
 159 the conventional codend $r_c(l)$ can be modelled by (Fig. 3):

$$160 \quad r_c(l) = rt_c(l) \times rha_c(l) \times rhb_c(l) \quad (1)$$

161 whereas the total size selectivity with the sequential codend $r_s(l)$ can be modelled by (Fig. 3):

$$162 \quad r_s(l) = rt_s(l) \times rha_s(l) \times rhb_s(l) \quad (2)$$

163 where rt denotes size selectivity during towing; rha denotes size selection in the anterior and
 164 codend sections in front of the catch build up zone during haul-back, which includes the sorting
 165 grid and extension piece; and rhb denotes size selectivity in the catch build up zone of the
 166 codend during haul-back (Fig. 3). Let nc_{li} and ns_{li} be the numbers of fish in length class l caught
 167 in haul pair i in the conventional codend and the sequential codend, respectively. Based on the
 168 group of a paired hauls, we can quantify the experimental average catch comparison rate CC_l
 169 (Herrmann et al., 2017) as follows:

$$170 \quad CC_l = \frac{\sum_{i=1}^a \frac{nc_{li}}{qc_i}}{\sum_{i=1}^a \frac{nc_{li}}{qc_i} + \sum_{i=1}^a \frac{ns_{li}}{qs_i}} \quad (3)$$

171 where qc_i and qs_i are sampling factors introduced to account for unequal towing time between
 172 the conventional (tc_i) and sequential (ts_i) codend within each pair i fished. Specifically, qc_i and
 173 qs_i were set at:

$$174 \quad \begin{aligned} qc_i &= \frac{tc_i}{\max(tc_i, ts_i)} \\ qs_i &= \frac{ts_i}{\max(tc_i, ts_i)} \end{aligned} \quad (4)$$

175 According to Eq. 4 the calculation of the sampling factors is based on the assumption that the
 176 number of cod entering is expected to increase proportional with the fishing effort. With equal
 177 towing speed within the pairs, the fishing effort can be considered to be proportional with the
 178 towing time. Within the pairs, the haul with the longest towing time will have a sampling factor
 179 equal to 1.0, while the other tow will have a sampling factor which is scaled down with the ratio
 180 between the two towing times.

181 The next step is to express the relationship between the catch comparison rate $CC(l)$ and the
 182 size selection process for the conventional codend $r_c(l)$ and the sequential codend $r_s(l)$. In this
 183 process, assume that the total amount of fish n_l in length class l enters the trawl with the
 184 conventional or sequential codend (Fig. 3).

185 FIG. 3

186 SP is the proportion of fish entering the aft part of the trawl with the conventional codend
 187 compared to the sequential codend. SP is assumed to be length independent. Therefore, the
 188 expected values for $\sum_{i=1}^a \frac{nc_{li}}{qc_i}$ and $\sum_{i=1}^a \frac{ns_{li}}{qs_i}$, respectively, are:

$$189 \quad \begin{aligned} \sum_{i=1}^a \frac{nc_{li}}{qc_i} &= n_l \times SP \times r_c(l) \\ \sum_{i=1}^a \frac{ns_{li}}{qs_i} &= n_l \times (1 - SP) \times r_s(l) \end{aligned} \quad (5)$$

190 Based on models (1) to (5) and Fig. 3, the theoretical catch comparison rate $CC(l)$ becomes:

$$191 \quad CC(l) = \frac{n_l \times SP \times rt_c(l) \times rha_c(l) \times rhb_c(l)}{n_l \times SP \times rt_c(l) \times rha_c(l) \times rhb_c(l) + n_l \times (1 - SP) \times rt_s(l) \times rha_s(l) \times rhb_s(l)} \quad (6)$$

192 Next, the following assumptions are introduced:

$$193 \quad \begin{aligned} rt_c(l) &\approx rt_s(l) \\ rha_c(l) &\approx rha_s(l) \\ rhb_s(l) &= 1.0 \end{aligned} \quad (7)$$

194 The first condition assumes that the size selection between the two trawls is approximately
 195 equal during the towing phase because the grid systems are identical and the active codends
 196 during towing are designed to have equal size selectivity. The second condition assumes that
 197 the size selectivity in front of the codends during haul-back is approximately equal based on
 198 the use of the same grid systems and mesh size in the netting. The last condition assumes that
 199 the active codend in the quality-improving codend during haul-back will retain all sizes of cod
 200 due to the small mesh size.

201 Based on the three assumptions equation (6) can be simplified to:

$$202 \quad CC(l) = \frac{SP \times rhb_c(l)}{SP \times rhb_c(l) + 1 - SP} \quad (8)$$

203 With (8) we have obtained a direct relationship between the size selection process ($rhb_c(l)$) that
 204 will be missing with the sequential codend and the catch comparison rate ($CC(l)$). Therefore,
 205 this size selectivity then can be assessed based on estimating the catch comparison rate. Based
 206 on combining equations (1) and (2) while using the assumptions (7) we arrive at that $rhb_c(l)$
 207 also quantifies the ratio between the size selectivity in the trawl with the conventional codend
 208 (DS1) and the trawl with the sequential codend (DS2). Therefore, the size selectivity in the trawl
 209 with the sequential codend can be expressed in terms of the selectivity in the trawl with the
 210 conventional codend multiplied by a factor that is one divided by the missing selectivity:

$$211 \quad r_s(l) = \frac{1.0}{rhb_c(l)} \times r_c(l) \quad (9)$$

212 Therefore, if some cod first escape through the meshes in the aft of the codend during haul-
 213 back the use of the sequential codend will scale the retention probability for the total trawl
 214 process up by 1.0 divided the missing haul-back selectivity.

215 We estimated the average missing size selectivity with the sequential codend using maximum
 216 likelihood methods by minimizing the following equation with respect to the parameters
 217 describing $CC(l)$, which in addition to SP , include the parameters in the model that we apply
 218 for $rhb_c(l)$:

$$219 \quad - \sum_l \left\{ \sum_{i=1}^a \left\{ \frac{nc_{li}}{qc_i} \times \ln (CC(l)) \right\} + \sum_{i=1}^a \left\{ \frac{ns_{li}}{qs_i} \times \ln (1 - CC(l)) \right\} \right\} \quad (10)$$

220 Often, the size selection for diamond mesh codends is described using a Logit size selectivity
 221 model (Wileman et al., 1996):

$$222 \quad r_{logit}(l, l_{50}, SR) = \frac{\exp\left(\frac{\ln(9)}{SR} \times (l - l_{50})\right)}{1 + \exp\left(\frac{\ln(9)}{SR} \times (l - l_{50})\right)} \quad (11)$$

223 where $L50$ is the length of fish with a 50% probability of being retained during the selection
 224 process and SR is $L75-L25$. Thus, we used model (11) as a starting point. However, we also
 225 must consider the potential situation where only a fraction of the fish in the codend is capable
 226 of attempting to escape, which is obtained by considering the assumed length-independent
 227 contact parameter C (Herrmann et al., 2013) as follows:

$$228 \quad r_{Clogit}(l, C, l_{50}, SR) = 1 - C + C \times r_{logit}(l, l_{50}, SR) = 1 - \frac{C}{1 + \exp\left(\frac{\ln(9)}{SR} \times (l_{50} - l)\right)} \quad (12)$$

229 However, without assuming any specific model for the missing size selectivity ($rhb_c(l)$), such
 230 as equations (11) or (12), we also could formally determine whether there is evidence of missing
 231 size selectivity with the sequential codend by analyzing the catch comparison data. The null
 232 hypothesis was that the size selectivity of the two codend types was equal, which implies that
 233 $rhb_c(l) = 1.0$ for all l . Thus, based on equation (8), $CC(l) = SP$. We first tested whether this
 234 hypothesis could be rejected based on the collected data by estimating the value of SP under
 235 this hypothesis based on equation (10) and then calculating the p -value to obtain at least as big
 236 discrepancy as observed between the experimental catch comparison data and the model by
 237 chance. If this p -value was below 0.05, we could reject the null hypothesis unless the data
 238 appeared to exhibit over-dispersion, which would be indicated by lack of any fish length-
 239 dependent pattern in the deviation between the modeled catch comparison rate and the
 240 experimental data points. In case the null hypothesis is rejected, thereby providing evidence for

241 missing size selectivity, we then quantified this selectivity using models (11), (12), and (6).
 242 This process included testing whether using models (11) and (12) in (6) could describe the
 243 observed catch comparison data sufficiently well (p -value > 0.05), and we employed these
 244 models to estimate the parameters with equation (10). The parameters SP , $L50$, and SR were
 245 estimated with equation (11), while the estimation in equation (12) included the additional
 246 parameter C . If both equations (11) and (12) could describe the experimental data, then the one
 247 with the lowest Akaike's information criterion (AIC) value (Akaike, 1974) would be selected
 248 for modeling the missing size selectivity. We estimated 95% confidence intervals (CIs) for the
 249 catch comparison curve and the resulting sequential codend size selection curve using double
 250 bootstrapping for paired catch comparison data (Lomeli et al., 2018). We performed 1000
 251 bootstrap replicates.

252 In addition to modelling the experimental catch comparison rate in (10) based on (8) using (11)
 253 or (12), we also tested the empirical modelling approach that often is used in catch comparison
 254 studies (Krag et al. 2014, 2015; Herrmann et al. 2017, 2018):

$$255 \quad CC(l, \mathbf{v}) = \frac{\exp(f(l, \mathbf{v}))}{1.0 + \exp(f(l, \mathbf{v}))} \quad (13)$$

256 where f is a polynomial of order 4 with coefficients v_0, \dots, v_4 so $\mathbf{v} = (v_0, \dots, v_4)$. Leaving out one
 257 or more of parameters $v_0 \dots v_4$, we obtained 31 additional models that were considered as
 258 potential models to describe $CC(l, \mathbf{v})$. Based on these models, model averaging was applied to
 259 describe $CC(l, \mathbf{v})$ according to how likely the individual models were compared to each other
 260 (Burnham and Anderson, 2002). The models were ranked in order of AIC value following the
 261 procedure described by Katsanevakis (2006) and Herrmann et al. (2017), and those within +10
 262 of the value of the model with the lowest AIC value were included in the combined model
 263 (Akaike, 1974; Burnham and Anderson, 2002).

264 *Estimation of difference in size-dependent catch pattern between the two codends*

265 The actual difference in catch pattern between the two codend types was assessed by calculating
 266 the difference in the population structure of the catch for the two codends (Fig 1). The length-
 267 dependent population frequencies retained in the codends were calculated as follows:

$$268 \quad \begin{aligned} f_{c_l} &= \frac{\sum_{i=1}^a nc_{li}}{\sum_l \sum_{i=1}^a nc_{li}} \\ f_{s_l} &= \frac{\sum_{i=1}^a ns_{li}}{\sum_l \sum_{i=1}^a ns_{li}} \end{aligned} \quad (14)$$

269 where f_{c_l} and f_{s_l} are the frequencies of fish at length l (in length class with middle point l)
 270 retained in the conventional codend and the sequential codend, respectively. The 95%
 271 confidence interval (CI) was obtained using the double bootstrapping technique described
 272 above.

273 To infer the effect of changing from the conventional to the sequential codend on population
 274 size structures, the change in the length-dependent frequency Δf_l was estimated as:

$$275 \quad \Delta f_l = f_{s_l} - f_{c_l} \quad (15)$$

276 Efron 95% percentile confidence limits (Efron, 1982) for Δf_l were obtained based on the two
 277 bootstrap populations of results (1000 bootstrap repetitions in each) for both f_{s_l} and f_{c_l} . As
 278 they are obtained independently, a new bootstrap population of results was created for Δf_l as
 279 follows:

$$280 \quad \Delta f_{li} = f_{s_{li}} - f_{c_{li}} \quad i \in [1 \dots 1000] \quad (16)$$

281 where i denotes the bootstrap repetition index. Because the bootstrap resampling was random
 282 and independent for the two groups of results, it is valid to generate the bootstrap population of
 283 results for the difference based on (16) using the two independently generated bootstrap files
 284 (Larsen et al., 2018).

285 *Estimation of the total size selectivity in the two trawls*

286 The total size selectivity $r_c(l)$ for the trawl equipped with the traditional codend was estimated
 287 by combining the catch data nc_{li} for the a uncovered hauls conducted using the conventional
 288 codend (DS1) with the catch data nf_{lj} for the b covered control hauls (DS3) with full trawl
 289 retention by minimizing (16) following the procedure described in Sistiaga et al. (2016b) for
 290 estimating the selectivity of unpaired trawl data (Fig. 1):

$$291 \quad - \sum_l \left\{ \sum_{i=1}^a \left\{ \frac{nc_{li}}{qc_i} \times \ln \left(\frac{SP \times r_c(l)}{SP \times r_c(l) + 1 - SP} \right) \right\} + \sum_{j=1}^b \left\{ \frac{nf_{lj}}{qf_j} \times \ln \left(\frac{1 - SP}{SP \times r_c(l) + 1 - SP} \right) \right\} \right\} \quad (17)$$

292 Similarly, the total size selectivity $r_s(l)$ for the trawl equipped with the quality-improving
 293 codend was estimated by combining the catch data ns_{li} for the a uncovered hauls conducted
 294 using the quality-improving codend with the catch data for the b covered control hauls by
 295 minimizing the following:

$$296 \quad - \sum_l \left\{ \sum_{i=1}^a \left\{ \frac{ns_{li}}{qs_i} \times \ln \left(\frac{SP \times r_s(l)}{SP \times r_s(l) + 1 - SP} \right) \right\} + \sum_{j=1}^b \left\{ \frac{nf_{lj}}{qf_j} \times \ln \left(\frac{1 - SP}{SP \times r_s(l) + 1 - SP} \right) \right\} \right\} \quad (18)$$

297 For both $r_c(l)$ and $r_s(l)$ we considered both the Logit (10) and Clogit (11) size selection models
298 and used the one with the lowest AIC value. Only in case of poor fit statistics (p-value < 0.05)
299 would we consider other size selection models.

300 All estimates were obtained using the software tool SELNET, which was developed for
301 estimating size selectivity and catch comparisons for fishing gears (Herrmann et al., 2013). The
302 estimates were then exported and graphically represented using R (R Core Team, 2013).

303 **Results**

304 During the cruise a total of 20 valid trawls were conducted. Sixteen hauls were conducted
305 alternately using the two different codends (8 haul pairs) in order to estimate the potential
306 missing size selectivity of the sequential codend (Table 1, DS1 and DS2 in Fig. 1). Four
307 additional control hauls were conducted with covers over the flexigrid and codend (DS3 in Fig.
308 1) to obtain a length-based abundancy measure of the fish entering the trawl during the
309 experimental fishing. To ensure that the fish were caught from the same population and to
310 minimize the between-haul variance, towing area and depth were kept as constant as possible,
311 as was the number of days spent collecting the data (Table 1, Fig. 4). In total, 6889 cod were
312 caught, 2439 of which were retained in the conventional codend and 3068 of which were
313 retained in the dual sequential codend. The remaining 1382 cod were caught in the four control
314 hauls.

315 TABLE 1

316 FIG. 4

317 *Estimation of the missing size selectivity*

318 Figure 5a shows the length distribution of all cod caught in the conventional codend and the
319 dual sequential codend. Cod in the size range between 40 and 119 cm were retained during the
320 fishing trials. The p-value for the null hypothesis model (H_0) was 0.0033, which means we
321 could reject this model (i.e., no difference in the size selection between the conventional and
322 dual sequential codends) (Table 2). A difference in size selectivity between the two codends
323 was supported by the discrepancy between catch comparison curves for the H_0 model and the
324 length-dependent pattern in the experimental data (Fig. 5b). Being a length-independent catch
325 comparison rate, the H_0 model curve is equal to that of the SP (i.e., 0.4625). The empirical
326 model provided good fit statistics and fitted the experimental data points nicely (Fig. 5c, Table
327 2). However, empirical models cannot provide selection parameters. Therefore, two structural

328 models were investigated. Although the Clogit model provided a significantly improved model
329 fit compared to the H_0 model, the Logit model provided the best model fit (i.e., lowest AIC
330 value) (Table 2). The catch comparison curve from the Logit model based on equations (8) and
331 (11) also followed the experimental data points well (Fig. 5c). A comparison of the catch
332 comparison curve from the Logit model with that from the empirical model showed nearly
333 identical curves in the length-span were the experimental data have power (Fig. 5), which
334 provides good support for the more informative structural Logit model. Applying equation (2)
335 in Herrmann et al. (2016), the H_0 model and the Clogit model demonstrated a relative model
336 likelihood of $6.57 \times 10^{-5}\%$ and 36.97%, respectively, compared to the Logit model (Table 2).
337 Based on these results, the Logit model was chosen to describe the difference in size selectivity
338 between the conventional and dual sequential codends.

339 TABLE 2

340 FIG. 5

341 The catch comparison curve demonstrates a difference in size selectivity between the
342 conventional and dual sequential codends (Fig. 5c). The size selectivity curve in Fig. 6
343 quantifies the missing size selectivity in the dual sequential codend after the opening of the
344 catch releaser during haul-back. The area above the upper CI in the size selectivity curve
345 provides evidence for the reduced size selectivity in the sequential codend compared to the
346 conventional codend for cod up to 47 cm (Fig. 6). Specifically, considering the most
347 conservative estimate, cod measuring 20 cm had 63% escape probability when located in the
348 conventional codend during haul-back compared to none in the dual sequential codend (Fig. 6,
349 Table 3). Furthermore, for cod measuring 40 cm the release possibility that would be missing
350 during haul-back with the sequential codend was estimated to affect 51% of the cod that had
351 not escaped prior but would during haul-back with the conventional codend (Fig. 6, Table 3).
352 For cod measuring 44 cm, which is the minimum target size, the escape probability during haul-
353 back was 18% in the conventional codend (Fig. 6, Table 3).

354 Applying the upper CI's for the missing haul-back selection curve (Fig. 6) in Eq. 9, enables
355 estimation of the minimum scaling factor which quantifies the minimum relative size selection
356 between the two codends, i.e. the increase in the retention probability in the sequential codend
357 compared to the conventional codend. Cod measuring 20 cm had an increased retention
358 probability in the trawl with the sequential codend by a factor of minimum 2.71 (Table 3).

359 Furthermore, for cod measuring 40 cm and 44 cm the scaling factor was 2.06 and 1.15,
 360 respectively (Table 3).

361 FIG. 6

362 TABLE 3

363 Although these results demonstrate reduced size selectivity in the sequential codend compared
 364 to the conventional codend, this would be a problem only if undersized fish are present in the
 365 fishing area, are caught, and fail to escape through the size selective grid or codend meshes
 366 before haul-back. When we investigated the population structure retained in the two codends
 367 (Fig. 7a, b), we found no significant difference (Fig. 7c). However, it is important to emphasize
 368 that these results are case specific and could be due to the lack of undersized fish in the area
 369 during the data collection period or to efficient release of undersized fish in the sections anterior
 370 to the codend (i.e., size sorting grid and extension piece), as well as during towing.

371 FIG. 7

372 *Total size selectivity in the trawl with the conventional codend and the sequential codend*

373 The four control hauls (DS3 in Fig. 1) that were equipped with covers to retain all escapees
 374 provided a length-based abundance measure for the cod entering the trawl. The length
 375 distribution of the cod retained in the four control hauls (grey line in Fig. 8a, b) differs from the
 376 black distribution curves in the figures showing the length distribution of cod retained in the
 377 conventional (DS1 in Fig. 1) and sequential codend (DS2 in Fig. 1), respectively. This
 378 demonstrates that small cod were present in the area when experimental fishing was conducted.
 379 Thus, the four control hauls enabled estimation of the total size selectivity in the trawl with the
 380 conventional codend and sequential codend (Fig. 8c, d, Table 4). The fit statistics presented in
 381 Table 5 demonstrate a good fit of the model (i.e., the p-value is well above 0.05, making it
 382 highly likely that the observed discrepancy between the experimental catch sharing rates (

383 $\frac{\sum_{i=1}^a \frac{nc_{li}}{qc_i}}{\sum_{i=1}^a \frac{nc_{li}}{qc_i} + \sum_{j=1}^b \frac{nf_{lj}}{qf_j}}$ and $\frac{\sum_{i=1}^a \frac{ns_{li}}{qs_i}}{\sum_{i=1}^a \frac{ns_{li}}{qs_i} + \sum_{j=1}^b \frac{nf_{lj}}{qf_j}}$) and the fitted model is a coincidence). For both codend

384 types, the Logit model provided the lowest AIC value. Comparing the size selection curves in
 385 Figure 8c indicates a minor increase in the retention of fish below the minimum target size in
 386 the trawl equipped with the sequential codend. However, based on the total selectivity estimate
 387 using the unpaired method (Sistiaga et al., 2016b), no significant difference was detected.
 388 Furthermore, the estimated L_{50} of 64.33 cm (CI: 56.87–69.81) for the trawl with the

389 conventional codend and 62.90 cm (CI: 57.69–69.68) for the trawl with the sequential codend
390 do not differ significantly (Table 4), and these values lie far above the minimum target size,
391 which in the Barents Sea cod fishery is 44 cm. The L_{50} values, even when considering the lower
392 CI's, are high compared to previous studies using a flexigrid in combination with a conventional
393 diamond mesh codend (Sistiaga et al., 2009).

394 FIG. 8

395 TABLE 4

396 Discussion

397 Brinkhof et al. (2018a) described a dual sequential codend concept that significantly improved
398 the quality of trawl-caught cod compared to a conventional codend. The goal of this study was
399 to address concerns about the potential negative effect on the size selectivity in the trawl if this
400 codend was applied in the fishery. The conventional and anterior segment of the sequential
401 codend were designed similarly, and the water flow in the codends was believed to be similar.
402 The two codends applied were thus assumed to have similar size selective properties until the
403 catch was released into the posterior codend segment in the dual sequential codend during haul-
404 back. However, there was a difference of approximately 6 mm in the mesh size between the
405 anterior segment of the sequential codend and the conventional codend. Since it was the
406 conventional that had the largest mesh size, the results presented in this study are conservative
407 estimates. Therefore, it was reasonable to assume that any difference in the size selectivity in
408 the codends can be attributed the dual sequential codend during haul-back.

409 During haul-back, the dual sequential codend exhibited a relative increase in the probability of
410 retaining cod up to 47 cm long compared to the conventional codend (Fig. 6). Although this
411 study demonstrates that the sequential codend had significantly lower size selectivity during
412 haul-back compared to the conventional codend, no difference in the population structure
413 retained in the two codends was detected. This means that the catch pattern between the two
414 codends was not significantly different based on the present data. However, it is important to
415 emphasize that this result is case specific, and may have been caused by lack of undersized fish
416 in the fishing area during data collection or by efficient release through the grid or codend
417 during towing.

418 A study has demonstrated that the flexigrid, which is the most used sorting grid in the Barents
419 Sea, can be insufficient at releasing undersized fish (Sistiaga et al., 2016a). However, the four

420 control hauls conducted (DS3 in Fig. 1) in this study, which retained all cod that entered the
421 trawl, demonstrated that although some undersized fish entered the trawl, most of them
422 managed to escape, either through the grid or through the codend meshes during towing.
423 Estimation of the total size selectivity (grid and codend) indicated that there was only a minor
424 increase in the retention rate for undersized cod with the sequential codend compared to with
425 the conventional codend. The high L_{50} values obtained with both trawl codends in this study
426 demonstrate low retention of fish below the minimum target size. Even if the sequential codend
427 had led to a significantly lower L_{50} than the conventional codend, which was not the case, a
428 lower L_{50} would still be in accordance with the fishery management regulations. The increased
429 catch quality provided by the sequential codend (Brinkhof et al., 2018a) can be considered to
430 be of greater importance than the minor increase in the retention of small cod. Low catch quality
431 can increase the risk of illegal discarding and high-grading (Batsleer et al., 2015). Furthermore,
432 as argued in Madsen et al. (2008) and Brinkhof et al. (2017), fish escaping during haul-back is
433 likely to affect their survivability negatively due to stress-, catch-, or barotrauma-related
434 injuries.

435 Results of the structural catch comparison model (Eq. 8, 11) applied in this study agreed well
436 with results of the empirical model (Eq. 13). The catch comparison curves from the structural
437 and empirical model were nearly identical in the length span in which the experimental data
438 occurred. The discrepancy between the two modeled curves was likely caused by the difference
439 in the fish entry rates, and it was not significant considering the wide CIs. Because structural
440 models enable estimation of selectivity parameters, the structural model with the best fit was
441 chosen. Structural models are also beneficial due to their robustness for extrapolations outside
442 the range of available length groups that were measured (Santos et al., 2016).

443 The experimental design with the three different trawl design setups described in Fig. 1 enabled
444 both the estimation of the missing size selectivity in the sequential codend during haul-back, as
445 well as the catch patterns and total size selectivity in the two trawls. Alternating DS1 and DS2
446 (Fig. 1) enabled estimation of the missing size selection using the paired structural catch
447 comparison model. This model has high statistical power because the catch comparison rate is
448 explicit related to the missing size selection (Eq. 8) without having first to estimate the size
449 selectivity for the two designs. However, the estimation of the total selectivity (Fig. 1) required
450 unpaired analysis, subsequently entailing lower statistical power with wider CI's. Further, the
451 unpaired method relies on the assumption that the size structure of cod entering during the group
452 of test hauls (DS1 and DS2) is on average the same for the group of control hauls (DS3). If this

453 assumption is violated, the estimated size selectivity for the test trawls can be biased. Such risk
454 could be particular high under the logistic constrains the sea trials were conducted, i.e. all
455 control hauls were being taken after the all the test hauls instead of as recommendable
456 distributed between them. Such bias in size selection assessment might explain the unusual high
457 L_{50} values obtained for the total size selection, thus, we need to have some caution with these
458 results. The risk for bias in the catch structure sampling and thereby in the estimation of size
459 selectivity could be avoided by using a twin trawl setup, however, to answer the objectives
460 highlighted in Fig. 1, this would have required six different trawl setups: i) DS1 and DS2, ii)
461 DS1 and DS3, and iii) DS2 and DS3). Compared to the three trawl setups applied in this study
462 which maximizes the utilization each length measurement, a twin setup would have required an
463 increased number of fish measurements. Therefore, it is unsure which design setup would
464 require the lowest number of cod caught and length measured to obtain a specific statistical
465 power for addressing the research objectives. But the twin setup eliminates the risk for bias in
466 the assessment of the size selectivity. The research vessel for our disposal could not handle a
467 twin trawl setup. However, it could be advisable to follow up with a twin setup experiment on
468 another vessel. Preferable, such a follow up experiment should be conducted on a commercial
469 fishing vessel enabling commercial catch sizes with a twin trawl setup as commercial catches
470 affect results (Richards and Hendrickson 2006).

471 It is important to distinguish between potential size selectivity, which in this case demonstrated
472 significant missing size selectivity in the sequential codend compared to the conventional
473 codend, and the actual size selectivity in the trawl (i.e., actual catch pattern), which in this case
474 did not exhibit any significant difference between codends. This means that although estimation
475 of the relative selectivity demonstrated that there is possibility of increased retention rate of
476 small cod in the sequential codend this requires that they are present in the fishing area and that
477 they do not manage to escape prior being retained in the codend. However, the estimation of
478 the total selectivity demonstrated that, in this case, although the catch patterns revealed the
479 presence of small cod, they likely managed to escape prior being retained in the codend. Thus,
480 despite the missing selectivity, the total selectivity obtained for the trawl equipped with the
481 quality-improving codend revealed a low retention risk for cod below the minimum target size.
482 Hence, this study demonstrates that compared to the conventional codend, the sequential
483 codend has a minor effect on the overall trawl size selectivity. Further studies should investigate
484 if the sequential codend improves catch quality of other species besides from cod, such as
485 haddock (*Melanogrammus aeglefinus*) and saithe (*Polachius virens*), without compromising

486 size selectivity significantly. Additionally, it would be of interest to investigate the applicability
487 of the codend in other fishing gears, such as demersal seine, as well other similar fisheries.

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492 to perform our sea trails and the Norwegian Directorate of Fisheries for the necessary permits.
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1 Fig. 1. Schematic showing how the three different trawl designs contribute to the objectives.
2 DS1 represents the trawl with the conventional codend, DS2 the trawl with the dual sequential
3 codend, and DS3 the trawl with covers for the collection of the escapees.

4 Fig. 2. Setup of the trawl with the (a) conventional codend and (b) dual sequential codend; (c)
5 Dual sequential codend releaser mounted on the codend segment transition with the rope
6 detached; (d) codend meshes; (e) and (f) show the dual sequential codend during descent and
7 ascent, respectively.

8 Fig. 3. Schematics showing the size selectivity that occurs with the conventional codend ($r_c(l)$)
9 during (a) towing and (b) haul-back. (c) Size selection in the anterior codend segment of the
10 dual sequential codend during towing, which, due to the codend design, (d) should cease during
11 haul-back when the fish enter the posterior quality-improving codend segment. (The section are
12 not scaled according to each other).

13 Fig. 4. Map of the area showing where the trawl hauls were conducted. 'c' and 's' denote the
14 towing start position for the haul conducted with the conventional codend and with the
15 sequential codend, respectively, and 'F' indicates the hauls with covers (i.e., with full retention
16 of all fish).

17 Fig. 5. (a) Size distribution of the cod retained in the conventional codend (grey) and the dual
18 sequential codend (black). (b) Experimental catch comparison rates (dots) and the H_0 model
19 (black solid line) with 95% CI (black stippled curves). (c) Modeled structural catch comparison
20 rate (black solid curve) with 95% CI (stippled curves) and the experimental catch comparison
21 rates (dots). The grey curve represents the catch comparison rate from the empirical model with
22 95% CI (grey stippled curves).

23 Fig. 6. Size selection curve (black solid curve) with 95% CI (stippled curves) showing the
24 missing size selectivity when using the dual sequential codend. The grey stippled lines represent
25 L_{05} (left line) for the slack meshes in the lower panel and L_{95} for the slack meshes in the upper
26 panel.

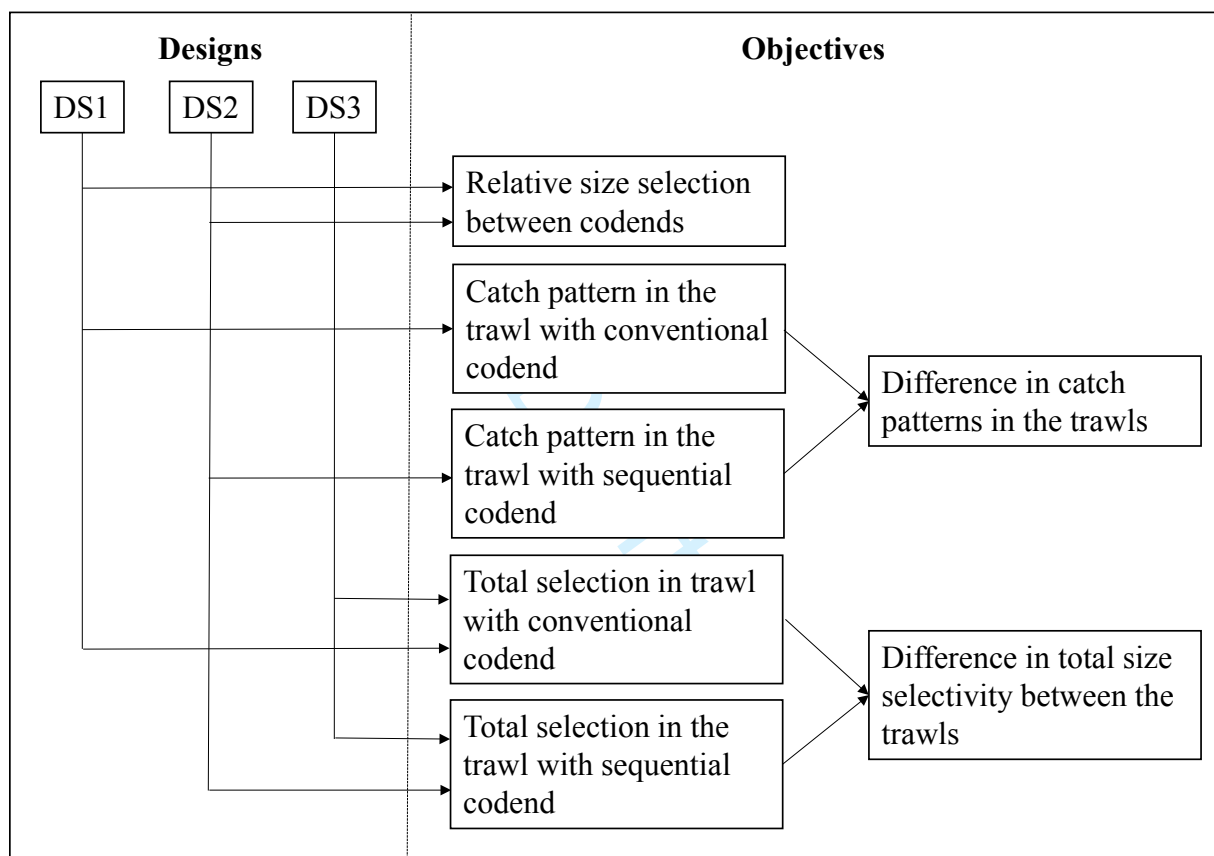
27 Fig. 7. Population structure in the (a) conventional codend and (b) sequential codend; (c) shows
28 the difference in population structure between the two codends. Stippled lines represent 95%
29 CIs.

30 Fig. 8. Catch sharing rate for the trawl with the (a) conventional codend and (b) sequential
31 codend. Dots represent the experimental data points, and dashed curves represent CIs. The

32 distribution curve in black represents the number of cod retained in the codend, whereas the
 33 distribution curve in grey represents the cod caught in the four control hauls that retained all
 34 fish entering the trawl, including escapees. (c) Absolute size selectivity in the trawl with the
 35 conventional codend (grey) and sequential codend (black) (grey stippled line represents the
 36 minimum target size of 44 cm). (d) Difference in size selectivity between the two codends.

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38 FIG. 1.



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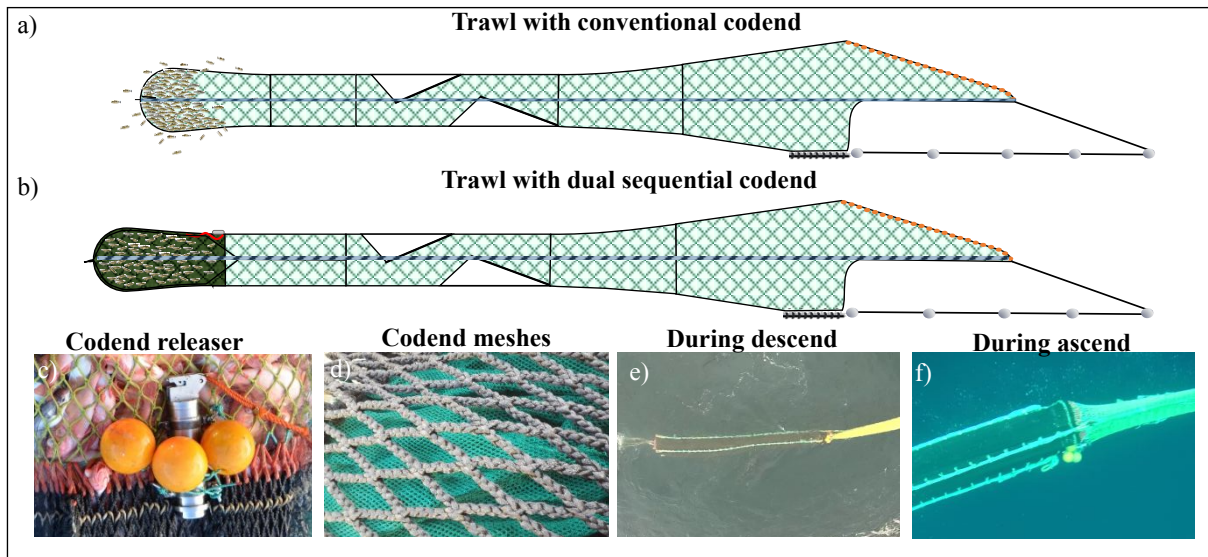
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47 FIG. 2



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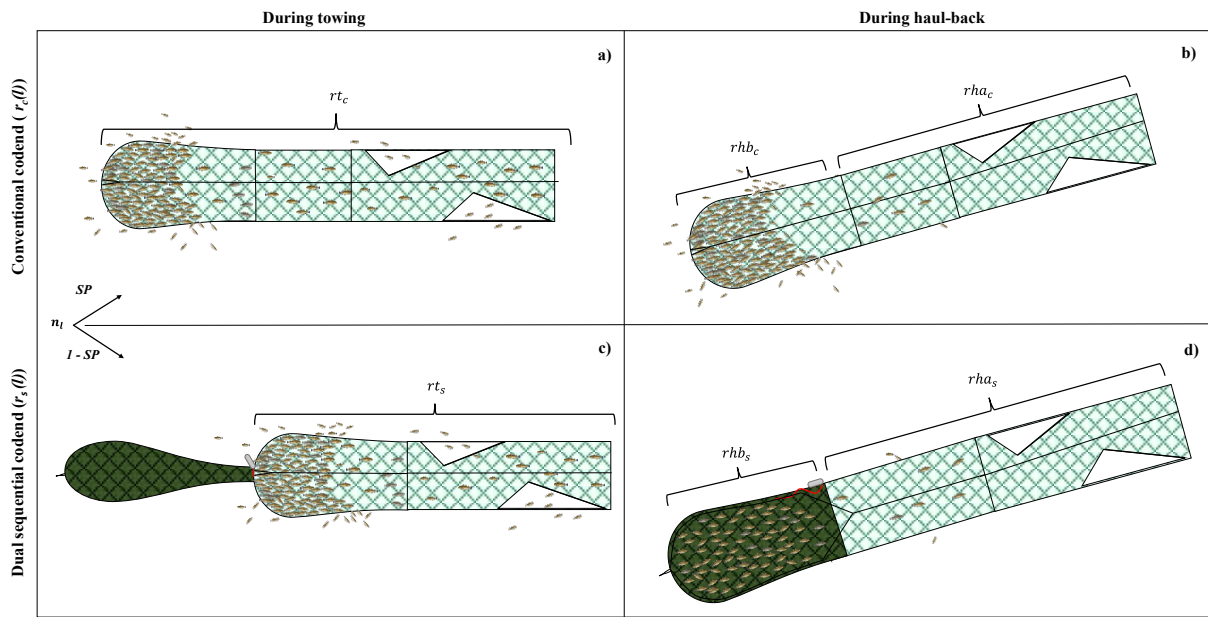
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65 FIG. 3



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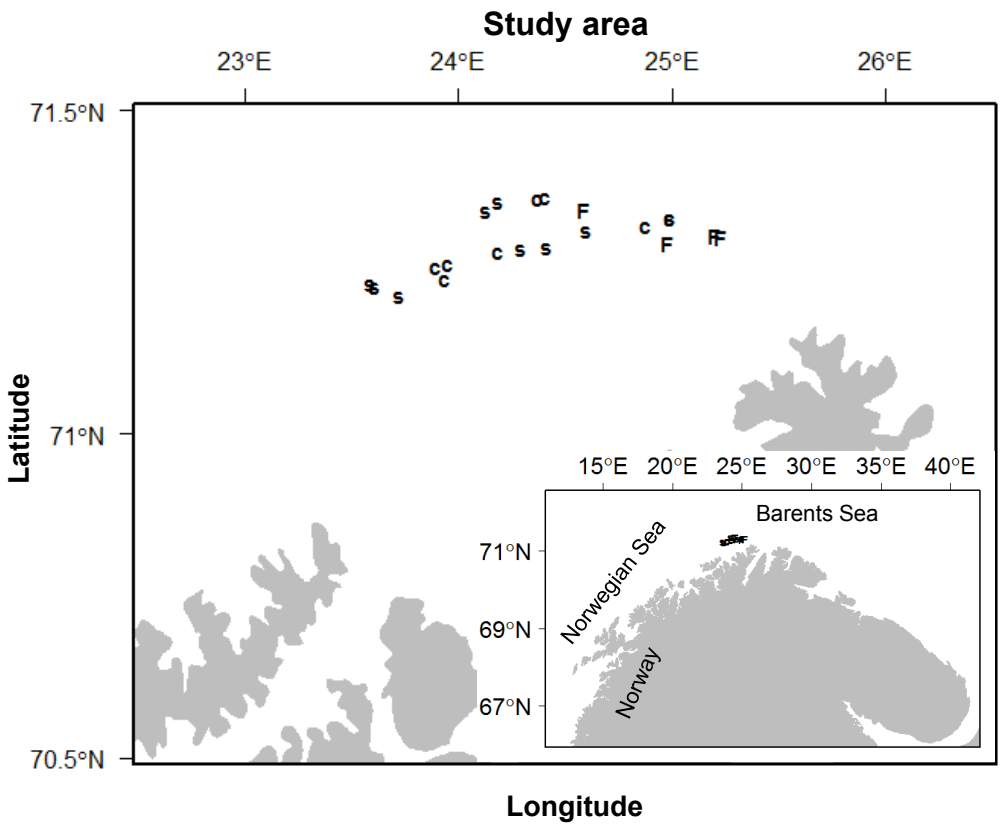
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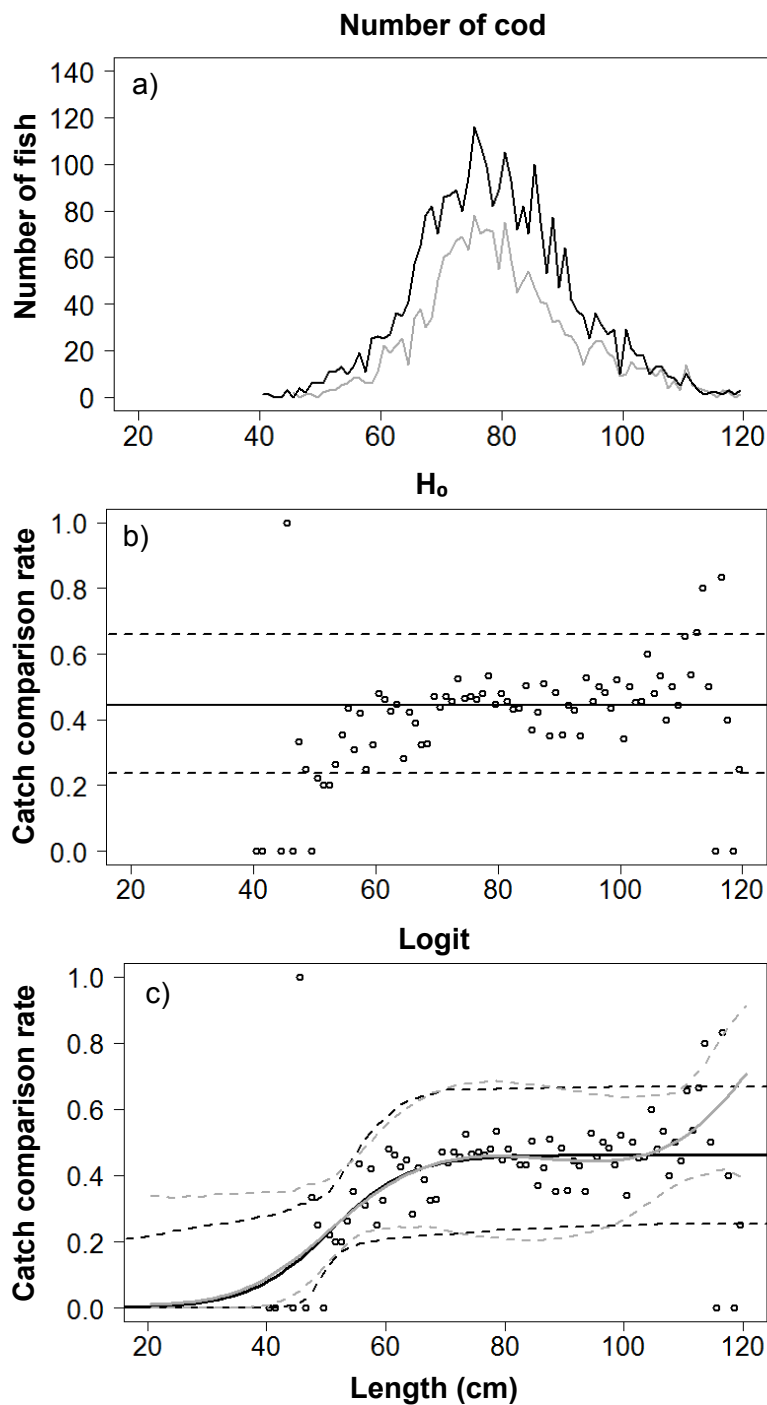
82 FIG. 4



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96 FIG. 5

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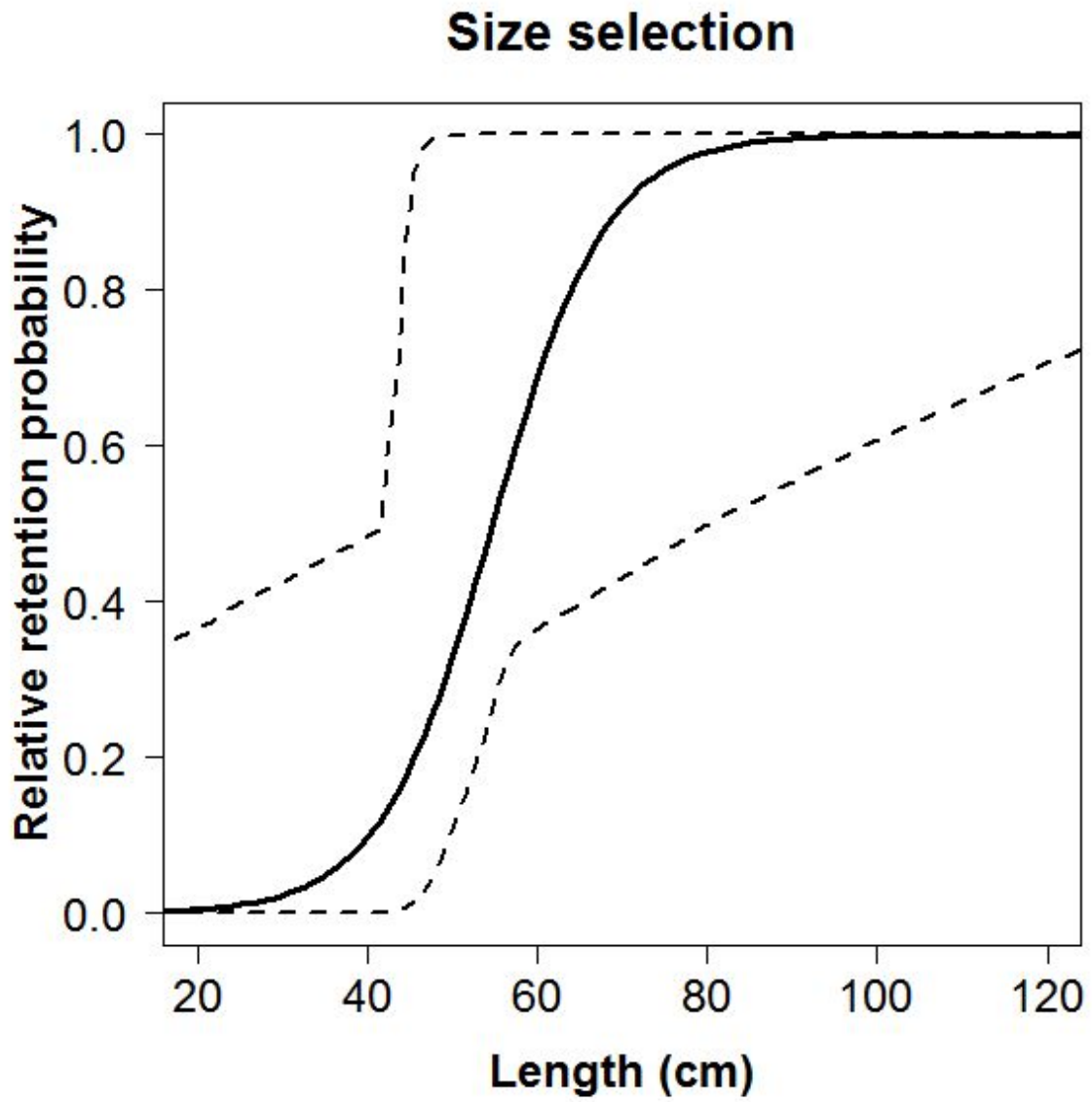
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103 FIG. 6



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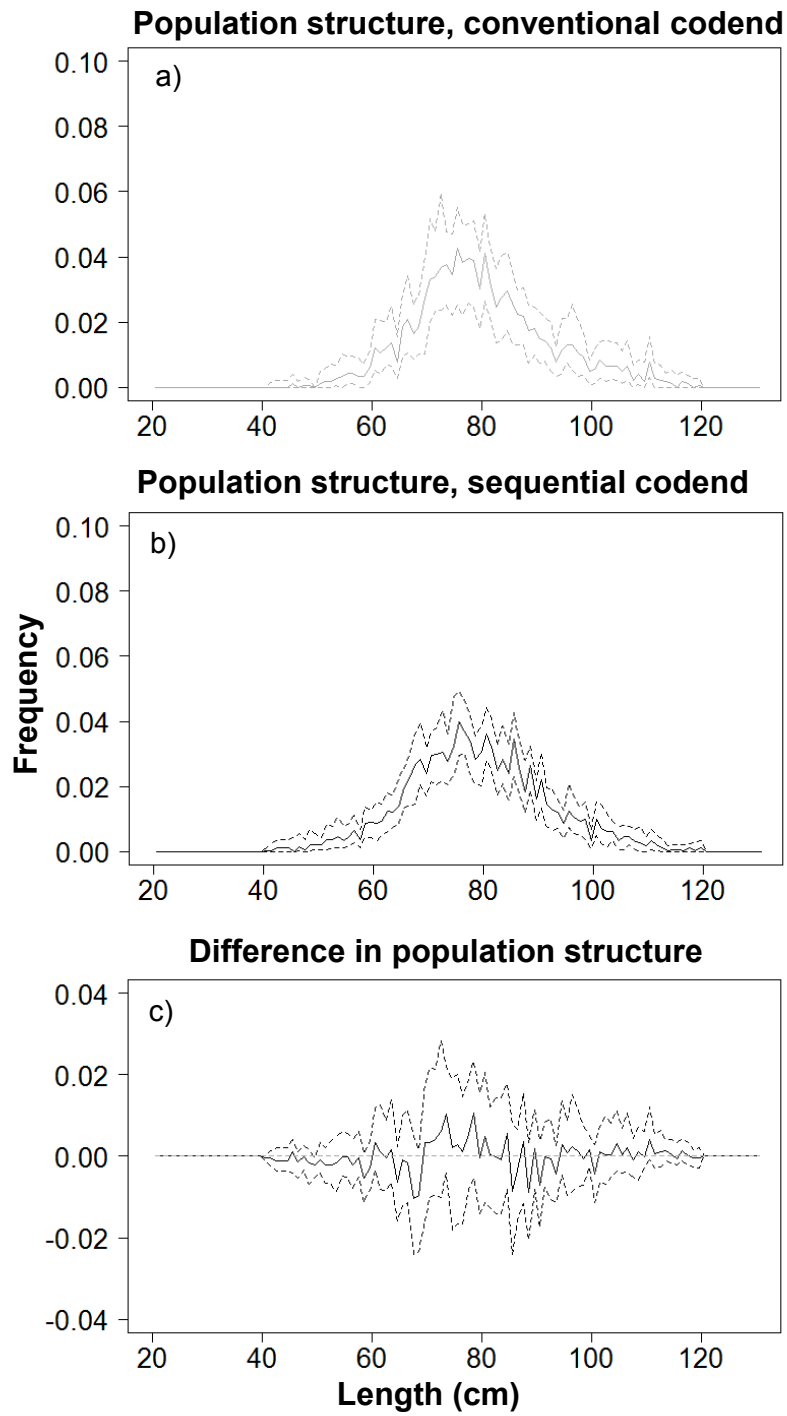
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118 FIG. 7

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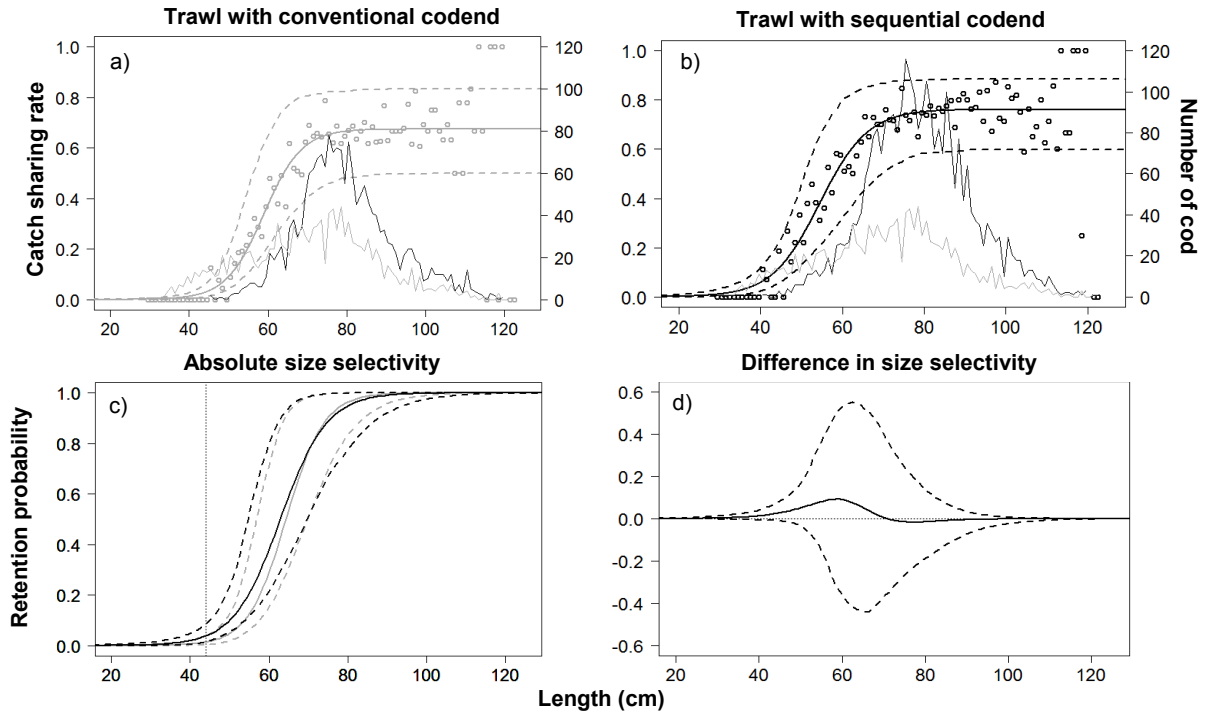
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124 FIG. 8



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1 Table 1. Details for each haul and haul pair showing codend type, depth, date, towing start time,
 2 towing time, number of cod caught, and the sub-sampling factor that compensates for the
 3 difference in towing time

4 Table 2. Fit statistics (p-value, deviance, degrees of freedom (DOF)), AIC values, and the
 5 relative model likelihood in percentage for the three models evaluated

6 Table 3. Reduced escape probability, **and increased retention probability including the lower**
 7 **limit of the scaling factor** for cod with 5 cm length intervals with 95% CIs for the cod retained
 8 in the dual sequential codend compared to the conventional codend

9 Table 4. Size selectivity parameters and fit statistics for the absolute size selectivity in the trawl
 10 with the conventional codend and the sequential codend

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12 TABLE 1

Haul No.	Codend type	Depth (m)	Date	Start time (UTC)	Towing time (min.)	Number of cod	Sub-sampling factor
1	Conventional	368	01.03.2018	08:44	62	104	1.00
2	Dual sequential	362	01.03.2018	10:47	62	282	1.00
3	Dual sequential	376	01.03.2018	12:35	60	443	0.83
4	Conventional	349	01.03.2018	15:46	75	172	1.00
5	Dual sequential	310	02.03.2018	14:59	45	213	0.75
6	Conventional	338	02.03.2018	16:30	60	116	1.00
7	Conventional	351	02.03.2018	18:13	90	166	1.00
8	Dual sequential	372	02.03.2018	20:40	90	196	1.00
9	Dual sequential	329	03.03.2018	00:59	90	998	1.00
10	Conventional	318	03.03.2018	09:12	75	137	0.83
11	Conventional	320	03.03.2018	11:24	75	154	0.83
12	Dual sequential	326	03.03.2018	13:25	90	336	1.00
13	Dual sequential	297	03.03.2018	18:58	72	452	1.00
14	Conventional	295	03.03.2018	22:39	36	337	0.50
15	Conventional	303	04.03.2018	02:55	25	525	0.83
16	Dual sequential	322	04.03.2018	18:45	30	95	1.00
17	Control	301	05.03.2018	10:06	61	151	1.00
18	Control	296	05.03.2018	12:49	30	740	1.00
19	Control	299	05.03.2018	18:14	20	180	1.00
20	Control	299	05.03.2018	20:15	20	311	1.00

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16 TABLE 2

Model	p-value	Deviance	DOF	AIC value	Relative likelihood (%)
H0	0.0033	115.02	77	7564.32	6.57×10^{-5}
Empirical	0.217	82.15	73	7532.99	417.87
Clogit	0.1646	85.79	74	7537.84	36.97
Logit	0.1852	85.79	75	7535.85	100

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18 TABLE 3

Length (cm)	Escape probability (95% CI)	Scaling factor ($\frac{1.0}{rhc(L)}$)
20	0.99 (0.63-1.00)	2.71
25	0.99 (0.60-1.00)	2.48
30	0.97 (0.57-1.00)	2.33
35	0.95 (0.54-1.00)	2.18
40	0.89 (0.51-1.00)	2.06
44	0.82 (0.13-0.99)	1.15
50	0.65 (0.00-0.88)	1.00
55	0.47 (0.00-0.71)	1.00
60	0.29 (0.00-0.63)	1.00
65	0.16 (0.00-0.60)	1.00
70	0.09 (0.00-0.56)	1.00
75	0.04 (0.00-0.53)	1.00
80	0.02 (0.00-0.50)	1.00
85	0.01 (0.00-0.47)	1.00

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25 TABLE 4

Parameter	Total selectivity	
	Trawl with conventional codend	Trawl with sequential codend

L₅₀	64.33 (56.87–69.81)	62.90 (57.69–69.68)
SR	10.54 (6.26–14.91)	12.89 (7.49–18.50)
SP	0.67 (0.48–0.84)	0.76 (0.61–0.89)
p-value	0.928	0.5693
Deviance	71.21	87.02
DOF	90	90

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